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DEPARTMENT OF CORRECTIONAL SERVICES  
THE STATE OFFICE BUILDING CAMPUS  
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UNUSUAL INCIDENT REPORT  
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HIGHLIGHTS

ACQUISITIONS

- 1) In 1984 there were 3,815 Unusual Incidents (UI's) that were coded for analysis purposes. These coded incidents are those which only involve inmate actions. In 1985 the number of UI's rose to 4,094. Despite this increase in the actual number of UI's, the annual rate of UI's per 1,000 inmates for both years was equivalent at 118 incidents per 1,000 inmates. (see Table 1)
- 2) In 1984 there were 5,839 inmates who participated in these UI's and in 1985 this number increased to 6,289 inmates. When the annual rate of inmate participants per 1,000 inmates for both years were compared they were found to be equivalent. (see Table 1). In both years 40% of the inmates were listed as "general participants", while at least 18% of the inmates were victims, while at least 23% of the inmates were assailants. (see Tables 6 and 7)
- 3) In both 1984 and 1985 maximum security facilities accounted for at least 65% of the UI's while only accounting for at least 52% of the inmates under custody in each of those two years. In contrast the medium security facilities accounted for at least 24% of the UI's while having at least 34% of the under custody inmates for each of those years. Between 1984 and 1985 the annual rate of UI's per 1,000 inmates for maximum security prisons increased, while the rate for medium security prisons declined. (see Table 8)
- 4) In 1984 there were 114 inmates who died while in DOCS custody. That number increased in 1985 to 163 inmate deaths. In 1984, over 42% of the inmate deaths were considered to be AIDS-related, while in 1985 over 58% of the inmate deaths appeared to be AIDS-related. (see Table 9) The majority of inmates who died from AIDS-related disorders were Hispanic, had a prior history of drug abuse, were at least 30 years old, and had served at least 24 months under DOCS supervision during their last commitment, prior to their deaths. (see Table 10)

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- 5) In both 1984 and 1985 the majority of UI's did not involve the use of force by officers for their resolution. In 1984, 43% of the incidents involved the use of force while in 1985 this proportion declined to 40%. When force was used, the most frequent mode of resolution during both years involved the use of holds by officers to restrain inmates. (see Tables 11 and 12)
- 6) Although most incidents do not involve the use of weapons by inmates, there was a significant increase in the use of weapons between 1984 and 1985. This increase was determined to be the result of a coding change in 1985 that was designed to include inmate use of hands and feet as weapons. Between 1984 and 1985 cutting and stabbing weapons use in inmate assaults declined from 52% to 44%, while their use in staff assaults increased from 5% to 6%. (see Tables 13 and 14)
- 7) Between 1984 and 1985 the overall annual rate of staff assaults per 1,000 inmates decreased slightly while the rate of inmate assaults per 1,000 inmates remained constant. The most significant changes among the staff assault rates include a major decrease at Attica and a major increase at Coxsackie between the two years. (see Tables 15 and 16)
- 8) In 1984 there were 757 staff assault incidents in which 1,041 DOCS employees were assaulted, and 54% of those victims reported injuries as a result of these assaults. In 1985 there were 751 staff assault incidents involving 983 employee victims and 55% of those victims reported injuries as a result of these assaults. A summary of injuries sustained by the employee victims in both years shows that the proportion of victims with injuries increased as the type of assault moved from those involving inmates "thrown objects" to those involving an inmates "use of objects". (see Tables 17A through 17D)
- 9) In both 1984 and 1985 most UI's occurred in the cell blocks or dorm units. Special Housing Units accounted for 11.6% of all incident locations in 1984, and 8.8% of all incident locations in 1985. (see Tables 21 and 22)

NUMBER OF INCIDENTS AND PARTICIPANTS

The annual Unusual Incident report provides a descriptive analysis of certain inmate behaviors that are potentially disruptive to the effective operation of the New York State prisons.

Throughout this report there will be a series of comparisons between Unusual Incidents which have occurred in the calendar years of 1984 and 1985, thus allowing the reader to put the 1985 experience into some perspective.

In calendar year 1985 there were 4,094 reported Unusual Incidents involving at least 6,289 inmates. In calendar year 1984 there were 3,815 reported Unusual Incidents that involved at least 5,839 inmates.

It is important to note that even though the actual number of incidents and inmate participants increased in 1985, both the number of Unusual Incidents and participants are often affected by changes in the number of under custody inmates who are potential initiators or participants in such incidents. Hence, it is necessary to examine the rate of both incident occurrence and the rate of inmate participation per 1,000 inmates when comparing these year-to-date figures. Table 1 shows that the incident and participation rates for both 1984 and 1985 were almost identical. This informs us that the Unusual Incident experience in 1985 was similar to that of 1984 after the growth in the total inmate population had been taken into account.

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TABLE 1

NUMBER AND ANNUAL RATE OF UNUSUAL INCIDENTS  
AND INMATE PARTICIPANTS JANUARY TO DECEMBER 1984 AND 1985

	<u>Number of Incidents</u>	<u>Rate Per 1000 Inmates</u>	<u>Number of Inmates</u>	<u>Rate Per 1000 Inmates</u>
Jan-Dec 1985	4,094	117 <sup>a</sup> / <sub>1</sub>	6,289	180 <sup>a</sup> / <sub>1</sub>
Jan-Dec 1984	3,815	118 <sup>b</sup> / <sub>1</sub>	5,839	180 <sup>b</sup> / <sub>1</sub>

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<sup>a</sup>/Population 35,032 June 14, 1985

<sup>b</sup>/Population 32,357 June 15, 1984

To get a better understanding of the nature and frequency of these incidents and their participants Tables 3 and 4 present a breakdown of Unusual Incidents by month and by incident type for 1984 and 1985. Additionally, Tables 2A and 2B summarize some of the findings by comparing the proportional distribution of some incident types and their inmate participants for both years.

TABLE 2A

DISTRIBUTION OF SOME INCIDENT TYPES FOR  
JANUARY TO DECEMBER 1984 AND 1985

<u>Type of Incident</u>	<u>1984</u>		<u>1985</u>	
	<u>Number</u>	<u>%</u>	<u>Number</u>	<u>%</u>
Inmate Altercations	498	13%	475	12%
Assaults on Staff	757	20%	751	18%
Assaults on Inmate	557	15%	619	15%
Contraband	271	7%	254	6%
Inmate Deaths	109	3%	158	4%
Fires	320	8%	502	12%
Self-Inflicted Injuries	141	4%	174	4%
Suicides	5	.1%	5	.1%
Attempted Suicides	169	4%	142	3%
Mass Demonstrations	18	.5%	23	1%

TABLE 2B

DISTRIBUTION OF SOME INMATE PARTICIPANTS BY INCIDENT TYPES FOR  
JANUARY TO DECEMBER 1984 AND 1985

<u>Type of Incident</u>	<u>1984</u>		<u>1985</u>	
	<u>Number</u>	<u>%</u>	<u>Number</u>	<u>%</u>
Inmate Altercations	1053	18%	1001	16%
Assaults on Staff	948	16%	936	15%
Assaults on Inmate	1170	20%	1317	21%
Contraband	291	5%	289	5%
Inmate Deaths	137	2%	166	3%
Fires	393	7%	747	12%
Self-Inflicted Injuries	152	3%	185	3%
Suicides	10	.2%	5	.1%
Attempted Suicides	179	3%	152	2%
Mass Demonstrations	221	.4%	206	3%

TABLE 3

TYPE OF INCIDENT BY MONTH  
JANUARY-DECEMBER 1984

<u>Incident Type</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>June</u>	<u>July</u>	<u>Aug</u>	<u>Sept</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Total Incidents</u>	<u>Total Participants</u>
Accident	18	8	10	11	19	20	17	15	11	12	11	12	164	243
Inmate Altercation	54	39	58	42	32	36	43	41	41	44	34	34	498	1,053
Staff Assault	75	67	54	71	83	77	56	59	49	62	47	57	757	948
Inmate Assault	38	41	33	43	37	47	58	50	42	58	53	57	557	1,170
Contraband	28	25	19	29	23	22	23	22	13	21	22	24	271	291
Inmate Death	9	10	14	5	4	9	9	14	3	13	11	8	109	137
Destroy Property	1	0	0	0	2	2	0	0	0	0	0	0	5	6
Disruptive Behavior	11	10	14	16	16	13	10	15	15	15	18	15	168	222
Escape	0	0	1	1	2	4	3	1	2	0	2	0	16	20
Escape Attempt	5	2	2	2	1	2	0	2	2	2	4	1	25	49
Fire	29	22	32	18	19	24	22	33	36	25	25	35	320	393
Self Inflict Injury	15	12	6	5	12	15	13	17	8	7	8	23	141	152
Sodomy	0	1	1	0	0	0	0	1	1	0	0	0	4	12
Refuse Instructions	15	19	11	18	16	12	14	10	10	22	16	9	172	225
Suicide	0	0	0	1	1	0	0	1	0	0	0	2	5	10
Suicide Attempt	18	6	9	15	20	15	7	15	8	19	21	16	169	179
Theft	0	4	0	2	2	0	1	0	2	5	1	2	19	55
Mass Demonstration	4	2	0	0	1	2	1	2	3	1	1	1	18	221
Other	25	28	27	43	37	19	41	35	31	37	28	46	397	453
<b>TOTAL</b>	<b>345</b>	<b>296</b>	<b>291</b>	<b>322</b>	<b>327</b>	<b>319</b>	<b>318</b>	<b>333</b>	<b>277</b>	<b>349</b>	<b>302</b>	<b>342</b>	<b>3,815</b>	<b>5,889</b>

TABLE 4

TYPE OF INCIDENT BY MONTH  
JANUARY-DECEMBER 1985

<u>Incident Type</u>	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>June</u>	<u>July</u>	<u>Aug</u>	<u>Sept</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>	<u>Total Incidents</u>	<u>Total Participants</u>
Accident	7	9	26	11	8	15	16	11	13	12	15	13	156	192
Inmate Altercation	44	31	40	44	48	27	36	42	36	37	49	41	475	1,001
Staff Assault	91	36	49	43	71	66	65	57	59	74	72	68	751	936
Inmate Assault	61	33	40	45	53	56	63	52	55	58	50	53	619	1,317
Contraband	20	20	14	23	12	24	17	28	28	18	22	28	254	289
Inmate Death	9	16	9	13	22	10	14	13	8	17	15	12	158	166
Destroy Property	0	0	1	1	0	6	5	4	4	1	0	2	24	32
Disruptive Behavior	19	18	11	8	11	19	14	8	24	23	34	30	219	253
Escape	0	2	2	2	1	0	1	3	0	3	0	0	14	22
Escape Attempt	0	0	2	0	1	1	1	2	3	2	2	0	14	16
Fire	41	36	37	41	43	38	36	47	39	41	51	52	502	747
Self Inflict Injury	10	7	10	7	10	17	12	9	21	29	23	19	174	185
Sodomy	1	1	1	2	3	0	2	1	0	3	1	1	16	35
Refuse Instructions	14	8	12	13	18	18	17	31	7	18	7	6	169	185
Suicide	3	0	0	0	1	1	0	0	0	0	0	0	5	5
Suicide Attempt	10	14	8	9	8	13	13	7	19	17	9	15	142	152
Theft	1	4	2	1	0	0	1	3	1	0	4	10	27	66
Mass Demonstration	1	1	2	1	1	1	3	4	4	1	2	2	28	206
Other	46	33	29	31	32	30	35	31	22	17	23	24	353	484
<b>TOTAL</b>	<b>378</b>	<b>269</b>	<b>295</b>	<b>295</b>	<b>343</b>	<b>342</b>	<b>351</b>	<b>353</b>	<b>343</b>	<b>371</b>	<b>379</b>	<b>376</b>	<b>4,095</b>	<b>6,289</b>

According to Table 2A the proportional distribution of ten incident types was very similar between 1984 and 1985. Similarly, Table 2B shows that the proportional distribution of participants in these incident types were almost identical with the exception of incidents relating to fires. In this category the proportional distribution of participants was higher in 1985 than in 1984.

Table 5 presents a comparison of the monthly average number of Unusual Incidents types that occurred during 1984 and 1985. Despite the overall similarity in Unusual Incident rates per 1,000 inmates, this table shows that there was a great deal of variation between the two years when monthly averages were examined. Specifically, the overall monthly average number of incidents in 1985 was somewhat higher than the overall 1984 monthly average. Only the average reports on "other" Unusual Incidents appeared to decrease between 1984 and 1985 while the average number of reports on inmate assaults, inmate deaths, and fires increased.

TABLE 5

DISTRIBUTION OF AVERAGE MONTHLY INCIDENT TYPES FOR  
JANUARY TO DECEMBER 1984 AND 1985

<u>Type of Inmate Action</u>	<u>1984 Jan - Dec Average</u>	<u>1985 Jan - Dec Average</u>
TOTAL	318	341
Accidents	14	13
Inmate Altercations	42	40
Assaults on Staff	63	63
Assaults on Inmate	46	52
Contraband	23	21
Inmate Deaths	9	13
Destruction of Property	n/a	2
Disruptive Behaviors	14	18
Escapes	1	1
Attempted Escapes	2	1
Fires	27	42
Self-Inflicted Injuries	12	15
Sodomy	n/a	1
Refusal to Follow Instructions	14	14
Suicides	n/a	n/a
Attempted Suicides	14	12
Thefts	2	2
Mass Demonstrations	2	2
Other Incidents	33	29

It should be noted that the extent of inmate involvement in these incidents can vary from that of a witness, or a victim to that of a general participant or an assailant. Tables 6 and 7 present a breakout of levels of inmate participation by incident type for the 1984 and 1985 Unusual Incidents. The Tables show that in both years at least 40% of the inmates were reported as being incident "participants". This categorization indicates different things depending upon the type of incident being reported. For instance in six Unusual Incident categories (i.e., Inmate Fights, Destruction of Property, Contraband, Disruptive Behavior, Refusal to Follow Instructions and the Other category) the inmates involved who were "participants" were directly involved in the incident. In the staff assault and inmate assault categories the "participants" were most likely in the vicinity when the incident happened, yet their role in the incident could not be determined.

Between 1984 and 1985 the overall distribution of inmate levels of participation did not vary all that much with the exception of having more inmate victims and fewer inmate assailants in 1985 than in 1984. In both years at least 18% of the inmate participants were involved as victims (50% as a result of inmate assaults in 1984 with 47% as a result of inmate assaults in 1985; and 17% as a result of fires in 1984 with 28% as a result of fires in 1985). In 1984, 25% of the inmate participants were involved as assailants (55% of whom assaulted staff while 31% assaulted inmates). In 1985 the proportion of assailants decreased to 23% of the inmate participants (55% of whom assaulted staff while 35% assaulted inmates).

TABLE 6

## INMATE PARTICIPATION BY INCIDENT JAN-DEC 1984

	VICTIM	ASSAILANT	WITNESS	MASS DEMO	SELF INFLICT	INMATE VISIT	RESULT DEATH	PARTICIPANT	TOTAL
ACCIDENT	161	0	71	0	0	0	0	11	243
INMATE ALTERCATION	77	80	3	0	0	0	0	893	1053
STAFF ASSAULT	29	818	16	0	0	0	0	85	948
INMATE ASSAULT	534	457	34	0	0	0	0	145	1170
CONTRABAND	1	1	4	0	0	60	0	225	291
INMATE DEATH	5	9	1	0	0	0	108	14	137
DESTROY PROPERTY	0	0	0	0	0	0	0	6	6
DISRUPT BEHAV	3	6	0	0	2	1	0	210	222
ESCAPE	0	0	0	0	0	0	0	20	20
ESCAPE ATTEMPT	0	0	4	0	0	0	0	45	49
FIRE	185	7	51	0	0	0	0	150	393
SELF INFLICT INJ	2	0	7	0	139	0	0	4	152
SODOMY	4	6	0	0	0	0	0	2	12
REFUSE INSTRUCT	0	2	0	0	0	0	0	223	225
SUICIDE	0	0	0	0	0	0	10	0	10
SUICIDE ATT	1	0	10	0	164	0	0	4	179
THEFT	26	0	0	0	0	0	0	29	55
MASS DEMO	1	1	0	219	0	0	0	0	221
OTHER	37	90	8	0	6	10	0	302	453
<b>TOTAL</b>	<b>1066</b>	<b>1477</b>	<b>209</b>	<b>219</b>	<b>311</b>	<b>71</b>	<b>118</b>	<b>2368</b>	<b>5839</b>
<b>PERCENT OF TOTAL</b>	<b>18.3%</b>	<b>25.3%</b>	<b>3.6%</b>	<b>3.8%</b>	<b>5.3%</b>	<b>1.2%</b>	<b>2.0%</b>	<b>40.6%</b>	<b>100.0%</b>

TABLE 7

## INMATE PARTICIPATION BY INCIDENT JAN-DEC 1985

	VICTIM	ASSAILANT	WITNESS	MASS DEMO	SELF INFLICT	INMATE VISIT	RESULT DEATH	PARTICIPANT	TOTAL
ACCIDENT	138	0	33	0	0	0	1	20	192
INMATE ALTERCATION	70	72	7	0	0	0	0	852	1001
STAFF ASSAULT	39	787	20	10	0	0	1	79	936
INMATE ASSAULT	600	503	37	0	0	0	0	177	1317
CONTRABAND	2	2	5	0	0	40	0	240	289
INMATE DEATH	12	3	4	0	0	0	142	5	166
DESTROY PROPERTY	2	0	2	0	0	0	0	28	32
DISRUPT BEHAV	4	7	8	2	0	0	0	232	253
ESCAPE	0	0	3	0	0	0	0	19	22
ESCAPE ATTEMPT	0	0	0	0	0	0	0	16	16
FIRE	362	6	128	0	0	0	0	251	747
SELF INFLICT INJ	1	0	10	0	167	0	0	7	185
SODOMY	8	10	1	0	0	0	0	16	35
REFUSE INSTRUCT	1	2	4	0	1	0	0	177	185
SUICIDE	0	0	0	0	0	0	5	0	5
SUICIDE ATT	5	0	8	0	126	0	0	13	152
THEFT	6	0	0	0	0	0	0	60	66
MASS DEMO	0	0	0	206	0	0	0	0	206
OTHER	27	45	41	0	4	10	0	357	484
<b>TOTAL</b>	<b>1277</b>	<b>1437</b>	<b>311</b>	<b>218</b>	<b>298</b>	<b>50</b>	<b>149</b>	<b>2549</b>	<b>6289</b>
<b>PERCENT OF TOTAL</b>	<b>20.3%</b>	<b>22.8%</b>	<b>4.9%</b>	<b>3.5%</b>	<b>4.7%</b>	<b>0.8%</b>	<b>2.4%</b>	<b>40.5%</b>	<b>100.0%</b>

WHERE DO THEY OCCUR

Table 8 presents the number and rate per 1,000 inmates of the Unusual Incidents by facility for both 1984 and 1985. A review of this Table shows that in both years most Unusual Incidents were reported from the maximum security facilities under DOCS supervision. According to the table, in 1984 maximum security facilities accounted for 66.8% of the reported Unusual Incidents while accounting for 56.6% of the inmates under custody as of June 15, 1984. For 1985 the maximum security facilities accounted for 65% of the reported Unusual Incidents while accounting for 52.6% on the inmates under custody on June 15, 1985. In contrast, medium security facilities housed 34% of the inmate population on June 15, 1984 and accounted for 24% of the 1984 Unusual Incidents. For 1985 these medium security facilities housed 38% of the inmate population on June 15, 1985 while accounting for 25.6% of the 1985 Unusual Incidents.

Table 8 also displays the number and rate per 1,000 inmates of Unusual Incidents at DOCS prisons in both 1984 and 1985. The table shows that even within facility security classification levels there is a great deal of variation between individual facility rates of Unusual Incident reports.

Between 1984 and 1985 the overall rate of Unusual Incidents remained the same. For the maximum security facilities the rate increased slightly while for medium security facilities it declined slightly. The table shows that there were thirteen prisons whose rate of incidents increased by at least ten per 1,000 inmates between 1984 and 1985, while there were eight prisons where the rate of incidents per 1,000 inmates decreased by at least ten. Since these incidents are not weighted in any terms of severity or significance it is difficult to make meaningful comparative statements about how "good" or "bad" certain facilities did in comparison to others solely on the basis of these figures alone.

TABLE 8

## UNUSUAL INCIDENTS BY FACILITY FOR 1984 AND 1985

FACILITIES BY SECURITY	CALENDAR YEAR 1984			CALENDAR YEAR 1985		
	POPULATION	UNUSUAL INCIDENTS	RATE PER 1000 INMATES	POPULATION	UNUSUAL INCIDENTS	RATE PER 1000 INMATES
ATTICA	2181	378	173	2094	189	95
AUBURN	1685	210	125	1679	236	141
CLINTON	2684	402	150	2700	470	174
COXSACKIE	935	120	128	942	195	207
DOWNSTATE	1125	133	118	1161	157	135
EASTERN	1136	76	67	1141	68	60
ELMIRA	1731	114	66	1716	173	101
GREAT MEADOW	1755	414	236	1562	367	235
GREEN HAVEN	2111	186	88	2080	184	88
SING SING	2221	293	132	2239	349	156
SULLIVAN	0	0	0	350	56	160
WENDE	182	13	71	203	4	20
BEDFORD(female)	554	211	381	562	203	361
MAXIMUM TOTAL	18300	2550	139	18429	2661	144
ADIRONDACK	530	40	75	547	44	80
ALTONA	291	14	48	299	6	20
ARTHURKILL	829	58	70	833	81	97
COLLINS	898	69	77	991	67	68
FISHKILL	1661	223	134	1688	212	126
GREENE	200	1	5	699	16	23
GROVELAND	247	15	61	731	39	53
HUDSON	478	20	42	476	15	32
LONG ISLAND	797	60	75	100	3	30
MID-ORANGE	722	36	50	702	43	61
MID-STATE	353	37	105	420	64	152
MT. MCGREGOR	453	75	166	457	37	81
OGDUNSBURG	237	23	97	544	21	39
ORLEANS	0	0	0	521	43	83
OTISVILLE	571	36	63	563	33	59
QUEENSBORO	455	84	185	482	101	210
TACONIC	378	8	21	384	15	39
WALKILL	528	10	19	519	7	13
WASHINGTON	0	0	0	400	76	190
WATERTOWN	488	52	107	572	31	54
WOODBOURNE	811	61	75	823	62	75
WYOMING	100	1	10	603	31	51
MEDIUM TOTAL	11027	923	84	13354	1047	78
OTHER TOTAL	3030	342	113	3249	386	119
GRAND TOTAL	32357	3815	118	35032	4094	117

INMATE DEATHS

During the year of 1984 there were 114 inmates who died while in the custody of DOCS. In 1985 the number of inmate deaths increased by 43% to 163.

Table 9 provides a breakdown of the inmate deaths for 1985 by month and by cause. As has been previously reported AIDS-related disorders have had a profound effect on the inmate population of New York State prisons. In 1984 over 42% of the inmate deaths were considered to be AIDS-related while in 1985 over 58% of the inmate deaths were AIDS-related (an increase of 73% in the number of AIDS deaths).

Of the 95 AIDS-related deaths Table 10 shows that 83% were either Black or Hispanic; that 75% had a prior history of drug abuse; that 80% were at least 30 years old at the time of their death; that 69% were from New York City; and that 52% had served at least 24 months under DOCS supervision during their last commitment prior to their deaths.

In 1984 there were 5 suicides and 7 inmate homicides and 44 deaths were attributed to natural causes other than AIDS. In 1985 there were 6 suicides and 3 inmate homicides while 46 deaths were attributed to natural causes other than AIDS.

TABLE 9

INMATE DEATHS FOR CALENDAR YEAR 1985  
BY CAUSE OF DEATH

	AIDS	CARDIO VASCULAR	CANCER	OTHER NATURAL	SUICIDE	HOMICIDE	ALL OTHER KNOWN CAUSES	UNKNOWN CAUSE OF DEATH	TOTAL
JANUARY	8	1	0	0	3*	0	0	0	12
FEBUARY	9	0	4	1	0	0	*1	1	16
MARCH	7	1	1	0	0	0	0	0	9
APRIL	9	1	1	2	0	0	0	0	13
MAY	15	3	0	3	*1	0	0	1	23
JUNE	7	1	0	1	1	*1	0	0	11
JULY	7	1	2	1	0	1	*2	0	14
AUGUST	8	0	0	4	0	0	0	1	13
SEPTEMBER	2	2	1	2	0	0	0	1	8
OCTOBER	8	1	3	1	0	1	2	1	17
NOVEMBER	9	3	1	0	0	1	0	1	15
DECEMBER	6	3	1	0	1	0	0	1	12
TOTAL	95	17	14	15	6	4	5	7	163
PERCENT OF TOTAL	58.3%	10.4%	8.6%	9.2%	3.7%	2.5%	3.1%	4.3%	100.0%

\*A TOTAL OF FOUR INMATES DIED IN 1985 WHILE ON WORK-RELEASE AND PHYSICALLY OUT OF A D.O.C.S. FACILITY. THESE DEATHS ARE MARKED WITH A STAR.

TABLE 10

CHARACTERISTICS OF THE 1985 AIDS-RELATED DEATHS  
AMONG NEW YORK STATE PRISONERS

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<u>Ethnicity</u>	<u>Number of Cases</u>
White	16
Black	36
Hispanic	43
	--
TOTAL	95

---

<u>Prior Drug Use</u>	<u>Number of Cases</u>
Yes	71
No	24
	--
TOTAL	95

---

<u>Age</u>	<u>Number of Cases</u>
16-24	2
25-29	17
30-34	29
35-39	22
40 +	25
	--
TOTAL	95

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<u>Region of Commitment</u>	<u>Number of Cases</u>
New York City	66
Other	29
	--
TOTAL	95

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<u>Time Served Since Last Admission</u>	<u>Number of Cases</u>
Up to 12 months	21
12-23 months	25
24-35 months	15
36-47 months	16
48-59 months	7
60 + months	11
	--
TOTAL	95

---

### TYPES OF FORCE USED

Tables 11 and 12 show that in both 1984 and 1985 the majority of Unusual Incidents did not involve the use of force by officers for their resolution. In 1984, 43% of the incidents involved the use of force, while in 1985 the proportion of Use of Force incidents declined to 40%. The most commonly used method of force in both years involved the use of holds by officers to restrain inmates. In 1984 the use of holds accounted for over 77% of all uses of force while in 1985 they accounted for 72% of all incidents when force was used.

Mechanical Restraints, such as hand-cuffs, were used in at least 19% of the incidents when force was used in 1984 and 23% of the time when force was used in 1985. The use of batons and chemical agents tended to be rare occurrences during both years.

In both 1984 and 1985 holds were used at least 72% of the time in response to three incident types (i.e. inmate altercations, staff assaults, and inmate assaults). In 1984 batons were used 73% of the time in response to staff assaults alone but in 1985 the pattern of baton use changed as it began to be used more often in response to staff and inmate assaults as well as inmate altercations. Thus, these three incident types accounted for 77% of the baton use in 1985. In both years mechanical restraints were used most often in response to inmate fights, inmate assaults, disruptive behavior, and refusals to follow instructions. Chemical and aerosol agents were primarily used in response to staff assaults, disruptive behavior, and inmates refusing to follow orders in both years.

TABLE 11

## UNUSUAL INCIDENTS : TYPE BY FORCE USED JAN-DEC 1984

	HOLDS	BATONS	MECH	REST	GAS	OTHER	NONE USED	TOTAL
ACCIDENT	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	7.44%	4.3%
INMATE FIGHT	32.5%	6.7%	8.8%	0.0%	0.0%	0.0%	2.88%	13.1%
STAFF ASSAULT	29.1%	73.3%	48.2%	40.0%	17.2%	10.05%	19.8%	
INMATE ASSAULT	10.6%	0.0%	3.6%	0.0%	17.2%	18.63%	14.6%	
CONTRABAND	0.8%	0.0%	1.3%	0.0%	3.4%	11.69%	7.1%	
INMATE DEATH	0.2%	0.0%	0.3%	0.0%	0.0%	4.84%	2.9%	
DESTROY PROPERTY	0.0%	0.0%	0.3%	5.0%	0.0%	0.14%	0.1%	
DISRUPT BEHAV	8.0%	0.0%	12.7%	25.0%	10.3%	0.96%	4.4%	
ESCAPE	0.1%	0.0%	0.0%	0.0%	6.9%	0.59%	0.4%	
ESCAPE ATTEMPT	0.0%	6.7%	0.3%	0.0%	3.4%	1.00%	0.7%	
FIRE	0.6%	0.0%	0.0%	0.0%	0.0%	14.29%	8.4%	
SELF INFLICT INJ	0.7%	0.0%	2.0%	0.0%	3.4%	5.71%	3.7%	
SODOMY	0.0%	0.0%	0.0%	0.0%	0.0%	0.18%	0.1%	
REFUSE INSTRUCT	10.0%	6.7%	11.4%	20.0%	13.3%	0.14%	4.5%	
SUICIDE	0.0%	0.0%	0.0%	0.0%	0.0%	0.23%	0.1%	
SUICIDE ATT	0.5%	0.0%	2.0%	10.0%	6.9%	6.99%	4.4%	
THEFT	0.1%	0.0%	0.0%	0.0%	0.0%	0.82%	0.5%	
MASS DEMO	0.2%	0.0%	0.0%	0.0%	3.4%	0.64%	0.5%	
OTHER	6.7%	6.7%	9.1%	0.0%	13.8%	12.79%	10.4%	
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0	
TOTAL NUMBER	1254	15	307	20	29	2190	3815	
PERCENT OF TOTAL	32.9%	0.4%	8.0%	0.5%	0.8%	57.4%	100.0%	

TABLE 12

## UNUSUAL INCIDENTS : TYPE BY FORCE USED JAN-DEC 1985

	HOLDS	BATONS	MECH REST	GAS	OTHER	NONE USED	TOTAL
ACCIDENT	0.1%	0.0%	0.0%	0.0%	0.0%	6.32%	3.8%
INMATE FIGHT	31.0%	11.8%	11.2%	4.5%	8.7%	2.36%	11.6%
STAFF ASSAULT	28.8%	50.0%	48.0%	27.3%	13.0%	8.27%	18.3%
INMATE ASSAULT	12.9%	14.7%	3.7%	4.5%	17.4%	18.01%	15.1%
CONTRABAND	2.1%	2.9%	2.7%	0.0%	4.3%	8.84%	6.2%
INMATE DEATH	0.2%	0.0%	0.0%	0.0%	0.0%	6.32%	3.8%
DESTROY PROPERTY	0.2%	0.0%	0.5%	4.5%	0.0%	0.77%	0.6%
DISRUPT BEHAV	10.7%	5.9%	16.5%	31.8%	8.7%	0.77%	5.3%
ESCAPE	0.0%	0.0%	0.3%	0.0%	0.0%	0.53%	0.3%
ESCAPE ATTEMPT	0.0%	0.0%	0.0%	0.0%	4.3%	0.53%	0.3%
FIRE	0.1%	0.0%	2.1%	9.1%	0.0%	20.01%	12.3%
SELF INFLICT INJ	0.7%	0.0%	0.8%	4.5%	8.7%	6.52%	4.3%
SODOMY	0.0%	0.0%	0.0%	0.0%	0.0%	0.65%	0.4%
REFUSE INSTRUCT	9.9%	2.9%	11.2%	13.6%	17.4%	0.08%	4.1%
SUICIDE	0.0%	0.0%	0.0%	0.0%	0.0%	0.20%	0.1%
SUICIDE ATT	0.7%	0.0%	0.5%	0.0%	4.3%	5.34%	3.5%
THEFT	0.0%	2.9%	0.3%	0.0%	0.0%	1.02%	0.7%
MASS DEMO	0.0%	0.0%	0.0%	0.0%	0.0%	0.94%	0.6%
OTHER	2.7%	8.8%	2.1%	0.0%	13.0%	12.51%	8.6%
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0
TOTAL NUMBER	1186	34	375	22	23	2454	4094
PERCENT OF TOTAL	29.0%	0.8%	9.2%	0.5%	0.6%	59.9%	100.0%

### WEAPONS USED BY INMATES

Tables 13 and 14 present information on the use of weapons by inmates involved in Unusual Incidents. Although the majority of incidents do not involve the use of weapons these tables do show that there was an large increase in weapon use between 1984 and 1985 going from 28.0% to 46.7%. This increase occurred primarily in the "Other Weapons" category which was expanded in 1985 to include the use of body parts such as hands and feet as weapons. In 1984 there were 5 such weapon uses while in 1985 there were 664 such weapon uses. Previously such weapon uses were coded as "no weapon use". As a result the apparent rise in general weapon use and the rise in the "Other Weapon" use in 1985 are artifacts of changes in coding.

In 1984, cutting and stabbing devices such as knives, razors, and other metal objects were used in 542 incidents or 14.2% of the Unusual Incidents, while in 1985 they were used in 537 incidents or in 13.1% of the Unusual Incidents. The proportional distribution of the use of glass and clubs as weapons also remained fairly stable between the two calendar years.

In 1984 cutting and stabbing weapons were used in 52.1% of all inmate assault incidents and in 4.6% of all staff assaults incidents. In 1985 the use of these weapons in inmate assault incidents declined to 44.1% while they were used in 5.6% of all staff assault incidents.

TABLE 13

## UNUSUAL INCIDENTS: TYPE BY WEAPON USED JAN-DEC 1984

	KNIFE	METAL	RAZOR	CLUB	GLASS	OTHER	NONE	TOTAL
ACCIDENT	0.0%	0.7%	0.0%	0.0%	0.0%	0.5%	5.9%	4.3%
INMATE FIGHT	6.4%	10.0%	3.8%	19.7%	0.0%	6.1%	15.5%	13.1%
STAFF ASSAULT	6.4%	12.0%	1.3%	27.3%	12.1%	31.1%	20.8%	19.8%
INMATE ASSAULT	76.3%	46.0%	26.3%	45.5%	8.6%	30.1%	4.0%	14.6%
CONTRABAND	3.0%	0.0%	0.0%	1.5%	0.0%	0.0%	9.6%	7.1%
INMATE DEATH	2.5%	0.0%	0.0%	0.0%	0.0%	0.2%	3.7%	2.9%
DESTROY PROPERTY	0.0%	1.3%	0.0%	0.0%	0.0%	0.0%	0.1%	0.1%
DISRUPT BEHAV	0.8%	4.0%	1.9%	0.0%	3.4%	2.9%	5.2%	4.4%
ESCAPE	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.6%	0.4%
ESCAPE ATTEMPT	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	0.9%	0.7%
FIRE	0.0%	1.3%	0.0%	0.0%	0.0%	0.7%	11.5%	8.4%
SELF INFLICT INJ	1.7%	16.7%	32.1%	0.0%	48.3%	3.9%	0.7%	3.7%
SODOMY	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.1%
REFUSE INSTRUCT	0.0%	0.7%	0.0%	0.0%	0.0%	0.5%	6.2%	4.5%
SUICIDE	0.0%	0.0%	0.6%	0.0%	0.0%	0.7%	.0%	0.1%
SUICIDE ATT	0.8%	5.3%	31.4%	1.5%	24.1%	19.1%	0.6%	4.4%
THEFT	0.0%	0.0%	0.0%	0.0%	0.0%	0.5%	0.6%	0.5%
MASS DEMO	0.4%	0.0%	0.0%	1.5%	0.0%	0.0%	0.6%	0.5%
OTHER	1.7%	2.0%	2.6%	3.0%	3.4%	3.2%	13.5%	10.4%
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
TOTAL NUMBER	236	150	156	66	58	408	2741	3815
PERCENT OF TOTAL	6.2%	3.9%	4.1%	1.7%	1.5%	10.7%	71.8%	100.0%

TABLE 14

## UNUSUAL INCIDENTS: TYPE BY WEAPON USED JAN-DEC 1985

	KNIFE	METAL	RAZOR	CLUB	GLASS	OTHER	NONE	TOTAL
ACCIDENT	0.5%	1.1%	0.0%	0.0%	1.9%	0.4%	6.7%	3.8%
INMATE FIGHT	7.8%	6.8%	1.3%	21.6%	0.0%	20.4%	8.0%	11.6%
STAFF ASSAULT	6.9%	14.2%	1.9%	23.0%	7.7%	35.1%	11.4%	18.3%
INMATE ASSAULT	66.7%	49.4%	31.8%	43.2%	1.9%	20.4%	2.7%	15.1%
CONTRABAND	10.8%	2.3%	3.2%	1.4%	0.0%	5.2%	7.2%	6.2%
INMATE DEATH	1.0%	0.0%	0.6%	0.0%	0.0%	0.2%	7.0%	3.8%
DESTROY PROPERTY	0.0%	0.6%	0.0%	1.4%	0.0%	0.2%	0.9%	0.6%
DISRUPT BEHAV	0.5%	3.4%	0.0%	4.1%	1.9%	5.9%	6.2%	5.3%
ESCAPE	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.6%	0.3%
ESCAPE ATTEMPT	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.6%	0.3%
FIRE	0.0%	0.6%	0.0%	0.0%	0.0%	0.2%	22.8%	12.3%
SELF INFLICT INJ	1.5%	15.3%	40.1%	0.0%	69.2%	2.4%	0.7%	4.3%
SODOMY	0.5%	0.0%	0.0%	0.0%	0.0%	0.3%	0.5%	0.4%
REFUSE INSTRUCT	0.5%	0.0%	0.6%	0.0%	0.0%	0.5%	7.4%	4.1%
SUICIDE	0.0%	0.0%	0.0%	0.0%	0.0%	0.3%	0.0%	0.1%
SUICIDE ATT	0.5%	4.5%	20.4%	0.0%	15.4%	6.0%	0.8%	3.5%
THEFT	0.5%	0.0%	0.0%	0.0%	1.9%	0.1%	1.1%	0.7%
MASS DEMO	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	1.0%	0.6%
OTHER	2.5%	1.7%	0.0%	5.4%	0.0%	2.2%	14.4%	8.6%
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
TOTAL NUMBER	204	176	157	74	52	1247	2184	4094
PERCENT OF TOTAL	5.0%	4.3%	3.8%	1.8%	1.3%	30.5%	53.3%	100.0%

STAFF ASSAULTS AND INMATE ASSAULTS

This section focuses on two of the most serious incident types, the assault of both staff and inmates by inmates. Tables 15 and 16 present the total number of both assault incident types for 1984 and 1985. The incidents are also broken out by facility, and an annual rate of incidents per 1,000 inmates for each facility is also presented.

A comparison between the tables shows that the absolute number as well as the overall rate of staff assaults declined between 1984 and 1985. The decline appears to be most significant among the maximum security facilities, from an annual average rate of 34 incidents per 1,000 inmates in 1984 to that of 32 incidents per 1,000 inmates in 1985. The most significant decline occurred at Attica where the rate of staff assault incidents went from 84 per 1,000 inmates in 1984 to 30 per 1,000 inmates in 1985. During this period though, at least six maximum security facilities reported substantial increases in the rate of staff assault incidents, the most significant occurring at Cossackie where the rate of staff assault incidents went from 17 per 1000 inmates in 1984 to 50 per 1000 inmates in 1985. In August of 1985 the maximum security facility at Sullivan was opened and was temporarily designated as a holding facility for long term keep-locked inmates. This concentration of inmates with disciplinary problems at Sullivan may have accounted for the high rate of both inmate and staff assaults occurring at that facility.

Overall the rate of staff assaults for medium security facilities remained constant between the two years, with some variation among the prisons. During the time frame of this report at least four medium security facilities reported substantial decreases in their staff assault rates, while two had reported substantial increases in their staff assault rates.

With regard to inmate assaults, a comparison between the tables shows that although the absolute number of incidents increased between 1984 and 1985, the rate of incidents per 1,000 inmates remained somewhat constant at all facility levels. There were at least five facilities which showed some significant increases in the rate of inmate assaults, however at the same time, there was a substantial decrease in the rate of inmate assaults occurring at at least four facilities.

TABLE 15

ASSAULTS ON STAFF AND INMATES: NUMBER AND RATE  
FOR CALENDAR YEAR 1984

FACILITIES BY SECURITY LEVEL	POPULATION	STAFF	RATE PER	INMATE	RATE PER
		ASSLTS	1000 INMATES	ASSLTS	1000 INMATES
ATTICA	2181	184	34	39	18
AUBURN	1685	39	23	30	18
CLINTON	2684	92	34	80	30
COXSACKIE	935	16	17	23	25
DOWNSTATE	1125	21	19	15	13
EASTERN	1136	8	7	10	9
ELMIRA	1731	15	9	49	28
GREAT MEADOW	1755	97	55	59	34
GREEN HAVEN	2111	46	22	23	11
SING SING	2221	50	23	38	17
WENDE	182	4	22	1	5
WDFORD (female)	554	44	79	15	27
<b>MAXIMUM TOTAL</b>	<b>18300</b>	<b>616</b>	<b>34</b>	<b>382</b>	<b>21</b>
ADIRONDACK	530	6	11	5	9
ALTONA	291	1	3	4	14
ARTHURKILL	829	5	6	6	7
COLLINS	898	17	19	13	14
FISHKILL	1661	35	21	53	32
GROVELAND	247	3	12	4	16
HUDSON	478	2	4	2	4
MID-ORANGE	722	2	3	9	12
MID-STATE	353	10	28	6	17
MT. MCGREGOR	453	6	13	11	24
OGDENSBURG	237	6	25	2	8
ORLEANS	0	0	0	0	0
OTISVILLE	571	5	9	7	12
QUEENSBORO	455	5	11	8	18
TACONIC	378	2	5	2	5
WALLKILL	528	2	4	1	2
WATERTOWN	488	7	14	8	16
WASHINGTON	0	0	0	0	0
WOODBOURNE	811	3	4	8	10
LONG ISLAND	797	9	11	12	15
WYOMING	100	0	0	1	0
<b>MEDIUM TOTAL</b>	<b>10827</b>	<b>126</b>	<b>12</b>	<b>162</b>	<b>15</b>
<b>OTHER TOTAL</b>	<b>3030</b>	<b>15</b>	<b>5</b>	<b>13</b>	<b>4</b>
<b>GRAND TOTAL</b>	<b>32157</b>	<b>757</b>	<b>24</b>	<b>557</b>	<b>17</b>

TABLE 16

ASSAULTS ON STAFF AND INMATES: NUMBER AND RATE  
FOR CALENDAR YEAR 1985

FACILITIES BY SECURITY LEVEL	POPULATION	STAFF ASSLTS	RATE PER		
			1000 INMATES	INMATE ASSLTS	RATE PER 1000 INMATES
ATTICA	2094	63	30	31	15
AUBURN	1679	43	26	21	13
CLINTON	2700	111	41	97	36
COXSACKIE	942	47	50	45	48
DOWNSTATE	1161	29	25	13	11
EASTERN	1141	7	6	17	15
ELMIRA	1716	31	18	58	34
GREAT MEADOW	1562	95	61	49	31
GREEN HAVEN	2080	39	19	21	10
SING SING	2239	46	21	40	18
SULLIVAN	350	21	60	8	23
WENDE	203	0	0	1	5
BEDFORD(female)	562	50	89	10	18
<b>MAXIMUM TOTAL</b>	<b>18429</b>	<b>582</b>	<b>32</b>	<b>411</b>	<b>22</b>
ADIRONDACK	547	6	11	9	16
ALTONA	299	0	0	1	3
ARTHURKILL	833	13	16	15	18
COLLINS	991	17	17	16	16
FISHKILL	1688	29	17	34	20
GREENE	699	4	6	3	4
GROVELAND	731	8	11	8	11
HUDSON	476	1	2	0	0
MID-ORANGE	702	2	3	9	13
MID-STATE	420	6	14	5	12
MT. MCGREGOR	457	4	9	11	24
OGDENSBURG	544	5	9	7	13
ORLEANS	521	9	17	4	8
OTISVILLE	563	5	9	9	16
QUEENSBORO	482	9	19	17	35
TACONIC	384	1	3	3	8
WALLKILL	519	0	0	1	2
WATERTOWN	572	3	5	7	12
WASHINGTON	400	11	28	13	33
WOODBOURNE	823	8	10	11	13
WYOMING	603	8	13	11	18
<b>MEDIUM TOTAL</b>	<b>13254</b>	<b>149</b>	<b>11</b>	<b>194</b>	<b>15</b>
<b>OTHER TOTAL</b>	<b>3249</b>	<b>20</b>	<b>6</b>	<b>14</b>	<b>4</b>
<b>GRAND TOTAL</b>	<b>34932</b>	<b>751</b>	<b>21</b>	<b>619</b>	<b>18</b>

### SEVERITY OF STAFF ASSAULTS

In 1984 there were 757 incidents involving assaults on staff which resulted in the victimization of 1,041 DOCS employees (primarily security staff), while in 1985 there were 751 staff assault incidents involving the victimization of 983 DOCS employees, or a decrease in staff assault victims by 6%.

Of the 1,041 staff assault victims in 1984, 16.8% were assaulted by objects thrown at them by inmates. In 1985, 15.4% of the victims were assaulted in this manner. Typically, these types of assaults are of a less serious nature and result in few injuries. In both years 22% of the victims in these Thrown Object assaults reportedly sustained any injuries.

In 1984, 77.4% of the victim employees were assaulted by inmates using hands, feet, teeth, etc., while in 1985, 76.6% of the victims were assaulted in this manner. In both years at least 59% of the victims in these Use Of Body assaults reportedly sustained any injuries.

In 1984, 5.8% of the victim employees were assaulted by inmates using objects such as clubs, furniture, or other weapons while in 1985, 8% of the victims were assaulted in this manner. In both years at least 72% of the victims in these Use Of Object assaults reportedly sustained any injuries.

Overall, of the 1,041 employees assaulted in 1984, 46% did not report that they were injured, while in 1985 of the 983 employees assaulted 45% reported that they had not received any injuries. Additionally, the Tables 17B and 17D clearly indicate that the proportion of victims sustaining injuries increased as the type of assault moved from those involving "thrown objects" to those involving "use of objects".

TABLE 17A

STAFF ASSAULTS: TYPE AND INJURIES  
CALENDAR YEAR 1984

Assault	Extent of Injury			Row Total
	No Injury	Minor Injury	Moderate Injury	
Thrown Object	137 (28.3%)	32 (7.2%)	6 (5.5%)	175 (16.8%)
Use of Body	331 (68.4%)	386 (86.5%)	89 (80.2%)	806 (77.4%)
Use of Object	16 (3.3%)	28 (6.3%)	16 (14.5%)	60 (5.8%)
<b>COLUMN TOTAL</b>	<b>484 (46.5%)</b>	<b>446 (42.8%)</b>	<b>111 (10.6%)</b>	<b>1041 (100.0%)</b>

TABLE 17B

STAFF ASSAULTS:TYPE BY INJURY  
JAN - DEC 1984

Extent of Injury	Thrown Object	Use of Body	Use of Object	Total
No Injury	137 (78%)	331 (41%)	16 (27%)	484 (46%)
Injury	38 (22%)	475 (59%)	44 (73%)	557 (54%)
<b>TOTAL</b>	<b>151 (100%)</b>	<b>753 (100%)</b>	<b>79 (100%)</b>	<b>1041(100%)</b>
<b>PERCENT OF TOTAL</b>	<b>16.8%</b>	<b>77.4%</b>	<b>5.8%</b>	<b>100%</b>

TABLE 17C

STAFF ASSAULTS: TYPE AND INJURIES  
CALENDAR YEAR 1985

<u>Assault</u>	<u>Extent of Injury</u>			<u>Row Total</u>
	<u>No Injury</u>	<u>Minor Injury</u>	<u>Moderate Injury</u>	
Thrown Object	118 (26.6%)	29 (6.4%)	4 (4.7%)	151 (15.4%)
Use of Body	303 (68.4%)	381 (83.7%)	69 (81.2%)	753 (76.6%)
Use of Object	22 (5.0%)	45 (9.9%)	12 (14.1%)	79 (8.0%)
<b>COLUMN TOTAL</b>	<b>443 (45.1%)</b>	<b>455 (46.3%)</b>	<b>85 (8.6%)</b>	<b>983 (100.0%)</b>

TABLE 17D

STAFF ASSAULTS:TYPE BY INJURY  
JAN - DEC 1985

<u>Extent of Injury</u>	<u>Thrown Object</u>	<u>Use of Body</u>	<u>Use of Object</u>	<u>Total</u>
No Injury	118 (78%)	303 (40%)	22 (28%)	443 (45%)
Injury	33 (22%)	450 (60%)	57 (72%)	540 (55%)
<b>TOTAL</b>	<b>151 (100%)</b>	<b>753 (100%)</b>	<b>79 (100%)</b>	<b>983 (100%)</b>
<b>PERCENT OF TOTAL</b>	<b>15.4%</b>	<b>76.6%</b>	<b>8.0%</b>	<b>100%</b>

MINOR USE OF FORCE REPORTS

This section of the annual report summarizes data for those incidents not reported to the central office as "Unusual Incidents" but nevertheless were entered onto the Department's computerized Unusual Incident file. These incidents all involve the minor use of force by officers to control inmates. In order to provide a clearer picture of what these incidents entail, they are coded similarly to the regular Unusual Incidents and are analyzed in the same manner.

In 1984 there were 804 minor use of force incidents (MUOF) which constituted 21.1% of that years' 3,815 Unusual Incidents. In 1985 there were 754 MUOF incidents which made up 18.4% of that years' 4,094 Unusual Incidents.

Table 18 shows that the reporting of these incidents varied a great deal among the facilities. In both 1984 and 1985 with over 71% of these MUOF incidents occurring at maximum security facilities and Clinton and Great Meadow accounting for over 35% of all MUOF incidents in both years.

Table 19 shows that all of these MUOF incidents were categorized into one of six incident types. In both years inmate altercations accounted for the majority of these incidents. Between 1984 and 1985 the proportional distribution of these incident types seemed to shift as inmate altercations, assaults on inmates, and other incidents declined while assaults on staff, disruptive behavior, and refusals to follow instructions increased.

In 1984 there were 1,263 inmates involved in these MUOF incidents or 21.6% of all inmates involved in Unusual Incidents that year. In 1985 there were 1,157 inmates involved in the MUOF incidents which constituted 18.4% of all inmates involved in Unusual Incidents that year.

MINOR USE OF FORCE  
INCIDENTS AND INMATE PARTICIPATION  
CALENDAR YEARS 1984 AND 1985

TABLE 18

FACILITIES BY SECURITY	1984 # OF MUOF INCIDENTS	1985 # OF MUOF INCIDENTS	1984 PARTIC- IPANTS	1985 PARTIC- IPANTS
AUBURN	14	12	15	13
CLINTON	104	116	172	211
COXSACKIE	79	99	100	132
DOWNSTATE	63	71	95	105
GREAT MEADOW	212	150	348	225
GREEN HAVEN	21	8	30	9
SING SING	35	33	42	46
SULLIVAN	0	11	0	15
BEDFORD(female)	66	39	100	60
MAXIMUM TOTAL	594	539	902	816
ADIRONDACK	18	18	30	31
ALTONA	2	1	4	2
ARTHURKILL	1	0	1	0
FISHKILL	36	38	54	51
HUDSON	2	3	3	5
MID-ORANGE	12	7	22	14
MID-STATE	11	28	22	46
MT. MCGREGOR	20	4	39	6
ORLEANS	0	17	0	19
QUEENSBORO	19	8	33	12
WASHINGTON	0	20	0	37
WATERTOWN	24	11	37	17
WOODBOURNE	34	26	63	45
MEDIUM TOTAL	179	181	308	285
ALBION	8	23	12	37
CAMP BEACON	0	1	0	1
CAMP GABRIELS	1	7	2	13
CAMP GEORGETOWN	14	2	24	4
CAMP PHARSAILIA	3	1	6	1
CAMP SUMMIT	3	0	6	0
LINCOLN	1	0	2	0
LYON MOUNTAIN	1	0	1	0
OTHER TOTAL	31	34	53	56
GRAND TOTAL	804	754	1263	1157

TABLE 19

MINOR USE OF FORCE INCIDENTS  
AND PARTICIPANTS  
BY INCIDENT TYPE  
FOR CALENDAR YEARS 1984-1985

	1984 NUMBER OF INCIDENTS	%	1985 NUMBER OF INCIDENTS	%	1984 INMATES INVOLVED	%	1985 INMATES INVOLVED	%
INMATE ALTERCATION	384	47.8%	336	44.6%	772	61.1%	682	58.9%
ASSAULTS ON STAFF	15	1.9%	25	3.3%	15	1.2%	26	2.2%
ASSAULTS ON INMATES	46	5.7%	38	5.0%	90	7.1%	77	6.7%
DISRUPTIVE BEHAVIOR	132	16.4%	177	23.5%	149	11.8%	188	16.2%
REFUSE ORDERS	151	18.8%	155	20.6%	156	12.3%	161	13.9%
OTHER INCIDENTS	76	9.5%	23	3.1%	82	6.5%	23	2.0%
TOTAL	804	100.0%	754	100.0%	1263	100.0%	1167	100.0%

LOCATION OF INCIDENTS

Tables 21 and 22 show that when comparing the year to date figures of 1984 and 1985, the overall distribution of incident locations was similar with the exception of incidents occurring in SHU and other miscellaneous locations. In both years over 46% of all incidents occurred in cell blocks or dormitory units. In 1984 SHU's accounted for 11.6% of the incident locations, but that decreased to 8.8% of all incident locations in 1985.

In 1984 four incident types (Inmate Altercations, Staff Assaults, Inmate Assaults, and Fires) accounted for 56% of all reported Unusual Incidents. Their proportion of the Unusual Incidents increased slightly in 1985 to 57%. By using these four incident types as a standard measure we can examine their proportionate distribution for various incident locations, to see if there has been any significant changes in incident locations over time.

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TABLE 20

LOCATION OF FOUR INCIDENT TYPES FOR THE  
UNUSUAL INCIDENTS OF 1984 AND 1985

<u>Location of Incident</u>	<u>1984</u>	<u>1985</u>
OVERALL	56%	57%
Cellblock Housing	68%	70%
Yard	78%	73%
Mess Hall & Kitchen	77%	80%
SHU	56%	55%
Shop	60%	65%
Hospital	26%	24%
Recreation	72%	76%

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Table 20 shows the proportion of incident locations for these four incidents were similar for both years when looking at Cellblocks/Dorm Housing, Mess Hall & Kitchen, SHU, and the Hospital areas. The proportions are higher in 1985 than in 1984 for Shop locations and Recreation areas; and are lower in 1985 than in 1984 for the Yard areas.

TABLE 21

## UNUSUAL INCIDENTS:TYPE BY LOCATION JAN-DEC 1985

	CELL BLOCK	YARD	MESS & KITCH	SHU	SHOP CLASS	HOSP	REC/GYM ASSFMR	VISIT	OTHR	TOTAL
ACCIDENT	2.1%	8.6%	2.4%	0.8%	19.0%	0.4%	6.1%	2.1%	7.8%	3.8%
INMATE FIGHT	11.2%	26.2%	37.1%	4.2%	15.0%	2.0%	27.3%	1.0%	4.5%	11.6%
STAFF ASSAULT	20.5%	9.4%	19.5%	31.5%	14.0%	19.0%	19.4%	10.3%	9.7%	18.3%
INMATE ASSAULT	17.2%	37.3%	21.9%	4.2%	21.0%	2.0%	29.1%	2.1%	7.8%	15.1%
CONTRABAND	5.3%	6.6%	1.4%	0.8%	3.0%	4.0%	3.6%	60.8%	7.0%	6.2%
INMATE DEATH	0.3%	0.8%	0.0%	0.0%	0.0%	35.6%	0.6%	0.0%	8.4%	3.8%
DESTROY PROPERTY	0.7%	0.0%	0.5%	0.8%	1.0%	0.0%	1.2%	0.0%	0.8%	0.6%
DISRUPT BEHAV	4.6%	2.9%	3.8%	12.3%	4.0%	10.9%	4.2%	2.1%	4.1%	5.3%
ESCAPE	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.8%	0.3%
ESCAPE ATTEMPT	0.3%	0.8%	0.0%	0.0%	0.0%	0.4%	0.0%	1.0%	0.6%	0.3%
FIRE	20.8%	0.0%	1.9%	15.3%	15.0%	1.2%	0.6%	0.0%	2.4%	12.3%
SELF INFLICT INJ	5.5%	0.0%	0.5%	9.7%	0.0%	8.1%	1.2%	1.0%	1.0%	4.3%
SODOMY	0.5%	0.8%	0.0%	0.3%	0.0%	0.8%	0.0%	0.0%	0.3%	0.4%
REFUSE INSTRUCT	4.0%	4.5%	2.9%	5.3%	1.0%	5.7%	3.0%	2.1%	4.5%	4.1%
SUICIDE	0.1%	0.0%	0.0%	0.3%	0.0%	0.4%	0.0%	0.0%	0.1%	0.1%
SUICIDE ATT	4.7%	0.0%	0.5%	9.7%	0.0%	3.6%	0.0%	0.0%	0.7%	3.5%
THEFT	0.0%	0.4%	1.0%	0.6%	3.0%	0.8%	0.0%	1.0%	2.3%	0.7%
MASS DEMO	0.4%	0.4%	3.8%	0.8%	1.0%	0.0%	1.2%	0.0%	0.1%	0.6%
OTHER	1.5%	1.2%	2.9%	3.3%	3.0%	4.9%	2.4%	16.5%	37.7%	8.6%
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
TOTAL NUMBER	1961	244	210	359	100	247	165	97	711	4094
PERCENT OF TOTAL	47.9%	6.0%	5.1%	8.8%	2.4%	6.0%	4.0%	2.4%	17.4%	100.0%

TABLE 22

UNUSUAL INCIDENTS:TYPE BY LOCATION JAN-DEC 1984										
	CELL BLOCK	YARD	MESS & KITCH	SHU	SHOP CLASS	HOSP	REC/GYM ASSFMR	VISIT	OTHER	TOTAL
ACCIDENT	1.4%	9.5%	3.0%	0.7%	18.3%	1.9%	8.7%	0.9%	12.5%	4.3%
INMATE FIGHT	14.5%	26.8%	30.3%	2.5%	22.5%	2.7%	34.8%	1.8%	3.7%	13.1%
STAFF ASSAULT	21.6%	15.2%	19.9%	40.6%	10.6%	17.4%	10.9%	8.8%	6.8%	19.8%
INMATE ASSAULT	18.0%	35.1%	22.9%	2.0%	25.4%	3.8%	26.8%	0.9%	3.7%	14.6%
CONTRABAND	5.2%	2.2%	1.0%	3.4%	2.1%	1.9%	2.2%	64.6%	14.2%	7.1%
INMATE DEATH	0.3%	0.9%	0.5%	0.0%	0.0%	36.0%	0.7%	0.0%	0.8%	2.9%
DESTROY PROPERTY	0.1%	0.0%	0.0%	0.2%	0.0%	0.0%	0.7%	0.0%	0.2%	0.1%
DISRUPT BEHAV	3.8%	3.0%	6.0%	6.8%	2.8%	8.0%	4.3%	2.7%	3.3%	4.4%
ESCAPE	0.4%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	0.0%	1.6%	0.4%
ESCAPE ATTEMPT	0.6%	1.3%	0.0%	0.5%	1.4%	0.4%	0.7%	0.0%	1.2%	0.7%
FIRE	14.3%	0.9%	3.5%	10.4%	1.4%	1.9%	0.0%	0.0%	0.8%	8.4%
SELF INFLECT INJ	4.9%	0.0%	0.0%	8.8%	0.7%	3.0%	1.4%	0.0%	0.8%	3.7%
SODOMY	0.2%	0.0%	0.0%	0.0%	0.7%	0.0%	0.0%	0.0%	0.0%	0.1%
REFUSE INSTRUCT	4.0%	2.6%	5.0%	8.6%	3.5%	7.6%	5.1%	1.8%	2.5%	4.5%
SUICIDE	0.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
SUICIDE ATT	6.0%	0.4%	0.0%	9.3%	0.7%	6.4%	0.7%	0.0%	0.2%	4.4%
THEFT	0.2%	0.0%	0.0%	0.0%	2.1%	0.0%	0.0%	0.0%	2.3%	0.5%
MASS DEMO	0.5%	9.9%	2.5%	0.0%	0.7%	0.0%	0.0%	0.0%	0.0%	0.5%
OTHER	3.4%	1.3%	5.5%	5.9%	7.0%	9.1%	2.9%	18.6%	45.5%	10.4%
TOTAL PERCENT	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0	100.0
TOTAL NUMBER	1771	231	201	441	142	264	138	113	514	3815
PERCENT OF TOTAL	46.4%	6.1%	5.3%	11.6%	3.7%	6.9%	3.6%	3.0%	13.5%	100.0%

TABLE 23

UNUSUAL INCIDENTS BY FACILITY - 1984 MONTHLY TOTALS

<u>Facility</u>	<u>JAN</u>	<u>FEB</u>	<u>MAR</u>	<u>APR</u>	<u>MAY</u>	<u>JUN</u>	<u>JUL</u>	<u>AUG</u>	<u>SEPT</u>	<u>OCT</u>	<u>NOV</u>	<u>DEC</u>
<b>GRAND TOTAL</b>	<b>345</b>	<b>296</b>	<b>291</b>	<b>322</b>	<b>327</b>	<b>319</b>	<b>318</b>	<b>333</b>	<b>277</b>	<b>343</b>	<b>302</b>	<b>342</b>
<u>Maximum Security</u> .....	<u>249</u>	<u>202</u>	<u>190</u>	<u>196</u>	<u>227</u>	<u>209</u>	<u>201</u>	<u>224</u>	<u>188</u>	<u>226</u>	<u>208</u>	<u>230</u>
Attica	36	26	31	40	50	43	35	24	23	27	20	23
Auburn	15	20	15	6	20	20	16	15	18	17	20	28
Clinton	36	28	22	23	27	28	28	40	26	27	30	37
Clinton APPU	5	2	5	4	2	3	0	4	1	4	4	0
Clinton-M.C.	4	2	2	1	5	2	1	0	1	0	0	1
Coxsackie	7	9	11	7	8	8	7	13	12	12	9	17
Downstate	14	6	9	11	10	13	8	14	8	15	11	14
Eastern	2	2	7	6	10	9	12	7	6	6	5	4
Elmira	10	8	3	7	8	7	14	12	9	9	14	13
Great Meadow	39	39	34	29	32	34	30	35	38	39	35	30
Green Haven	22	15	14	19	11	9	15	11	14	15	17	24
Sing Sing	37	30	19	15	19	21	20	26	16	34	27	29
Wende	2	1	2	2	3	2	0	0	0	0	1	0
Bedford Hills	20	17	16	26	22	10	15	23	16	21	15	10
<u>Medium Security</u> .....	<u>69</u>	<u>69</u>	<u>78</u>	<u>95</u>	<u>72</u>	<u>85</u>	<u>80</u>	<u>74</u>	<u>66</u>	<u>78</u>	<u>71</u>	<u>81</u>
Adirondack	4	3	2	2	4	2	3	4	4	2	3	7
Albion	2	2	2	1	2	1	1	1	1	0	4	1
Altona	0	0	3	1	3	0	2	3	1	0	0	1
Arthur Kill	5	6	7	4	6	4	9	2	6	2	3	4
Collins	1	4	3	2	5	8	15	4	8	12	3	4
Fishkill	15	16	21	19	17	22	19	26	12	22	21	13
Greene	0	0	0	0	0	0	0	0	0	0	0	1
Groveland	1	0	0	0	3	0	0	0	0	3	2	6
Hudson	4	2	1	6	0	0	2	2	1	1	1	0
Long Island	11	10	5	9	1	11	2	3	3	0	2	3
Mid-Orange	3	2	6	2	2	5	3	3	3	3	0	4
Mid-State	1	2	3	5	5	2	2	1	2	3	4	7
Mt. McGregor	3	6	8	7	4	3	0	3	4	4	5	6
Ogdensburg	1	0	4	3	3	3	3	2	2	1	1	0
Otisville	4	0	0	7	6	3	4	3	3	2	1	3
Queensboro	5	5	5	13	3	9	10	8	7	7	5	7
Taconic	0	1	1	1	0	1	0	0	1	1	2	0
Wallkill	1	0	2	0	0	1	0	2	0	1	2	1
Watertown	3	2	0	8	5	5	3	3	6	7	6	4
Woodbourne	5	8	5	6	3	5	2	4	2	7	6	8
Wyoming	0	0	0	0	0	0	0	0	0	0	0	1
<u>Minimum Security</u> .....	<u>17</u>	<u>17</u>	<u>11</u>	<u>20</u>	<u>12</u>	<u>12</u>	<u>24</u>	<u>21</u>	<u>18</u>	<u>28</u>	<u>11</u>	<u>22</u>
Edgecombe	7	8	6	5	7	9	10	9	9	16	5	14
Fulton	9	7	4	12	4	3	8	7	5	5	5	5
Lincoln	1	0	1	0	0	0	3	2	1	3	0	1
Lyon Mountain	0	1	0	2	0	0	0	1	0	1	0	1
Rochester	0	0	0	0	0	0	0	1	0	1	0	1
Contract Facility	0	1	0	1	1	0	3	1	3	2	1	0
<u>Minimum Security-Camp</u> .....	<u>8</u>	<u>5</u>	<u>8</u>	<u>6</u>	<u>13</u>	<u>9</u>	<u>10</u>	<u>13</u>	<u>4</u>	<u>7</u>	<u>7</u>	<u>6</u>
Beacon	1	0	1	1	0	1	0	1	0	2	1	1
Gabriels	0	0	0	0	3	1	1	0	0	0	2	1
Georgetown	1	1	1	3	2	3	6	2	1	2	0	2
Monterey	0	0	1	2	3	1	1	2	1	1	1	1
Mt. McGregor	5	2	1	0	3	1	2	6	0	1	0	1
Pharsalia	0	0	1	0	0	1	0	0	2	0	1	0
Summit	1	2	3	0	2	1	0	2	0	1	2	0
<u>Female Facilities</u> .....	<u>2</u>	<u>3</u>	<u>4</u>	<u>4</u>	<u>3</u>	<u>4</u>	<u>3</u>	<u>1</u>	<u>1</u>	<u>4</u>	<u>5</u>	<u>3</u>
Albion	0	2	1	1	0	1	0	0	0	1	3	2
Bayview	2	1	2	3	3	2	2	1	1	2	2	0
Parkside	0	0	1	0	0	1	1	0	0	1	0	1

TABLE 24

UNUSUAL INCIDENTS BY FACILITY - 1985 MONTHLY TOTALS

Facility	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
<b>GRAND TOTAL</b>	<b>378</b>	<b>269</b>	<b>295</b>	<b>295</b>	<b>343</b>	<b>342</b>	<b>350</b>	<b>353</b>	<b>343</b>	<b>371</b>	<b>379</b>	<b>376</b>
<b>Maximum Security</b> .....	<b>235</b>	<b>169</b>	<b>184</b>	<b>188</b>	<b>225</b>	<b>228</b>	<b>219</b>	<b>224</b>	<b>224</b>	<b>240</b>	<b>252</b>	<b>251</b>
Attica	18	10	16	18	27	15	16	15	17	20	17	10
Auburn	22	15	16	19	18	20	22	20	20	22	20	22
Clinton	52	33	37	22	36	42	44	26	32	25	44	42
Clinton APFU	3	2	2	1	1	3	3	4	3	2	1	5
Clinton-M. C.	1	0	0	0	1	1	0	1	1	0	1	0
Coxsackie	10	14	13	17	15	16	10	21	14	29	16	20
Downstate	12	17	11	10	13	8	21	12	10	10	13	20
Eastern	8	1	10	4	4	11	3	6	7	2	6	6
Elmira	18	12	19	15	10	11	20	15	12	17	13	11
Great Meadow	37	26	22	25	25	31	30	26	27	47	33	38
Green Haven	16	19	11	16	19	19	13	13	17	13	13	15
Sullivan	0	0	0	0	0	0	0	12	11	11	16	6
Sing Sing	26	15	12	26	22	35	28	31	31	32	42	49
Wende	0	0	1	1	0	0	1	1	0	0	0	0
Bedford (Female)	25	8	19	16	34	16	9	20	22	10	17	7
<b>Medium Security</b> .....	<b>89</b>	<b>66</b>	<b>68</b>	<b>74</b>	<b>84</b>	<b>82</b>	<b>85</b>	<b>99</b>	<b>92</b>	<b>107</b>	<b>104</b>	<b>101</b>
Adirondack	3	2	4	4	6	6	3	4	3	4	1	4
Albion	1	2	1	1	2	2	0	0	0	0	0	0
Altona	2	2	0	0	0	0	0	0	1	0	1	0
Arthur Kill	6	6	6	6	4	8	9	4	5	5	8	14
Collins	7	8	3	2	0	6	5	5	11	6	4	10
Fishkill	13	17	9	16	18	18	17	23	14	30	24	13
Greene	1	0	3	1	0	3	2	2	0	2	1	1
Groveland	3	1	6	0	6	6	1	2	3	2	6	3
Hudson	0	0	1	2	0	0	2	3	1	3	2	1
Long Island	3	0	0	0	0	0	0	0	0	0	0	0
Mid-Orange	6	2	3	5	4	1	1	7	5	2	3	4
Mid-State	7	3	3	3	2	2	9	7	9	7	4	8
Mt. McGregor	6	3	1	3	3	1	5	4	2	2	5	2
Ogdensburg	2	0	0	1	3	4	2	1	0	3	2	3
Orleans	0	1	5	6	6	7	2	3	3	0	7	3
Otisville	4	2	4	2	3	2	2	5	6	3	0	0
Queensboro	6	10	9	6	10	6	8	12	7	8	13	6
Taconic	0	1	3	1	2	1	1	0	3	2	1	0
Walkkill	0	0	0	2	1	1	1	1	0	1	0	0
Washington	0	0	0	1	4	5	6	9	11	14	13	13
Watertown	8	2	2	2	4	0	1	2	3	0	3	4
Woodbourne	9	3	2	9	5	4	4	3	1	9	4	9
Wyoming	3	2	3	2	2	0	4	2	4	4	2	3
<b>Minimum Security</b> .....	<b>26</b>	<b>24</b>	<b>17</b>	<b>20</b>	<b>17</b>	<b>19</b>	<b>32</b>	<b>19</b>	<b>18</b>	<b>12</b>	<b>13</b>	<b>18</b>
Edgecombe	14	16	8	10	6	4	14	10	10	5	9	10
Fulton	11	7	6	9	12	13	16	8	7	5	4	8
Lincoln	0	1	2	0	0	0	1	1	0	1	0	0
Lyon Mountain	0	0	1	1	0	0	0	1	1	0	0	0
Rochester	1	0	0	0	0	2	0	0	0	1	0	0
<b>Minimum Security-Camp</b> .....	<b>7</b>	<b>5</b>	<b>11</b>	<b>5</b>	<b>5</b>	<b>10</b>	<b>7</b>	<b>5</b>	<b>8</b>	<b>4</b>	<b>5</b>	<b>4</b>
Beacon	0	1	1	2	1	0	2	2	1	0	0	0
Gabriels	3	1	5	1	0	2	2	0	0	3	2	1
Georgetown	3	1	1	2	1	2	0	0	3	1	1	2
McGregor	0	1	0	0	0	1	0	0	0	0	0	0
Monterey	0	0	3	0	1	3	0	2	3	0	1	0
Pharsalia	0	0	1	0	1	1	2	1	1	0	1	0
Summit	1	1	0	0	1	0	1	0	0	0	0	1
<b>Female Facilities</b> .....	<b>6</b>	<b>3</b>	<b>10</b>	<b>5</b>	<b>10</b>	<b>4</b>	<b>6</b>	<b>6</b>	<b>1</b>	<b>8</b>	<b>5</b>	<b>2</b>
Albion	2	2	5	4	10	2	6	5	1	6	3	1
Bayview	1	0	0	1	0	1	0	0	0	1	1	0
Parkside	3	1	5	0	0	1	0	1	0	1	1	1