OJP Quick Guide: The Part 200 Uniform Requirements

Where to Find the Part 200 Uniform Requirements in the Code of Federal Regulations

Developed by the Department of Justice Office of Justice Programs Office of Audit, Assessment, and Management



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In December 2014, the Department of Justice (DOJ) adopted a regulation that, for the most part, applies the administrative requirements, cost principles, and audit requirements set out at 2 C.F.R. Part 200 to many OJP grants and cooperative agreements, starting with awards (including supplements) made by OJP during calendar year 2015.

Background information and numerous resources related to this new DOJ regulation, referred to as "the Part 200 Uniform Requirements," are available on the OJP website at ojp.gov/funding/Part200UniformRequirements.htm.

This particular OJP resource supplements the information included in OJP's Introduction to the Part 200 Uniform Requirements (available at the webpage above). OJP expects every OJP recipient who uses this OJP resource already to be fully familiar with the information in the Introduction to the Part 200 Uniform Requirements.

If and when the Part 200 Uniform Requirements apply to (or affect) a particular OJP award, recipients may wish to access the DOJ regulation. This OJP resource – Where to Find the Part 200 Uniform Requirements in the Code of Federal Regulations – provides links to the major subparts of the Part 200 Uniform Requirements. It also describes critical points to keep in mind when reading the various sections of the regulation.

OJP may update and expand this resource during the coming months. If you did not recently download this document, please check the OJP Part 200 Uniform Requirements webpage to verify that this is the most recent version.

Points to Keep in Mind when Reading the Part 200 Uniform Requirements

The Part 200 Uniform Requirements are a DOJ regulation, and must be read and reviewed as law.

The Part 200 Uniform Requirements are similar – but not identical to – other grants-related regulations recently adopted by other federal agencies. Both individual agency regulations and the terms and conditions of particular federal awards may vary.

The Part 200 Uniform Requirements do not alter federal statutes.

Each OJP award made during or after calendar year 2015 contains at least one award condition that sets out whether and how the Part 200 Uniform Requirements apply to the award.

"Effective date" (and similar) provisions may affect how and when a requirement in the Part 200 Uniform Requirements goes into effect, even for awards that otherwise fall under the Part 200 Uniform Requirements.

Many terms and phrases in the Part 200 Uniform Requirements have precise legal definitions.

Even commonly-used terms and phrases may be defined, and the definitions may differ from the "usual" or "plain English" meaning.

Definitions of terms and phrases typically appear in Subpart A of 2 C.F.R. Part 200.

The DOJ Grants Financial Guide may provide additional details.

The DOJ Grants Financial Guide is designed for use with those OJP awards that are subject to most or all of the Part 200 Uniform Requirements. The DOJ Grants Financial Guide may be quite specific as to how particular provisions of the Part 200 Uniform Requirements operate in the context of OJP awards.

The Part 200 Uniform Requirements are being updated and clarified on an ongoing basis.

Be sure to consult an **up-to-date** version of 2 C.F.R. Part 200 (and of 2 C.F.R. Part 2800). The provisions of 2 C.F.R. Part 200 have been updated several times just over the past year. Additional updates will be made as needed.

Many official publications, training materials, FAQ's, and similar documents that discuss the "supercircular" or 2 C.F.R. Part 200 should **not** be relied upon, because they are based on a version of 2 C.F.R. Part 200 that is now out-of-date.

Links to the Electronic Code of Federal Regulations

The most up-to-date version of the Part 200 Uniform Requirements is available on the web, as part of the Electronic Code of Federal Regulations.

The Part 200 Uniform Requirements consist of two components, which together make the whole—

- 2 C.F.R. Part 2800, where DOJ adopts 2 C.F.R. Part 200 (with certain changes) as a DOJ regulation.
- <u>2 C.F.R. Part 200</u>, which contains the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards published by OMB as guidance to federal grant-making agencies.

Basic Subparts of the Parts 200 Uniform Requirements.

As set out at 2 C.F.R. Part 200 -

Subpart A – Acronyms and Definitions

Subpart B – <u>General Provisions</u>

Subpart C – Pre-Federal Award Requirements and Contents of Federal Awards

Subpart D – <u>Post Federal Award Requirements</u>

Standards for Financial and Program Management

Property Standards

Procurement Standards

Performance and Financial Monitoring and Reporting

Subrecipient Monitoring and Management

Record Retention and Access

Remedies for Noncompliance

Closeout

Post-Closeout Adjustments and Continuing Responsibilities

Collection of Amounts Due

Subpart E - Cost Principles

General Provisions

Basic Considerations

Direct and Indirect (F&A) Costs

Special Considerations for States, Local Governments, and Indian Tribes

Special Considerations for Institutions of Higher Education

General Provisions for Selected Items of Cost

Subpart F – <u>Audit Requirements</u>

Appendices

[Note: Several of the appendices to 2 C.F.R. Part 200 provide details on indirect costs and cost principles for specific **types** of recipients that may receive an award that falls under the Part 200 Uniform Requirements.]