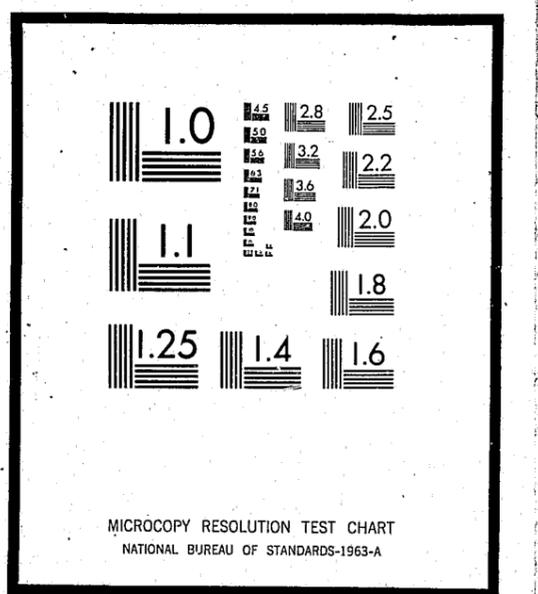


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U.S. DEPARTMENT OF JUSTICE
LAW ENFORCEMENT ASSISTANCE ADMINISTRATION
NATIONAL CRIMINAL JUSTICE REFERENCE SERVICE
WASHINGTON, D.C. 20531

Date filmed

9/3/75

 U. S. DEPARTMENT OF JUSTICE LAW ENFORCEMENT ASSISTANCE ADMINISTRATION		DISCRETIONARY GRANT PROGRESS REPORT	
GRANTEE	LEAA GRANT NO.	DATE OF REPORT	REPORT NO.
AMERICAN JUSTICE INSTITUTE	74-NI-09-0001	2-15-74	III-1
IMPLEMENTING SUBGRANTEE	TYPE OF REPORT		
AMERICAN JUSTICE INSTITUTE	<input checked="" type="checkbox"/> REGULAR QUARTERLY <input type="checkbox"/> SPECIAL REQUEST <input type="checkbox"/> FINAL REPORT		
SHORT TITLE OF PROJECT	GRANT AMOUNT		
SANTA CLARA CRIMINAL JUSTICE PILOT PROGRAM	\$404,000		
REPORT IS SUBMITTED FOR THE PERIOD	THROUGH		
11-16-73	2-15-74 (1st Quarterly)		
SIGNATURE OF PROJECT DIRECTOR	TYPED NAME & TITLE OF PROJECT DIRECTOR		
<i>Robert C. Cushman</i>	ROBERT C. CUSHMAN, PROJECT DIRECTOR		
COMMENCE REPORT HERE (add continuation pages as required.)			

INTRODUCTION

This is the first cumulative progress report of budget phase III- of the Santa Clara Criminal Justice Pilot Program. It has been prepared to meet the reporting requirements of special grant condition #1 and to carry out the plan for reporting of progress set forth in the approved grant application for this third twenty-month budget phase of the Santa Clara Criminal Justice Pilot Program.

The Santa Clara Criminal Justice Pilot Program has been the subject of a number of previous progress reports. These are listed in appendix 1 to this report.

The last quarterly progress report covered the period 4-16-73-7-15-73. No quarterly report was prepared for the period 7-16-73- because this material was summarized and included in the report for budget phase II, (11-15-73). This left an gap in the quarterly reports for the period 7-16-73- therefore, in order to maintain continuity of reporting and to present the cumulative flow of project activity for those evaluating the progress of the Project, this progress report includes a cumulative history of project activities dating from 4-16-73, the date of the last quarterly progress report. This report then commences with 7-16-73 and reports through 2-15-74.

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STATE PLANNING AGENCY (Official)

DATE

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PROJECT ACTIVITIES AND ACCOMPLISHMENTS

Project activities and accomplishments for the period 7-16-73 through 2-15-74 are detailed below under the usual headings of (A) Pilot Research (B) Demonstration Projects (C) Technical Assistance. The report concludes with comments on issues of project administration.

A. PILOT RESEARCH

1. BASE EXPECTANCY (B.E.) FOR ADULT PROBATION

This research is designed to produce a useful tool for predicting "failure risk" of adult male probationers. Practical applications will include resource and case-load management guidelines and research control information for assessing the differential impact of correctional programs on comparable groups of probationers. Mr. Pearson is in charge of this Project. The research report, scheduled for publication in August, has not been completed. Results however are available and have been used for planning several new programs in the Adult Probation Department which are described in other sections of this report. Data collection and analyses has been completed and a rough draft of the results has been completed. This needs extensive reworking, a job that has been put off because of the need to press ahead and apply the results during the development of new programs. Mr. Pearson will return to the job of editing and reworking the draft report as time permits. Our intention is to publish a report which will describe the development and application of the Base Expectancy tool. This will serve as a stimulus for others who may wish to duplicate this work.

2. ADULT PROBATIONER NEEDS SURVEY

The Adult Probationer Needs Survey research focused on the needs of probationers--their treatment needs, the strength of these needs, and the extent to which they are currently being met by contemporary service delivery models. This study is a companion study to the Adult Probationer Base Expectancy. Both studies are the responsibility of Mr. Pearson.

In August, the final report was produced in draft form. It was then edited and reworked and during September 100 copies of the research report were disseminated. Due to the demand for copies, another 100 copies have been printed and a large portion of these have been mailed to persons who have requested them.

This research has also been an important factor in formulating the approach to the Differential Diagnosis and Treatment Project and the Diagnosis, Classification and Treatment Project discussed elsewhere in this progress report.

3. INVESTIGATION CONTROL AND MANAGEMENT (ICAM)

The ICAM Research Project has been completed. ICAM is currently in operation in the Sunnyvale Department of Public Safety.

During early November the draft ICAM report, prepared by Captain Crabtree of the Sunnyvale Department of Public Safety, was edited, revised and prepared for final typing by Mr. Robert Cushman.

In December the report was typed, printed and distributed. Copies have been forwarded to the National Criminal Justice Reference Service and the National Technical Information Service. The report is currently being reviewed by LEAA staff in Burlingame. In February the Police Foundation requested thirty copies of the report for dissemination and assessment of the implementation of ICAM.

We believe ICAM represents a practical, transferable methodology for assessing the performance of the investigative function. There are also possible analogous applications of the methodology to courts and corrections. These will be explored during the coming months.

4. ECOLOGIC ANALYSES - 1971 CAPER TAPE

This research effort is designed to empirically determine the interrelationship between social indicators and specific crime rates generated from the 1971 CAPER data file. Dr. Howard and Ms. Hollingshead, Demographer for the County Planning Department are working together on the Project. The study design is presented on page 4 of the Phase II 5th Quarterly Report (1-15-73-4-14-73).

In December, Dr. Howard returned to this research, set aside earlier because of the press of other business for County Planning Department participants (notably, Profile '70).

Mr. Carruthers of the County Planning Department is presently compiling a list of social indicators which will be considered for inclusion in the analysis. These will be reviewed by representatives of the City Manager's Office (San Jose) and the County Office of Education. The idea is to include their input as the selection of relevant social indicators is made. Dr. Howard estimates that the completion of this list can be achieved during the coming quarter.

In the meantime, Ms. Hollingshead is compiling a list of census variables that can be generated for the City of San Jose. The Pilot Program will then hire data clerks who will spend about 80 man hours creating a data file. These will be generated during the coming quarter. Ms. Hollingshead is also in the process of preparing data on the denominators to be used in the rates for the study.

Dr. Howard has submitted a request to the Computation Center at the University of California in Berkeley to open an account for data processing. The BC TRY System, available only at University of California Berkeley, will be used to analyze the data. During the coming quarter data files will be generated and preliminary analyses will begin.

5. LATENT FINGERPRINT RESEARCH PROJECT

Mr. Broadus has provided technical assistance to two officers of the San Jose Police Department (Lt. Stan Horton and Sgt. Larry Thannisch) in the development and testing of a computerized single latent fingerprint file and search system.

In August, arrangements were completed with the Stanford Computation Center to conduct the test. Pilot Program research assistants were trained to code fingerprints--an effort designed to see if lay people could learn the techniques. Next a trained fingerprint technician coded up fifty fingerprint cards and readied these for the test.

On August 25, 1973 a preliminary test of the system was conducted at the Stanford Computation Center. This test, which was successful, was designed to determine if the SPIRES index system could be used to input and output the fingerprint coding data.

Some problems with the encoding techniques were uncovered as a result of this first test. Two detectives, the originators of the encoding system, who classified prints came up with a 99% agreement. This produced a 99% agreement in a subsequent machine test. The fingerprint technician was not able to code the same fingerprint the same way during consecutive coding sequences, nor were the research assistants. Some refinement of the encoding system was accomplished and a second test was prepared.

On September 11, 1973 a new test was conducted at Stanford to assess the efficiency of the system based on searches of a 500 single print data base. Results show a 99.4% "hit" rate.

In October, 1973 the San Jose Police Department submitted a grant application for one year of funding to refine, demonstrate and document this system. In December 19, 1973 the RCJPB staff returned the original grant application for revision. Mr. Broadus provided technical assistance during this revision period and a revised grant application was submitted in January 1973. The target date for project start up is March 1, 1974. Mr. Broadus will continue to provide technical assistance to this project.

6. ROBBERY ANALYSIS PROJECT

Dr. Howard, with assistance from Mr. Broadus, has been conducting a research project which should produce an in-depth analysis of robbery cases reported to the San Jose Police Department during 1972. The research commenced during June 1973. The study design is more fully set forth in the 6th Quarterly Report (page 11) and in Research In Progress.

During the last Quarter, the data collection required the most work. Staff also consulted with representatives of the Center For the Administration of Justice, Davis, California to gain experience from their work in analyzing robbery events.

Research stages involved (1) identifying data needs, (2) locating data sources, (3) design and execution of data collection phase, (4) preliminary data outputs and (5) preliminary design of research report. At present the project is at stage (4).

The participation of Mr. Broadus has consisted of three types of efforts:

- (a) Liaison with Robbery Unit San Jose Police Department to gain access to records and to describe robbery handling procedures within the Department.
- (b) Data collection -- extraction of required data from "rap sheets" of robbery arrestees to create offender history file.
- (c) Consulting with Dr. Howard on preliminary data outputs and resolving apparent data inconsistencies and problems.

During the current quarter, three data files were generated: (1) an event file, (2) an adult offender file, and (3) a juvenile offender file.

The event file is derived from 1972 CAPER data. It contains information on the 693 robberies that occurred in San Jose in 1972. Of these 693 offenses, 196 were cleared by arrest, 37 were cleared by arrest in another jurisdiction, and 860 were uncleared.

The adult offender file contains data on the 183 adults that were arrested for robberies committed in 1972. The juvenile offender file contains data on the 87 juveniles that were referred to the Juvenile Probation Department for robberies committed in 1972.

Analyses of the data in the three files began during the current quarter. In coming months the offender data will be sorted into age groups. Two SPSS event files, one for commercial and one for non-commercial robberies will be created. The next step involves creating a code book and producing selected cross-tabulations. During the coming quarter, analyses will be completed and writing of the research report will begin.

7. VICTIMIZATION SURVEY RESEARCH

During the staff visit to Washington, D.C. in June, 1973 the status of the 1970 San Jose victimization survey data was discussed with representatives from the National Criminal Justice Information and Statistics Service. They are responsible for the data analyses and interpretation of the data gathered in San Jose by the Bureau of the Census in January 1971.

During the summer, the Pilot staff received the full complement of data tables for the San Jose survey; and, during the current quarter, we received a rough draft of the National Criminal Justice Information and Statistics Service final report. The report is yet to be published and were cautioned to not release the data until the National Criminal Justice Information and Statistics Service publication.

Since the January 1971 survey was primarily a methodological test to refine methods for the National Crime Panel which is now underway, the draft report concentrates on the methodology and what was learned about approaches to data collection rather than on the data results. Dr. McConnell has completed a preliminary outline of a possible report which might be produced locally; however, since Dr. McConnell has left the Project the original concept of producing a report has now been abandoned. The data is still available in tabular form and we will continue to use it for planning purposes.

8. SCHOOL VANDALISM RESEARCH

At the request of the Regional Criminal Justice Planning Board, the Pilot Program conducted a literature search to identify work that has been done in the area of school vandalism. Principles elicited from prior research and recommendations for Santa Clara County are included in a report.

9. CRIMINAL JUSTICE INFORMATION CONTROL SYSTEM'S ANALYSIS

Dr. McConnell continued to meet with the CJIC management team on a bi-weekly basis. He also attended meetings of the CJIC Security sub-committee, the Jail Population sub-committee, and the Sheriff's CJIC Committee.

During the current quarter special attention has been devoted to increasing the quality of data input to CJIC, especially data entered at pre-trial booking. This has done a great deal to help CJIC prepare to absorb the Jail Population Management Project.

The Pre-Trial Release Project now regularly receives print-outs about failure to appear cases. Other data provides management information to the Pre-Trial Release Project Director.

CJIC is also now in a position to prepare management reports for Adult Probation. These have been derived in part from the evaluation design and resulting data needed to support evaluation of two new corrections programs.

CJIC also provided data that has been used in the Robbery Analysis Project being conducted by Dr. Howard.

There have been two important meetings between CJIC staff and the staff of the Regional Criminal Justice Planning Board. These discussions have considered the data needs of the RCJPB that might be obtained from CJIC.

As a result of this activity we see increasing use of the CJIC data base by user departments, both to support project evaluation and for general management purposes. During the coming quarter the staff will continue to assist users make use of the emerging systems analysis capabilities of CJIC.

10. PROFILE 70

Profile 70, a socio-demographic data book for Santa Clara County was completed and distributed during the current quarter. Dr. Howard provided extensive assistance to the Social Planning Council which was responsible for producing this report. She also participated in a workshop November 2, 1973. This workshop was designed to familiarize users with the Profile 70 document and to teach them how to use it.

B. DEMONSTRATION PROJECTS

1. CUSTODY CLASSIFICATION PRE-PROCESSING CENTER

This project was funded in late June 1973 with Pilot "O" discretionary funds. This program addresses the overall goal of improving the quality of justice in Santa Clara County: (1) sorting out and releasing those arrested adult persons who do not require pre-trial detention, and (2) assuring that arrested persons are charged at the appropriate level (felony/misdemeanor) and with the most appropriate charge(s).

The Preprocessing Center is located in a large trailer equipped with holding areas, close to the existing jail booking entrance; however, its services are separate and distinct from the pre-trial jail. Operating 24 hours a day, 7 days per week, the Center provides (1) arrest review by a Senior Police Field Supervisor, (2) a Deputy District Attorney to review and classify as to level and type of charge, (3) a Pretrial Release Specialist to obtain and verify O.R. (and citation) eligibility and/or to prepare court reports, and (4) a crisis intervention worker for immediate diagnosis, referral and follow up. Through the application of this consortium of services the Pre-Processing Center staff will determine the arrestees eligibility for detention in pre-trial custody, charges and social intervention and treatment needs.

Mr. John Pearson has spent a great deal of his time assisting the County get the Pre-Processing Center Program operationalized. The District Attorney serves as the project sponsor and Mr. Thomas Hanford of that office, a very capable Attorney IV, has been appointed to head up the project. The San Jose Chief of Police selected several excellent Police Sergeants to staff that portion of the project.

Delay developed in locating and making the trailer ready for occupancy. Though the actual service was scheduled to begin in October, it did not begin until January 21st. But by that time the full staff complement was trained and ready to begin.

A host of operating problems have developed but in turn, each of these is being resolved and the operation is now working better than anyone expected at this point in time. These are set forth in the minutes of the weekly meetings of the staff.

Because of the late start in actual service, it will be difficult to allow the County any meaningful assessment of project performance before it is time to prepare the County budget for the next fiscal year. For this reason, it may be necessary to ask for second year grant funds to continue operation of the Pre-Processing Center through June 1975. At that point the County will have sufficient operating experience to decide on continuation of the project using County funds.

Mr. Pearson, in consultation with Regional Criminal Justice Planning Board and County staffers, is currently preparing a budget plan which will make recommendations to assure project continuity. We will be discussing these with LEAA during the coming quarter.

2. COUNTY-WIDE CAPER

This project was funded June 26, 1973 with Pilot "0" discretionary funds. The demonstration project extends the pilot research project involving the City of San Jose, from a research phase to a County-Wide demonstration of the CAPER System.

The planned schedule for this project also suffered from problems of implementation.

In August, it was realized that the Regional Criminal Justice Planning Board, which was to serve as grantee, could not produce the required 10% cash match. Mr. Broadus took the initiative and met with the County Executive and the Sheriff to secure the cash match from the Sheriff's Office budget. Revision of the personnel section of the budget was also deemed necessary and Mr. Broadus assisted the Regional Criminal Justice Planning Board staff in this area. Dr. Howard and Mr. Broadus assisted in the recruitment and selection of staff for the project. Hiring of a Project Director did not occur until December; however, the project is now fully staffed and operational. Both the Project Director (Mr. Reeves) and Assistant Project Director have been hired. They report to Mrs. Karen Lang of the Regional Criminal Justice Planning Board staff. Ten field coders and a Project Secretary have also been hired.

Mr. Broadus assisted in the Development of a preliminary draft agreement for review by the County Counsel. This was needed to formalize a personal services contract between Santa Clara County and the Regional Criminal Justice Planning Board which was operating the County-Wide CAPER Project.

The CAPER project staff has revised some of the CAPER data elements. A coding manual has been produced, and the coders are now collecting data.

The next critical period for the project will be the conversion of coded data to machine readable form and the processing and reporting of the information to the police agency users.

At the request of LEAA Burlingame, Mr. Broadus prepared documentation to support County-Wide CAPER as a nominee as an "Exemplary Project." URSA of San Francisco has made preliminary contact with the CAPER project staff to explore the feasibility of including CAPER in a "prescriptive package" now being prepared by the National Institute of Law Enforcement and Criminal Justice.

Thus the project, though slow in starting, seems to now be fully operationalized. Mr. Broadus and Dr. Howard will continue to provide technical assistance to the CAPER Project Director and to the agency users of CAPER.

In terms of the CAPER pilot research, all data collection ceased in July 1973. The 1972 CAPER data has been geocoded and is on file, ready for processing. During the coming quarter, a geocoded 1973 CAPER file will be put into data storage and readied for processing. These two data sources provide baseline data for a number of San Jose crime specific tactical programs, most notably the Burglary Project.

In the City of Sunnyvale, Dr. Howard has assisted the Public Safety Department begin to use CAPER. An SPSS code book was produced using Sunnyvale CAPER data. She also helped the Department determine whether or not to have the SPSS package installed at Optimum Systems, Incorporated, the Sunnyvale data processing contractor.

3. JAIL POPULATION MANAGEMENT PROJECT

This project is the third Pilot "0" discretionary grant funded from the 1973 allocation. The grant award is dated July 2, 1973 and supports the conversion of the Jail Population Management research project to a one year demonstration phase.

This grant also experienced difficulty during its implementation stages. These have now been resolved and the project is on course.

Staffing was a major problem. The Project Director was finally hired in November 1973. The project is now being administered through the County Planning Department. Through a letter of agreement the Sheriff's Department receives the staff services of employees in the Planning Department. Three people from the Planning Department are assigned to the project. The Intermediate Clerk Typist provides 100% of her time in data collection. Mr. Terry Logsdon, the Project Director, is assigned 70% to the project. Mr. Kinnman is assigned 30% time to the project.

During the last quarter, Dr. McConnell and Mr. Cushman refined the data elements and designed the expanded data collection procedure for the model. Coding instructions were also developed. Full data collection began in October 1973 on a test basis.

Soon after the Project Director was hired preliminary formats for the data reports were constructed. These continue to be refined and additional formats are being added.

The data collection effort was taken over (from the Pilot Program) by the project early in December 1973. Exemplary population report formats (using dummy data) have been produced and revised. The production of the first population reports using actual data took place in early January, 1974. These reports are now being improved in terms of format and accuracy. They show, basically, the structure of the inmate population and how it changes from day-to-day as well as the composition and fluctuations of admissions to and exits from the facility in terms of the total population and various sub-population breakdowns.

To control for large errors in record keeping of prisoners being admitted and discharged from the jail, the Population Management Project staff initiated a process of taking a periodic physical census of the facility. This is used to correct data which is produced by errors in record keeping. The edited data is then processed and reports are produced.

The project staff holds periodic meetings with the Sheriff's Office staff and there is a growing understanding and appreciation for the management data on the part of the jail staff. For all practical purposes the transition

from research project to demonstration project has been completed. Mr. Cushman will continue to provide technical assistance to the Project Director, particularly in terms of constructing and interpreting data report formats. Mr. Cushman will also complete the "manual" which Dr. McConnell has drafted. Scheduled for completion at a much earlier date, this manual is being designed as a dissemination document to show other jurisdictions how to set up the system, and explaining its utility. The Pilot Program staff, in conjunction with the project staff, will also begin preparation of a dissemination "package" during this coming quarter. This will be used to support the proposed dissemination, technology transfer and technology utilization program discussed later in this report.

4. DIFFERENTIAL DIAGNOSIS AND TREATMENT PROJECT

During the last quarter and during this present quarter, Mr. Pearson has devoted the majority of his time to assisting Mr. Fred Kretz of the Adult Probation Department and Mr. Randy Klauzer of the Regional Criminal Justice Planning Board develop this block grant funded request.

Mr. Kretz has been placed in the Pilot Program Offices and has been able to devote nearly full time to the preparation of this project.

This is a new, three year, comprehensive Adult Probation and Corrections Demonstration Project. It will significantly redirect and reorganize adult probation resources.

Successful negotiations have also been completed to provide funds for a three month pre-planning period for this grant. The pre-planning project will commence in April, 1974.

During its first year the Differential Diagnosis and Treatment grant is targeted on upgrading pre-trial investigation services to the courts. It will provide some level of pre-sentence investigation services for 100% of convictions. The second and third year shifts to resource reallocation and strengthening of the supervision function.

A by-product of this grant, and the Diagnosis, Classification and Treatment grant (description follows) has been the establishment of an Adult Corrections Advisory Board (ACAB)

This group had its first meeting in December. It creates a policy committee which will link all adult corrections efforts and eventually it may consider a reorganization of corrections at the County level. What form this will take is not clear but the momentum for change is now clearly in evidence.

The Differential Diagnosis and Treatment grant application has been through two drafts and is now ready to submit for funding.

5. DIAGNOSIS, CLASSIFICATION AND TREATMENT GRANT

A block grant project closely related to the Differential Diagnosis and Treatment grant (see #4 above) is also under development. In November Ms. Dina Iguchi, of the County Executive's Office was located in the Pilot Program Offices and assigned to prepare this grant. Mr. John Pearson provided assistance.

Earlier Mr. Broadus attended a CCCJ Corrections Task Force meeting at the Sacramento Airport (September 13, 1973) along with Mr. Marron of the Sheriff's Office, a representative from the County Executive's Office and Mr. Klauzer of the Regional Criminal Justice Planning Board. They obtained a commitment of funds, contingent upon California Council on Criminal Justice approval of an application, for a grant which would establish a Diagnosis, Classification and Treatment service for adult prisoners who are in-custody in facilities operated by the Sheriff.

Ms. Iguchi has prepared the grant application and it was submitted in December 1973. The Pilot staff helped her construct a performance measurement system approach to evaluation of the project. This program is scheduled to begin operations in April 1974.

6. NATIONAL SCIENCE FOUNDATION PROPOSAL

In response to National Science Foundation Program solicitation 73-27 "Decision-Related Research in the Field of Local Government Management." The Pilot Program assisted the County prepare a joint request for funds to establish performance measures for a typical County correctional system. This was done at the County's request; however, the time available to prepare an adequate response was a constraint and there is only a slim chance that this National Science Foundation proposal may be considered. If it is funded

the research will start June 1, 1974. In any event, the proposal represents an expression of the growing local interest in establishing performance measures and in developing better tools for program and project evaluation.

7. ALCOHOL DETOXIFICATION PROJECT

This project continues to operate with monetary support from the County and a continuation of LEAA discretionary Pilot "O" and Office of Criminal Justice Planning block grant funds. The project has requested a continuation of its first grant year through February 1974. Second year funds will be provided through a grant from the Office of Criminal Justice Planning. Mr. Pearson has the lead responsibility for assistance to this project.

A strong management committee has been established to help resolve many operating problems that caused this large, complicated program to get off to a slow start. A member of the local bar association chairs the committee. The evaluation is being performed by the State Office of Alcohol Program Management and assistance was needed to get data collection initiated. Another problem developed when the County needed to make a decision about submitting a second year grant, the funding of which was dependent upon implementing the Deukmejian legislation. This law would require all public drunkenness cases to go to the project and there was concern that the project could not handle the load. At the time a decision needed to be made the evaluation data was not available. Mr. Pearson conducted an analyses to determine the impact on the jail if all public drunkenness cases were to be rerouted to the E and R Project. Mr. Pearson found that the number of jail bookings for public drunkenness has been halved by the project. Further work by Public Health people has determined that there is a need for a longer term care facility for some cases, and the need to establish conservatorship proceedings if the jail load is to be reduced to near zero. The County has subsequently decided to implement Deukmejian and develop a long term facility using alcohol funds, and it looks as if the second year Office of Criminal Justice Planning grant will be funded to financially assist the Evaluation and Referral Detoxification Unit which is now supported with grant funds.

8. CENTER FOR URBAN ANALYSIS

During the current quarter the two positions of Operations Research Analyst were filled. Therefore, project staffing is now complete. Dr. Howard served on the oral board for the Project Director position and the Operations Research Analyst position.

Several tasks related to the development of the Geographic Base File (GBF) were completed. The results have been: (1) editing of address ranges, (2) and adding new streets segments. Improvement and extension of the GBF continues into next quarter.

The Center has obtained the Unsecured Tax File. The Assessor's Master File is being reduced for Center applications. The revised fourth count census tape is available and software to operate the fourth count tape has been installed.

Three projects, which demonstrate the utility of the Center's capability for agencies, are underway:
(1) San Jose Police Department beat development,
(2) Adult Probation Department service areas, and
(3) East Side School District census and data development.

A grant application for partial second year funding of the Center's activities has been approved by the County and submitted to the California Council on Criminal Justice.

Dr. Howard continues to provide technical assistance to the Sunnyvale portion of the grant. Five sub-projects have been designed and Dr. Howard has helped refine these and assisted by suggesting certain statistical approaches for manipulating the data.

Dr. Howard also assisted Sunnyvale obtain a tape of the Profile 70 data for the City of Sunnyvale for use in the City Management Information System. She also provided leads to possible candidates for the newly established research positions in the Department of Public Safety.

The contract between the County and the City of Sunnyvale has been signed. This represents the last administrative hurdle involved in operationalizing the project. In the future Dr. Howard should be able to merely provide technical assistance to this project on an as-needed basis.

Dr. Howard will be developing dissemination material during the coming quarter.

9. BURGLARY REDUCTION PROJECT (SAN JOSE)

In June 1973, San Jose submitted a revised proposal to the California Council on Criminal Justice for funding. It was subsequently funded and the project was officially initiated in November 1973. Mr. Broadus and Mr. Cushman, working with San Jose officials, designed the basic approach for this block grant funded project during budget Phase II. It was originally designed to be a research project but based upon the interest of San Jose, the Department sought to convert the effort to a project of a demonstration nature.

At the present time a Request For Proposal has been prepared for the evaluation of the project. The evaluator is yet to be selected.

During the quarter Mr. Broadus has met with the Project Director on an average of once per week to provide assistance to get the project operational. He will continue to serve as a resource to the project during the coming quarter.

10. SAN JOSE/SANTA CLARA RECORDS IMPROVEMENT PROJECT

This project has experienced a great deal of difficulty, though the first phase of the study has been concluded. Great delays in project initiation were experienced, finally a grant extension was clearly needed if work was to get started. On June 28, 1973 the City of San Jose, as grantee, requested a grant extension from LEAA to continue the project to May 9, 1974. LEAA Washington approved extension of the project to March 1, 1975. On November 2, 1973 the Phase I Feasibility Report was completed and submitted by the consultants. At the present time the City and County are considering the Records Improvement System options offered by the Feasibility Report.

Mr. Broadus of the Pilot Program staff has been assigned to assist the City and County with this project. The Pilot Project staff has remained in the background and stayed in touch with the project so as to be in a position to be helpful if assistance is requested.

11. PRE-DELINQUENT DIVERSION PROJECT

A first year Final Report and an Evaluation Report was submitted to the funding agency in late July 1973. This project is now in its second year of operation and has a high funding priority from the Regional Criminal Justice Planning Board for a third, and final, project year.

Though somewhat technical, the Evaluation Report clearly shows the impact of the program on the Juvenile Probation Department and police agencies. In terms of diversion, the project exceeded 100% of the goal set for the first year. The project continues to receive the attention of visitors from throughout the Country.

12. COUNTY NARCOTICS BUREAU

This project completed its last year with federal support in June 1973. The County now fully supports the program, essentially as it operated under the grant, except that there is no funded evaluation component.

13. METHADONE REHABILITATION AND TREATMENT CENTER

This project completed two years of Pilot "0" discretionary grant funding in June 1973. County support for the program has been increased and National Institute for Mental Health funding has been secured to assist, including the financing of the evaluation component. The project operates five decentralized clinics throughout the County and continues to function much as it did during the LEAA funding phase. Additional ancillary services have gradually been added.

14. SAN JOSE POLICE PROGRAM PLANNING GROUP

This project completed one year of LEAA Pilot "0" discretionary funding on June 30, 1973. The project Evaluation Report, submitted October 12, 1973 summarized this effort as follows: "Overall, the project had limited success as measured against its rather demanding goals." Clearly the Police Program Planning group had some impact on the Department's appreciation for planning and research and in their ability to carry it out. At the conclusion of the project the Police Department hired one of the Operations Research Assistants,

another member of the project staff joined the City Manager's staff, thus two of the three professional staff were retained by the City where their experience and skills will continue to be of benefit to the City of San Jose.

C. TECHNICAL ASSISTANCE

1. SAN JOSE INTERNSHIP PROGRAM

On July 2, 1973, Sergeant Hal Ratliff of the San Jose Police Department was assigned to the Criminal Justice Pilot Program, at City expense for a six week internship. This was followed by a four and one-half month placement next door with the Regional Criminal Justice Planning Board staff. Sergeant Ratliff completed his assignment in December and returned to the Police Department where he is now heading a section of the recently expanded Police Planning and Research Bureau.

2. SURVEY ASSISTANCE TO THE TOWN OF LOS GATOS

As reported in the last quarterly report, Mr. Broadus provided assistance to the Chief of Police of Los Gatos. A preliminary plan was developed to assist the Chief conduct a management/organizational appraisal of his Department.

During the months of July and August, Mr. Broadus, assisted by Dr. McConnell developed a questionnaire on management practices, department policy, employee satisfaction, and related issues. This questionnaire was administered to about half of the employees of the Police Department and the results were interpreted by the Chief of Police, in consultation with Mr. Broadus and Dr. McConnell. A written report was submitted to the Chief which he reports has been helpful to him in making certain organizational changes and in guiding his modification of selected management practices.

3. TECHNICAL ASSISTANCE TO COURTS -- Irving Reichert

Mr. Irving Reichert was able to serve as a consultant beginning August 10, 1973. He spent about two man weeks over a period of a month surveying the Santa Clara County Courts. He produced an informal in-house report which has been very useful to the staff in structuring approaches to court related work.

4. TECHNICAL ASSISTANCE TO THE CALIFORNIA COUNCIL ON CRIMINAL JUSTICE

In September Dr. Howard and Mr. Cushman traveled to Sacramento to meet with the California Council on Criminal Justice evaluation staff and to discuss CAPER and the Center For Urban Analysis project.

In November, Dr. Ruby Yaryan of the California Council on Criminal Justice formally requested technical assistance to be provided by Dr. Howard for a period of ten days during December and January. The request was submitted through the LEAA Regional Office and authorization to proceed was granted. Dr. Howard has assisted the California Council on Criminal Justice structure an approach to the development of social indicators which may be used to evaluate the performance of crime reduction programs. The California Council on Criminal Justice schedule has been stretched out somewhat and Dr. Howard is now about halfway through her assignment.

5. COMPTON

During September Mr. Otto Hall and Mayor Davis of Compton requested copies of the CAPER manual and copies of the County-Wide CAPER grant application. In October, Chief Cohee, Carolyn Jenkins, Lieutenant Walker and Mr. Joseph Adams, all of Compton visited the Pilot Program Office for a thorough briefing about CAPER. Ms. Barbara Allen of LEAA, Region IX was also in attendance. Mr. Broadus hosted the visit and they received a thorough briefing on CAPER as well as several other demonstration projects.

Mr. Broadus also arranged a site visit to the San Jose Police Department and the East Palo Alto Youth Rehabilitation Project.

6. UNIVERSITY OF SOUTHERN CALIFORNIA

In cooperation with the LEAA funded Criminal Justice Planning Institute, the Pilot Program is assisting the USC prepare six less modules for the Institute. Pilot Program staff have also suggested the names of various experts who may be willing to serve as instructors. This activity, though very time consuming, fits nicely with the dissemination plans of the Pilot Program Phase III grant.

7. WESTERN FEDERAL REGIONAL COUNCIL

Mr. Marvin White and Mrs. Karen Burton, both of the U.S. Bureau of Census, have been placed on loan to the WFRFC for the fiscal year to help the WFRFC identify the need and feasibility for a region wide socio-demographic data base. Working through Mr. Art Fuldner of LEAA, the two census people were linked up with the work being done by the Center For Urban Analysis. They are using this project as a vehicle for demonstrating to the WFRFC what can be done with geocoded and socio-demographic data for small areas. Their work was initiated in September 1973.

In an earlier meeting, City and County staffers met with representatives of the WFRFC Public Safety Task Force to consider developing a demonstration project in San Jose/Santa Clara County as a joint WFRFC project. City and County people and Pilot Program staff have subsequently discussed this subject at four separate meetings without coming to any real conclusion about what might be proposed.

The City and County staffers view this opportunity as one possible vehicle for maintaining the Pilot Program on-site and expanding the area of inquiry into other social service areas outside of the scope of the LEAA program but limited to the combined interests of the WFRFC. City and County staffers are presently drafting a preliminary proposal.

8. AMERICAN UNIVERSITY

On September 25, 1973, the Project Director participated as a lecturer at the Institute For Criminal Justice Management and Planning at the Center for the Administration of Justice, College of Public Affairs, American University. The previous day, Robert Montilla spoke to the group about the Model Community Correctional Project, a conceptual forerunner of the Pilot Program design. The Pilot Program was the subject of the following session. Planners and Criminal Justice Executives from throughout the Country were represented at the Institute.

9. NATIONAL ASSOCIATION OF COUNTIES

In September Mr. Duane Baltz of the National Association of Counties requested 100 copies of Research In Progress.

He has mailed these to the 100 largest Counties in the United States and we have received about two dozen requests for additional information.

10. ASSOCIATION OF BAY AREA GOVERNMENTS

On September 19, 1973, the Project Director traveled to ABAG to present CAPER and the Center For Urban Analysis to the ABAG staff people. This request was initiated by Mr. John Eichelberger of the ABAG staff. ABAG has subsequently requested CCCJ funds to hold training sessions for Bay Area Police agencies which will familiarize them with CAPER and how to use it.

11. AMERICAN SAMOA

On October 24, Mr. Pearson and Mr. Cushman traveled to LEAA Region IX, Burlingame, California to meet with Mr. Peter Trevee of American Samoa. This was done at the request of the LEAA Office. Mr. Trevee was interested in some of the contemporary approaches to juvenile and adult corrections on the mainland.

12. SUPERVISED PRE-TRIAL RELEASE PROGRAM

At various times since the last quarterly report, Mr. Pearson and Mr. Cushman have been asked to provide advice about the initiation of a Supervised Pre-Trial Release Project in Santa Clara County. Mr. Obert of the Pre-Trial Release Program is working with a committee of judges, that has now approved the program in concept. It will probably be funded from County funds without the assistance of a grant.

Preliminary planning of the project has been completed and it should be in operation by July 1, 1974.

13. MEETINGS WITH THE CITY OF SAN JOSE

Periodic meetings with City of San Jose staff continue. On September 5th, the Project Director met with Ms. Sally Reed and members of the Police Department to review recent amendments to the Omnibus Crime Control and Safe Streets Act. On September 17, Dr. Howard, Mr. Broadus and Mr. Cushman met with Police Department management staff to review the progress of several pilot research projects.

September 14, 1973 Mr. Broadus attended a meeting in the Mayor's Office where he spoke to a Citizen's Committee on Police. Citizen participation in policy formulation processes was at issue.

14. ALLIANCE FOR A SAFER SANTA CLARA VALLEY

On the average of once per month, the Alliance holds breakfast meetings to expose an average of 60 citizens to a topic in Criminal Justice. These breakfasts are co-sponsored by the National Conference on Christians and Jews.

On September 26, 1973, Mr. Broadus presented an address to the Alliance for a Safer Santa Clara Valley. His topic was "Citizen Police Advisory Commissions--Boom or Bane?" This talk has been reproduced and has been distributed by the National Conference on Christians and Jews.

On August 8, 1973 Mr. Pearson was the featured speaker at a breakfast meeting sponsored by the Alliance. He discussed the implications of pending State Legislation particularly Senate Bill 391.

Mr. Pearson serves as a resource person to the By-Laws Committee of the Alliance. The Committee has established a set of By-Laws which are now being circulated for review by the unofficial membership of the Alliance.

Mr. Cushman assisted the National Conference of Christians and Jews Director Mrs. Lillian Silberstein arrange for speakers for a February 20th all day Conference on Police in Palo Alto. Dr. John Kaplan of Stanford Law School, Dr. Charles Smith of Project STAR, Morton Bard of Syracuse University and Perry Rosove of University of Southern California will be the main speakers.

15. COUNTY EVALUATION PLAN

The Regional Criminal Justice Planning Board staff and the County Executive continue to express interest in establishing some mechanism for evaluation of programs across projects, regardless of funding source. The evaluation plans for the Differential Diagnosis and Treatment grant and the Diagnosis, Classification and Treatment grant, the increasing ability of the CJIC System analyses team, County-Wide CAPER, the Center For Urban Analysis and the recent proposal to the National Science Foundation, all are signs of increasing capability

for evaluation. No formal plan for organizing an overall evaluation effort has yet been shaped, but this will probably evolve during the coming year.

16. MEETINGS WITH THE REGIONAL CRIMINAL JUSTICE PLANNING BOARD

On October 24, 1973 the Pilot Program staff and the Regional Criminal Justice Planning Board met in a joint meeting. Pilot staff outlined our Phase II work, briefly reviewed the Final Report and discussed our tentative plans for Phase III. These meetings are held periodically to better coordinate our joint efforts. Informal meetings also take place on a day-to-day basis. A Pilot Program representative attends the monthly meetings of the Regional Criminal Justice Planning Supervisory Board.

17. JUNIOR LEAGUE

In August, Pilot Program staff completed the task of helping the Junior League of San Jose and the Junior League of Palo Alto complete their county-wide survey of Criminal Justice in Santa Clara County. They requested assistance in locating and interpreting data. A member of the San Jose Junior League and the President of the Santa Clara County Bar Association attended a nation-wide conference in Houston in December to present their results. The data collection is part of a nation-wide effort by the Junior League conducted with assistance from the National Council on Crime and Delinquency.

18. VISIT BY OAKLAND POLICE DEPARTMENT

Captain Palmer Stinson visited on October 3, 1973 to meet with the Pilot Program and representatives of the Regional Criminal Justice Planning Board about several research and demonstration projects currently in operation in San Jose/Santa Clara County.

19. STANFORD LAW SCHOOL

On October 4, 1973 Mr. Reichert and Mr. Cushman were invited to speak to John Kaplan's class on Penal and Therapeutic Institutions. Several Professors attended and the Memo On The Jail Problem (Pilot Program Publication 5.7) which had been assigned as a reading, created some interest.

On December 4, 1973, Mr. Cushman met with Professor Richard Danzig and his class to discuss the structure of the LEAA program and its role in law enforcement improvement.

As a result of these contacts two law students have become interested in preparing class assignments. One student is doing a study of Regional Criminal Justice Planning Boards; the other student is reviewing and summarizing the law on Probation Modification and Parole. He will then review existing practices in several Bay Area Counties.

20. SAN JOSE AUTOMATED DISPATCH SYSTEM

As a result of their work with the Center For Urban Analysis, the San Jose Police Department is currently implementing a new Police beat structure. They are also interested in reviewing various automated dispatch systems. Mr. Broadus is meeting with a Committee on a bi-weekly basis to provide advice. The automated dispatch issue is in a very formative stage and it will take more time to assess developments.

21. SUNNYVALE PERFORMANCE AUDIT

The General Accounting Office and U.S. Bureau of Management and Budget have selected the City of Sunnyvale as a test site for a four-month effort to develop Police performance measures. Peat Merrill and Mitchell has been hired as the Contractors. The General Accounting Office is also devoting some manpower to the study. The Chief of Police has asked Mr. Broadus of the Pilot Program to participate on an advisory basis.

22. WOMEN AGAINST RAPE (WAR)

During January, 1974, Dr. Howard of the Pilot Program assisted Ms. Harrod of the YWCA develop data to reflect the incidence of rape in Santa Clara County. The YWCA is participating in an organization (WAR) that is setting up a prevention and education program in San Jose.

D. ISSUES OF PROJECT ADMINISTRATION

1. EXTENSION OF BUDGET PHASE II GRANT

The Budget Phase II grant (72-NI-09-0001) originally scheduled to end on September 14, 1973 was extended through November 15, 1973. (See grant adjustment notice September 13, 1973). This extension required shifts in major budget categories (See September 14, 1973 letter to W.T. Deguchi, Fiscal Officer, California Council on Criminal Justice). The California Council on Criminal Justice, then LEAA Region IX subsequently approved the revised budget.

2. PHASE III GRANT AWARD

The grant application for Budget Phase III was submitted on July 1, 1973. The grant was reviewed during July and August by LEAA Region IX staff. Additional budget information was needed and some slight modifications in the proposed project plan were necessary. As reported in the Sixth Quarterly Report (page 29) previous meetings with the LEAA staff resulted in agreement on the basic plan for Phase III so the essential elements were worked out well in advance of submission of the application. California Council on Criminal Justice liaison staff were also involved in these discussions.

During September the Budget Phase III grant was awarded. The contract period commenced November 16, 1973 for twenty-months. The award documentation needed some corrections and clarification; for example, the termination date on the grant award read July 16, 1973 instead of July 16, 1975. These minor problems were quickly resolved with the help of Mr. Devine and Ms. Monroe of LEAA. Though the American Justice Institute has been instructed to follow the guidelines for discretionary grants, LEAA has made it clear that Phase III is supported by National Institute funds.

An earlier decision, made in consultation with the Director of the California Council on Criminal Justice, Mr. Robert Lawson and with our CCCJ liaison Justin Keay, resulted in the third budget phase grant being made directly from LEAA Region IX to AJI, rather than through the State Planning Agency, as was the case in Budget Phase II. Both LEAA staff and the Pilot Program continue to feel an obligation to work closely with the State Planning Agency.

Mr. Keay continues to serve as the liaison person from that Agency. The project continues to involve Mr. Keay in key issues of project administration. He also regularly receives copies of correspondence and reports. Mr. Keay visits the project site on an average of once per month.

3. STANDARDS AND GOALS SPECIAL CONDITION

On October 2, 1973 Mr. Cushman traveled to LEAA Region IX Burlingame to meet with Mr. Cooper and Ms. Monroe with respect to the Special Phase III grant condition on Standards and Goals. A special 60-day report is required. This report was submitted in November through the American Justice Institute, Sacramento and we have received written notification that the special condition has been retired.

4. FINAL REPORT, PHASE II

One hundred copies of the Budget Phase II, 3 Volume Final Report were received from the printer in early October. Copies were distributed during the last week of October. Subsequently, another two hundred copies of Volume II, Research In Progress, have been produced and many of these have been distributed.

5. DISSEMINATION, TECHNOLOGY TRANSFER AND TECHNOLOGY UTILIZATION PLAN

The Budget Phase III plan calls for increased emphasis on dissemination, technology transfer and technology utilization activities. On October 16, 1973 Ms. Monroe visited the Project site to meet with the staff and develop preliminary plans for this activity. On November 26th the Pilot Program staff met with Mr. Cooper, Mr. Clark and the total LEAA Region IX professional staff for one-half day to discuss various approaches that could be formulated. The LEAA staff provided many ideas and offered support and encouragement of the Pilot Program effort.

In January a proposed plan was submitted to LEAA Region IX for review by Ms. Monroe. The plan has been reviewed. During the coming quarter the plan will be modified where necessary, presented to Mr. Cooper for his approval, and implemented.

6. GENERAL ACCOUNTING OFFICE

As reported in the Sixth Quarterly Report, Phase II (page 28) the General Accounting Office review of the San Jose/Santa Clara Pilot began on May 1, 1973. Mr. Julian Fogle and Mr. Ben Severson were at the Project site on a full time basis; Mr. Richard Sheldon was also frequently on site. The General Accounting Office staff left the Project site on August 10, 1973 and spent much of the next few weeks writing their report.

Mr. Fogle subsequently returned to the Project site on August 22, 1973 for another interview to clearly define some terms to be used in their report. The General Accounting Office staff also visited American Justice Institute, Sacramento, the California Council on Criminal Justice and LEAA Region IX, and they interviewed people in local government. On October 1, 1973 Mr. Sheldon and Mr. Fogle returned to go over their draft report with the Project Director. The Project Director was asked to identify any inconsistencies, discrepancies or issues of fact that might need clarification. This is a very thorough, well documented review report. It is an objective and competent piece of work.

On November 6, Mr. Fogle visited again with two other General Accounting Office representatives to discuss the pending National Pilot Cities Evaluation and to discuss approaches to the review of LEAA project evaluations.

On February 6, 1973 Mr. Fogle and Mr. Sheldon again returned to the Project site. Mr. Fogle spent the day reviewing the technical assistance aspects of the Pilot Program work. The national report is currently being assembled and should be available for LEAA review sometime late during the coming quarter.

From the perspective of the staff, we believe the review was professionally and conscientiously carried out by the General Accounting Office team. They took no more staff time than was needed; were well organized and most courteous. We think that a highly competent and helpful piece of work will result from the General Accounting Office effort if this same quality review is conducted in other Pilots.

7. NATIONAL EVALUATION OF THE PILOT CITIES/COUNTIES PROGRAM

In December we were informed that American Institute for Research had received the contract to evaluate the Pilot Cities/Counties program.

On December 18, 1973 Dr. Brian Jones and Ms. Lori Hopkins visited to get started. We reviewed project documentation and arranged for several subsequent visits by Ms. Hopkins. On January 29, 1974, Dr. Hill of American Justice Institute, the Project Director, and Ms. Monroe of LEAA Region IX met with Dr. Krug, principal investigator of the evaluation, Dr. Jones, Ms. Hopkins and an AIR consultant at the AIR, Palo Alto Offices. We reviewed the preliminary evaluation tasks, schedule and methodology.

8. NATIONAL PILOT CITIES/COUNTIES MEETING

Mr. Joseph Mulvey, National Coordinator for the Pilot Cities/Counties Program asked the San Jose/Santa Clara Pilot to host a national meeting August 6-9, 1973. The meeting was held at the San Jose Hyatt House. Dr. Howard coordinated arrangements. Each Pilot was well represented and there was opportunity for a great deal of interchange among the participants.

9. FEDERAL REGIONAL COUNCIL

On September 12, Robert Lykke, Gwen Monroe, Bill Herrmann all of LEAA, met in the Pilot Program Offices with Sally Reed of the City of San Jose and Paul Yarbrough of Santa Clara County to consider developing a demonstration program which might be carried out under the sponsorship of the Public Safety Task Force of the Western Federal Regional Council. No definite plans have been developed by the City or County and they are still formulating a concept to bring to the Task Force.

10. NATIONAL INSTITUTE VISIT

On November 27, 1973, Mary Ann Beck and Bob Burkhardt visited from National Institute of Law Enforcement and Criminal Justice to discuss, with County and Regional Criminal Justice Planning Board staff, feasibility of transferring to Santa Clara County part or all of the Des Moines Exemplary Project. Meetings with Regional Criminal Justice Planning Board and County staffers were arranged. They also met with the Santa Clara County delegation that visited Des Moines in August 1973.

11. CONFERENCES

Dr. Howard attended the Census Use Study Seminar at Asilomar September 30, 1973 - October 5, 1973.

Dr. McConnell attended the Jail Manager's Association Conference in Sacramento October 29-31, 1973.

Mr. Pearson attended a National Association of Counties sponsored Conference on Intake/Diagnostic Correctional Services in Louisiana October 4-5, 1973.

These activities were undertaken in the interest of stimulating dissemination and technology transfer activity, Dr. Howard in terms of the Center For Urban Analysis; Dr. McConnell in terms of the Jail Population Management research; Mr. Pearson in terms of the Diagnosis, Classification and Treatment grant.

Mr. Pearson was on a panel of the Annual Meeting of American Friends Service Committee, Northern California Region in San Francisco on February 9, 1974 was entitled "Alternatives to the Jail Building Boom." Other panelists included Dr. Arthur Carfagni Director, San Francisco Northeast Mental Health Services and Glenn Dyer, Under-sheriff, Alameda County.

Mr. Pearson was a guest lecturer for a course in Planning at San Jose State University on November 14, 1973. Presentation and discussion centered on LEAA, Pilot Project Criminal Justice Planning and local activities and projects.

12. PUBLICATIONS

Several reports were prepared and disseminated during the quarter.

- a) Final Report, Budget Phase II, (3 Volumes)
(October 1973)
- b) Profile 70 (October 1973)
- c) Investigation Control and Management (ICAM)
(December 1973)
- d) Adult Probationer Needs Survey (August 1973)
- e) Police Advisory Commissions--Boom or Bane?
(November 1973)
- f) School Vandalism (August 1973)

13. STAFFING

Dr. William McConnell separated from the Pilot Program staff on January 31, 1974. His position is now vacant and we are seeking a person to fill this position.

APPENDIX
LISTING OF PRIOR REPORTS
SANTA CLARA CRIMINAL JUSTICE PILOT PROGRAM

PROGRESS REPORT HISTORY-Phase I

The Santa Clara Criminal Justice Pilot Program has been the subject of a number of prior progress reports. The first budget phase commenced May 7, 1970 under grant NI-70-023 and is described in the following progress reports.

1. 60-Day Baseline Data Plan
2. First Interim Report (6 months)
3. Second Interim Report (14 months)
4. Final Report, First Budget Phase

Plans for Budget Phase II, which commenced January 14, 1972 are described in the approved Phase II grant application. During Phase II, Six Quarterly progress reports have been prepared.

1. First Quarterly Report (1/14/72 - 9/13/72)
2. Second Quarterly Report (4/15/72 - 7/14/72)
3. Third Quarterly Report (7/15/72 - 10/14/72)
4. Fourth Quarterly Report (10/15/72 - 1/14/73)
5. Fifth Quarterly Report (1/15/73 - 4/14/73)
6. Sixth Quarterly Report (4/15/73 - 7/14/73)
7. Final Report (3 Volumes)
 - (a) Final Report
 - (b) Research In Progress
 - (c) Publications List

In addition to cumulative progress reports, there have been a number of research reports, papers, etc, produced. These are listed in the Publication List (annotated) revised September 1973 which is available at the Project Office, 106 East Gish Road, San Jose, California 95112, telephone number (408) 299-2087.

END