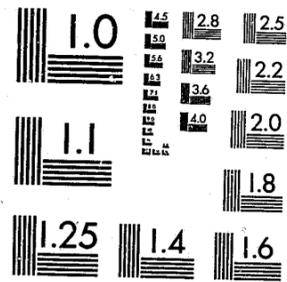


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National Institute of Justice
United States Department of Justice
Washington, D. C. 20531

8/19/83

Illinois Department of Corrections

Michael P. Lane,
Director

Adult Institutions
Reception and Classification
USER'S MANUAL

Adult Division
Leo Meyer,
Deputy Director

87206

May, 1982

Illinois Department of Corrections

Michael P. Lane,
Director

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Adult Institutions
Reception and Classification
USER'S MANUAL

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Leo Meyer,
Deputy Director

U.S. Department of Justice
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May, 1982

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USER'S MANUAL

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Nola Joyce

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TABLE OF CONTENTS

| | PAGE |
|--|------|
| INTRODUCTION | 1 |
| Overview of User's Manual..... | 2 |
| General Flow Diagram..... | 5 |
| Stepwise Completion Process..... | 6 |
| Classification Workload Tracking Report..... | 8 |
| RECORD OFFICE PROCEDURES | 14 |
| Record Identification - Report 1..... | 15 |
| Emergency Identification - Report 1..... | 34 |
| Physical Identification - Report 1..... | 40 |
| Current Offense Listing - Report 2..... | 46 |
| BUREAU OF IDENTIFICATION PROCEDURES | 48 |
| Document Control Number - Report 1..... | 50 |
| Identification Numbers - Report 1..... | 52 |
| Alias - Report 1..... | 55 |
| MEDICAL PROCEDURES | 60 |
| Medical History - Report 3..... | 61 |
| Current Medical Condition - Report 3..... | 67 |
| RECEPTION AND CLASSIFICATION PROCEDURES | 74 |
| General Overview..... | 74 |
| Escape and Suicide Risk - Report 1..... | 75 |
| Personal/Employment History - Report 4..... | 80 |
| Female Security Designation - Report 5..... | 89 |
| Male Security Designation - Report 6..... | 109 |
| Classification Summary Report..... | 150 |
| Inmate Security Designation - Report 1..... | 153 |
| TRANSFER COORDINATOR ACTION | 159 |
| APPENDIX A - B OF I TRANSCRIPT | 160 |
| APPENDIX B - RUN INSTRUCTIONS | 165 |

INTRODUCTION

- Overview of User's Manual
- General Flow Diagram
- Stepwise Completion Process

ILLINOIS DEPARTMENT OF CORRECTIONS
RECEPTION AND CLASSIFICATION PROCEDURES

OVERVIEW OF USER'S MANUAL/CONCEPTUAL FRAMEWORK

The primary mission of the Illinois Department of Corrections is:

to protect the public through incarceration, supervision, programs; and services designed to return appropriate offenders to the community with skills to be useful and productive citizens.

This mission can only be accomplished by matching the characteristics and needs of offenders with the appropriate physical security, level of supervision, and program services. This sorting out and matching process is a primary function of a classification system. Essentially, classification attempts to balance prisoners' basic needs with public protection and safety. This is accomplished by subdividing a heterogeneous population with diverse needs into groups using relevant variables. Classification is not only useful in successfully placing of offenders, but it also can become the basis from which adequate decisions about facility planning, program development, and prison management are made. Indeed "classification is becoming one of the most important functions of any correctional system" (Fisher 1981:4).

Historically, classification decisions have been based on subjective criteria with little validation in terms of outcomes. This has resulted in high security level classifications, which cost states several times that which is required. Illinois Department of Corrections, in an attempt to rationalize decisions concerning offenders and future planning, has implemented classification for the adult, juvenile, and community services systems based on additive and grid models. Each model combines various factors which have shown statistical correlation with defined outcomes. In essence, we have identified crucial variables which help predict future behaviors of offenders, such as violence, maladjustment, and recidivism.

Prediction of future behaviors becomes the basis for effective and efficient placement of residents within correctional institutions. Therefore, the first outcome of the adult classification process is the initial placement of a resident. The classification process involves the collection, utilization, and interpretation of information necessary for successful achievement of this objective. Gathering information on the resident's offense history profile and social background initiates the classification process.

The information provided by the Record Office, Department of Law Enforcement, Bureau of Identification, and State's Attorney is then utilized by the R&C Counselor to compute security designation. Security designation is determined by the interaction between a dangerousness score and an adjustment score. The score for dangerousness is

compared to a dangerous rule violation scale, which predicts the likelihood that a resident will have dangerous rule violation tickets in the institution. The adjustment score is compared to the adjustment scale, which predicts the likelihood that a resident will have adjustment behavioral problems in the institution. It is the combination of these scores, along with the assessment of special needs and administrative concerns, which will determine initial placement.

The classification system must have the flexibility to meet the inmates' special needs in the medical, mental health, and physical impairment areas. In addition, administrative considerations, such as protective custody, statutory requirements, known enemies, detainers, gangs, or organized crime affiliation, must be taken into account by the system.

Placement recommendation must, therefore, reflect security level, special needs, and administrative concerns. These concerns can further limit the choices for recommended institutional placement. For example, in this classification system, administrative considerations are taken into account in making the placement decision and may even supercede the role security plays in the decision. These considerations, however, are not allowed to change the security rating. For example, if an inmate assessed as needing medium security with supervision has a known enemy in the system, they will be housed in different institutions; neither inmate's security rating will be increased or decreased.

In the clear majority of cases, the inmate's security level will determine placement, because there are no critical special needs or administrative factors that apply. Where there is a critical special need or an administrative concern, they can often be accommodated by placement, based on the security rating. However few, there will be a number of cases where security, critical special needs, and administrative concerns cannot be accommodated in a single option for placement. In these cases, recommendations will be made by the R&C Supervisor and the final placement decision will be made by the Transfer Coordinator.

CLASSIFICATION AND THE INFORMATION SYSTEM

Critical to placement is the availability of reliable and complete information. With the automation of the Reception Classification Report, classification becomes more closely tied to the Information System. This tie provides better data and aids the Department in population profiling and projection, planning, and programming activities. It is essential, therefore, that the information put into the computer be as accurate as possible.

To ensure the accuracy of information, the appropriate unit will be responsible for input and verification of information relevant to their activities. Final review and verification of the Reception Classification Report is the responsibility of the R&C Supervisor.

ORGANIZATION OF THIS MANUAL

This manual is divided into areas of responsibility by work unit and reports generated. These are:

- Report #1: Record Identification - Record Office/Bureau of Identification
- Report #2: Detail Offense History - Record Office
- Report #3: Medical History - Medical Unit
- Report #4: Personal/Employment History - R&C
- Report #5/6: Security Designation and Placement - R&C

Each unit's section contains directions on the source(s) of information, which screens are to be used, detailed instructions on inputting data, and all the required code sheets. Further refinement of procedures are planned for the medical and special needs areas. To this stage of development, we have attempted to make the manual as complete as possible, yet concise for each unit. Changes will be made to this manual after the sentence calculation module is implemented into CIMIS in FY83.

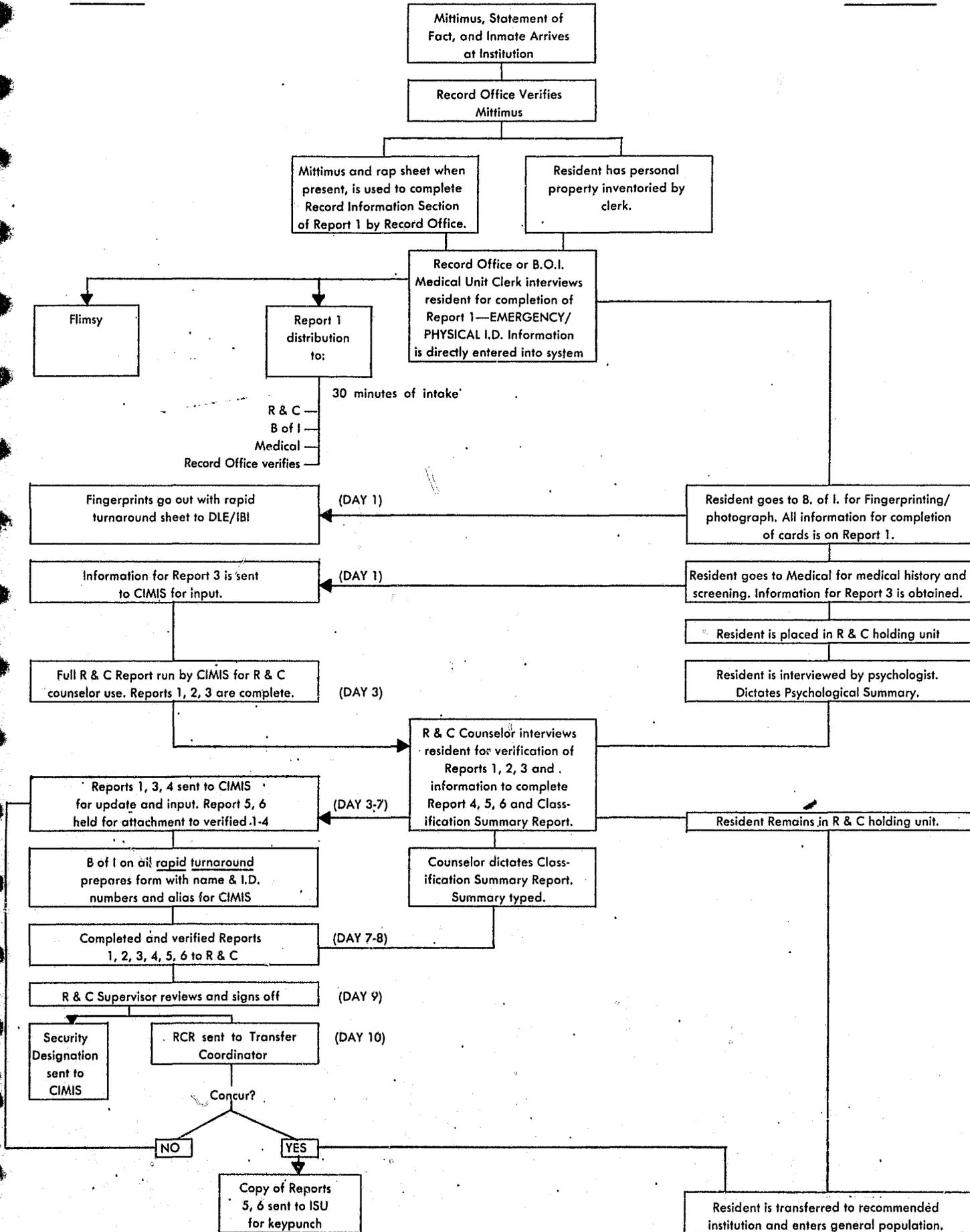
The general flow diagram, which follows, shows how gathering the information and its input into CIMIS can fit into the admission procedure flow. The Stepwise Completion Process Table lists the sections of each report, each unit's responsibility, all sources of information, and I/O screens to be used. Information from the Process Evaluation and site visits of every R&C unit was used to determine which units will be responsible for what information. In most cases, the information is already being manually captured by the designated unit. Wherever possible, redundancy has been eliminated from the workload by using the computer to generate the reports. The flow process described here may be adapted to meet the unique demands of each reception institution.

Information for Reports #1, #2, #3, and #4 are inputted directly into the CIMIS system. Six screens or menus are used for the entire report. Different units will be responsible for different items in the History Format screens. Currently, the classification instruments used in Reports #5/#6 are computer generated and manually completed. The instruments are input into a separate file by Information Services staff. Once the classification instrument has been validated operationally, Reports #5/6 will be automated and information input directly into CIMIS at the R&C Centers by the counselor.

GENERAL INTAKE FLOW FOR RECEPTION AND CLASSIFICATION

PAPER FLOW

RESIDENT FLOW



STEPWISE COMPLETION PROCESS

| <u>SECTION</u> | <u>RESPONSIBILITY</u> | <u>SOURCE OF INFORMATION</u> | <u>SCREENS</u> | <u>VERIFICATION</u> |
|-------------------------|--|---|---|------------------------------------|
| <u>REPORT #1</u> | | | | |
| Records Identification | CIMIS/Record | Mittimus and/or Warrant Rap Sheet | **Admission or Sent | Record Office |
| Emergency Information | Record Office, Bureau of Investigation or Medical Unit | Resident Self-Report | History Format 2 (Items 1-17) | R&C Counselor |
| Physical Identification | Record Office, Bureau of Investigation or Medical Unit | Resident Self-Report and Interviewer's Observations | History Format 1 (Items 1-5/13-14/16-21/23) | R&C Counselor |
| Alias, I.D. Numbers | Bureau of Investigation | Fingerprint Card Rap Sheet | *Alias I.D. Numbers | Bureau of Investigation |
| <u>REPORT #2</u> | | | | |
| Offense History | Records Office | Computer Generated | N/A | Record Office |
| <u>REPORT #3</u> | | | | |
| Medical History | Medical Unit | Physical Exam and Self-Report | *History Format 2 (18-21/30-35) Medical History Form (3,4,7,8,16,17,18) | R&C Counselor (Physical Date Only) |
| <u>REPORT #1</u> | | | | |
| Escape & Suicide Risks | R&C Counselor | Psychologist or Counselor Report | *History Format 2 (Items 22/23) | R&C Counselor |

* During the interim, CIMIS operators will input data for these reports.

** When sentence calculation is implemented, these procedures will be modified.

STEPWISE COMPLETION PROCESS

| <u>SECTION</u> | <u>RESPONSIBILITY</u> | <u>SOURCE OF INFORMATION</u> | <u>SCREENS</u> | <u>VERIFICATION</u> |
|-------------------------|--|---|---|------------------------------------|
| <u>REPORT #1</u> | | | | |
| Records Identification | CIMIS/Record | Mittimus and/or Warrant Rap Sheet | **Admission or Sent | Record Office |
| Emergency Information | Record Office, Bureau of Investigation or Medical Unit | Resident Self-Report | History Format 2 (Items 1-17) | R&C Counselor |
| Physical Identification | Record Office, Bureau of Investigation or Medical Unit | Resident Self-Report and Interviewer's Observations | History Format 1 (Items 1-6/13-14/16-21/23) | R&C Counselor |
| Alias, I.D. Numbers | Bureau of Investigation | Fingerprint Card Rap Sheet | *Alias I.D. Numbers | Bureau of Investigation |
| <u>REPORT #2</u> | | | | |
| Offense History | Records Office | Computer Generated | N/A | Record Office |
| <u>REPORT #3</u> | | | | |
| Medical History | Medical Unit | Physical Exam and Self-Report | *History Format 2 (18-21/30-35) Medical History Form (3,4,7,8,16,17,18) | R&C Counselor (Physical Date Only) |
| <u>REPORT #1</u> | | | | |
| Escape & Suicide Risks | R&C Counselor | Psychologist or Counselor Report | *History Format 2 (Items 22/23) | R&C Counselor |

* During the interim, CIMIS operators will input data for these reports.

** When sentence calculation is implemented, these procedures will be modified.

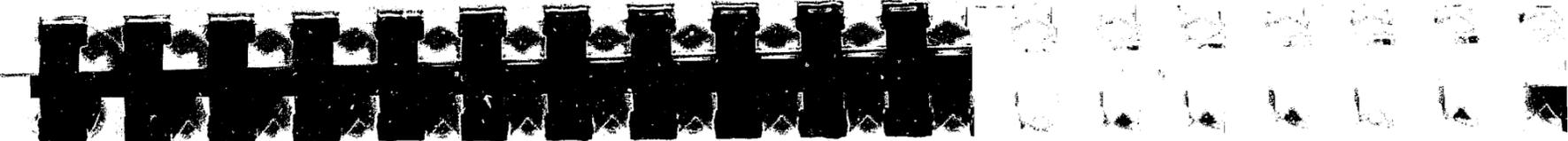
| <u>SECTION</u> | <u>RESPONSIBILITY</u> | <u>SOURCE OF INFORMATION</u> | <u>SCREENS</u> | <u>VERIFICATION</u> |
|---------------------------------------|-----------------------|---|-------------------|----------------------|
| <u>REPORT #4</u> | | | | |
| Personal/ Employment (Verified) | R&C Counselor | Self-Report | *History Format 1 | R&C Counselor |
| | R&C Counselor | Self-Report | *History Format 1 | R&C Counselor |
| <u>REPORT #5/6</u> | | | | |
| Security Designation | R&C Counselor | Rap Sheet Reception Classification Report #1 and #2 | N/A | R&C Supervisor |
| Placement Concerns | R&C Counselor | Classification Summary Report #5/#6 | N/A | R&C Supervisor |
| <u>CLASSIFICATION SUMMARY REPORT</u> | | | | |
| Psychological | Psychologist | Psychological Interview Detailed Psychological Profile | N/A | Psychologist |
| Inmate Version of Offense | R&C Counselor | Interview | N/A | R&C Supervisor |
| Enemies Gang Summary | R&C Counselor | Interview | N/A | Transfer Coordinator |
| | R&C Counselor | Interview | N/A | Transfer Coordinator |
| | R&C Counselor | Interview | N/A | R&C Supervisor |

* During the interim, CIMIS operators will input data for these reports.

CLASSIFICATION WORKLOAD TRACKING REPORT

The following Classification Workload Tracking Report will be kept by each institution. Form A is maintained by the CIMIS office which records the date that the data is inputted. Form B is kept by the R&C unit which records the date that significant activities are completed. These forms will be kept on a daily basis for the first three months of implementation and be audited by the central office staff. After this period, a variable schedule for monitoring workload may be established by the Assistant Warden of Programs with authorization from the Deputy Director of Adult Institutions.

On the tracking reports, record the IDOC number of the inmate and his admit date. If the date on which the listed activity is the same as the admit date, then indicate by a check mark. If the date is different, record the date of completion.



CLASSIFICATION WORKLOAD TRACKING REPORT (A)
(Completed by CIMIS)

| <u>IDOC #</u> | <u>Admit</u> <u>Date</u> | <u>Record Office</u> <u>Report 1 Distribution</u> | <u>R&C</u> <u>History</u> <u>Information</u> <u>Report 4</u> | <u>BOI</u> <u>Document</u> <u>Control #</u> <u>Entered</u> | <u>Alias</u> <u>ID Numbers</u> | <u>Medical</u> <u>History</u> <u>Current</u> | <u>R&C</u> <u>Inmate</u> <u>Security</u> <u>Level</u> |
|---------------|-----------------------------|--|---|---|-----------------------------------|---|--|
|---------------|-----------------------------|--|---|---|-----------------------------------|---|--|

CLASSIFICATION WORKLOAD TRACKING REPORT (B)
(Completed by R&C Supervisor)

Reception and Classification Unit

| <u>IDOC #</u> | <u>Admit Date</u> | <u>Reports 1-6 Received from CIMIS</u> | <u>Verification 1, 3, 4 Back to CIMIS</u> | <u>Final Reports 1-4 to R&C Supervisor</u> | <u>Reports 5/6 to R&C Supervisor</u> | <u>Reports 1-5/6 Class Summary Transfer Orders to TC</u> |
|---------------|-------------------|--|---|--|--|--|
|---------------|-------------------|--|---|--|--|--|

10

RECORD OFFICE

Report 1

- **Record Identification**
- **Emergency Information**
- **Physical Identification**

RUN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 1
NAME: DOE, JOHN JULIET BRANCH (03)

IDOC NUMBER: N21234

RUN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 1
NAME: DOE, JOHN JULIET BRANCH (03)

IDOC NUMBER: N21234

***** RECORDS IDENTIFICATION

INMATE NAME: DOE, JOHN
SECURITY: UNKNOWN
GRADE:

DOCUMENT CONTROL NO. :
IR NO. :
BOT NO. :
FBI NO. :
S.S. NO. : - -

() BIRTHDATE: / /
() BIRTHPLACE: UNKNOWN

SEX: M

ALIAS LIST: N/A

OFFENSE DESCRIPTION

MIN SENTENCE/MAX SENTENCE OFFENSE COMMITTED
YR MO DAY YR MO DAY ADMIT DATE COUNTY

PROJECTED RELEASE DATE:

***** EMERGENCY INFORMATION

IN CASE OF EMERGENCY NOTIFY:

() RELATION: - -

() PHONE - -

() NAME:

() CITY:

() ADDRESS:

() STATE: () ZIP:

() RELATION: - -

() PHONE - -

() NAME:

() CITY:

() ADDRESS:

() STATE: () ZIP:

***** PHYSICAL INFORMATION

() HEIGHT: ' "
() WEIGHT: LBS. () EYE COLOR:
() HAIR COLOR: () RACE:
() ETHNIC PREF:

MARKS AND SCARS:

() -- --
() -- --
() -- --
() -- --

() ESCAPE () ATTEMPTED SUICIDE

REPORT 1

RECORD OFFICE PROCEDURES

The Record Office is the initial point of entry for information and the inmate into the Illinois Department of Corrections. The mittimus and any other accompanying documents are received by the Record Office.

The determination of offense statute listing for entry into CIMIS should be done by the Record Office. If the statute number of the offense is not on the mittimus, the Record Office shall note the statute for the offense and attach to the mittimus.

The Records Identification Section outlines the procedures used by the Record Office to enter/verify certain specified information for CIMIS (Correctional Institution Management Information Systems). Manual Records procedures and CIMIS I/O cooperation is essential for the full and effective implementation of this New Automated Reception and Classification procedure.

There are cases where additional outstanding mittimus will be received by the Record Office on an inmate after initial reception. Any additional mittimus information should be input into the CIMIS system. If the resident is still in the R&C unit, an updated copy of Reports 1-4 should be sent to the R&C Supervisor. The Reception Classification Process should not be affected by additional mittimus if the offense information does not effect security designation. If additional mittimus information does effect security level designation and the resident is still in R&C, then a new Report #5/6 must be completed. If the resident has been transferred, then the case is treated as a Reclassification.

Report 1 should be run within thirty (30) minutes of intake and distributed to the designated institutional personnel. We realize that all alias and ID numbers may not be entered into CIMIS at this time, however, the Record Office/CIMIS will be responsible for entering any aliases listed on the mittimus. The procedure for entering this information is described in the Bureau of Identification Procedures, Alias Section.

Writ returns who come to the R&C Center should not be treated as a new classification unless they have received a new sentence. All cases should be screened and returned to their assigned institution. Those Writs returned who are returning to a minimum security institution should be carefully screened for any new sentence.

REPORT 1

RECORD IDENTIFICATION SECTION

The Record Identification Section will be completed by the Record Office immediately after the mittimus has been verified by the Record Office Clerk. All information necessary to complete this section is obtained from the mittimus unless otherwise noted. It is essential that this information is inputted immediately upon intake. The Record Office must verify the IDOC number and offense information. The Record Office is responsible for identifying the correct statute for an offense.

Procedures for admitting returnees and new admits are different. The LOCATE, TRANSFER, NEWNUMB, and SENT transactions are used for admitting returnees and Cook County Transfers. When transferring inmates from Cook County, use IR or BOI parameters on the locate transaction: IE (1 locate, IR 99999 or 1 locate, BOI 999999). Both the TRANSFER and NEWNUMB transactions are used to link the inmate's old record to his new one. Upon successful completion of both these transactions, the sentence information can be input into the system using the SENT transaction.

1. LOCATE. This transaction will identify if the inmate had a previous commitment.
2. TRANSFER. The transfer transaction updates current institution.
3. NEWNUMB. This transaction is used to change an inmate's IDOC number. It is used to correct a mistake or change the inmate's number when he "opts" or reenters the system with a different prefix. See the CIMIS manual for detailed instructions to execute the above transactions.
4. SENT. You may now proceed to this screen to input sentence information.

For new admits outside of Cook County, use the ADMIT transaction and follow the corresponding instructions.

The first two sets of instructions in the Records Identification Section are for the SENT Screen and the ADMIT Screen.

Either the ADMIT or SENT Screen will produce the first half of the Record Identification Section of Report #1, except for birthdate and birthplace, security and grade, aliases, and identification numbers. Identification numbers will be inputted by Record Office or BOI in two phases. Phase I, upon completion of fingerprint card, the Document Control Number will be input. Phase II, upon receipt of the IBI Rap Sheet, the IR, BOI, and FBI identification numbers and additional aliases will be input.

RECORD IDENTIFICATION - RECORD OFFICE

Admitting Returnees and Cook County Transfers - SENT Screens

The SENT transaction shows sentence information on an inmate's specific charge. This transaction allows for the changing of specific sentence information in the appropriate location.

This transaction is used by the computer operator in charge of adding or changing sentence information. Inmate sentence information can only be changed with proper documentation. There are three SENT Screens: Add, Menu, and Update. You will use either Add or Update to enter sentence information.

I/O PROCEDURES:

The /SENT transaction uses three continuator forms to add, update, and display inmate sentence information:

- * /SENT Add, Form 120, is used to add new sentence information.
- * /SENT Menu, Form 119, is used to display sentence information.
- * /SENT Update, Form 121, is used to update sentence information.

INITIATOR:

/SENT, (IDOC number), (document number), (MENU)
[@] (MENU)

/SENT, (IDOC number) ... <<SEND>>

Initiates the following for inmate with specified IDOC number:

- * Form 121, /SENT Update, if only one sentence is on file for inmate.
- * Form 119, /SENT Menu, if more than one sentence is on file for inmate.

/SENT, [@] ... <<SEND>>

Initiates the following for inmate whose IDOC number was last accessed by the terminal:

- * Form 121 /SENT Update, if only one sentence is on file for inmate.
- * Form 120, /SENT Add, if more than one sentence is on file for inmate.

RECORD IDENTIFICATION - RECORD OFFICE

/SENT, [IDOC number], (document number) . . . <<SEND>>
Initiates the following for inmate with specified IDOC number:

- * Form 121, /SENT Update, if specified document number is already on file.
- * Form 120, /SENT Add, if specified document number is not on file.

/SENT, [@] (document number) ... <<SEND>>
Initiates the following for inmate whose IDOC number was last accessed by the terminal:

- * Form 121, /SENT Update, if specified document number is already on file.
- * Form 120, /SENT Add, if specified document number is not on file.

/SENT, [IDOC number], (MENU) ... <<SEND>>
Initiates Form 119, /SENT Menu, for inmate with specified IDOC number.

/SENT, [@], (MENU) ... <<SEND>>
Initiates Form 119, /SENT Menu, for inmate whose IDOC number was last accessed by the terminal.

/SENT, [IDOC number], (document number), (MENU) ... <<SEND>>
Initiates Form 119, /SENT Menu, for sentences listed under specified document number for inmate with specified IDOC number.

/SENT, [@], (document number), (MENU) ... <<SEND>>
Initiates Form 119, /SENT Menu, for sentences listed under specified document number for inmate whose IDOC number was last accessed by the terminal.

ILLINOIS DEPARTMENT OF CORRECTIONS
CORRECTIONAL INSTITUTION MANAGEMENT INFORMATION SYSTEM
SENTENCE ADD FORM

04/14/82 15:20

IDOC NUMBER: N18031 1 NAME: 2 ADMIT DATE: 07/15/81

BOI DOCUMENT CONTROL NUMBER
C 3

| DOCUMENT TYP NUMBER (M) 999 | JUDGE | ***** SENTENCING ***** | COUNTY | OFFENSE DATE | OFFENSE STATUTE | CTS PLEA |
|-----------------------------------|--------------|---|--------|--|-----------------|----------------|
| 4 5 | 6 | 7 | 8 | 9 | 10 11 | |
| DATE SENTENCED / / | MIN: MAX: | *****SENTENCE***** YRS MOS DAYS EFFECTIVE DISP | | JAIL TIME CREDIT FOR THIS OFFENSE DATE OR DAYS | 12 | 13 14 15 16 17 |

THIS SENTENCE IS TO BE ASSOCIATED WITH SET

TO ADD MORE OFFENSE DATA, ENTER THE DOCUMENT TYPE AND NUMBER () 18

RECORD IDENTIFICATION - RECORD OFFICE

SCREEN: SENT ADD

INSTRUCTIONS

- IDOC NUMBER**
This field displays the IDOC Number of the inmate whose sentence information is to be added onto. This is the same IDOC Number as the one entered on the initiator.
 - NAME**
VERIFY
 - BOI DOCUMENT CONTROL NUMBER**
Enter the Illinois Bureau of Identification Number shown on the fingerprint card sent to IBI. This number will be obtained from the Bureau of Identification. All 8 characters must be entered.
 - DOCUMENT TYPE**
Enter the code for the type of document on which the inmate is to be admitted. Use the following table to enter the correct code.
- | <u>HOLDING DOCUMENT TYPES</u> | |
|-------------------------------|-------------|
| Code | Description |
| I | INDICTMENT |
| M | MITTIMUS |
| W | WARRANT |
- DOCUMENT NUMBER**
Enter the number of the document on which the inmate is to be admitted.
 - JUDGE**
Enter the name or number of the judge or official who remanded the inmate to Department of Corrections custody. This must be an entry on CIMIS TABLE 24, Judge TABLE.

RECORD IDENTIFICATION - RECORD OFFICE

7. COUNTY

Enter the name or number of the Illinois county in which the inmate was sentenced. This item must be an entry on CIMIS TABLE 08, COUNTY CODES (BOND or 005). Leave blank if the inmate was not sentenced in Illinois.

8. OFFENSE DATE

Enter the date (MM/DD/YY) of the offense for which the inmate was sentenced. This must be a valid date before Date Sentenced and before today's date.

9. OFFENSE STATUTE

Enter the code of the offense for which the inmate was charged. This must be an entry on CIMIS TABLE 50, CHARGES (38/12-4 = Aggravated Battery).

10. CTS

Enter the number of counts on the charge entered in 13. Default is 1.

11. PLEA

Enter the code for the new inmate's plea on the charge. Use the following table to enter the correct code.

PLEA CODE TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|-----------------------------------|
| G | GUILTY |
| N | NOT GUILTY |
| I | NOT GUILTY FOR REASON OF INSANITY |
| M | GUILTY BUT MENTALLY ILL |

12. DATE SENTENCED

Enter the date (MM/DD/YY) the inmate was sentenced for this charge. This must be a valid date after Offense Date and not after today's date.

RECORD IDENTIFICATION - RECORD OFFICE

13. MIN/MAX SENTENCE

Enter the minimum and maximum sentences (YYYY MM DDD: Years, Months, and Days) the new inmate received for the charge. If it is a Class X or a determinate sentence, enter that sentence in both min and max fields.

14. EFFECTIVE DATE

Enter the date (MM/DD/YY) the inmate's sentence started. This must be a valid date not before Date Sentenced. Default is Date Sentenced.

15. DISPOSITION CODE

If the inmate's sentence is not an active sentence, enter the code for the disposition of that sentence. Otherwise, leave blank. If entered, this field must be a valid code on the following DISPOSITION Table. Default is SENTENCED, for active sentences.

DISPOSITION TYPE

| <u>CODES</u> | <u>DESCRIPTION</u> |
|--------------|-------------------------|
| ADMI | ADDITIONAL MITT |
| ADMN | ADMINISTRATIVE ACTION |
| AMEN | AMENDED MITTIMUS |
| CORR | CORRECTED MITT |
| EXEC | WARRANT EXECUTED |
| MODI | MODIFIED SENTENCE |
| NORE | NOT RETURNED FROM COURT |
| PROB | PROBATION |
| RSEN | RE-SENTENCED |
| RVRM | REVERSE & REMAND |
| SENT | SENTENCED |
| SHIP | MITTIMUS TO ISSUE |
| SPEC | SPECIAL COURT ORDER |
| STAY | MITTIMUS STAY |
| WS | WRIT SATISFIED |

RECORD IDENTIFICATION - RECORD OFFICE

16. JAIL TIME CREDIT DATE

If the inmate was continuously incarcerated until the time he or she was sentenced, enter the date (MM/DD/YY) of incarceration. This must be a valid date not before Offense Date. Otherwise, use item 17, to enter the number of days of jail time credit the judge awarded the inmate. Enter 16 or 17, but not both. Check the inmate's mittimus to determine which, if any, you should enter.

17. JAIL TIME CREDIT DAYS

Enter the number of days of jail time credit the judge awarded the inmate. This may not be entered if item 16 was entered. See above.

18. MORE OFFENSE DATA

For additional sentences enter the Document Type and Document Number in this field. On completion of the continuator, the form will be sent to the screen.

M 121

ILLINOIS DEPARTMENT OF CORRECTIONS
CORRECTIONAL INSTITUTION MANAGEMENT INFORMATION SYSTEM
SENTENCE UPDATE

04/02/82 11:38

DOC NUMBER: N08417 1 NAME: 2

ADMIT DATE: 12/16/80

REQUESTED DOCUMENT NUMBER: () 3

BOI DOCUMENT CONTROL NUMBER
C00000000
C 4

| DOCUMENT TYP NUMBER | JUDGE | COUNTY | DATE | OFFENSE | CTS PLEA |
|---------------------|---------|-----------|----------|---------|----------|
| 31 OF 322 | UNKNOWN | CHAMPAIGN | 03/14/81 | 38/21-1 | 01 G |
| | 6 | 7 | / 8 / | 9 | 10 11 |

DATE 05/05/81
SENTENCED: /12/

| YEARS | MOS | DAYS | COMMUTED SENTENCE | EFFECTIVE DATE | **DISPOSITION** | CRIME FOR THIS OFFENSE | JAIL TIME CREDIT |
|-------|-----|------|-------------------|----------------|-----------------|------------------------|------------------|
| MIN: | | | | | | CLASS DATE | OR DAYS |
| 1 | 0 | 0 | | 05/12/81 | SENT | 05/05/81 A | 05/10/81 2 |
| | 13 | | 14 | / 15 / | 16 | / 17 / | 18 / 19 / 20 |

THIS SENTENCE ASSOCIATED WITH SET 01, NEW SET

TO ADD MORE OFFENSE DATA, ENTER THE DOCUMENT TYPE AND NUMBER () 21

RECORD IDENTIFICATION - RECORD OFFICE

SCREEN: SENT UPDATE

INSTRUCTIONS

1. IDOC NUMBER
This field displays the IDOC Number of the inmate for whom sentence information is to be added. This is the same IDOC Number as the one entered on the initiator.
2. NAME
Name given on the mittimus will be entered.
3. REQUESTED DOCUMENT NUMBER
Disregard this item.
4. BOI DOCUMENT CONTROL NUMBER
Enter the Illinois Bureau of Investigation Document Control Number shown on the fingerprint card sent to IBI upon inmate intake. This number is obtained from the Bureau of Identification Unit.
5. DOCUMENT TYPE/NUMBER
This field displays the Document Type code and the Document Number, as entered on the initiator, or at the bottom of the /SENT Add and /SENT Menu forms. Use the following table to enter the correct code for the HOLDING DOCUMENT TYPES. MAKE SURE THIS FIELD IS CORRECT. THE INMATE'S SENTENCE INFORMATION WILL BE FILED UNDER IT.

HOLDING DOCUMENT TYPES

| <u>Code</u> | <u>Description</u> |
|-------------|--------------------|
| I | INDICTMENT |
| M | MITTIMUS |
| W | WARRANT |

RECORD IDENTIFICATION - RECORD OFFICE

6. JUDGE
Enter the name or numeric code of the judge or official who remanded the inmate to Department of Corrections custody. This must be a valid entry on CIMIS TABLE 24, OFFICIALS (0889 = Ackerman, W.).
7. COUNTY
Enter the name or number of the Illinois county in which the inmate was sentenced. This must be a valid entry on CIMIS TABLE 08, COUNTY CODES (005 BOND). Leave blank if the inmate was not sentenced in Illinois.
8. OFFENSE DATE
Enter the date (MM/DD/YY) of the offense for which the inmate was sentenced. This must be a valid date before Date Sentenced and before today's date.
9. OFFENSE
Enter the statute for which the inmate was charged. This must be a valid entry on CIMIS TABLE 50, CHARGES (38/12-4 = Aggravated Battery).
10. CTS
Enter the number of counts on which the inmate is being sentenced for this offense.
11. PLEA
Enter the code for the inmate's plea on this offense. Use the following table to enter the correct code.

PLEA CODE TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|-----------------------------------|
| G | GUILTY |
| N | NOT GUILTY |
| I | NOT GUILTY FOR REASON OF INSANITY |
| M | GUILTY BUT MENTALLY ILL |

RECORD IDENTIFICATION - RECORD OFFICE

12. DATE SENTENCED Enter the date (MM/DD/YY) the inmate was sentenced for this offense. This must be a valid date after Offense Date and not after today's date.
13. MIN/MAX SENTENCE Enter the minimum and maximum sentences (YYYY MM DDD: Years, Months, and Days) the inmate received for this offense. If it is a Class (X) or a determinate sentence, enter that sentence in both min and max fields.
14. COMMUTED SENTENCE Disregard this item.
15. EFFECTIVE DATE Enter the date (MM/DD/YY) the inmate's sentence starts. This must be a valid date not before Date Sentenced. Default is Date Sentenced.
16. DISPOSITION CODE If the inmate's sentence is not an active sentence, enter the code for the disposition of that sentence. Otherwise, leave blank. If entered, this field must be a valid code on the following SENTENCE DISPOSITION Table. Default is SENTENCED for active sentences.

SENTENCE DISPOSITION TYPE

| <u>Codes</u> | <u>Description</u> |
|--------------|-------------------------|
| ADMI | ADDITIONAL MITT |
| ADMN | ADMINISTRATIVE ACTION |
| AMEN | AMENDED MITTIMUS |
| CORR | CORRECTED MITT |
| EXEC | WARRANT EXECUTED |
| MODI | MODIFIED SENTENCE |
| NORE | NOT RETURNED FROM COURT |
| PROB | PROBATION |
| RSEN | RE-SENTENCED |
| RVRM | REVERSE AND REMAND |
| SENT | SENTENCED |
| SHIP | MITTIMUS TO ISSUE |

RECORD IDENTIFICATION - RECORD OFFICE

DISPOSITION TYPE (CONTINUED)

- | <u>Codes</u> | <u>Description</u> |
|--------------|---------------------|
| SPEC | SPECIAL COURT ORDER |
| STAY | MITTIMUS STAY |
| WS | WRIT SATISFIED |
17. EFFECTIVE DATE Disregard this item.
18. CRIME CLASS Disregard this item.
19. JAIL TIME CREDIT DATE If the inmate was continuously incarcerated until the time he or she was sentenced, enter the date (MM/DD/YY) of incarceration. This must be a valid date not before Offense Date. Otherwise, use item 20 to enter the number of days of jail time credit the judge awarded the inmate. Enter 19 or 20, but not both.
20. JAIL TIME CREDIT DAYS Enter the number of days of jail time credit the judge awarded the inmate. This may not be entered if 19, JAIL TIME CREDIT DATE is entered.
21. TO ADD ANOTHER SENTENCE Enter the Document Number associated with an additional sentence, if any, to return Form 120, /SENT Add. Document Type must be a valid entry on the HOLDING DOCUMENT TYPES Table (I = Indictment).

RECORD IDENTIFICATION - RECORD OFFICE

Admitting New Inmates Outside of Cook County - Admit Screen

The /ADMIT transaction is used to record information necessary to admit an inmate new to the Illinois Department of Corrections. Since it assigns each new inmate a unique IDOC number, the /ADMIT transaction can be used only once for each new inmate. Unless specified otherwise on the initiator, the Admit Date will be today's date.

Once the offense information is entered it can only be changed by doing a SENT transaction. To update ADMIT DATE, NAME or IDOC NUMBER, see the CIMIS manual.

I/O PROCEDURES:

INITIATOR:

/ADMIT, (IDOC number), (admit date)

/ADMIT ... <<SEND>>

Initiates form for admitting new inmate. Admit Date is today's date.

/ADMIT, (IDOC number) ... <<SEND>>

Initiates form for admitting new inmate. Admit Date is today's date. IDOC number is specified on initiator.

/ADMIT, (admit date) ... <<SEND>>

Initiates form for admitting new inmate. Admit Date (MM/DD/YY) is specified on the initiator. IDOC number is next one in sequence (see /SETID).

/ADMIT, (IDOC number), (admit date) ... <<SEND>>

Initiates form for admitting new inmate. Admit Date (MM/DD/YY) is specified on the initiator. IDOC number is specified on the initiator.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution, and that it does not already belong to an inmate of the institution.

Date is checked to make sure it is a valid date not after today's date.

To modify a sentence transaction, use /SENT transaction.

ILLINOIS DEPARTMENT OF CORRECTIONS
CORRECTIONAL INSTITUTION MANAGEMENT INFORMATION SYSTEM
ADMISSION FORM

04/02/82 11:14

IM 129

IDOC
NUMBER

99999 1

***** NAME *****
FIRST 2 MIDDLE 3 LAST 4 SUFFIX 5

ADMIT
DATE
04/02/82

ADMISSION TYPE 6 BOI DOCUMENT CONTROL NUMBER C 7

DOCUMENT
TYP NUMBER

9

***** SENTENCING ***** OFFENSE
JUDGE 10 COUNTY 11 DATE 12 CHARGE 13

CTS PLEA
14 15

DATE
SENTENCED

/ /

16

*****SENTENCE*****
YRS MOS DAYS EFFECTIVE
DATE

MIN:
MAX:

17

/ /

18

JAIL TIME CREDIT
FOR THIS OFFENSE
DATE OR DAYS

/ /

19

20

TO ADD MORE OFFENSE DATA, ENTER THE DOCUMENT TYPE AND NUMBER () 21

RECORD IDENTIFICATION - RECORD OFFICE

SCREEN: ADMISSION FORM

INSTRUCTIONS

1. IDOC NUMBER

All new intakes will be assigned IDOC Numbers. This field displays the IDOC Number to be assigned to the new inmate on completion of continuator.

2-5. NAME

Name given on the mittimus will be entered.

6. ADMISSION TYPE

Admission type is determined from the mittimus or warrant. Use the following TABLE to enter the correct code.

TYPE OF ADMISSION TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|--|
| 01 | DIRECT FROM COURT (FIRST ADMISSION) |
| 20 | COURT AND RETURN PAROLE VIOLATOR (VIOLATOR AND NEW SENTENCE) |
| 21 | COURT AND MSR VIOLATOR (VIOLATOR AND NEW SENTENCE) |
| 26 | PAROLE VIOLATOR (SUPERVISION VIOLATOR) |
| 27 | MSR VIOLATOR (SUPERVISION VIOLATOR) |
| 28 | WORK RELEASE VIOLATOR (SUPERVISION VIOLATOR) |
| 29 | BOND VIOLATOR |
| 41 | DISCHARGED AND RECOMMITTED (RECOMMITTED) |
| 98 | NOT IN IDOC CUSTODY |

7. BOI DOCUMENT CONTROL NUMBER

Enter the Illinois Bureau Of Identification Number as shown on the fingerprint card sent to the IBI. This number will be obtained from the Bureau of Identification. All 8 characters must be entered.

RECORD IDENTIFICATION - RECORD OFFICE

8. DOCUMENT TYPE

Enter the code for the type of document on which the inmate is to be admitted. Use the following table to enter the correct code.

HOLD DOCUMENT TYPE TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------|
| I | INDICTMENT |
| M | MITTIMUS |
| W | WARRANT |

9. DOCUMENT NUMBER

Enter the number of the document on which the inmate is to be admitted.

10. JUDGE

Enter the name or number of the judge or official who remanded the inmate to Department of Corrections custody. This must be an entry on CIMIS TABLE 24, Judge TABLE.

11. COUNTY

Enter the name or number of the Illinois county in which the inmate was sentenced. This item must be an entry on CIMIS TABLE 08, COUNTY CODES (BOND or 0005). Leave blank if the inmate was not sentenced in Illinois.

12. OFFENSE DATE

Enter the date (MM/DD/YY) of the offense for which the inmate was sentenced. This must be a valid date before Date Sentenced and before today's date.

13. CHARGE

Enter the code of the offense for which the inmate was charged. This must be an entry on CIMIS TABLE 50, CHARGES (38/12-4 = Aggravated Battery).

RECORD IDENTIFICATION - RECORD OFFICE

14. CTS

Enter the number of counts on the charge entered in 13. Default is 1.

15. PLEA

Enter the code for the new inmate's plea on the charge. Use the following table to enter the correct code.

PLEA CODE TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|-----------------------------------|
| G | GUILTY |
| N | NOT GUILTY |
| I | NOT GUILTY FOR REASON OF INSANITY |
| M | GUILTY BUT MENTALLY ILL |

16. DATE SENTENCED

Enter the date (MM/DD/YY) the inmate was sentenced for the charge. This must be a valid date after Offense Date and not after today's date.

17. MIN/MAX SENTENCE

Enter the minimum and maximum sentences (YYYY MM DDD: Years, Months, and Days) the new inmate received for the charge. If it is a Class X or a determinate sentence, enter that sentence in both min and max fields.

18. EFFECTIVE DATE

Enter the date (MM/DD/YY) the inmate's sentence started. This must be a valid date not before Date Sentenced. Default is Date Sentenced.

19. JAIL TIME CREDIT DATE

If the inmate was continuously incarcerated until the time he or she was sentenced, enter the date (MM/DD/YY) of incarceration. This must be a valid date not before Offense Date. Otherwise, use Item 20, to enter the number of days of jail time credit the judge awarded the inmate. Enter 19 or 20, but not both.

RECORD IDENTIFICATION - RECORD OFFICE

Check the inmate's mittimus to determine which, if any, you should enter.

20. JAIL TIME CREDIT DAYS

Enter the number of days of jail time credit the judge awarded the inmate. This may not be entered if item 19 was entered. See above.

21. MORE OFFENSE DATA

For additional sentences enter the Document Type and Document Number in this field. On completion of the continuator, the form will be sent to the screen.

REPORT 1

EMERGENCY INFORMATION SECTION

Depending on the institution, either the Record Office, Medical Office, or Bureau of Identification will obtain the information necessary for completion of the Emergency Information Section of Report #1 by interviewing the inmate. Most information is self-report. It is desirable that the interview takes place at the terminal or that data input occurs immediately after the interview.

To complete the Emergency Section, use the "History Format 2" Screen and follow the instructions provided.

The /HIST transaction is used to record and update inmate history and biographical data. A second history form is returned on completion of the first. The /HIST2 transaction is used to initiate the second form without going through the first.

I/O PROCEDURES:

INITIATOR:

/HIST, [IDOC number]
[@]

/HIST2, [IDOC number]
[@]

/HIST, [IDOC number] ... <<SEND>>
Initiates form for recording or updating history data for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

/HIST2, [IDOC number] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate with specified IDOC number.

/HIST2, [@] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution and that it belongs to an inmate of the institution.

MIS:
FORM 115

ILLINOIS DEPARTMENT OF CORRECTIONS
HISTORY FORMAT 2

DATE: 04/02/82
TIME: 11:59

IDOC NUMBER: N18031 1
CASE OF EMERGENCY
NOTIFY

NAME:

2

SEX: M 3

4

REL ADDRESS
5 6

CITY
7

ST ZIP PHONE
8 9 10

OTHER KIN, SPOUSE,
OR FRIENDS

11

REL ADDRESS
12 13

CITY
14

ST ZIP PHONE
15 16 17/ -
/ -

UNITS CURRENTLY USED: 18
CURRENT TREATMENT: 20

AGE STARTED: 19
COST/DAY: 21

ATTEMPT ESCAPE: 22
ASSAULTIVE: 25

ATTEMPT SUICIDE: 23
MANIPULATIVE: 26

SEXUAL RISK: 24
OWN/PROTECT: 27

NUMBER OF PREVIOUS ADULT COMMITMENTS: IN ILLINOIS 28

OTHER JURISDICTIONS 29

MENTAL INSTITUTION: 30
CITY: 31

LENGTH OF STAY: YEARS MONTHS
33

STATE: 32
RELEASED: / /
34

TYPE OF RELEASE:
35

EMERGENCY INFORMATION - RECORD OFFICE

SCREEN: HISTORY FORMAT 2

INSTRUCTIONS

1. IDOC NUMBER This field displays the IDOC number of the inmate whose history is to be entered or updated.
2. NAME This field displays the name of the inmate whose history is to be entered or updated. VERIFY.
3. SEX This field displays the sex code of the inmate whose history is to be entered or updated (M=Male, F=Female). Default is by institution type.
4. IN CASE OF EMERGENCY NOTIFY Enter or update the name of the person to notify in case of an emergency.
5. RELA Enter or update the code for the relation of the inmate to the person to notify in case of an emergency. Use the following table to enter the correct code.

EMERGENCY INFORMATION - RECORD OFFICE

Relation Table

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|------------------------|
| AF | ADOPTIVE FATHER |
| AP | ADOPTIVE PARENTS |
| AM | ADOPTIVE MOTHER |
| AU | AUNT |
| BL | BROTHER-IN-LAW |
| BR | BROTHER |
| CH | COMMON-LAW-HUSBAND |
| CW | COMMON-LAW-WIFE |
| DA | DAUGHTER |
| FA | FATHER |
| FC | FEMALE COUSIN |
| FI | FIANCEE |
| FL | FATHER-IN-LAW |
| FP | FOSTER PARENT |
| FR | FRIEND |
| GF | GRANDFATHER |
| GM | GRANDMOTHER |
| HB | HALF-BROTHER |
| HS | HALF-SISTER |
| HU | HUSBAND |
| LG | LEGAL GUARDIAN |
| MA | MOTHER |
| MC | MALE COUSIN |
| ML | MOTHER-IN-LAW |
| NE | NEPHEW |
| NI | NIECE |
| PC | PERSON TO BE CONTACTED |
| PR | PARENTS |
| SB | STEP BROTHER |
| SD | STEP DAUGHTER |
| SF | STEP FATHER |
| SL | SISTER-IN-LAW |
| SM | STEP MOTHER |
| SN | SON |
| SO | STEPSON |
| SR | SISTER |
| SS | STEP SISTER |
| UC | UNCLE |
| WI | WIFE |
| XH | EX-HUSBAND |
| XW | EX-WIFE |

EMERGENCY INFORMATION - RECORD OFFICE

- 6. ADDRESS Enter or update the address of the person to notify in case of an emergency.
- 7. CITY Enter or update the city of the person to notify in case of an emergency.
- 8. ST Enter or update the code for the state of the person to notify in case of an emergency. This must be an entry on CIMIS Table 09, STATES (IL = Illinois).
- 9. ZIP Enter or update the zip code of the person to notify in case of an emergency.
- 10. PHONE Enter or update the phone number of the person to notify in case of an emergency.
- 11. OTHER KIN, SPOUSE, OR FRIENDS Enter or update the name or names of the inmate's other kin or friend.
- 12. RELA Enter or update the code for the relation of the inmate's other kin or friend. This must be an entry on Relation Table (see previous page for table entries).
- 13. ADDRESS Enter or update the street address of the inmate's other kin or friend.
- 14. CITY Enter or update the name of the city of the inmate's other kin or friend.
- 15. ST Enter or update the code for the state of the inmate's other kin or friend. This must be an entry on CIMIS Table 09, STATES (IL = Illinois).

EMERGENCY INFORMATION - RECORD OFFICE

- 16. ZIP Enter or update the zip code for the address of the inmate's other kin or friend.
- 17. PHONE Enter or update the phone number of the inmate's other kin or friend.
- 18-35 Information for 18 through 35 will be captured at a later time.

REPORT 1

PHYSICAL IDENTIFICATION SECTION

Depending on the institution, either the Record Office, Medical Office, or Bureau of Identification will obtain the information necessary for completion of the Physical Identification Section of Report #1. To complete the Physical Identification Section, use "History Format 1" Screen and the following instructions:

The /HIST transaction is used to record and update inmate history and biographical data. A second history form is returned on completion of the first. The /HIST2 transaction is used to initiate the second form without going through the first.

INITIATOR:

/HIST, [IDOC number]
[@]

/HIST2, [IDOC number]
[@]

/HIST, [IDOC number] ... <<SEND>>
Initiates form for recording or updating history data for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

/HIST2, [IDOC number] ... SEND
Initiates /HIST2 form for recording or updating history data for inmate with specified IDOC number.

/HIST2, [@] ... SEND
Initiates /HIST2 form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution and that it belongs to an inmate of the institution.



MIS: ILLINOIS DEPARTMENT OF CORRECTIONS
FORM 114 HISTORY FORMAT 1
IDOC NUMBER: N18031 1 NAME: 2
ADDRESS: 4 CITY: 5 STATE: 6 ZIP: 7 CNTY: 8
PHONE: 9 RESIDENCY: 10 IN US: YRS HIGH IN CNTY: YRS HIGH
BIRTHDATE: 11 PLACE: CITIZENSHIP: 12
HEIGHT: 13 WEIGHT: 14 HAIR: 15 EYES: 16 RACE: 17 ETHNIC PREF. NATIVE LANG.
MARKS AND SCARS: 18 19 20 21 22
MARRITAL STATUS: 23 NUMBER OF CHILDREN: 24 RELIGION: 25
LAST SCHOOL ATTENDED: 26 LAST GRADE COMPLETED: 27
OCCUPATIONAL SKILLS: 28 SOC-SEC NUMBER: 29 INCOME SOURCE: 30
EMPLOYER'S NAME EMPLOYER'S ADDRESS A/C PHONE STARTED ENDED
PREV - 31 32 33 / / / / / /
MILITARY STATUS: BRANCH: DISCHARGE: ENTERED: 34 35 36
37 38 39 40 41

PHYSICAL IDENTIFICATION - RECORD OFFICE

SCREEN: HISTORY FORMAT 1

INSTRUCTIONS

- 1. IDOC NUMBER This field displays the IDOC Number of the inmate whose history is to be entered or updated.
- 2. NAME This field displays the name of the inmate whose history is to be entered or updated. VERIFY.
- 3. SEX This field displays the sex code of inmate whose history is to be entered or updated (M=Male, F=Female).
- 4. ADDRESS Enter or update the inmate's last known street address.
- 5. CITY Enter or update the city of the inmate's last known street address. Abbreviate if necessary.
- 6. STATE Enter or update the code for the state of the inmate's last known address. This must be an entry on CIMIS Table 09 STATES (IL = Illinois).
- 7. ZIP Enter or update the zip code of the inmate's last known address.
- 8. CNTY Enter or update the name or code of the Illinois county of the inmate's last known address. This must be an entry on TABLE 08, COUNTY CODES (0005 = BOND). If the inmate is from out of state, leave blank.
- 9-12. Items 9 through 12 do not have to be inputted at this time. Move to Item 13 and continue.

PHYSICAL IDENTIFICATION - RECORD OFFICE

- 13. BIRTHDATE Enter or update the date (MM/DD/YY) the inmate was born. This must be a valid date.
- 14. PLACE Enter or update the code for the inmate's place of birth. This must be on CIMIS TABLE 03, STATES AND NATIONS (IL = Illinois).
- 15. CITIZENSHIP Not applicable, move to item 16.
- 16. HEIGHT Enter or update the inmate's height, in feet and inches. Height must be measured.
- 17. WEIGHT Enter or update the inmate's weight, in pounds. Weight must be measured.
- 18. HAIR Enter or update the code for the inmate's hair color. Must be on the following HAIR COLOR table.

| <u>HAIR COLOR TABLE</u> | |
|-------------------------|------------------------|
| <u>Codes</u> | <u>Description</u> |
| BAL | BALD |
| BLK | BLACK |
| BLN | BLONDE OR STRAWBERRY |
| BRN | BROWN |
| GRY | GRAY OR PARTIALLY GRAY |
| RED | RED OR AUBURN |
| SDY | SANDY |
| WHI | WHITE |
- 19. EYES Enter or update the code for the inmate's eye color. Must be on Table EYE COLOR.

PHYSICAL IDENTIFICATION - RECORD OFFICE

EYE COLOR TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|--------------------|
| BLK | BLACK |
| BLU | BLUE |
| BRN | BROWN |
| GRN | GREEN |
| GRY | GRAY |
| HAZ | HAZEL |
| MAR | MAROON |
| PNK | PINK |

20. RACE

Enter or update the code for the inmate's race. Must be on Table RACE (B=Black). Race will be determined by the interviewer based on their observation.

RACE/ETHNIC TABLE

| <u>Code</u> | <u>Description</u> |
|-------------|-----------------------------------|
| AMI | AMERICAN INDIAN OR ALASKAN NATIVE |
| ASN | ASIAN OR PACIFIC ISLANDER |
| BLK | BLACK, NOT OF HISPANIC ORIGIN |
| HSP | HISPANIC |
| WHT | WHITE, NOT OF HISPANIC ORIGIN |

21. ETHNIC PREF

Enter or update the code or codes for the inmate's ethnic preference. Ethnic preference is self-reported information. Use the codes on RACE/ETHNIC TABLE.

22. NATIVE LANGUAGE

Not applicable, continue to item 23.

23. MARKS AND SCARS

Enter or update the code or codes for the inmate's identifying marks and scars. Must be on CIMIS TABLE, MARKS AND SCARS (AC FACE = Acne face). Marks and scars are those visible on arms, hands, face, neck, and chest.

PHYSICAL IDENTIFICATION - RECORD OFFICE

24-29.

Not applicable, move to item 30.

30. SOC SEC NUMBER

Enter or update the inmate's Social Security Number.

31-41.

Not applicable. These items will be completed at a later time.

Upon completion of Record Identification, Emergency Information, and Physical Identification, run a copy of Report #1*. Distribution of Report #1/2 is:

1. Record Office - #1, #2
2. Bureau of Investigation - #1, #2
3. Others as Necessary

The Record Office must verify all Record Identification information.

"Escape" and "Attempted Suicide" will be completed at a later time.

*See Appendix B for run instructions.

RECORD OFFICE

Report 2

- **Offense History**

The detailed offense history is automatically generated from the offense information in Report 1.

BUREAU OF IDENTIFICATION

Report 1

- **Document Control Number**
- **Identification Numbers**
- **Alias**

REPORT 1

BUREAU OF IDENTIFICATION PROCEDURES

The Document Control Number comes from the DLE Fingerprint Card. The Aliases and Identification Numbers come from the DLE - BOI Rap Sheet. This information cannot be entered until the Rap Sheet is available. When a current Rap Sheet is not attached to the Statement of Fact, one must be obtained from the Illinois Bureau of Investigation.

Special arrangements have been made with the Illinois Department of Law Enforcement - Bureau of Identification for "Rapid Turnaround" on those admissions without a current Rap Sheet. The following BOI procedures will facilitate the "Rapid Turnaround" process.

BOI PROCEDURES FOR RAPID TURNAROUND OF RAP SHEETS

1. Report #1 is sent by the Record Office to the BOI. Report #1 contains all necessary information to complete the fingerprint card. Upon receipt of Report #1, the fingerprint card is typed by the BOI. Fingerprints are sent via "Rapid Turnaround" or routinely depending on the presence of a Rap Sheet.
2. When the Rap Sheet does not accompany the inmate at admission, the BOI must be notified. The Record Office will provide a list of inmates admitted without a Rap Sheet to the IDOC Bureau of Identification.
3. "Rapid Turnaround" fingerprint cards are sorted and logged by the BOI. The "Rapid Turnaround" fingerprints are then forwarded to the DLE - BOI (See Rapid Turnaround Log Sheet). All admissions are fingerprinted on Day 1. "Rapid Turnaround" fingerprints must be forwarded by the morning following intake.
4. When the Rap Sheets are received back from DLE, they are to be logged in on the Fingerprint Rapid Turnaround Log Report. A copy of the Rap Sheet is made and sent to the R&C Supervisor for classification use. The original Rap Sheet is forwarded to the CIMIS operator for alias and ID number input. Once the alias and ID numbers are entered into CIMIS, the Rap Sheet is forwarded to the Records Office and is then placed in the master file.
5. Fingerprints of inmates who have a Rap Sheet at intake, are routinely forwarded to IBI within two working days.

FINGERPRINT RAPID TURNAROUND LOG

TO: Record Office
FROM: Bureau of Identification
RE: Fingerprint Rapid Turnaround Requests Tracking Report
DATE:

| <u>NAME</u> | <u>IDOC #</u> | <u>DATE SENT</u> | <u>DATE RECEIVED</u> | <u>TURNAROUND TIME</u> |
|-------------|---------------|------------------|----------------------|------------------------|
|-------------|---------------|------------------|----------------------|------------------------|

REPORT 1.

DOCUMENT CONTROL NUMBER SECTION

The IDOC - Bureau of Identification will send a listing of all intakes and their Document Control Number to the CIMIS Office for input. (See Document Control Number Input Form.) The pre-printed number on the fingerprint card that is sent to the Illinois DLE Bureau of Identification is the Document Control Number.

The Document Control Number is entered by the operator using the /SENT Screen. Enter or update item #7. The Document Control Number must be entered. It will become the reference number between DLE and DOC Bureaus of Identification. The Document Control Number must be accurately maintained in the information system. This number will be used by DLE - BOI for inquiries concerning the institutional location of an inmate while under the jurisdiction of the Illinois Department of Corrections.

ILLINOIS DEPARTMENT OF CORRECTIONS

DOCUMENT CONTROL NUMBER INPUT LOG

TO: Record Office/CIMIS Operator
FROM: Bureau of Identification
RE: Document Control Number Input
DATE:

| <u>NAME</u> | <u>IDOC #</u> | <u>DOCUMENT CONTROL NUMBER</u> |
|-------------|---------------|--------------------------------|
|-------------|---------------|--------------------------------|

REPORT 1

IDENTIFICATION NUMBERS SECTION

The IDS transaction is used to enter, display, and update the inmate identification numbers. These numbers consist of the IR Number, BOI Number, FBI Number, and prior IDOC Number. The Drivers License Number is not used.

This transaction takes information from the IBI Rap Sheet and is entered by the CIMIS or Record Office. Inquiries can also be done on the inmate identification numbers.

I/O PROCEDURES:

INITIATOR:

/IDS, [IDOC number]
[@]

/IDS, [IDOC number] ... <<SEND>>
Initiates form for entering I.D. numbers for inmate with specified IDOC number.

/IDS, [@] ... <<SEND>>
Initiates form for entering I.D. numbers for inmate whose IDOC Number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC Number is checked to make sure it is a valid number for the institution, and that it belongs to an inmate of the institution.

EXAMPLES:

/IDS, A8001B <<SEND>>
/IDS, @ <<SEND>>

INITIATOR ERROR MESSAGE

INVALID IDOC NUMBER

Either nothing was entered, what was entered is in the wrong form, or it doesn't belong to an inmate of the institution. Try typing a different prefix, or correct the whole IDOC number, and re-send the initiator.

FORM 135

ILLINOIS DEPARTMENT OF CORRECTIONS
IDENTIFICATION NUMBERS

04/02/82 11:56

IDOC NUMBER: N18031 1 NAME: 2

| IR NUMBER | BOI NUMBER | FBI NUMBER | DRIVERS LICENSE STATE NUMBER | PRIOR IDOC NUMBER |
|-----------|------------|------------|------------------------------|-------------------|
| 3 | 4 | 5 | 6 | L18031 7 |

CURRENT:
NEW:

ID NUMBERS - BUREAU OF IDENTIFICATION/RECORD OFFICE

SCREEN: IDENTIFICATION NUMBERS

INSTRUCTIONS

1. IDOC NUMBER
This field displays the IDOC Number of the inmate whose ID Numbers are to be entered or updated. This is the same IDOC Number as the one entered on the initiator.
2. NAME
This field displays the name of the inmate whose ID Numbers are to be entered or updated.
3. IR NUMBER
This field displays the inmate's Incident Report Number, as assigned by the arresting authority. Enter a new IR Number in the unprotected area underneath the display field.
4. BOI NUMBER
This field displays the inmate's Bureau of Identification Number. Enter a new BOI Number in the unprotected area underneath the display field.
5. FBI NUMBER
This field displays the inmate's Federal Bureau of Investigation Number. Enter a new FBI Number in the unprotected area underneath the display field.
6. DRIVER'S LICENSE NUMBER
Disregard this item.
7. PRIOR IDOC NUMBER
This field displays the inmate's prior IDOC Number. Enter a new one in the unprotected area underneath the display field.

REPORT 1

ALIAS SECTION

The ALIAS transaction is an update transaction which records aliases for each inmate and cross-references those aliases with the name with which the inmate was admitted. Aliases are obtained off of the mittimus by the Record Office at admission and off of the BOI Rap Sheet. Inmate inquiries may be performed on any of the recorded aliases as well as on the inmate Admit Name.

I/O PROCEDURES:

INITIATOR:

/ALIAS, [IDOC Number]
[@]

/ALIAS, [IDOC Number] ... <<SEND>>
Initiates form for entering alias or aliases of inmate with specified IDOC Number.

/ALIAS, [@] ... <<SEND>>
Initiates form for entering alias or aliases of inmate whose IDOC Number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC Number is checked to make sure it is a valid number for the institution, and that it belongs to an inmate of the institution.

EXAMPLES:

/ALIAS, A80018 <<SEND>>

/ALIAS, @ <<SEND>>

INITIATOR ERROR MESSAGE:

INVALID IDOC NUMBER

Either nothing was entered, what was entered is in the wrong form, or it doesn't belong to an inmate of the institution. Try typing a different prefix, or correct the whole IDOC Number, and re-send the initiator.

IDOC NUMBER: N20599 1 ADMITTED UNDER THE NAME: 2

MAKE ADDITIONAL ALIAS ENTRIES BELOW:
FIRST NAME 3 MIDDLE NAME 4 LAST NAME 5 SUFFIX 6

PREVIOUSLY RECORDED:

TO DELETE A NAME, TYPE A "D" BEFORE IT
7 SCOTT, LEROY
SCOTT, LEON

ALIAS - BUREAU OF IDENTIFICATION/RECORD OFFICE

SCREEN: ALIAS

INSTRUCTIONS

- 1. IDOC NUMBER
This field displays the IDOC number of the inmate whose alias or aliases are to be entered or updated. This is the same IDOC Number as the one entered on the initiator.
- 2. ADMITTED UNDER INMATE NAME
This field displays the Admit Name of the inmate whose alias or aliases are to be entered or updated.
- 3. FIRST NAME
Enter the first name of the inmate's alias or aliases.
- 4. MIDDLE NAME
Enter the middle name of the inmate's alias.
- 5. LAST NAME
Enter the last name of the inmate's alias. Abbreviate, if necessary. If an alias is only one word long, enter that word in this field.
- 6. SUFFIX
Enter the suffix (SR, JR, III, etc.) of the inmate's alias or aliases.
- 7. PREVIOUSLY RECORDED
Enter a "D" to delete any of the previously recorded aliases displayed to the right of the unprotected area. No other character is recognized by this field. Decision to delete is based on updated documentation such as, BOI information or counselor interviews.

NOTE: Only 5 aliases may be entered at one time. Up to 9 may be deleted.

DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 3
NAME: DOE, JOHN JULIET BRANCH (03)

IDOC NUMBER: N21234

MEDICAL UNIT

Report 3

- Medical History
- Current Medical Condition

***** MEDICAL HISTORY

() DRUGS/
ALCOHOL:

AGE
() STARTED:

() CURRENT
TREATMENT:

() MENTAL
INSTITUTION:

LENGTH
() OF STAY: /

() CITY:
() STATE:

RELEASE
() DATE: / /

RELEASE
() TYPE: -- --

*** CURRENT MEDICAL CONDITION

LAST PHYSICAL DATE: / /

GENERAL PHYSICAL CONDITION: -- --

HANDICAPPED

LOW GALLERY PERMIT

MEDICAL CONDITION

MEDICAL CONDITION DESCRIPTION:

REPORT 3

MEDICAL PROCEDURES

The Medical Unit at each Reception Center has responsibility for gathering information on medical history and current medical condition. Report #3 contains the information drawn from the Medical History Form and the Physician's Examination Report. The information entered into Report #3 is enclosed in boxed sections of the manual medical forms.

Data Entry procedures for each section of the Report are presented separately. Procedures to be used by the physician in completing the Physical Health Classification Section will be provided to each physician by the Department's Medical Director.

REPORT 3

MEDICAL HISTORY SECTION

The Medical Unit will obtain and input information to complete "Medical History". Information will be obtained through both physical examination and self-report.

To enter data for Report #3, use "History Format 2" and "Medical History Form" following those instructions.

The /HIST transaction is used to record and update inmate history and biographical data.

I/O PROCEDURE:

INITIATOR:

/HIST, [IDOC number]
[@]

/HIST2, [IDOC number]
[@]

/HIST, [IDOC number] ... <<SEND>>
Initiates form for recording or updating history data for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

/HIST2, [IDOC number] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate with specified IDOC number.

/HIST2, [@] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC Number is checked to make sure it is a valid number for the institution and that it belongs to an inmate of the institution.

Illinois Department of Corrections
MEDICAL HISTORY

Facility: _____

Resident's Name _____ Resident's Number _____
 Age: _____ Birthdate: _____ Sex: _____ Race: _____
 Habitual Drug Use: _____
 (Illicit & Alcohol) _____
 Age Habitual Use Started _____
 Current or Recent Treatment For Habitual Use _____
MENTAL INSTITUTIONS:
 Hospital _____ City _____ State _____
 Length of Stay: Yrs _____ Mos _____ Release Date _____ / _____ / _____
 Type of Release: _____ Absolute _____ Conditional

Medications Taken:
 Name of Drug(s) and Dosage(s) _____
 Reason for Rx _____

Prior Hospitalization(s) or Operations:
 (1) Hospital & Location _____ Diagnosis _____
 Date(s) _____ Physician's Name _____
 & Address _____
 (2) Hospital & Location _____ Diagnosis _____
 Date(s) _____ Physician's Name _____
 & Address _____

Allergies: (Describe briefly) _____

Physical Disability or Limitations (Describe):

Family Disease History: (List age & illnesses, if deceased, list cause of death)
 Mother _____ Father _____
 Sister(s) _____ Brother(s) _____

INSTRUCTIONS: Answer ALL questions. Check YES or NO.
 Explain all YES answers under REMARKS below.

| YES | NO | |
|-----|----|--------------------------------------|
| | | 1. Frequent or severe headaches |
| | | 2. Dizziness or fainting spells |
| | | 3. Unconsciousness |
| | | 4. Eye, ear, nose or throat trouble |
| | | 5. Hearing loss |
| | | 6. Hay fever |
| | | 7. Asthma |
| | | 8. Frequent coughs or colds |
| | | 9. Allergies |
| | | 10. Heart trouble |
| | | 11. High or low blood pressure |
| | | 12. Stomach trouble |
| | | 13. Kidney trouble or blood in urine |
| | | 14. Diabetes or sugar in urine |
| | | 15. Epilepsy or fits |
| | | 16. Nervous trouble |
| | | 17. Hernia |
| | | 18. Excessive drinking habit |
| | | 19. Birth Defects |
| | | 20. Wear Glasses |
| | | 21. Rheumatism |
| | | 21A. Spells or strange behavior |
| | | 21B. Strange smells or tastes |

| YES | NO | |
|-----|----|--|
| | | 22. Attempted suicide |
| | | 23. Sickle Cell |
| | | 24. Military medical discharge |
| | | 25. Medical rejection from or for military |
| | | 26. Tuberculosis |
| | | 27. Rheumatic fever |
| | | 28. Hepatitis-jaundice |
| | | 29. Venereal disease — Syphilis or Gonorrhea |
| | | 30. Swollen or painful joints |
| | | 31. Skin diseases |
| | | 32. Thyroid trouble |
| | | 33. Head injury |
| | | 34. Severe tooth or gum trouble |
| | | 35. Back trouble |
| | | 36. Female disorders or vaginal discharge |
| | | 37. Age menstrual periods started _____ |
| | | 38. Last menstrual period _____ |
| | | 39. Number of pregnancies _____ |
| | | 40. Injuries or broken bones |
| | | 41. Bedwetting |
| | | 42. Constipation or Diarrhea |

REMARKS: Explain all YES answers checked above.

 Staff Signature

 Date

 (Resident's Signature)

 Date

CIMIS:
FORM 115

ILLINOIS DEPARTMENT OF CORRECTIONS
HISTORY FORMAT 2

DATE: 04/02/83
TIME: 11:57

IDOC NUMBER: N18031 1 NAME: 2 SEX: M 3

| IN CASE OF EMERGENCY NOTIFY | | | | | | |
|-----------------------------|-----|---------|------|----|-----|-------|
| 4 | REL | ADDRESS | CITY | ST | ZIP | PHONE |
| 5 | 6 | 7 | 8 | 9 | 10 | |

| OTHER KIN, SPOUSE, OR FRIENDS | | | | | | |
|-------------------------------|-----|---------|------|----|-----|-------|
| 11 | REL | ADDRESS | CITY | ST | ZIP | PHONE |
| 12 | 13 | 14 | 15 | 16 | 17 | |

DRUGS CURRENTLY USED: 18 AGE STARTED: 19
CURRENT TREATMENT: 20 COST/DAY: 21

| | | |
|--------------------|---------------------|-----------------|
| ATTEMPT ESCAPE: 22 | ATTEMPT SUICIDE: 23 | SEXUAL RISK: 24 |
| ASSAULTIVE: 25 | MANIPULATIVE: 26 | OWN/PROTECT: 27 |

NUMBER OF PREVIOUS ADULT COMMITMENTS: IN ILLINOIS 28 OTHER JURISDICTIONS 29

MENTAL INSTITUTION: 30

CITY: 31

STATE: 32

LENGTH OF STAY: YEARS MONTHS
33

RELEASED: / /
34

TYPE OF RELEASE:
35

MEDICAL HISTORY - MEDICAL UNIT

SCREEN: HISTORY FORMAT 2

INSTRUCTIONS

1. IDOC NUMBER
This field displays the IDOC Number of the inmate whose medical information is to be entered or updated. This is the same IDOC Number as the one entered on the initiator.
2. NAME
Verify Name.
- 3-17.
Not applicable, move to item 18.
18. DRUGS CURRENTLY USED
Enter or update the names of the habitual drugs the inmate currently uses.
19. AGE STARTED
Enter or update the age the inmate started using the drug or drugs named in item 18. If more than one age, use the earliest age of onset.
20. CURRENT TREATMENT
Enter or update a description of the current treatment for the inmate's habitual drug usage.
- 21-29.
Not applicable, move to item 30.
30. MENTAL INSTITUTION
Enter or update the name of a mental institution, if any, in which the inmate was incarcerated.
31. CITY
Enter or update the name of the city in which the inmate was incarcerated in a mental institution, if any.

MEDICAL HISTORY - MEDICAL UNIT

32. STATE

Enter or update the code for the state in which the inmate was incarcerated in a mental institution, if any. This must be an entry on CIMIS TABLE 09, STATES (IL = Illinois).

33. LENGTH OF STAY

Enter or update the number of years and/or the number of months the inmate spent in a mental institution, if any.

34. RELEASED

Enter or update the date (MM/DD/YY) the inmate was released from a mental institution, if any. This must be a valid date.

35. TYPE OF RELEASE

Enter the code for the type of the inmate's release from a mental institution, if any. This must be either A=Absolute or C=Conditional.

REPORT 3

CURRENT MEDICAL CONDITION SECTION

The physician's examination report is used to complete this section. The entry of this information is done by the Medical Unit.

The /MEDIC transaction is used to enter, display and update inmate medical information. A description of an emergency condition can be entered, if one exists. Medical warnings entered using this transaction also are displayed on the /INQ and /TIER transactions.

I/O PROCEDURE

INITIATOR:

/MEDIC, [IDOC number]
[@]

/MEDIC, [IDOC number] ... <<SEND>>
Initiates form for recording or updating medical information for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating medical information for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution, and that it belongs to an inmate of the institution.

EXAMPLES:

/MEDIC, A80018 <<SEND>>

/MEDIC, @ <<SEND>>

INITIATOR ERROR MESSAGE:

INVALID IDOC NUMBER

Either nothing was entered, what was entered is in the wrong form, or it does not belong to an inmate of the institution. Try typing an "A" or a "C" prefix, or correct the whole IDOC Number and re-send the initiator.

IDOC NUMBER: N18031 1

NAME:

2

MEDICAL OFFICER: 3

PHYSICAL DATE / / 4

PREVIOUS MEDICAL OFFICER: HOPKINS, POLLY 5

PREVIOUS DATE 07/15/81

GENERAL PHYSICAL CONDITION..... 7

HANDICAPPED..... 8

EPILEPSY HISTORY..... 9

HEART PROBLEMS..... 10

HYPERTENSION..... 11

TB HISTORY..... 12

ASTHMA..... 13

VD PROBLEMS..... 14

DIABETES HISTORY..... 15

LOW GALLERY..... 16

EMERGENCY CONDITION PRESENT..... 17

DESCRIPTION OF CONDITION..... 18

MEDICAL CONDITION - MEDICAL UNIT

SCREEN: MEDICAL HISTORY FORM

INSTRUCTIONS

1. IDOC NUMBER

This field displays the IDOC Number of the inmate whose medical condition is to be entered or updated. This is the same IDOC Number as the one entered on the initiator.

2. NAME

This field displays the name of the inmate whose medical information is to be entered or updated. Verify.

3. MEDICAL OFFICER

Enter the code for the name of the medical officer reporting on the inmate's condition. This must be an entry on CIMIS TABLE 36, ASSIGNMENT SUPERVISORS (123456789 = Doe, John J.).

4. PHYSICAL DATE

This field contains the date that the last physical examination was completed. The format of this field is MM/DD/YY.

5-6.

These items are system generated.

7. GENERAL PHYSICAL CONDITION

Enter the code for the inmate's general physical condition. This entry must be one of the following codes: F=Fair, G=Good, P=Poor.

8. HANDICAPPED

Enter a "Y" if the inmate is handicapped. Otherwise, leave blank. Enter an "N" to blank out the "Y".

9-15.

Not applicable, move to item 16.

MEDICAL CONDITION - MEDICAL UNIT

16. LOW GALLERY

Enter a "Y" if the inmate requires a Low Gallery. This is a medical decision made by the examining physician. An explanation must be entered in item 18.

17. EMERGENCY CONDITION PRESENT

Enter a "Y" if the inmate's medical condition requires special placement and/or considerations. If items C or D is checked by physician under the Medical Needs Placement Section of the Physician Examination Form, then enter a "Y". Otherwise, leave blank. Enter an "N" to blank out the "Y". Required, if anything is entered in 8 or 16.

18. DESCRIPTION OF CONDITION

Enter a description of the inmate's medical condition, up to 40 characters in length. If anything is entered in this field, a "Y" must be entered in 17, EMERGENCY CONDITION, PRESENT.

The Medical Unit will enter the information at the Medical Unit terminal or forward information to the CIMIS operator for input.

After all information is inputted, a complete report is run*. A copy of the report is sent to the Medical Unit for verification. A copy is kept in the inmate's medical record file.

*See Appendix B for run instructions.

RECEPTION AND CLASSIFICATION UNIT

Report 1

- Escape and Suicide Risk

Report 4

- Personal Background
- Employment

REPORTS 1, 5, 6

RECEPTION AND CLASSIFICATION PROCEDURES

GENERAL OVERVIEW OF R&C PROCEDURES:

The Counselor will receive the entire Reception Classification Report (#1, #2, #3, and blank #4 and #5 or #6) from CIMIS by the third day of intake. These procedures will be followed:

- A. After receiving the Report, the Counselor will interview the resident to:
 1. Verify Reports #1, #2, and #3.
 2. Record on Report #1 the suicide and escape risk assessments. These are determined from the Day 1 counselor interview, the psychologist's report, or the follow-up counselor interview and verification of Report #1.
 3. Obtain information for completion of Report #4. This information can be written on the Report itself. Send Report #4, additions to Report #1, and corrections for #1 and #3 back to the CIMIS operator.
 4. Obtain any additional information necessary to complete the Classification Summary Report.
- B. The Counselor will complete the classification instruments.
- C. The Classification Summary Report is dictated. All Special Needs and Administrative Concerns identified on the instrument must be documented.
- D. The R&C Supervisor will receive the verified editions of Reports #1, #2, #3 and #4 from the CIMIS office and Report #5/6 and Summary from the Counselor. The Supervisor is accountable for verifying that the entire Report is completed and acceptable. The Supervisor then signs the document.
- E. The R&C Unit Supervisor will forward the Inmate Security Designation information to the CIMIS operator for input into the system.
- F. Classification material will be distributed as follows:

Three copies of transfer requests with attached Reception Classification Report, (2 copies of Report #5 or #6), Classification Summary Report, Rap Sheet, and Statement of Fact, will be forwarded to Transfer Coordinator for approval. Copies of the above are also sent to the Prisoner Review Board. The original R&C Report is kept in the inmate's master file.

REPORT 1

ESCAPE AND ATTEMPTED SUICIDE SECTION

The last two items on Report #1 are "Escape" and "Suicide" risks. The Psychologist and Counselor are responsible for determining these risks. Determination for suicide risk will be based on the Psychologist's report and documented in the Classification Summary Report. Determination for escape risk will be based on the Counselor's interview which must be documented in the Classification Summary Report. The "History Format 2" Screen will be used to enter this data according to the following instructions.

The /HIST transaction is used to record and update inmate history and biographical data.

I/O PROCEDURES

INITIATOR:

/HIST, [IDOC number]
[@]

/HIST2, [IDOC number]
[@]

/HIST, [IDOC number] ... <<SEND>>
Initiates form for recording or updating history data for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

/HIST2, [IDOC number] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate with specified IDOC number.

/HIST2, [@] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution and that it belongs to an inmate of the institution.

RUN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 1
NAME: DOE, JOHN JULIET BRANCH (03)

IDOC NUMBER: N21234

***** RECORDS IDENTIFICATION

INMATE NAME: DOE, JOHN
SECURITY: UNKNOWN
GRADE:

DOCUMENT CONTROL NO. :
IR NO. :
BOT. NO. :
FBI NO. :
S.S. NO. : - -

() BIRTHDATE: / /
() BIRTHPLACE: UNKNOWN SEX: M

ALIAS LIST: N/A

OFFENSE DESCRIPTION

MIN SENTENCE/MAX SENTENCE OFFENSE COMMITTI
YR MO DAY YR MO DAY ADMIT DATE COUNTY

PROJECTED RELEASE DATE:

***** EMERGENCY INFORMATION

IN CASE OF EMERGENCY NOTIFY:

() RELATION: -- -- () PHONE - -
() NAME: () CITY:
() ADDRESS: () STATE: () ZIP:

() RELATION: -- -- () PHONE - -
() NAME: () CITY:
() ADDRESS: () STATE: () ZIP:

RUN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 1
NAME: DOE, JOHN JULIET BRANCH (03)

IDOC NUMBER: N21234

**** PHYSICAL INFORMATION

() HEIGHT: " () EYE COLOR:
() WEIGHT: LBS. () HAIR COLOR: () RACE:
() ETHNIC PREF:

MARKS AND SCARS:

() -- -- () -- --
() -- -- () -- --
() -- -- () -- --

() ESCAPE () ATTEMPTED SUICIDE

CONTINUED

1 OF 3

CIMIS:
FORM 115

ILLINOIS DEPARTMENT OF CORRECTIONS
HISTORY FORMAT 2

DATE: 04/02/82
TIME: 11:59

IDOC NUMBER: N18031 1
IN CASE OF EMERGENCY
NOTIFY
4

NAME: 2

SEX: M 3

REL ADDRESS
5 6

CITY
7

ST ZIP PHONE
8 9 10

OTHER KIN, SPOUSE,
OR FRIENDS
11

REL ADDRESS
12 13

CITY
14

ST ZIP PHONE
15 16 17/ -

DRUGS CURRENTLY USED: 18
CURRENT TREATMENT: 20

AGE STARTED: 19
COST/DAY: 21

ATTEMPT ESCAPE: 22

ATTEMPT SUICIDE: 23

SEXUAL RISK: 24

ASSAULTIVE: 25

MANIPULATIVE: 26

OWN PROTECT: 27

NUMBER OF PREVIOUS ADULT COMMITMENTS: IN ILLINOIS 28

OTHER JURISDICTIONS 29

MENTAL INSTITUTION: 30

CITY: 31

STATE: 32

LENGTH OF STAY: YEARS
33

MONTHS

RELEASED: 34

TYPE OF RELEASE: 35

ESCAPE AND ATTEMPTED SUICIDE - R&C

SCREEN: HISTORY FORMAT 2

INSTRUCTIONS

1. IDOC NUMBER

This is the same IDOC number as the one entered on the initiator.

2-20.

These fields should already be completed. Verify for accuracy.

21. COST/DAY

Disregard.

22. ATTEMPT ESCAPE

Enter a "Y", if the inmate is known to be an escape risk. Space out the "Y" to delete previous entry. Determination is based on psychologist/counselor report.

23. ATTEMPT SUICIDE

Enter a "Y", if the inmate is known to be a suicide risk. Space out the "Y" to delete previous entry. Determination is based on psychologist/counselor report.

24-27.

Not applicable. Disregard.

28-35.

These items should already be completed and verified.

PERSONAL/EMPLOYMENT HISTORY SECTION

The R&C Counselor is responsible for obtaining and verifying the information contained in Report #4. This information will be gathered through the interviewing process by the Counselor. Report #4, along with the verified Reports 1-3 will be returned by the Counselor to CIMIS for entry/re-verification procedures. The CIMIS operator will enter the information into CIMIS, and return the verified new run of Reports 1-4 to the R&C Supervisor.

PERSONAL EMPLOYMENT INFORMATION

The Personal Information and Employment Section of Report #3 is completed by using "History Format 1" Screen and following the instructions.

The /HIST transaction is used to record and update inmate history and biographical data. A second history form is returned on completion of the first. The /HIST2 transaction is used to initiate the second form without going through the first.

I/O PROCEDURES

INITIATOR:

/HIST, [IDOC number]
[@]

/HIST2, [IDOC number]
[@]

/HIST, [IDOC number] ... <<SEND>>
Initiates form for recording or updating history data for inmate with specified IDOC number.

/HIST, [@] ... <<SEND>>
Initiates form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

/HIST2, [IDOC number] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate with specified IDOC number.

/HIST2, [@] ... <<SEND>>
Initiates /HIST2 form for recording or updating history data for inmate whose IDOC number was last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution and that it belongs to an inmate of the institution.

RUN DATE: 05/16/82

RECEPTION CLASSIFICATION REPORT # 4
JOLIET BRANCH (03)

IDOC NUMBER: N21234

NAME: DOE, JOHN

***** PERSONAL INFORMATION

() LAST ADDRESS () CITY () STATE () ZIP () COUNTY

() CITIZENSHIP: -- -- () MARITAL STATUS: -- --

() NATIVE LANGUAGE: -- -- () NO. OF CHILDREN:

() RELIGION: -- --

() LAST SCHOOL: () LAST GRADE COMPLETED:

() MILITARY STATUS: -- -- () START DATE /

() MILITARY BRANCH: -- --

() DISCHARGE TYPE: -- -- () END DATE /

*** EMPLOYMENT INFORMATION

OCCUPATIONAL SKILLS:

() -- --

() -- --

() -- --

() SOURCE OF INCOME: -- --

() LAST EMPLOYER: () START DATE 00/00/00

() ADDRESS: () END DATE 00/00/00

() PREVIOUS EMPLOYER: () START DATE 00/00/00

() ADDRESS: () END DATE 00/00/00

CIMIS: ILLINOIS DEPARTMENT OF CORRECTIONS DATE: 04/02/8
 FORM 114 HISTORY FORMAT 1 TIME: 11:5
 IDOC NUMBER: N18031 1 NAME: 2 SEX: M 3
 ADDRESS: 4 CITY: 5 STATE: 6 ZIP: 7 CNTY: 8

PHONE: 9 RESIDENCY: 10 IN US: YES NO IN CITY: YES NO
 11 12

BIRTHDATE: PLACE: CITIZENSHIP: ETHNIC PREF. NATIVE LANG

HEIGHT: 13 WEIGHT: 14 HAIR: 15 EYES: 16 RACE: 17
 MARKS AND SCARS: 18 19 20 21 22

MARITAL STATUS: 23 NUMBER OF CHILDREN: 24 RELIGION:

LAST SCHOOL ATTENDED: 25 LAST GRADE COMPLETED:

OCCUPATIONAL SKILLS: 26 SOC-SEC NUMBER: 27 INCOME SOURCE:

EMPLOYER'S NAME EMPLOYER'S ADDRESS A/C PHONE STARTED ENDED

LAST - 32 33 34 35 36
 PREV -

MILITARY STATUS: BRANCH: DISCHARGE: ENTERED: 37 RELEASED: 38

39 40 41

PERSONAL/EMPLOYMENT HISTORY - R&C

SCREEN: HISTORY FORMAT 1

INSTRUCTIONS

- 1. IDOC NUMBER This is the same IDOC number as the one entered on the initiator.
- 2-8. These items should already be completed. VERIFY FOR ACCURACY.
- 9-12. Skip Items 9 through 12.
- 13-14. VERIFY FOR ACCURACY.
- 15. CITIZENSHIP Enter or update the code for the inmate's citizenship type. Use the following TABLE to enter the correct code.

CITIZENSHIP TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|---------------------|
| 1 | NATIVE BORN |
| 2 | NATURALIZED CITIZEN |
| 3 | ALIEN |
| 4 | FOREIGN NATIONAL |
| 5 | RESIDENT ALIEN |

- 16-21. These items should already be completed. VERIFY FOR ACCURACY.

- 22. NATIVE LANG Enter or update the code for the inmate's native language. Use the following TABLE to enter the correct code.

PERSONAL/EMPLOYMENT HISTORY - R&C

NATIVE LANGUAGE TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------|
| EN | ENGLISH SPEAKING |
| OT | OTHER |
| SP | SPANISH SPEAKING |

23. MARKS/SCARS Item 23 should be completed. VERIFY FOR ACCURACY.

24. MARITAL STATUS Enter or update the code for the inmate's marital status. Use the following TABLE to enter the correct code:

MARITAL STATUS TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------|
| C | COMMON LAW |
| D | DIVORCED |
| M | MARRIED |
| S | SINGLE |
| W | WIDOW/WIDOWER |
| X | SEPARATED |

25. NUMBER OF CHILDREN Enter or update the number of the inmate's dependent children, if any.

26. RELIGION Enter or update the code for the inmate's religion. Use the following TABLE to enter the correct code.

PERSONAL/EMPLOYMENT HISTORY - R&C

RELIGION TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|---------------------------------|
| 01 | SEVENTH DAY ADVENTISTS |
| 02 | AGNOSTIC |
| 05 | BAHAI |
| 16 | BAPTIST |
| 17 | NATION OF ISLAM (MUSLIM) |
| 19 | BUDDHIST |
| 21 | CATHOLIC |
| 22 | CHURCH OF CHRIST |
| 24 | CHRISTIAN SCIENCE |
| 28 | EAST ORTHODOX - OTHER |
| 35 | JEHOVAH'S WITNESS |
| 36 | JEWISH |
| 43 | LUTHERAN |
| 44 | MENNONITES |
| 49 | METHODIST |
| 50 | MOHOMMEDAN |
| 51 | MORMON |
| 52 | NAZARENE |
| 53 | NONE (NO RELIGIOUS AFFILIATION) |
| 54 | OTHER |
| 55 | PENTECOSTAL |
| 57 | PRESBYTERIAN |
| 58 | PROTESTANT |
| 59 | SATANIST |
| 60 | EPISCOPAL |
| 62 | SALVATION ARMY |
| 63 | SPIRITUALISTS |
| 68 | CHRISTIAN |

27. LAST SCHOOL ATTENDED Enter or update the name of the last school the inmate attended. Abbreviate, if necessary.

28. LAST GRADE COMPLETED Enter or update the number of the last school grade the inmate completed.

29. OCCUPATIONAL SKILLS Enter or update the code or codes for the inmate's occupational skill or skills. This code must be an entry on CIMIS TABLE 45, D.O.T. COMPATIBLE SKILLS CODES (149 = Art work).

PERSONAL/EMPLOYMENT HISTORY - R&C

30. SOC SEC NUMBER

Already completed. Verify.

31. INCOME SOURCE

Enter or update the code for the inmate's source of income. Use the following TABLE to enter the correct code.

SOURCE OF INCOME

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|---------------------------|
| 5 | SOCIAL SECURITY |
| 6 | PENSION |
| A | AID TO DEPENDENT CHILDREN |
| E | EMPLOYED |
| O | OTHER |
| P | PUBLIC AID |
| S | SELF-EMPLOYED |
| U | UNEMPLOYED |
| W | WELFARE |

32. EMPLOYER'S NAME

Enter or update the name of the inmate's most recent employer or employers.

33. EMPLOYER'S ADDRESS

Enter or update the address of the inmate's most recent employer or employers.

34. A/C PHONE

Enter or update the area code and telephone number of the inmate's most recent employer or employers.

35. STARTED

Enter or update the date (MM/DD/YY) the inmate started work for his or her most recent employer or employers.

36. ENDED

Enter or update the date (MM/DD/YY) the inmate ended work for his most recent employer or employers.

PERSONAL/EMPLOYMENT HISTORY - R&C

37. MILITARY STATUS

Enter or update the code for the inmate's military status. This entry must be V = Veteran or N = Non-Veteran.

38. BRANCH

Enter or update the code for the branch of the military in which the inmate served, if any. Use the following TABLE to enter the correct code.

MILITARY BRANCH TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------|
| A | ARMY |
| C | COAST GUARD |
| E | FOREIGN SERVICE |
| F | AIR FORCE |
| M | MARINES |
| N | NAVY |

39. DISCHARGE

Enter or update the code for the type of the inmate's military discharge, if any. Use the following TABLE to enter the correct code.

MILITARY DISCHARGE TYPE TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------------------|
| D | DISHONORABLE DISCHARGE |
| G | GEN (HONORABLE CONDUCT) |
| H | HONORABLE DISCHARGE |
| M | MEDICAL |
| O | GENERAL (OTHER THAN HONORABLE) |
| X | UNDESIRABLE DISCHARGE |

40. ENTERED

Enter or update the date (MM/YY) the inmate entered the military, if any. This must be a valid date not after today's date.

PERSONAL/EMPLOYMENT HISTORY - R&C

41. RELEASED

Enter or update the date (MM/YY) the inmate was released from military service, if any. This must be a valid date not after today's date.

You may now collect information from the resident necessary for the completion of the Classification Summary Report. This information includes:

1. Inmate's Version of the Offense
2. Enemies
3. Gang Membership
4. Additional Facts Pertinent to Placement

FEMALE CLASSIFICATION

Report 5

ASSESSMENT INSTRUMENT

1. Security Designation
 - Adjustment Index
 - Dangerous Index
2. Critical Special Needs
3. Administrative Concerns
4. Cottage Placement

RUN DATE: 04/14/82 RECEPTION CLASSIFICATION REPORT # 5
NAME: DOE, JANE DWIGHT (06)

IDOC NUMBER: N21235

EVALUATION DATE: _____

*** SECURITY DESIGNATION ***

DANGEROUS SCORE

1. AGE AT ADMISSION
0 = 30 OR OLDER 2 = 23-25 4 = 20 OR YOUNGER
1 = 26-29 3 = 21-22

2. NUMBER OF PRIOR CONVICTIONS
0 = NONE 2 = TWO 4 = FIVE OR MORE
1 = ONE 3 = THREE, FOUR

3. CURRENT OFFENSE DANGEROUSNESS
(SEE CODE SHEET A)

4. PAST OFFENSE DANGEROUSNESS
(SEE CODE SHEET A)

5. ADD 1 THRU 4 TOTAL DANGEROUS SCORE
(ENTER SUM)

ADJUSTMENT SCORE

6. AGE AT ADMISSION
0 = 30 OR OLDER 2 = 23-25 4 = 20 OR YOUNGER
1 = 26-29 3 = 21-22

7. NUMBER OF PRIOR CONVICTIONS
0 = NONE 2 = TWO 4 = FIVE OR MORE
1 = ONE 3 = THREE, FOUR

8. AGE AT FIRST CONVICTION
0 = 28 OR OLDER 2 = 21-23 4 = 18 OR YOUNGER
1 = 24-27 3 = 19-20

9. ABSENCE FROM SUPERVISION OR CONTROL
0 = NONE
4 = ONE OR MORE ACTS OF: FAILURE TO REPORT OR TO APPEAR
ON BOND, BOND JUMPING OR FLEEING, ABSCONDING FROM
PROBATION OR PAROLE, FLEEING LAW ENFORCEMENT OFFICER,
ESCAPE OR ATTEMPTED ESCAPE FROM JAIL, PRISON, OR
WORK-RELEASE CENTER INCLUDING 'WALK-AWAYS'.

10. SUPERVISION FAILURE (+ OR -)
-4 = ALL SUPERVISIONS SUCCESSFUL
-2 = NO SUPERVISION
0 = ONLY FLEEING FROM SUPERVISION OUTCOME/UNKNOWN
+2 = TECHNICAL FAILURE ONLY
+4 = NEW OFFENSE FAILURE

11. ADD 6 THRU 10 TOTAL ADJUSTMENT SCORE CIRCLE +
(ENTER SUM) ONE -

RUN DATE: 04/14/82 RECEPTION CLASSIFICATION REPORT # 5
NAME: DOE, JANE DWIGHT (06)

IDOC NUMBER: N21235

*** INITIAL SECURITY DESIGNATION ***

ADJUSTMENT SCORE RANGE
6 = LOW 0-3
3 = MODERATE 4-8
1 = HIGH 9+

13. DANGEROUS SCORE RANGE
6 = LOW 0-3
3 = MODERATE 4-8
1 = HIGH 9+

SECURITY LEVEL DESIGNATION
(SEE CODE SHEET C)

15. COUNSELOR'S COMMENTS:

COUNSELOR'S SIGNATURE AND DATE: _____ (O)
SIGNATURE CODE DATE

R & C SUPERVISOR'S REVIEW: _____ (P)
INITIALS

REPORT 5

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

In this section are two assessment indexes, one for adjustment and the other for dangerousness. The sources of information are the Rap Sheet, and/or any past records of incarceration from our own reception and institution files, and what is known about the individual from the State's Attorney's Statement of Fact. The indicators for each index are added for a separate score. The two scores provide initial assessments of how likely the person is to commit acts of assault/violence and/or escape and violation of rules while in the institution.

You will need the following information to complete this section:

- o Statement of Fact or Detainer
- o Reports #1, #2, #3 and #4 of Reception Classification Report
- o IBI Rap Sheet
- o Probation and Parole Reports

The computer generated Report #5 will be manually completed by an R&C Counselor. All calculations will be checked and verified. During the validation phase, two copies of the instrument will be forwarded to the Transfer Coordinator (TC). Upon final authorization, the data will be input into a classification subfile by ISU. Routine monitoring reports will be returned to the institution.

INSTRUCTIONS

SECURITY DESIGNATION
DANGEROUSNESS SCORING

1. Age at Current Admission

- 0 = 30 or older
- 1 = 26-29
- 2 = 23-25
- 3 = 21-22
- 4 = 20 or younger

Age at Admission is calculated by taking the admission date (year and month) of the offense identified in Report #1, and subtracting the birthdate (year and month) found in Record Identification Section of Report #1. Current admission date for violators must be obtained from the filmsy. This will yield Age at Admission in years and months. Find the code number listed on the form for the resulting figure and enter it on line (A). If the person is 9 months into the next year, round off to the next highest year.

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

CRITERIA FOR COUNTING CONVICTIONS

Count an offense as a conviction only if it resulted in one of the following:

- o Conditional Discharge
- o Probation
- o Parole
- o Some Other Form of Supervision
- o Periodic Imprisonment
- o Jail Sentence
- o Prison Sentence

Instructions on what to count: Count only felonies as convictions. All counts will be counted as independent convictions.

If unclear whether the offense is a felony or misdemeanor, count the offense as a felony.

Attempts are covered under the same statute as completed crimes, but a class lower. For example, Attempted Murder is under the same statute as Murder. The difference is that Attempted is Class X, whereas Murder is Class M. So, for counting purposes, count "Attempts" as if it was a completed crime.

2. Number of Convictions

- 0 = none
- 1 = one
- 2 = two
- 3 = three, four
- 4 = five or more

The number of prior convictions should be taken from the IBI Rap Sheet. Using the criteria, enter the code number on line 2(B).

3. Current Offense Dangerousness (See Code Sheet A)

This item is included for two reasons: (A) It assesses the dangerousness level of the behavior associated with the current offense or (B), it assesses the dangerousness level of the behavior associated with a detainer related offense, if that offense should be of a higher dangerousness score than the current offense.

Information should be obtained from the Statement of Fact or from a detainer, if there has been a conviction. NOTE: If there is a detainer and no conviction,

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

additional information must be obtained from the indicated jurisdiction.

Use Code Sheet B and fit the behavior into one of the categories listed on the left hand side of the code sheet, then enter the corresponding code at 3(C).

4. Past Offense Dangerousness
(See Code Sheet A)

This item assesses the dangerousness level of past offenses. Only documented convictions may be used and they must be in accordance with conviction criteria. Use the Statement of Fact to review the convictions which yield the highest score from the right hand column of Code Sheet A. The corresponding code should be entered on line 4(D).

5. Total Dangerousness Score
Add 1 thru 4

Add the numbers entered for items A through D, and enter the total on 5(E). This gives the Total Dangerousness Score. This score will be used on the Dangerousness Scale to establish the initial assessment of the likelihood of the offender having dangerous violation tickets in the institution.

SECURITY DESIGNATION
ADJUSTMENT SCORING

6. Age at Admission

- 0 = 30 or older
- 1 = 26-29
- 2 = 23-25
- 3 = 21-22
- 4 = 20 or younger

INSTRUCTIONS

Use the same score that was used in item 1(A). Example: If '4' (20 or younger) was used in item 1(A), then '4' would also be entered for item 6(F).

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

7. Number of Prior Convictions

- 0 - none
- 1 = one
- 2 = two
- 3 = three, four
- 4 = five or more

Use the same score that was used in item 2(B). Example: If '3' (three, four) was used in item 2(B), then '3' would also be entered for item 7(G).

8. Age at First Conviction

- 0 = 28 or older
- 1 = 24-27
- 2 = 21-23
- 3 = 19-20
- 4 = 18 or younger

Only documented convictions may be used, and they must meet the conviction criteria. From the IBI Rap Sheet, take the date (year-month) of the first offense and subtract the birthdate (year-month) found in Record Identification section of Report #1. Find the code number listed on the form for the resulting figure and enter it on 8(H). If the person is 9 months into the next year, round off to the next highest year.

9. Absence from Supervision or Control

- 0 = none
- 4 = one or more acts of

Absence from supervision or control is defined as failure to report or to appear on bond, bond jumping or fleeing, absconding from probation or parole, fleeing law enforcement officer, escape or attempted escape from jail, prison or work release center, including "walk-aways".

The purpose of this item is to ascertain if a person has ever fled from or removed themselves from some type of supervision while in the community or escaped from a prison - this includes attempted escapes. Information should be obtained from parole/probation reports, rap sheets or institutional disciplinary tickets. Using the information on the form, enter the proper number (0 or 4) on line 9(I).

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

10. Supervision Failure (+ or -)
- 4 = all supervisions successful
 - 2 = no supervision
 - 0 = only fleeing from supervision outcome/unknown
 - +2 = technical failure only
 - +4 = new offense failure

Circle One +
 -

The purpose of this item is to determine if there were any technical violations of the conditions of supervision other than those covered by item 9. This information can be found on probation/parole reports, rap sheets or the face sheet. Use the scale to enter the score on line 10(J).

When you enter -4 for all supervisions successful or -2 for no supervision, then circle the (-) sign. When you enter 0 for only fleeing from supervision outcome/unknown or +2 for technical failure only or +4 for new offense failure, then circle the (+) sign.

11. Total Adjustment Score

Circle One +
 -

Add the numbers for items 5, 6, 7, 8 and 9, and enter the total on line 11(K).

If the final adjustment score is positive, circle the (+) sign. If the final adjustment score is negative, circle the (-) sign. This gives the Total Adjustment Score. This score will be used to establish the initial assessment of the likelihood of the offender having adjustment violation tickets in the institution.

INITIAL SECURITY DESIGNATION

The Total Adjustment Score and the Total Dangerousness Score will now be used to complete the Initial Security Designation.

INSTRUCTIONS

12. Adjustment Score Range (See Code Sheet B)

6 = Low 0 - 3
3 = Moderate 4 - 8
1 = High 9 +

Using the Adjustment Score found at item 11(K), determine whether the score falls in the low, moderate or high part of the scale. Enter the proper code on line 12(L).

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

13. Dangerous Score Range (See Code Sheet B)

6 = Low 0 - 4
3 = Moderate 5 - 8
1 = High 9 +

Using the Dangerous Score found at item 5(E), determine whether the score falls in the low, moderate or high part of the scale. Enter the code on line 13(M).

14. Security Level Designation (See Code Sheet B)

Use Code Sheet B. Take the Adjustment Score range (low, moderate, or high) from item 12(L) and locate on Adjustment Scale of Code Sheet B. Take the Dangerous Score range (low, moderate, or high) from item 13(M) and locate on the Dangerous Scale of Code Sheet B. Draw a line down from the proper range of the Danger Scale until it intersects with the proper range of the Adjustment Scale. The number in the box where the lines cross is the Security Designation Score. Enter the Security Level Designation Score from the cell in the matrix at item 14(N). The Security Level Designation may range from 1 to 6. The lower the number entered on line 14(N), the higher the Security Level Designation.

15. Counselor's Comments

Counselor may enter any comments that are relevant to security level determination.

16. Counselor's Signature

The counselor completing the security designation portion of Report #5 must sign and date form upon completion. This indicates that the assessment is complete, factual and accurate. Counselor will enter designated code.

17. Supervisor's Initials

The supervisor, after reviewing the form for completion and accuracy, initials the form. This indicates that the supervisor has checked the form and finds all calculations to be correct.

*** PLACEMENT CONCERNS ***

1. CRITICAL SPECIAL NEEDS REQUIRING PLACEMENT CONSIDERATION: _____ (A)
A. NONE.....ENTER 0
B. MEDICAL PLACEMENT.....ENTER 1
C. MENTAL HEALTH PLACEMENT.....ENTER 2
D. PHYSICAL IMPAIRMENT.....ENTER 3
E. OTHER SPECIAL NEED WHICH AFFECTS PLACEMENT..ENTER 4
(MUST DOCUMENT IN SUMMARY REPORT)

2. ADMINISTRATIVE CONCERNS REQUIRING PLACEMENT CONSIDERATIONS: _____ (B) _____ (C) _____ (D)
A. NONE.....ENTER 0
B. KEEP SEPARATE FROM.....ENTER 1
C. KNOWN GANG AFFILIATION.....ENTER 2
D. MAJOR CRIMINAL CHARGES PENDING.....ENTER 3
E. PROTECTIVE CUSTODY/SAFEKEEPING.....ENTER 4
F. THREAT TO INSTITUTION SECURITY.....ENTER 7
G. UNDERRATED SECURITY DESIGNATION SCORE.....ENTER 8
H. OTHER ADMINISTRATIVE CONCERN.....ENTER 9
(MUST DOCUMENT IN SUMMARY REPORT)

3. SECURITY LEVEL RECOMMENDATIONS: _____ (E)
SECURITY LEVEL FROM 14 (N)
R & C RECOMMENDED CHANGE OF SECURITY LEVEL (COMMENT AT 5) _____ (F)

4. EXPLANATION OF CLASSIFICATION ACTION: _____ (G) _____ (H) _____ (I)
A. SECURITY LEVEL - TOO HIGH.....ENTER 1
B. SECURITY LEVEL - TOO LOW.....ENTER 2
C. ADMINISTRATIVE CONCERNS REQUIRES SPECIAL PLACEMENT.....ENTER 3
D. CRITICAL NEEDS REQUIRES SPECIAL PLACEMENT.....ENTER 4
E. INITIAL SECURITY LEVEL RAISED DUE TO INSTITUTION DISCIPLINARY ACTION DURING RECEPTION.....ENTER 5

5. WRITTEN EXPLANATION OF DISAGREEMENT:

| PLACEMENT RECOMMENDATIONS: | | COTTAGE CODE |
|---|-------|--------------|
| RANK ORDER OF RECOMMENDED COTTAGE PLACEMENT | | |
| #1 | _____ | _____ (J) |
| #2 | _____ | _____ (K) |
| #3 | _____ | _____ (L) |

COUNSELOR'S SIGNATURE CODE R & C SUPERVISOR'S SIGNATURE

- *****
- WARDEN'S ACTIONS:
1 = CONCURS WITH RECOMMENDATION _____ (A)
2 = DOES NOT CONCUR WITH RECOMMENDATION

FINAL SECURITY DESIGNATION LEVEL _____ (B)

COTTAGE OF ACTUAL PLACEMENT (IF DIFFERENT FROM RECOMMENDED) _____ (C)
DATE _____

COMMENTS

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

PLACEMENT CONCERNS

In this section, cottage placement recommendations will be made on the basis of the Security Designation, Special Needs and Administrative Concerns.

Complete assessment in the medical, mental health, physical impairment and other special need areas are included in the classification process. The classification system establishes procedures to assess an inmate's Critical Special Needs. These needs may impact on final placement.

In the classification system, Administrative Concerns must also be taken into account in making the placement decision. Concerns such as gang affiliation, protective custody, and enemies are of utmost importance in the management and control of the institutional environment. In some cases these concerns may supercede the role security level plays in the placement decision, but does not change the security rating.

INSTRUCTIONS

1. Critical Special Needs

Special needs will be identified on the basis of counselor interviews, psychological reports, and medical information. If there are no Critical Special Needs, enter 0 at 1(A). If a special need is identified, enter the corresponding number (1-4) at 1(A). An identified special need must be documented in the Classification Summary Report.

2. Administrative Concerns

Administrative concerns refer to information about an inmate of concern to the management and control of the institutional situation. They are conditions which are of administrative interest in the placement of an inmate. If an administrative concern is noted, enter the corresponding number (1-9) at 2(B) through (D). If there is more than one concern, list the more serious first, then the second and finally, third. Each concern must be documented in the Classification Summary Report.

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

3. Security Level
From Item 22(C)

Enter the Security Level Designation from 22(C) at 3(E).

R&C Recommended Change of
Security Level

If the supervisor agrees with the security level designation, then enter 0 at 3(F). If the supervisor disagrees with the above Security Level Designation; then a recommended change of security level is entered at 3(F), using Code Sheet B. If a change is recommended, then a written explanation must be provided at 5. The basis of this recommendation is the supervisor's opinion that the items on the instrument have either overweighted or underweighted the security level of this case.

4. Explanation of Classification
Action

There are two sets of actions recorded here. The first two items, A and B, record reasons for changes to the Security Level Designation. If there was no recommended change, then enter 0 at 3(G). If change was recommended, then indicate the direction of the change by entering either 1 or 2 at 4(G).

Items C, D and E record reasons for placement change different from security level. Indicate reasons for placement change in 3(H)-(I) in order of importance.

5. Written Explanation of
Disagreement

Provide a detailed, written explanation justifying a change in Security Level Designation, based on security designation factors.

6. Placement Recommendations

If there is not a recommended change at 3(F), then the security level at 3(E) will be the recommendation. If there was a recommended change at 3(F), then the security level at 3(F) is the recommendation, subject to final approval by the Transfer Coordinator. If there are no Critical Special Needs or Administrative Concerns, use the designated/recommended security level of the inmate to locate the appropriate cottage for

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

placement from Code Sheet C. If there are Critical Special Needs noted in Placement Concerns, item 1, or Administrative Concerns noted in item 2, then make the appropriate cottage placement recommendation if either takes precedent over security level designation. The explanation for the placement recommendation action is provided in item 4. See 4(G) and 4(H)-(I). List in rank order recommended cottages, placing the corresponding cottage code obtained from Code Sheet C in 6(J)-(L).

7. Counselor's Signature

The counselor or supervisor completing Placement Concerns must sign at 6(M). This indicates that placement recommendations are complete, factual and accurate. The counselor will enter her designated code.

The completed, verified, CIMIS updated reports 1-4 with 5 attached are sent to the R&C Supervisor.

8. R&C Supervisor's Signature

R&C Supervisor must sign at 6(N). This indicates that the supervisor has reviewed and accepts the entire Reception Classification Report and agrees with recommended placement.

WARDEN'S ACTION ON RECOMMENDED PLACEMENT

INSTRUCTIONS

9. Warden's Action

If the Warden concurs with one of the recommended cottages, then a 1 is entered in 7(A), and one of the cottage codes from 6(J)-(L) will be entered at 7(C). If the Warden does not concur with recommended placement, then a 2 is entered at 7(A). If she overrides the security designation, then the new level must be entered at 8(B). Cottage of actual placement will be based on the new security level and entered at B. A written explanation must be provided for

FEMALE CLASSIFICATION - SECURITY DESIGNATION AND PLACEMENT

the override. The Warden signs and dates the form.

The R&C Supervisor then forwards the inmate's Security Level Designation to CIMIS for input. See report /INMSEC.

Transfer requests with attached Reception Classification Report, (2 copies of Report #5) Classification Summary Report, Rap Sheet, and Statement of Fact will be forwarded to Transfer Coordinator for approval.

CODE SHEET A

CURRENT OFFENSE
DANGEROUSNESS

BEHAVIOR

PAST OFFENSE
DANGEROUSNESS

0

Verbal threats, directed at someone

0

1

Verbal threats, directed at someone or others (intimidation, threatening phone calls, unlawful use of weapons, MISD.) Resisting, obstructing police officer.

1

1

Non-directed physical aggression (physical aggression against property rather than people. Example: person gets angry and destroys a person's property). (Mob action, arson, criminal damage).

2

1

Physical aggression directed against another which includes actual minor physical or emotional harm. (Battery, unlawful restraint, unlawful use of weapons - felony).

2

2

Death, result of negligency (reckless homicide, involuntary manslaughter).

2

CURRENT OFFENSE
DANGEROUSNESS

BEHAVIOR

PAST OFFENSE
DANGEROUSNESS

2

Physical aggression directed against another which includes major physical and/or emotional harm (does not include use of weapon). (Incest, indecent liberties with a child).

2

2

Death, direct participation by the victim (crimes of passion, voluntary manslaughter and other deaths where there is evidence of provocation at the time of the commission of the offense).

3

3

Aggression against another person where there is use of life threatening force. Death is not an outcome but there is the presence of severe trauma and/or torture (Psychological or physical). (Rape, deviate sexual assault, aggravated battery, kidnapping, armed robbery, home invasion, attempted murder, use of weapon in commission of a felony against the person, aggravated incest, arson).

3

4

Death by murder without aggravating circumstances, no excessive deliberate force or harm. (Example: Bank robber is fleeing the scene and shoots bank teller).

3

CODE SHEET B

SECURITY LEVEL DESIGNATION

Dwight Initial Reception Classification Matrix

Dangerousness Scale

| | Low (0 - 4) | Moderate (5 - 8) | High (9 +) |
|--|----------------|---------------------|----------------|
|--|----------------|---------------------|----------------|

| | | 6 | 3 | 1 |
|---|-------------------|--------|---|---|
| A D J U S T M E N T S C A L E | Low (0-3) | 6 6 | 4 | 1 |
| | Moderate (4-8) | 3 5 | 3 | 1 |
| | High (9 +) | 1 2 | 2 | 1 |

Maximum = 1, 2

Medium = 5, 4, 3

Minimum = 6, 7

CODE SHEET C: COTTAGES SECURITY LEVELS AND CODES

| <u>COTTAGE SECURITY LEVEL</u> | <u>INMATE SECURITY DESIGNATION</u> | <u>COTTAGE CODE</u> |
|-------------------------------|------------------------------------|---------------------|
| <u>Level 1-2 - Maximum</u> | | |
| Cottage 9 | Level 1 | 09 |
| Cottage 10 | Level 2 | 10 |
| Cottage 14 | Level 3 | 14 |
| <u>Level 3-5 - Medium</u> | | |
| Cottage 3 | Level 4 | 03 |
| Cottage 5 | | 05 |
| Cottage 6 | Level 5 | 06 |
| Cottage 11 | | 11 |
| <u>Level 6-7 - Minimum</u> | | |
| Cottage 1 | Level 6 | 01 |
| Cottage 2 | | 02 |
| Cottage 4 | Level 7 | 04 |
| Cottage 7 | | 07 |
| <u>Mental Health</u> | | |
| Cottage 8 | | 08 |
| <u>Special Unit</u> | | |
| Cottage 12 | | 12 |

MALE CLASSIFICATION

Report 6

ASSESSMENT INSTRUMENT

1. Security Designation
 - Dangerousness Index
 - Adjustment Index
2. Critical Special Needs
3. Administrative Concerns
4. Institution Placement

UN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 6
JULIET BRANCH (03) IDOC NUMBER: N21234
NAME: DOE, JOHN

EVALUATION DATE: _____

*** SECURITY DESIGNATION ***

ADJUSTMENT SCORE

70 . 00

AGE AT CURRENT ADMISSION - _____
SUBTRACT CURRENT AGE FROM 70) ===== (A)

1A. AGE SCORE _____ (ENTER AT A)

AGE AT CURRENT ADMISSION _____
SUBTRACT 14 FROM CURRENT AGE) - 14 . 00
=====

1B. AGE AT ADMISSION SCORE _____ (ENTER IN SPACES UNDER
COLUMN B FOR 2, 3, 4)

EE CODE SHEET B FOR 2-5

CONVICTIONS X WT/ (COLUMN B) _____

2. NUMBER OF CONVICTIONS _____ X 40/ _____ = _____ (B)
(INCLUDING CURRENT)

3. NUMBER OF CONVICTIONS _____ X 30/ _____ = _____ (C)
FOR DRUGS/ALCOHOL

4. NUMBER OF CONVICTIONS _____ X 10/ _____ = _____ (D)
FOR BURGLARY/THEFT

5. CURRENT OFFENSE TYPE _____ (E)
ENTER 5 AT (E) IF BURGLARY/THEFT OR ESCAPE,
OTHERWISE ENTER 0 (ZERO) AT (E)

6. MARITAL SCORE _____ (F)
ENTER 5 AT (F) IF NEVER MARRIED,
OTHERWISE ENTER 0 (ZERO) AT (F)

7. ADD A THROUGH F ENTER SUM _____ (G)

8. EMPLOYMENT CREDIT _____ (H)
ENTER 10 AT (H) IF FULLY EMPLOYED,
OTHERWISE ENTER 0 (ZERO) AT (H)

9. TOTAL ADJUSTMENT SCORE _____ (I)
(SUBTRACT H FROM G, ENTER DIFFERENCE AT I)

UN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 6
JULIET BRANCH (03) IDOC NUMBER: N21234
NAME: DOE, JOHN

ADJUSTMENT SCORE

70 . 00

AGE AT CURRENT ADMISSION - _____
SUBTRACT CURRENT AGE FROM 70) ===== (A)

AGE SCORE _____ (ENTER AT A)

AGE AT CURRENT ADMISSION _____
(SUBTRACT 14 FROM CURRENT AGE) - 14 . 00
=====

AGE AT ADMISSION SCORE _____ (ENTER IN SPACES UNDER
COLUMN B FOR 11, 12, 13)

EE CODE SHEET B FOR 11-14

CONVICTIONS X WT/ (COLUMN B) _____

NUMBER OF CONVICTIONS _____ X 80/ _____ = _____ (B)
RECKLESS CONDUCT

NUMBER OF CONVICTIONS _____ X 40/ _____ = _____ (C)
FOR ESCAPE

NUMBER OF CONVICTIONS _____ X 30/ _____ = _____ (D)
FOR BURGLARY/THEFT

CURRENT OFFENSE TYPE _____ (E)
ENTER 10 AT (E) IF VIOLENCE AGAINST PERSON,
OTHERWISE ENTER 0 (ZERO) AT (E)

CURRENT OFFENSE SERIOUSNESS _____ (F)
ENTER 10 AT (F) IF 5 OR HIGHER FROM CODE SHEET C,
OTHERWISE ENTER 0 (ZERO) AT (F)

PRIOR SUPERVISION OUTCOME _____ (G)
ENTER 10 AT (G) IF TECHNICAL VIOLATION,
OTHERWISE ENTER 0 (ZERO) AT (G)

SECURITY DESIGNATION PROCEDURES

ADJUSTMENT SCORING

INSTRUCTIONS

1. Age at Current Admission

The value calculated for current age must be entered in 2 places. It will be used to calculate Age Score and Age at Admission Score. In order to calculate current age, use the following conversion procedures, converting months to a decimal.

First: current age is calculated by taking the current admission date (year & month), of the offense identified in Report #1, and subtracting the birthdate (year & month) found in Record Identification Section of Report #1. This will yield a current age in years and months. The current admission date for violators must be obtained from the flimsy.

NOTE: For all months less than 10, place a zero in front of month. Thus, January = 01; February = 02, etc.

Example: For a person born in June, 1948, and admitted in June, 1981, the calculation is:

| | |
|-------|-------|
| Admit | 8106 |
| Birth | -4806 |
| | 3300 |

Thus, the current age is 33 years and no (00) months.

NOTE: When the birthdate month is larger than the admit date month, you must do the following: reduce the admit date year by 1 and add 12 (months) to admit date month.

Example: For a person born September, 1948 and admitted June, 1981, the dates are:

| | |
|-------|------|
| Admit | 8106 |
| Birth | 4809 |

In order to do the calculation, convert the admit date to 8018 and subtract 4809:

| |
|--------|
| 8018 |
| - 4809 |
| 3209 |

Thus, the current age is 32 years and 9 months.

Second: convert months to a decimal as shown in the following table:

Conversion Table of Months to Decimals

| <u>Month</u> | <u>Decimal Equivalent</u> |
|--------------|---------------------------|
| 1 | .08 |
| 2 | .17 |
| 3 | .25 |
| 4 | .33 |
| 5 | .42 |
| 6 | .50 |
| 7 | .58 |
| 8 | .67 |
| 9 | .75 |
| 10 | .83 |
| 11 | .92 |

Thus, for the above examples:
33 years 00 months = 33.00 years
32 years 09 months = 32.75 years

This decimal current age is entered at 1. Age at Current Admission. Use two decimal places for your calculations: 32.75 years.

a. Age Score

To calculate the Age Score, subtract the Current Age at Admission from 70.00. Do this by entering current age in years in the appropriate spaces and subtracting. This will yield Age Score to be entered at line (A) on the right hand side of the form.

NOTE: for ages 17-35, the Age Score can be obtained directly from the table on Code Sheet A-1.

SECURITY DESIGNATION - R&C

b. Age at Admission Score To calculate Age at Admission Score, subtract 14.00 from the current age. Do this by entering current age in the appropriate spaces and subtracting. This will yield the Age at Admission Score.

NOTE: For ages 17-35, Age at Admission Score can be obtained directly from the table on Code Sheet A-2.

Enter Age at Admission Score under column B in the lines provided at items 2, 3 and 4.

CRITERIA FOR COUNTING CONVICTIONS

NOTE: For items 2, 3, 4, 5, 11, 12, 13 and 14, refer to Code Sheet B. For detailed offense information, see Sentencing Code Book or CIMIS Table 50.

Count an offense as a conviction only if it resulted in one of the following:

- o Restitution
- o Fine
- o Conditional Discharge
- o Probation
- o Parole
- o Some Other Form of Supervision
- o Periodic Imprisonment
- o Jail Sentence
- o Prison Sentence

Instructions on what to count: Count all felonies as convictions. All counts will be counted as independent convictions. Where specified count all misdemeanants in Code Sheet B for items 2, 3, 4, 5, 11, 12, 13, and 14, including fines and restitution. In item 2 you should count misdemeanants also found in Table 50 if the conviction resulted in a jail sentence of greater than 30 days. (Note additional instructions for item 11.)

Attempts are covered under the same statute as completed crimes, but a class lower. For example, Attempted Murder is under the same statute as Murder. The difference is that Attempted is Class X, whereas Murder is Class M. So, for counting purposes, count "Attempts" as if it was a completed crime.

SECURITY DESIGNATION - R&C

2. Number of Convictions (including current) (See Code Sheet B and CIMIS Table 50)

The number of prior convictions using the IBI Rap Sheet for priors and Report #2 for current. Using the criteria, each conviction may be counted only once. Enter the total number of convictions in appropriate space provided and multiply by 40. Misdemeanants included on Code Sheet B must be counted, plus misdemeanants which resulted in a jail sentence of over 30 days. Then divide by Age at Admission Score. Enter result at (B) on right hand side of line 2.

3. Number of Convictions for Drug/Alcohol (See Code Sheet B Pages 133-137)

Using Report #2, Rap Sheets and Criteria for Convictions, count the number of drug and alcohol convictions. The following offense conviction, both felony and misdemeanor, should be counted using Code Sheet B, which provides a detailed breakdown of offenses:

- o Cannabis
- o Controlled Substances
- o Driving Under Influence of Drugs/Alcohol
- o Hypodermic Syringes/Needles Act
- o Liquor
- o Manufacture, Delivery, Sale
- o Possession of Alcohol by Minor
- o Minor Misrepresentation of Age

Enter total number of convictions in the appropriate spaces, multiply by 30. Then divide by Age at Admission Score. Enter results at (C) on right hand side of item 3.

4. Number of Convictions for Burglary/Theft (See Code Sheet B Pages 131-132)

Using Report #2, Rap Sheets and Criteria for Convictions, count the number of burglary/theft convictions. The following offenses should be counted using Code Sheet B, which provides a detailed breakdown of offenses:

- o Burglary (all classes)
- o Theft (all classes)
- o Deceptive Practices (all classes)
- o Forgery (all classes)

SECURITY DESIGNATION - R&C

- o Inducements to Sell/Purchase Realty
- o Looting
- o Criminal Housing Management
- o Criminal Misrepresentation of Factoring
- o Criminal Trespass to State Institution
- o Criminal Trespass to a Vehicle
- o Criminal Usury

Enter total number of convictions in appropriate spaces, multiply by 10, and divide by Age at Admission Score. Enter result at (D) on right hand side of item 4.

5. Current Offense Type (See Code Sheet B or offense code listings in Table 50. Pages 131-132 and 138-139)

Determine whether any of the current admitting offenses are either burglary/theft or escape; if so, enter 5 at E. If none of current admitting offenses are either burglary/theft or escape, enter 0 at E of line 5.

6. Marital Score (Never Married)

From Report #4, "Marital Status," identify whether the inmate has ever been legally married. If the inmate has never been married, enter 5 at (F) on right hand side of line 6.

7. Add A through F

Add the scores from lines A, B, C, D, E and F, and enter the total score at line G.

8. Employment Credit

Full employment means working for at least 6 months at a minimum of 35 hours a week prior to the commission of the offense. This information will be obtained through the counselor interview. Employment must be documented to receive credit. This may be verified through a confirmation phone call or letter to the employer by the counselor. Date, person, and outcome of contact must be noted underneath the last employer address on Report #4. The pre-sentence report may provide

SECURITY DESIGNATION - R&C

verification. Enter appropriate credit next to (H) at right hand side of line 7.

9. Total Adjustment Score

Subtract H from G, and enter difference next to (I) on right hand side of line 9. This gives the Total Adjustment Score. This score will be used on the adjustment scale to establish the likelihood of the offender having institutional adjustment behavior problem tickets.

SECURITY DESIGNATION DANGEROUSNESS SCORE

INSTRUCTIONS

10. Age at Current Admission

The first three calculations are the same procedure and numbers as you obtained for the Adjustment Scoring items 1A and 1B. These Age Scores can be copied from there.

a. Age Score

Take the Age Score found in item 1 at (A) and place in (A) of item 10.

b. Age at Admission Score

Take the score found in item 1B and enter under column B for items 11, 12 and 13.

NOTE: For calculations on lines 11, 12 and 13, use the same Code Sheet B and the same conviction criteria as used for Adjustment Scoring.

11. Number of Convictions Reckless Conduct (See Code Sheet B Reckless Conduct Page 138)

NOTE: Use the Rap Sheet and the same definitions of convictions used previously. In addition, you must count all misdemeanor convictions for reckless and disorderly conduct, listed on Code Sheet B and Table 50, whether a jail sentence was received or not. Using Report #2, the Rap Sheet, Table 50, and Code Sheet B, count convictions for the following offenses:

SECURITY DESIGNATION - R&C

- o Disorderly Conduct (all classes)
- o Interfering With an Institution of Higher Learning
- o Intimidation
- o Mob Action (all classes)
- o Reckless Conduct
- o Resisting/Obstructing Peace Officer

Enter number of convictions in appropriate spaces, multiply by 80, and divide by Age at Admission Score. Enter result at (B) on right hand side of item 11.

12. Number of Convictions for Escape (See Code Sheet B Escapes, Pages 138-139)

From Report #2 and the Rap Sheet, count convictions (felony and misdemeanor) for following offenses, using Code Sheet B.

- o Escape (all classes)
- o Aiding Escape (all classes)
- o Bail Bond, Violation

Enter number of convictions, multiply by 40, divide by Age at Admission Score. Enter result at (C) on right hand side of line 12.

13. Number of Convictions for Burglary/Theft (See Code Sheet B Pages 131-132)

From Report #2 and the Rap Sheet, count all convictions (felony and misdemeanor) for following offenses using Code Sheet B:

- o Burglary (all classes)
- o Theft (all classes)
- o Deceptive Practices (all classes)
- o Forgery (all classes)
- o Inducement to Sell/Purchase Realty
- o Looting
- o Criminal Housing Management
- o Criminal Misrepresentation of Factoring
- o Criminal Trespass to State Land
- o Criminal Trespass to a Vehicle
- o Criminal Usury

SECURITY DESIGNATION - R&C

Enter number of convictions, multiply by 30, divide by Age at Admission Score. Enter result at (D) on right hand side of line 13.

NOTE: The total here should be same as found at item 4. You should check to make sure the total convictions for items 4 and 13 agree.

14. Current Offense Type (See Code Sheet B Violence Against Person Pages 129-130)

This item is concerned with whether the current offense as identified in Item 5 is a conviction for violence against a person. From the Statement of Fact, BOI and Report #2, use Code Sheet B to check the following list for an offense of violence against a person (felony and misdemeanor):

- o Armed Violence (all classes)
- o Aggravated Arson
- o Aggravated Assault
- o Battery (all classes)
- o Child Abduction
- o Compelling Confession by Force/Threat
- o Compelling Organization Membership of a Person Under 17 Years Old
- o Criminal Abortion, Committing
- o Cruelty to Children
- o Deviate Sexual Assault
- o Forceable Detention
- o Aggravated Incest
- o Indecent Liberties With a Child
- o Indecent Solicitation of a Child
- o Involuntary Manslaughter
- o Kidnapping
- o Aggravated Kidnapping (all classes)
- o Murder
- o Rape
- o Reckless Homicide
- o Attempted Murder
- o Robbery
- o Armed Robbery
- o Threatening Telephone Calls
- o Unlawful Restraint
- o Voluntary Manslaughter

Enter score of 10 next to (E) on right hand side of line 14, if the current offense is for a violent offense against a

SECURITY DESIGNATION - R&C

person. If not, enter 0 at (E) of line 14.

15. Current Offense Seriousness
(See Code Sheet C)

From the Statement of Fact, review the description of the offense provided. Use the description and Code Sheet C to determine the seriousness of current offense as identified in Item 5. Based on the results of your assessment of the facts, determine the seriousness of the offense using Code Sheet C. Enter 10, if the offense description rates 5 or higher on Code Sheet D. Otherwise, enter a zero (0) at (F) on right hand side of item 15.

16. Prior Supervision Outcome

Check the following documents to determine prior supervision outcome:

- o IBI Rap Sheet
- o FBI Rap Sheet
- o Chicago Rap Sheet
- o Parole or Probation Reports
- o Report #2, "Offense History"
- o Pre-sentence Report

If the inmate has any record of technical violation or revocation to jail or prison on any probation or other parole community MSR supervision, enter 10 at G of line 16; otherwise, enter 0.

17. Add A thru G

Add the scores from lines A, B, C, D, E, F and G, and place the total at (H) of item 17.

18. Employment Credit

Take the employment credit from the adjustment score line 8, item H, and enter at line I of 18.

19. Total Dangerousness Score

Subtract I from H and enter result at (J) on right hand side of item 19. This gives the Total Dangerous Score. This

SECURITY DESIGNATION - R&C

score will be used on the dangerous scale to establish the initial assessment of the likelihood of the offender having dangerous violations/tickets in the institution.

SECURITY DESIGNATION - R&C

INITIAL SECURITY DESIGNATION

The Total Adjustment Score and the Total Dangerous Score will now be used to complete the initial security designation.

INSTRUCTIONS

20. Adjustment Score Range (See Code Sheet D)
- | | |
|--------------|---------|
| 6 = Low | 0 - 44 |
| 3 = Moderate | 45 - 75 |
| 1 = High | 76 + |
- Using the Adjustment Score found at line 9(I) of page 1, determine whether the score falls in the low, moderate or high part of the scale. Enter the proper code on line 20(A).
21. Dangerous Score Range (See Code Sheet D)
- | | |
|--------------|---------|
| 6 = Low | 0 - 46 |
| 3 = Moderate | 47 - 75 |
| 1 = High | 76 + |
- Using the Dangerous Score found at line 19(J), determine whether the score falls in the low, moderate or high part of the scale. Enter the code on line 21(B).
22. Security Level Designation (See Code Sheet D)
- Use Code Sheet D. Take the Adjustment Score Range (low, moderate, or high) from Item 20 and locate on Adjustment Scale of Code Sheet D. Take the Dangerous Score Range (low, moderate or high) from item 21 and locate on the Dangerousness Scale of Code Sheet D. Draw a line down from the proper range of the Dangerousness Scale until it intersects with the proper range of the Adjustment Scale. The number in the box where the lines cross is the Security Designation Score. Enter the Security Designation Level Score from the cell in the matrix at item 22(C). The Security Designations Levels may range from 1 to 7. See matrix.

SECURITY DESIGNATION - R&C

23. Counselor's Signature and Comment

The counselor completing pages 1-3 of Report #6, must sign and date item 23. This indicates that the assessment is complete, factual, and accurate. Any comments concerning placement should be made in the space provided. The counselor will enter his designated code number.

24. Supervisor's Initials

The supervisor, after reviewing the Security Designation section of Report #6 for completion and accuracy, initials item 24. This indicates that the supervisor has checked the form and finds all calculations and recommendations to be correct.

UN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 6
NAME: DOE, JOHN JULIET BRANCH (03)
IDOC NUMBER: N21234

*** PLACEMENT CONCERNS ***

1. CRITICAL SPECIAL NEEDS REQUIRING PLACEMENT CONSIDERATION: _____ (A)
- A. NONE.....ENTER 0
 - B. MEDICAL PLACEMENT.....ENTER 1
 - C. MENTAL HEALTH PLACEMENT.....ENTER 2
 - D. PHYSICAL IMPAIRMENT.....ENTER 3
 - E. OTHER SPECIAL NEED WHICH AFFECTS PLACEMENT.....ENTER 4
(MUST DOCUMENT IN SUMMARY REPORT)

2. ADMINISTRATIVE CONCERNS REQUIRING PLACEMENT CONSIDERATIONS: _____ (B) _____ (C) _____ (D)
- A. NONE.....ENTER 0
 - B. KEEP SEPARATE FROM.....ENTER 1
 - C. KNOWN GANG AFFILIATION.....ENTER 2
 - D. MAJOR CRIMINAL CHARGES PENDING.....ENTER 3
 - E. PROTECTIVE CUSTODY/SAFEKEEPING.....ENTER 4
 - F. THREAT TO INSTITUTION SECURITY.....ENTER 5
 - G. UNDERRATED SECURITY DESIGNATION SCORE.....ENTER 6
 - H. OTHER ADMINISTRATIVE CONCERN.....ENTER 7
(MUST DOCUMENT IN SUMMARY REPORT)

3. SECURITY LEVEL RECOMMENDATIONS:
SECURITY LEVEL FROM 22 (C) _____ (E)
- R & C RECOMMENDED CHANGE OF SECURITY LEVEL (COMMENT AT 5) _____ (F)

4. EXPLANATION OF CLASSIFICATION ACTION: _____ (G) _____ (H) _____ (I)
- A. SECURITY LEVEL - TOO HIGH.....ENTER 1
 - B. SECURITY LEVEL - TOO LOW.....ENTER 2
 - C. ADMINISTRATIVE CONCERNS REQUIRES SPECIAL PLACEMENT.....ENTER 3
 - D. CRITICAL NEEDS REQUIRES SPECIAL PLACEMENT.....ENTER 4
 - E. INITIAL SECURITY LEVEL RAISED DUE TO INSTITUTION DISCIPLINARY ACTION DURING RECEPTION.....ENTER 5

5. WRITTEN EXPLANATION OF DISAGREEMENT:

UN DATE: 05/18/82 RECEPTION CLASSIFICATION REPORT # 6
NAME: DOE, JOHN JULIET BRANCH (03)
IDOC NUMBER: N21234

PLACEMENT RECOMMENDATIONS: RANK ORDER OF RECOMMENDED INSTITUTION PLACEMENT

| | INSTITUTION CODE |
|----------|------------------|
| #1 _____ | _____ (J) |
| #2 _____ | _____ (K) |
| #3 _____ | _____ (L) |

_____ (M) _____ (N)
COUNSELOR'S SIGNATURE CODE R & C SUPERVISOR'S SIGNATURE

TRANSFER COORDINATOR'S ACTION: _____ (A)
1 = CONCURS 2 = DOES NOT CONCUR

_____ (B)
INSTITUTIONAL PLACEMENT _____ CODE

EXPLANATION OR SEE ATTACHED MEMO _____ (C)

CRITICAL NEEDS, ADMINISTRATIVE CONCERNS AND PLACEMENT PROCEDURES.

In this section, placement recommendations will be made on the basis of the Security Designation, Special Needs, and Administrative Concerns.

Complete assessment in the medical, mental health, physical impairment and other special needs areas are included in the classification process. The classification system establishes procedures to assess an inmate's Critical Special Needs. These needs may impact on final placement.

In the classification system, Administrative Concerns must also be taken into account when making the placement decision. Concerns, such as gang affiliation, protective custody, and enemies, are of utmost importance in the management and control of the institutional environment. In some cases these concerns may supercede the role security plays in the placement decision, but does not change the security rating.

INSTRUCTIONS

1. Critical Special Needs

Special Needs will be identified on the basis of counselor interviews, psychological reports, and medical information. If there are no special needs, enter 0 at 1(A). If a special need is identified, enter the corresponding number (1-4) at 1(A). An identified special need must be documented in the Classification Summary Report.

2. Administrative Concerns

Administrative Concerns refer to information about an inmate essential to the management and control of institutional situations. They are conditions which are of administrative interest in the placement of an inmate. If no Administrative Concern is noted, enter 0 at 2B, otherwise enter the corresponding number (1-7) at 2(B) thru (D). If there is more than one Administrative Concern, list the more serious first, then the second and finally, the third. Each concern must be documented in the Classification Summary Report.

3. Security Level From Item 22(C)

Enter the Security Level Designation from 22(C) at 3(E).

PLACEMENT CONCERNS - R&C

R&C Recommended Change of Security Level

If the counselor agrees with the Security Level Designation, then enter 0 at 3(F). If the counselor disagrees with the above Security Level Designation, then a recommended change of security level is entered at 3(F), using Code Sheet D. If a change is recommended, then a written explanation must be provided at 5. Recommended change is based on the counselor's opinion that the factors on the instrument have either overweighted or underweighted the security level in this case.

4. Explanation of Classification Actions

There are two sets of actions recorded here. The first two items, A and B, record reasons for changes to the Security Designation Level. If there was no recommended change, then enter 0 at 4(G). If change was recommended, then indicate the direction of the change by entering either 1 or 2 at 4(G).

Items C, D and E record reasons for placement change different from security level. Indicate these reasons in order of importance in 4(H)-(1).

5. Written Explanation of Disagreement

Provide a detailed, written explanation justifying a change in Security Designation Level. Justification for the change must be based on factors that impact Security Level. The author of this explanation must sign in the designated area.

6. Placement Recommendations

If there is not a recommended change at 3(F), then the Security Level at 3(E) will be the recommendation. If there was a recommended change at 3(F), then the Security Level at 3(F) is the recommendation subject to final approval by the Transfer Coordinator. If there are no Critical Special Needs or Administrative Concerns, use the designated/recommended security level of the inmate to locate the appropriate institution for placement from Code Sheet E. If there

PLACEMENT CONCERNS - R&C

are Critical Special Needs noted in Placement Concerns, item 1, or Administrative Concerns noted in item 2, then make the appropriate institution placement recommendation if either takes precedent over security level designation. The explanation for the placement recommendation action is provided in item 4. See 4(G) and 4(H)-(I). List in rank order recommended institutions, placing the corresponding institution code obtained from Code Sheet E in 6(J)-(L).

7. Counselor's Signature

The counselor or supervisor completing Placement Concerns must sign at 6(M). This indicates that placement recommendations are complete, factual and accurate. The counselor will enter designated code.

The completed, verified, CIMIS updated reports 1-4 with 5 attached are sent to the R&C Supervisor.

8. R&C Supervisor's Signature

R&C Supervisor must sign at 6(N). This indicates that the supervisor has reviewed and accepts the entire Reception Classification Report and agrees with recommended placement.

Transfer requests, with attached Reception Classification Report, (2 copies of Report #5), Classification Summary Report, Rap Sheet, and Statement of Fact, will be forwarded to Transfer Coordinator for approval. Copies of the above are also sent to the Prisoner Review Board. The original Reception Classification Report is kept in the inmate's master file.

CODE SHEET A-1: AGE SCORE (70.0 - Current Age)

| Years | Months | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|-------|--------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| | 0 | .08 | .17 | .25 | .33 | .42 | .50 | .58 | .67 | .75 | .83 | .92 |
| 17 | 53.00 | 52.92 | 52.83 | 52.75 | 52.67 | 52.58 | 52.50 | 52.42 | 52.33 | 52.25 | 52.17 | 52.08 |
| 18 | 52.00 | 51.92 | 51.83 | 51.75 | 51.67 | 51.58 | 51.50 | 51.42 | 51.33 | 51.25 | 51.17 | 51.08 |
| 19 | 51.00 | 50.92 | 50.83 | 50.75 | 50.67 | 50.58 | 50.50 | 50.42 | 50.33 | 50.25 | 50.17 | 50.08 |
| 20 | 50.00 | 49.92 | 49.83 | 49.75 | 49.67 | 49.58 | 49.50 | 49.42 | 49.33 | 49.25 | 49.17 | 49.08 |
| 21 | 49.00 | 48.92 | 48.83 | 48.75 | 48.67 | 48.58 | 48.50 | 48.42 | 48.33 | 48.25 | 48.17 | 48.08 |
| 22 | 48.00 | 47.92 | 47.83 | 47.75 | 47.67 | 47.58 | 47.50 | 47.42 | 47.33 | 47.25 | 47.17 | 47.08 |
| 23 | 47.00 | 46.92 | 46.83 | 46.75 | 46.67 | 46.58 | 46.50 | 46.42 | 46.33 | 46.25 | 46.17 | 46.08 |
| 24 | 46.00 | 45.92 | 45.83 | 45.75 | 45.67 | 45.58 | 45.50 | 45.42 | 45.33 | 45.25 | 45.17 | 45.08 |
| 25 | 45.00 | 44.92 | 44.83 | 44.75 | 44.67 | 44.58 | 44.50 | 44.42 | 44.33 | 44.25 | 44.17 | 44.08 |
| 26 | 44.00 | 43.92 | 43.83 | 43.75 | 43.67 | 43.58 | 43.50 | 43.42 | 43.33 | 43.25 | 43.17 | 43.08 |
| 27 | 43.00 | 42.92 | 42.83 | 42.75 | 42.67 | 42.58 | 42.50 | 42.42 | 42.33 | 42.25 | 42.17 | 42.08 |
| 28 | 42.00 | 41.92 | 41.83 | 41.75 | 41.67 | 41.58 | 41.50 | 41.42 | 41.33 | 41.25 | 41.17 | 41.08 |
| 29 | 41.00 | 40.92 | 40.83 | 40.75 | 40.67 | 40.58 | 40.50 | 40.42 | 40.33 | 40.25 | 40.17 | 40.08 |
| 30 | 40.00 | 39.92 | 39.83 | 39.75 | 39.67 | 39.58 | 39.50 | 39.42 | 39.33 | 39.25 | 39.17 | 39.08 |
| 31 | 39.00 | 38.92 | 38.83 | 38.75 | 38.67 | 38.58 | 38.50 | 38.42 | 38.33 | 38.25 | 38.17 | 38.08 |
| 32 | 38.00 | 37.92 | 37.83 | 37.75 | 37.67 | 37.58 | 37.50 | 37.42 | 37.33 | 37.25 | 37.17 | 37.08 |
| 33 | 37.00 | 36.92 | 36.83 | 36.75 | 36.67 | 36.58 | 36.50 | 36.42 | 36.33 | 36.25 | 36.17 | 36.08 |
| 34 | 36.00 | 35.92 | 35.83 | 35.75 | 35.67 | 35.58 | 35.50 | 35.42 | 35.33 | 35.25 | 35.17 | 35.08 |
| 35 | 35.00 | 34.92 | 34.83 | 34.75 | 34.67 | 34.58 | 34.50 | 34.42 | 34.33 | 34.25 | 34.17 | 34.08 |

CODE SHEET A-2: AGE SCORE (Current Age 14.0)

| Years | Months | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
|-------|--------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| | 0 | .08 | .17 | .25 | .33 | .42 | .50 | .58 | .67 | .75 | .83 | .92 |
| 17 | 3.00 | 3.08 | 3.17 | 3.25 | 3.33 | 3.42 | 3.50 | 3.58 | 3.67 | 3.75 | 3.83 | 3.92 |
| 18 | 4.00 | 4.08 | 4.17 | 4.25 | 4.33 | 4.42 | 4.50 | 4.58 | 4.67 | 4.75 | 4.83 | 4.92 |
| 19 | 5.00 | 5.08 | 5.17 | 5.25 | 5.33 | 5.42 | 5.50 | 5.58 | 5.67 | 5.75 | 5.83 | 5.92 |
| 20 | 6.00 | 6.08 | 6.17 | 6.25 | 6.33 | 6.42 | 6.50 | 6.58 | 6.67 | 6.75 | 6.83 | 6.92 |
| 21 | 7.00 | 7.08 | 7.17 | 7.25 | 7.33 | 7.42 | 7.50 | 7.58 | 7.67 | 7.75 | 7.83 | 7.92 |
| 22 | 8.00 | 8.08 | 8.17 | 8.25 | 8.33 | 8.42 | 8.50 | 8.58 | 8.67 | 8.75 | 8.83 | 8.92 |
| 23 | 9.00 | 9.08 | 9.17 | 9.25 | 9.33 | 9.42 | 9.50 | 9.58 | 9.67 | 9.75 | 9.83 | 9.92 |
| 24 | 10.00 | 10.08 | 10.17 | 10.25 | 10.33 | 10.42 | 10.50 | 10.58 | 10.67 | 10.75 | 10.83 | 10.92 |
| 25 | 11.00 | 11.08 | 11.17 | 11.25 | 11.33 | 11.42 | 11.50 | 11.58 | 11.67 | 11.75 | 11.83 | 11.92 |
| 26 | 12.00 | 12.08 | 12.17 | 12.25 | 12.33 | 12.42 | 12.50 | 12.58 | 12.67 | 12.75 | 12.83 | 12.92 |
| 27 | 13.00 | 13.08 | 13.17 | 13.25 | 13.33 | 13.42 | 13.50 | 13.58 | 13.67 | 13.75 | 13.83 | 13.92 |
| 28 | 14.00 | 14.08 | 14.17 | 14.25 | 14.33 | 14.42 | 14.50 | 14.58 | 14.67 | 14.75 | 14.83 | 14.92 |
| 29 | 15.00 | 15.08 | 15.17 | 15.25 | 15.33 | 15.42 | 15.50 | 15.58 | 15.67 | 15.75 | 15.83 | 15.92 |
| 30 | 16.00 | 16.08 | 16.17 | 16.25 | 16.33 | 16.42 | 16.50 | 16.58 | 16.67 | 16.75 | 16.83 | 16.92 |
| 31 | 17.00 | 17.08 | 17.17 | 17.25 | 17.33 | 17.42 | 17.50 | 17.58 | 17.67 | 17.75 | 17.83 | 17.92 |
| 32 | 18.00 | 18.08 | 18.17 | 18.25 | 18.33 | 18.42 | 18.50 | 18.58 | 18.67 | 18.75 | 18.83 | 18.92 |
| 33 | 19.00 | 19.08 | 19.17 | 19.25 | 19.33 | 19.42 | 19.50 | 19.58 | 19.67 | 19.75 | 19.83 | 19.92 |
| 34 | 20.00 | 20.08 | 20.17 | 20.25 | 20.33 | 20.42 | 20.50 | 20.58 | 20.67 | 20.75 | 20.83 | 20.92 |
| 35 | 21.00 | 21.08 | 21.17 | 21.25 | 21.33 | 21.42 | 21.50 | 21.58 | 21.67 | 21.75 | 21.83 | 21.92 |

CODE SHEET B

OFFENSE TYPE AND CONVICTION CRITERIA

The following 3 digit offense codes refer to the listing of Illinois Offices in Appendix B.

OFFENSE TYPE

CODES

| | |
|-------------------------|---|
| Reckless Conduct | 316-318, 414, 423-424, 504-505, 702, 706 |
| Violence Against Person | 9-11, 13-17, 126, 123-134, 242, 260, 261, 349, 419-420, 425, 427-429, 506, 701, 704, 708-709, 814, 819, 905 |
| Burglary/Theft | 27-28, 255-259, 301-312, 350, 421-422, 435, 802-813 |
| Escape | 325-338 |
| Drug/Alcohol | 101-125, 207-240, 320, 415-416, 431-434 |

CONVICTION CRITERIA

An offense is counted as a conviction only if it resulted in one of the following:

- o Restitution
- o Fine
- o Conditional Discharge
- o Probation
- o Parole
- o Other Type of Supervision
- o Periodic Imprisonment
- o Jail Sentence
- o Prison Sentence

For detail listing of Offense Types, see following pages.

What to Count:

- o Count all felonies and misdemeanants on Code Sheet B or Table 50 where noted. For total number of convictions, count all felony convictions, plus all misdemeanor convictions resulting in at least a 30 day jail sentence.
- o All counts will be counted as independent convictions.

CODE SHEET B

BURGLARY/THEFT

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|-----------------------|------------|
| 027 | 38/19-1 | Burglary | 2 | 3-7 (7-14) | 2 |
| 028 | 38/19-2 | Burglary Tools (Possession Of) | 4 | 1-3 (3-6) | 1 |
| 255 | 38/12-5.1 | Criminal Housing Management | A | LT 1 | NA |
| 256 | 38/40-3 | Criminal Misrepresentation of Factoring | 3 | 2-5 (5-10) | 1 |
| 257 | 38/21-5 | Criminal Trespass to State Land | A | LT 1 | NA |
| 258 | 38/21-2 | Criminal Trespass to Vehicle | A | LT 1 | NA |
| 259 | 38/39-2 | Criminal Usury | 4 | 1-3 (3-6) | 1 |
| 301 | 38/17-4 | Deceptive Altering or Sale of Coins | A | LT 1 | NA |
| 302 | 38/17-1B(a-d) | Deceptive Practices, General Deception | A | LT 1 | NA |
| 303 | 38/17-1B(d) | Deceptive Practices, Second or Subsequent Act | 4 | 1-3 (3-6) | 1 |
| 304 | 38/17-1(d) | Deceptive Practices, Over \$150 (single or separate transactions within 90 day period) | 4 | 1-3 (3-6) | 1 |
| 305 | 38/17-1(c)(1) | Deceptive Practices, Bank/Financial Institution (in order to obtain an account/credit) | A | LT 1 | NA |
| 306 | 38/17-1(c)(2) | Deceptive Practices, Bank/Financial Institution (possesses check for another) | A | LT 1 | NA |
| 307 | 38/17-1(c)(2) | Deceptive Practices, Bank/Financial Institution (occurs 3 times within 12 month period) | 4 | 1-3 (3-6) | 1 |
| 308 | 38/17-1(c)(3) | Deceptive Practices, Bank/Financial Institution (possession of Implements of Check Fraud) | A | LT 1 | NA |
| 309 | 38/17-1(c)(3) | Deceptive Practices, Bank/Financial Institution (Possesses 3 devices or 3 occurrences) | 4 | 1-3 (3-6) | 1 |
| 310 | 38/17-1(c)(4) | Deceptive Practices, Bank/Financial Institution (Possesses cash dispensing machine card) | A | LT 1 | NA |
| 311 | 38/17-1(c)(4) | Deceptive Practices, Bank/Financial Institution (Possesses 3 or more cards or violates 3 times within 12 months) | 4 | 1-3 (3-6) | 1 |
| 312 | 38/17-1(c)(4) | Deceptive Practices, Bank/Financial Institution (Over \$150, 3 transactions in 90 days) (single or separate) | 4 | 1-3 (3-6) | 1 |
| 350 | 38/17-3 | Forgery | 3 | 2-5 (5-10) | 1 |

CODE SHEET B

BURGLARY/THEFT

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|-----------------------|------------|
| 421 | 38/70-52(a) | Inducement to Sell/Purchase Realty | A | LT 1 | NA |
| 422 | 38/70-52(b) | Inducement to Sell/Purchase Realty (Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 435 | 38/42-2 | Looting | 4 | 1-3 (3-6) | 1 |
| 802 | 38/16-1(e)(1) | Theft, (Not from Person, Under \$150) | A | LT 1 | NA |
| 803 | 38/16-1(e)(1) | Theft, (Not from Person, Under \$150, Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 804 | 38/16-1(e)(3) | Theft, (Property From Person or Exceeding \$150) | 3 | 2-5 (5-10) | 1 |
| 805 | 38/16-1(e)(2) | Theft, (Firearm, not from A Person, Any Value) | 4 | 1-3 (3-6) | 1 |
| 807 | 38/16-5 | Theft From Coin Operated Machine | A | LT 1 | NA |
| 808 | 38/16-5 | Theft From Coin Operated Machine (Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 809 | 38/16-3(b) | Theft of Labor/Services (Fails to Return a Rented or Leased Vehicle) | 4 | 1-3 (3-6) | 1 |
| 810 | 38/16-3(a) | Theft of Labor/Services (Obtain Use Without Consent) | A | LT 1 | NA |
| 811 | 38/16A-10(1) | Theft, Retail (Value Not Exceeding \$150) | A | LT 1 | NA |
| 812 | 38/16A-10(2) | Theft, Retail (Value Not Exceeding \$150, Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 813 | 38/16A-10(3) | Theft, Retail (Value Exceeding \$150) | 3 | 2-5 (5-10) | 1 |

CODE SHEET B

DRUGS/ALCOHOL

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|---------------------------------|------------|
| 101 | 56½/709(a) | Cannabis, Calculated Conspiracy | 3 | 2-5 (5-10) | 1 |
| 102 | 56½/709(a) | Cannabis, Calculated Conspiracy (Subsequent Act) | 1 | 4-15 (15-30) | 3 |
| 103 | 56½/706 | Cannabis, Casual Delivery of 2.5-10 grams | B | NMT 6 mos. | NA |
| 104 | 56½/706 | Cannabis, Casual Delivery of 10-30 grams | A | LT 1 | NA |
| 105 | 56½/706 | Cannabis, Casual Delivery of 10-30 grams (Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 106 | 56½/706 | Cannabis, Casual Delivery of 30-500 grams | 4 | L-3 (3-6) | 1 |
| 107 | 56½/706 | Cannabis, Casual Delivery of 30-500 grams (Subsequent Act) | 3 | 2-5 (5-10) | 1 |
| 108 | 56½/706 | Cannabis, Casual Delivery of Over 500 grams | 3 | 2-5 (5-10) | 1 |
| 109 | 56½/707(a) | Cannabis, Delivery to Person Under 18 of 0-2.5 grams, Delivery must be to person over 18 who is at least three years senior to the former. | B | | |
| 110 | 56½/707(a) | Cannabis, Delivery to Person Under 18 of 2.5-10 grams | A | May Be Twice Max For Class A | NA |
| 111 | 56½/707(a) | Cannabis, Delivery to Person Under 18 of 10-30 grams | 4 | May Be Twice Max For Class 4 | 1 |
| 112 | 56½/707(a) | Cannabis, Delivery to Person Under 18 of 30-500 grams | 3 | May Be Twice Max For Class 3 | 1 |
| 113 | 56½/707(a) | Cannabis, Delivery to Person Under 18 of Over 500 grams | 2 | May Be Twice Max For Class 2 | 2 |
| 114 | 56½/705(a) | Cannabis, Manufacturing/Delivery 0-2.5 grams | B | NMT 6 mos. | NA |
| 115 | 56½/705(b) | Cannabis, Manufacturing/Delivery 2.5-10 grams | A | LT 1 | NA |
| 116 | 56½/705(c) | Cannabis, Manufacturing/Delivery 10-30 grams | 4 | 1-3 (3-6) | 1 |
| 117 | 56½/705(d) | Cannabis, Manufacturing/Delivery 30-500 grams | 3 | 2-5 (5-10) | 1 |
| 118 | 56½/705(e) | Cannabis, Manufacturing/Delivery Over 500 grams | 2 | 3-7 (7-14) | 2 |
| 119 | 56½/704(b) | Cannabis, Possession of 2.5-10 grams | B | NMT 6 Mos. | NA |
| 120 | 56½/704(c) | Cannabis, Possession of 10-30 grams | A | LT 1 | NA |
| 121 | 56½/704(c) | Cannabis, Possession of 10-30 grams (Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 122 | 56½/704(d) | Cannabis, Possession of 30-500 grams | 4 | 1-3 (3-6) | 1 |

CODE SHEET B

DRUGS/ALCOHOL

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|------------------|--|------------------|
| 123 | 56½/704(d) | Cannabis, Possession of 30-500 grams (Subsequent Act) | 3 | 2-5 (5-10) | 1 |
| 124 | 56½/704(e) | Cannabis, Possession of Over 500 grams | 3 | 2-5 (5-10) | 1 |
| 125 | 56½/708 | Cannabis, Production of Cannabis Sativa Plant | A | LT 1 | NA |
| 207 | 56½/1405 | Controlled Substance Calculated Criminal Drug Conspiracy | X | 6-30 (30-60) | 3 |
| 208 | 56½/1405 | Controlled Substance Calculated Criminal Drug Conspiracy, (Subsequent Act) | X | May Be Twice Max For Class X | 3 |
| 209 | 56½/1407 | Controlled Substance Delivery to Person Under 18 (By a person over 18 who is at least 2 years the senior) Violation of 3401 Article IV | X 2 3 4 | Twice Max/Cl X Twice Max/Cl 2 Twice Max/Cl 3 Twice Max/Cl 4 | 3 2 1 1 |
| 210 | 56½/1401(a) | Controlled Substance, Manufacture or Delivery | X | 6-30 (30-60) | 3 |
| 211 | 56½/1408 | Controlled Substance, Manufacture or Delivery (Subsequent Violation of 1401 (a)) | | May Be Twice Max For Class X | 3 |
| 212 | 56½/1401(b) | Controlled Substance, Manufacture or Delivery, (Any Other Amount of Controlled Substance in Schedules I & II). | 2 | 3-7 (7-14) | 2 |
| 213 | 56½/1408 | Controlled Substance, Manufacture or Delivery, (Subsequent Violation of 1401 (b)) | 2 | May Be Twice Max For Class 2 | 2 |
| 214 | 56½/1401(c) | Controlled Substance, Manufacturer or Delivery, (Any Other Amounts of Schedule I & II, Not a Narcotic Drug) | 3 | 2-5 | 1 |
| 215 | 56½/1401(c) | Controlled Substance, Manufacturer or Delivery, (Subsequent Violation) | 3 | May Be Twice Max For Class 3 | 1 |
| 216 | 56½/1401(d) | Controlled Substance, Manufacturer or Delivery, (Any Other Amount of Controlled Substance in Schedule III) | 3 | 2-5 | 1 |
| 217 | 56½/1408 | Controlled Substance, Manufacturer or Delivery, (Subsequent Violation of 1401(d)) | 3 | May Be Twice Max For Class 3 | 1 |
| 218 | 56½/1401(e) | Controlled Substance, Manufacturer or Delivery, (Any Other Amount of Controlled Substance in Schedule IV) | 4 | 1-3 (3-6) | 1 |

CODE SHEET B

DRUGS/ALCOHOL

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|------------------------------|------------|
| 219 | 56½/1408 | Controlled Substance, Manufacturer or Delivery, (Subsequent Violation of 1401(e)) | 4 | May Be Twice Max For Class 4 | 1 |
| 220 | 56½/4101(f) | Controlled Substance, Manufacturer or Delivery, (Any Other Amount of Controlled Substance in Schedule V) | 4 | 1-3 (3-6) | 1 |
| 221 | 56½/1408 | Controlled Substance, Manufacturer or Delivery, (Subsequent Violation of 1401(f)) | 4 | May Be Twice Max | 1 |
| 222 | 56½/1403(a) | Controlled Substance, Manufacturer or Delivery Counterfeit Substance (Schedule I and II which is a narcotic drug - See preceding chart of Schedule I and II) | 2 | 3-7 (7-14) | 2 |
| 223 | 56½/1408 | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Subsequent Violation of 1403(a)) | 2 | May Be Twice Max For Class 2 | 2 |
| 224 | 56½/1408(b) | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Schedule I and II, Non-Narcotic, See Preceding Chart) | 3 | 2-5 (5-10) | 1 |
| 225 | 56½/1408 | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Subsequent Violation of 1403(b)) | 3 | May Be Twice Max For Class 3 | 1 |
| 226 | 56½/1403(c) | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Schedule III - See Preceding Chart) | 4 | 1-3 (3-6) | 1 |
| 227 | 56½/1403(d) | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Schedule IV - See Preceding Chart) | A | LT 1 | NA |
| 228 | 56½/1408 | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Subsequent Violation 1403(d)) | 4 | 1-3 (3-6) | 1 |
| 229 | 56½/1403(e) | Controlled Substance, Manufacturer/Delivery Counterfeit Substance, (Schedule V - See Preceding Chart) | A | LT 1 | NA |

CODE SHEET B

DRUGS/ALCOHOL

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|---|--------------|------------------------------|------------|
| 230 | 56½/1403(e)-1408 | Controlled Substance, Manufacturer/Delivery Counterfeit Substance (Subsequent Violation 1403(e)) | A | May Be Twice Max For Class A | NA |
| 231 | 56½/1406(a) | Controlled Substance, Misc. Violation Article III, (Failure to keep record) | A | LT 1 | NA |
| 232 | 56½/1406(a) | Controlled Substance, Misc. Violation, (Subsequent Violation) | 4 | 1-3 (3-6) | 1 |
| 233 | 56½/1406(b) | Controlled Substance, Misc. Violation, (Licensed Operator, Register, Possession of Prescription Forms) | A | LT 1 | NA |
| 234 | 56½/1406(b) | Controlled Substance, Misc. Violation, (Subsequent Violation) | 4 | L-3 (3-6) | 1 |
| 235 | 56½/1402(a) | Controlled Substance, Possession | 1 | 4-15 | 3 |
| 236 | 56½/1408 | Controlled Substance, Possession, (Subsequent Violation of 1402(a)) | 1 | May Be Twice Max For Class 1 | 3 |
| 237 | 56½/1402(b) | Controlled Substance, Possession, (Any other amount) | 3 | 2-5 | 1 |
| 238 | 56½/1408 | Controlled Substance, Possession, (Subsequent Violation of 1402(b)) | 4 | May Be Twice Max For Class 3 | 1 |
| 239 | 56½/1404 | Controlled Substance, Substance Represented As a Counterfeit Possession or Delivery of Represented Controlled Substance | 3 | 2-5 (5-10) | 1 |
| 240 | 56½/1408 | Controlled Substance, Substance Represented as b. Controlled Substance (Subsequent Violation of 1404) | 3 | May Be Twice Max For Class 3 | 1 |
| 320 | 95½/11-501 | Driving Under Influence of Alcohol/Drugs | A | LT 1 | NA |
| 415 | 38/22-53 | Hypodermic Syringes and Needleless Act | A | LT 1 | NA |
| 416 | 38/22-53 | Hypodermic Syringes and Needles Act, (Subsequent Act) | 4 | 1-3 (3-6) | 1 |
| 431 | 43/183 | Liquor Manufacture/Delivery and Sale (Subsequent Offense) | B | NMT 6 mos. | NA |

CODE SHEET B

DRUGS/ALCOHOL

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|-----------------------|------------|
| 432 | 43/131 | Liquor, Minor Presenting Fraudulent Identification | B | NMT 6 mos. | NA |
| 433 | 43/183 | Liquor, Minors Misrepresent Age | A | LT 1 | NA |
| 434 | 43/131 | Liquor, Possession by Minors | B | NMT 6 mos. | NA |

CODE SHEET B

VIOLENCE AGAINST PERSON

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|---|--------------|-----------------------------------|---------------|
| 009 | 38/33A-3(a) | Armed Violence, (With Category I weapon) | X | 6-30 (30-60) | 3 |
| 010 | 38/33A-3(b) | Armed Violence, (With Category II weapon, 1st act) | 2* | 3-7 (7-14) | 2 |
| 011 | 38/33A-3(b) | Armed Violence, (With Category II weapon, Subsequent act) | 1* | 4-15 (15-30) | 2 |
| 013 | 38/20-1.1 | Arson, Aggravated | X | 6-30 (30-60) | 3 |
| 014 | 38/12-2 | Assault, Aggravated | A | LT 1 | NA |
| 015 | 38/12-3 | Battery | A | LT 1 | NA |
| 016 | 38/12-4 | Battery, Aggravated (disfigure, with weapons, sub.) | 3 | 2-5 (5-10) | 1 |
| 017 | 38/12-4.1 | Battery, Heinous (disfigure with caustic substance) | X | 6-30 (30-60) | 3 |
| 126 | 38/10-5 | Child Abduction | 4 | 1-3 (3-6) | 1 |
| 133 | 38/12-7 | Compelling Confession by Force or Threat | 4 | 1-3 (3-6) | 1 |
| 134 | 38/12-6.1 | Compelling Organization Membership of Person Under 17 Years | 4 | 1-10 in Prison | 1 |
| 242 | 38/8131(a)-38/17(a) | Criminal Abortion, Commits | 2 | 3-7 (7-14) | 2 |
| 260 | 23/2368 | Cruelty to Children | 4 | 1-3 (3-6) | 1 |
| 261 | 38/11-3 | Deviate Sexual Assault | X | 6-30(30-60) | 3 |
| 349 | 38/10-4 | Forcible Detention | 2 | 3-7 (7-14) | 2 |
| 419 | 38/11-4 | Indecent Liberties with a Child | 1 | 4-15 (15-30) | 2 |
| 420 | 38/11-6 | Indecent Solicitation of a Child | A | LT 1 | NA |
| 425 | 38/9-3(b)(1) | Involuntary Manslaughter | 3 | 2-5 | 1 |
| 427 | 38/10-1 | Kidnapping | 2 | 3-7 (7-14) | 2 |
| 428 | 38/10-2(b)(1) | Kidnapping, Aggravated For Ransom | X | 6-30 (30-60) | 3 |
| 429 | 38/10-2(b)(2) | Kidnapping, Aggravated, Not For Ransom | 1 | 4-15 (15-30) | 2 |
| 506 | 38/9-1-38/1005-8-1 | Murder | M | Death, Natural Life 20-40 (40-80) | NA NA 3 |

CODE SHEET B

VIOLENCE AGAINST PERSON

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|-----------------------------------|--------------|-----------------------|------------|
| 701 | 38/11-1 | Rape | X | 6-30 (30-60) | 3 |
| 704 | 38/9-3(b)(2) | Reckless Homocide | 4 | 1-3 (3-6) | 1 |
| 708 | 38/18-1 | Robbery | 2 | 3-7 (7-14) | 2 |
| 709 | 38/18-2 | Robbery, Armed | X | 6-30 (30-60) | 3 |
| 814 | 134/16.5 | Threatening Telephone Calls | B | NMT 6 mos. | NA |
| 819 | 38/10-3 | Unlawful Restraint | 4 | 1-3 (3-6) | NA |
| 905 | 38/9-2 | Voluntary Manslaughter | 2 | 3-7 (7-14) | NA |

CODE SHEET B

RECKLESS CONDUCT

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|---|--------------|-----------------------|------------|
| 316 | 38/26-1(a)(3) | Disorderly Conduct, Bomb Threat, (False Alarm) | A | LT 1 | NA |
| 317 | 38/26-1(a)(2) | Disorderly Conduct, False Alarm | 4 | 1-3 (3-6) | 1 |
| 318 | 38/26-1(a)(4&5) | Disorderly Conduct, False Police Report/Peeping | B | NMT 6 mos. | NA |
| 414 | 38/12-11 | Home Invasion | X | 6-30 (30-60) | 3 |
| 423 | 38/21.2-4 | Interference With Public Institution Higher Learning (Subsequent Act) | B | NMT 6 mos. | NA |
| 424 | 38/12-6 | Intimidation | 3 | 2-5 (5-10) | 1 |
| 504 | 38/25-1(c) | Mob Action, Inflict Injury | 4 | 1-3 (3-6) | 1 |
| 505 | 38/25-1(d) | Mob Action, Refuse to Withdraw | A | LT 1 | NA |
| 702 | 38/12-5 | Reckless Conduct | A | LT 1 | NA |
| 706 | 38/31-1 | Resisting/Obstructing Peace Officer | A | LT 1 | NA |

ESCAPE

| | | | | | |
|-----|----------------|---|--------|------------------|---------|
| 325 | 38/32-10 | Bail Bond, Violation (felony) (misdemeanor) | 4 A | 1-3 (3-6) LT1 | 1 NA |
| 326 | 38/1003-6-4(a) | Escape (Any person who escapes or attempts escape from Adult Division facility), (mandatory consecutive sentence) | 2 | 3-7 (7-14) | 2 |
| 327 | 38/1003-6-4(a) | Escape (Failure to return from furlough or work release) | 3 | 2-5 (5-10) | 1 |
| 328 | 38/31-6(a) | Escape (Felon) | 2 | 3-7 (7-14) | 2 |
| 329 | 38/31-6(c) | Escape (From Peace Officer) | A | LT 1 | NA |
| 330 | 38/31-6(b) | Escape (misdemeanant) | A | LT 1 | NA |
| 331 | 38/31-6(d) | Escape using weapon with b or c | 2 | 3-7 (7-14) | 2 |
| 332 | 38/31-7(b) | Escape, Aiding (Aides felon from penal institution or from custody of employee) | 2 | 3-7 (7-14) | 2 |
| 333 | 38/31-7(c) | Escape, Aiding (Aides Misdemeanant from institution or custody) | A | LT 1 | NA |

CODE SHEET B

| <u>CODE</u> | <u>STATUTE CITATION</u> | <u>DESCRIPTION OF THE OFFENSE</u> | <u>CLASS</u> | <u>SENTENCE RANGE</u> | <u>MSR</u> |
|-------------|-------------------------|--|--------------|-----------------------|------------|
| | | <u>ESCAPE</u> | | | |
| 334 | 38/31-7(d) | Escape, Aiding (Aides person to escape facility or custody of employee other than penal institution) | A | LT 1 | NA |
| 335 | 38/31-7(e) | Escape, Aiding (Aides person to escape peace officer) | A | LT 1 | NA |
| 336 | 38/31-7(a) | Escape, Aiding (Conveys anything for use in escape) | A | LT 1 | NA |
| 337 | 38/31-7(f) | Escape, Aiding (Employee recklessly permits) | A | LT 1 | NA |
| 338 | 38/31-7(g) | Escape, Aiding (Using weapon with c, d, e) | 2 | 3-7 (7-14) | 2 |

CODE SHEET C

CURRENT OFFENSE SERIOUSNESS

- 00 = No dangerousness --- No Statement of Facts.
- 01 = Verbal threats, directed at someone or others (Intimidation, threatening phone calls, unlawful use of weapons, Misdemeanant) Resisting, obstructing police officer.
- 02 = Non-directed physical aggression (physical aggression against property rather than people. Example: Person gets angry and destroys a person's property; mob action, arson, criminal damage).
- 03 = Physical aggression directed against another which includes actual minor physical or emotional harm. (Battery, unlawful restraint, unlawful use of weapons - felony).
- 04 = Death, result of negligency (reckless homicide, involuntary manslaughter).
- 05 = Physical aggression directed against another which includes major physical and/or emotional harm (does not include use of weapon). (Incest, indecent liberties with a child.)
- 06 = Death, direct participation by the victim (crimes of passion, voluntary manslaughter and other deaths where there is evidence of provocation at the time of the commission of the offense.)
- 07 = Aggression against another person where there is use of life threatening force. Death is not an outcome but there is the presence of severe trauma and/or torture (psychological or physical). (Rape, deviate sexual assault, aggravated battery, kidnapping, armed robbery, home invasion, attempted murder, use of weapon in commission of a felony against the person, aggravated incest, arson.)
- 08 = Death by murder without aggravating circumstances, no excessive deliberate force or harm. (Example: Bank robber is fleeing the scene and shoots bank teller.)
- 09 = Death or severe life threatening harm to a uniformed or known law enforcement officer.
- 10 = Death by murder with severe trauma (actions calculated to induce terror in the victim and not resulting in immediate death; clubbing, strangulation, multiple wounds).
- 11 = Death by murder where victim was subjected to prolonged physical/emotional pain through the use of excessive force prior to act resulting in death.
- 12 = Death, murder for profit or personal gain.
- 13 = Multiple deaths by actions of the murders described in 12, 11, or 10.

CODE SHEET D

SECURITY LEVEL DESIGNATION

Initial Reception Classification Matrix

Dangerous Scale

| | | Low (0 - 46) | Moderate (47 - 75) | High (76 +) |
|---|---------------------|-----------------|-----------------------|-----------------|
| | | 6 | 3 | 1 |
| A D J U S T M E N T S C A L E | Low (0-44) | 6 | 4 | 1 |
| | Moderate (45-75) | 5 | 3 | 1 |
| | High (76 +) | 2 | 2 | 1 |

Maximum = 1, 2

Medium = 5, 4, 3

Minimum = 6, 7

CODE SHEET E

PLACEMENT OF INMATES
BY THEIR CLASSIFICATION SECURITY DESIGNATION

| <u>Institution Security Level</u> | <u>Name of Institution</u> | <u>Inmate Security Level</u> | <u>CIMIS Institution Code</u> |
|---------------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|
| Maximum/Maximum | Pontiac | Level 1 | 07 |
| Maximum | Stateville | Level 2 | 01 |
| Maximum | Menard | Level 2 | 02 |
| Maximum | Joliet | Level 2 | 03 |
| Maximum | Pontiac | Level 2 | 07 |
| High Medium | Centralia | Level 3 | 12 |
| High Medium | Graham | Level 3 | 11 |
| Medium | Logan | Level 4 | 08 WC |
| Medium | Menard Special Unit | Level 4 | 02 C |
| Medium | Sheridan | Level 4 | 05 |
| Low Medium | Pontiac Medium Security Unit | Level 5 | 07 A |
| Low Medium | Vandalia | Level 5 | 04 |
| Low Medium | East Moline | Level 5 | 13 |
| Minimum | Vienna | Level 6 | 10 |
| Minimum | Stateville Minimum Security Unit | Level 6 | 01 T |
| Minimum | Vandalia Work Camp | Level 6 | 04 WC |
| Minimum | Hardin County Work Camp | Level 6 | 10 WC |
| Minimum | Menard Farm | Level 6 | 02 F |
| Community | Springfield Work Camp | Level 7 | 08 WC |
| Special Units | Menard Psych | | 09 |

CODE SHEET E (Cont'd)

PLACEMENT OF INMATES
BY THEIR CLASSIFICATION SECURITY DESIGNATION

| <u>Institution Security Level</u> | <u>Name of Center</u> | <u>Inmate Security Level</u> | <u>CIMIS Institution Code</u> |
|---------------------------------------|---|--------------------------------------|---------------------------------------|
| Community | Aurora Work Release | Level 7 | 71 |
| Community | Carbondale Work Release | Level 7 | 20 |
| Community | Chicago Community Work Release | Level 7 | 25 |
| Community | Chicago Inner City Work Release | Level 7 | 23 |
| Community | Decatur Community Correctional Center | Level 7 | 24 |
| Community | East St. Louis Work Release | Level 7 | 21 |
| Community | FREE Community Correctional Center | Level 7 | 34 |
| Community | Jesse 'MA' Houston | Level 7 | 37 |
| Community | Joe Hall Work Release | Level 7 | 35 |
| Community | Joliet Work Release | Level 7 | 22 |
| Community | Lake County Work Release | Level 7 | 29 |
| Community | Metro Community Correctional Center | Level 7 | 19 |
| Community | New Life Community Correctional Center | Level 7 | 26 |
| Community | Peoria Work Release | Level 7 | 28 |
| Community | River Bend Community Correctional Center | Level 7 | 16 |
| Community | Salvation Army (WIND) | Level 7 | 38 |
| Community | Salvation Army Work Release | Level 7 | 27 |
| Community | Sojourn House | Level 7 | 36 |
| Community | Urbana Community Correctional Center | Level 7 | 30 |
| Community | Wave Community Correctional Center | Level 7 | 39 |
| Community | Winnebago Community Correctional Center | Level 7 | 31 |

**CLASSIFICATION
SUMMARY REPORT**

Report 1

Inmate Security Designation

CLASSIFICATION SUMMARY REPORT - R&C

The Summary Report contains relevant data about placement that cannot be automated. This information should be obtained during the interview process. The Classification Summary Report must contain a discussion of Special Needs and Administrative Concerns impacting on placement.

State of Illinois - Department of Corrections
RECEPTION AND CLASSIFICATION UNIT

CLASSIFICATION SUMMARY REPORT

RESIDENT NAME _____

NUMBER _____

PSYCHOLOGICAL:

Psychologist's
Signature _____

INMATES VERSION OF OFFENSE

ENEMIES:

GANG:

SUMMARY: In this section will be a short social history of the resident. There also will be any impressions of the resident that the counselor needs to indicate and any special problems. (All Critical Special Needs and Administrative Concerns must be documented below).

Counselor's
Signature _____

R&C Supervisor's
Signature _____

CLASSIFICATION SUMMARY REPORT - R&C

INSTRUCTIONS

Psychological In this section a brief summary of the resident's psychological profile is provided by the psychologist. The psychological summary profile is based on a more detailed psychological profile which is kept in the master file. The psychologist must sign indicating the that psychological summary is accurate.

Inmate's Version of the Offense Through the interview process, the counselor will obtain the inmate's version of the offense.

Enemies The resident will provide a list of enemies to the counselor.

Gang Gang affiliations will be determined by the counselor. Sources may include pre-sentence, previous PCRs, and self-report.

Summary In this section will be a short social history of the resident. There also will be any impressions of the resident that the counselor needs to indicate and any special problems. Also any Critical Special Needs, Administrative Concerns, and changes in Placement Recommendations will be documented here.

The psychologist and counselor will dictate this information for typing. Upon review of the typed version, the counselor will sign, indicating that the information is accurate.

R&C Supervisor will also sign the Classification Summary Report.

Reports #1-5/6, Summary Report, Statement of Fact, and Rap Sheet are forwarded to the Transfer Coordinator.

Resident's name, number, and security level are sent to CIMIS.

RECEPTION CLASSIFICATION UNIT

Report 1

o INMATE SECURITY DESIGNATION

CONTINUED

2 OF 3

REPORT 1

INMATE SECURITY DESIGNATION SECTION

Security designation cannot be entered until the classification process is completed. Upon the completion of the classification process the R&C Unit will forward the inmate's security designation to the CIMIS Office.

I/O PROCEDURES

The CIMIS Operator will use the INMSEC transaction to input the security designation following these instructions. The /INMSEC transaction is used to enter and update the status of an inmate's security grade.

INITIATOR:

/INMSEC, [IDOC number]
[@]

/INMSEC, [IDOC Number] ... <<SEND>>
Initiates form for entering security and grade status for inmate with specified IDOC number.

/INMSEC, [@] ...<<SEND>>
Initiates form for entering security and grade status for inmate with IDOC number last accessed by the terminal.

PARAMETER VALIDATION:

IDOC number is checked to make sure it is a valid number for the institution, and that it belongs to an inmate of the institution.

EXAMPLES:

/INMSEC, AB001B <<SEND>>

/INMSEC, @ <<SEND>>

INITIATOR ERROR MESSAGE:

INVALID IDOC NUMBER

Either nothing was entered, what was entered is in the wrong form, or it doesn't belong to an inmate of the institution. Try typing prefix letter, or correct the whole IDOC number, and re-send the initiator.

NUMBER: A00317 1 NAME: 2 LIVING UNIT: 2-11-2-18-0 3
ADMIT DATE: 03/15/80 4
SECURITY EFFECTIVE
CODE DESC DATE
2 MAXIMUM 04/03/81
5 6 7

| CURRENT GRADE CODE DESC | EFFECTIVE DATE | CURRENT INMATE EXIT DATE |
|----------------------------|-------------------|---------------------------------|
| 8 CLASS C | 11/10/81 | 08/06/82 |
| 9 | 10 | 11 |
| | | 8 NEXT EXIT DATE 11/08/82 |

| CLASSIFICATION CODE DESC | EFFECTIVE DATE |
|-----------------------------|-------------------|
| UNKNOWN | / / |

INMATE SECURITY DESIGNATION

SCREEN: RESIDENT SECURITY

INSTRUCTIONS

- 1. NUMBER This field displays the IDOC number of the inmate whose security and grade status codes are to be entered or updated. This is the same IDOC number as the one entered on the initiator.
- 2. NAME This field displays the name of the inmate whose security and grade status codes are to be entered or updated.
- 3. LIVING UNIT This field contains the living unit number of the inmate whose security and grade status codes are to be entered or updated.
- 4. ADMIT DATE This field contains the Admit Date of the inmate whose security and grade status codes are to be entered or updated.
- 5. SECURITY CODE This field contains the inmate's security status code. Enter a new code in the unprotected area beneath the display field. Use the following table to enter the correct code.

SECURITY TABLE

| <u>CODE</u> | <u>DESCRIPTION</u> |
|-------------|--------------------|
| 1 | MAXIMUM/MAX |
| 2 | MAXIMUM |
| 3 | HIGH MEDIUM |
| 4 | MEDIUM |
| 5 | LOW MEDIUM |
| 6 | MINIMUM |
| 7 | COMMUNITY |

INMATE SECURITY DESIGNATION

6. SECURITY DESC

This field contains the security status code description, as listed on the table above.

7. EFFECTIVE DATE

This field contains the effective date of the inmate's security status. Enter a new date (MM/DD/YY) in the unprotected area beneath the display field. This must be a valid date not before Admit Date and not after today's date. Default is today's date.

8-11.

Disregard at this time.

TRANSFER COORDINATOR

PLACEMENT ACTION

TRANSFER COORDINATOR'S ACTION ON RECOMMENDED PLACEMENT

INSTRUCTIONS

7. Transfer Coordinator's Action

If the Coordinator concurs with one of the recommended institutions, then a 1 is entered in 7(A), and one of the institutional codes from 6(J)-(L) will be entered at 7(B). If the Coordinator does not concur with recommended placement, then a 2 is entered at 7(A). If the coordinator concurs with the first placement recommendation the Transfer Orders are signed. If he is in disagreement with the first placement recommendation, he will respond in one of two ways: 1) He may indicate in writing on the Classification Report #6 why he is in disagreement and change the placement recommendation on the Classification Report to the second or third choice. However, if this occurs, he will also indicate, in writing on the Transfer Order, why the second or third choice was made. 2) The Transfer Coordinator may deny the transfer in its entirety and provide a written rationale to the submitting facility.

8. Data Entry of Report #5 and #6

An additional copy of Classification Report #5/6 will be provided to the Transfer Coordinator. The Transfer Coordinator, if he approves the transfer, will forward a copy of this report with no additional comments to the Manager of Research and Evaluation Unit. Should the Transfer Coordinator not be in concurrence with the recommended classification, the Transfer Coordinator will indicate on Classification Report #5/6 the disagreement and final placement recommendation prior to sending this to the Research and Evaluation Unit. The instruments will be forwarded to ISU for input into a separate classification subfile for analysis.

APPENDIX A

Documentation of Criminal History

IDENTIFICATION NUMBER

ISI #
FBI #
CPD #
Last Agency #

AGENCY LOCATOR #

Arrest
State Attorney Disposition
Custodial Information

AGENCY LOCATOR #

TRANSACTION CODE

- Arrest
- Bond
- State's Attorney
- Court Disposition
- Custodial Information
- Right To Access & Review

DATE OF TRANSACTION

Arrest Date
State's Attorney Filing Date
Conviction, (Sentencing Date)
Admission To IDOC
Status Change

REQUESTING AGENCY

BONDS

NAME

BIRTHDATE

| Illinois Department of Law Enforcement Division of Support Services Bureau of Identification 515 East Woodruff Road, Joliet, Illinois 60433 IL BUREAU NO. IL99999570 FM NUMBER CHICAGO IS NO. 99999590 AGENCY CONTROL NO. IR99999590 | | AGENCY REQUESTING TRANSCRIPT DEPT OF LAW ENFORCEMENT SUPPORT SERVICES 300 NORTH ARHORY BUILDING SPRINGFIELD IL 62706 REQ/ | | NAME TEST, JACKET BIRTHDATE 021453 ALIAS DOB 021242 SEX M RACE W HAIR BLH EYES GRN HGT. FT. 6 IN. 00 WEIGHT 200 HENRY FP CLASS 11 PI 25 W POPIPD PI PIPPOPIPI1122334455 NCIC FP CLASS US 29 U UOUQUO | | DATE 04/78 | |
|---|----------------------|---|----------------|--|------------------|--|----------------|
| WARNING: RELEASE OF THIS INFORMATION TO UNAUTHORIZED INDIVIDUALS OR AGENCIES OR AGENCY OR AGENCY IS PROHIBITED BY FEDERAL LAW TITLE 48 USC 2716 PERTAINING TO CRIMINAL HISTORY INFORMATION. | | | | | | | |
| CONTRIBUTOR | DOCUMENT CONTROL NO. | AGENCY CONTROL NO. | DATE OF TRANS. | A C H | STATUTE CITATION | ACTION | NAME USED |
| ARREST | CHICAGO | CB9999590 | 07/15/81 | 01 | 38-9-1 | MURDER | |
| | | IR9999590 | | 02 | 38-19-1 | CLASS MURDER BURGLARY CLASS 2 FELONY | |
| ARREST | DEPT LAW ENFORCE | C99999580 | 06/01/80 | 01 | 38-19-1 | ATTEMPT TO COMMIT BURGLARY | TEST, NOBODY B |
| | | | | 02 | 38-12-4 | CLASS 1 FELONY AGGRAVATED BATTERY CLASS 2 FELONY | TEST, RECORD |
| PRE-TRIAL STATUS | APPELLATE CRT & DS | D99999580 | | B | | | |
| S. A. DISPOSITION | SANGAMON CO S A | D99999580 | | S | | RELEASED ON RECOG RFW QUASHED | |

FINGERPRINT CLASSIFICATION

- Henry
- NCIC

ACII (Arrest Charge #)

STATE CITATION

TYPE OF ACTION

- Arrest Charges
- State's Attorney
- Court Action
- Not Guilty
- Sentence Imposed
- Custodial Action
- Admission
- Transferred
- Escape
- Release
- Parole
- Discharge

ALIAS

(By Arrest Incident)

Illinois
 Department of Law Enforcement
 Division of Support Services
 Bureau of Identification
 515 East Woodruff Road,
 Joliet, Illinois 60432
 ILL BUREAU NO IL99999570
 FBI NUMBER
 CHICAGO IR NO 99999590
 AGENCY CONTROL NO IR99999590

AGENCY REQUESTING TRANSCRIPT
 DEPT OF LAW ENFORCEMENT
 SUPPDRT SERVICES
 300 NORTH ARMORY BUILDING
 SPRINGFIELD
 IL 62706
 REC/

NAME TEST, JACKET
 BIRTHDATE 021453 SEX M RACE W
 ALIAS DOB 021242 041354
 MISC. NO.
 HAIR BLN EYES GRN
 HGT FT. 6 IN. 00 WEIGHT 200
 HENRY FP CLASS NCIC FP CLASS
 11 PI 25 W POPIPO PI PPOPIPOPI1122334455
 US 29 U UOUUOU
 NUMBER OF BONDS 01
 BFW ISSUED 00
 BFW QUASHED 01

DET 6 65 4/78

WARNING: RELEASE OF THIS INFORMATION TO UNAUTHORIZED INDIVIDUALS OR AGENCIES OR MISUSE IS PROHIBITED BY FEDERAL LAW
 TITLE 42 USC 3771b PERTAINING TO CRIMINAL HISTORY INFORMATION.

| CONTRIBUTOR DOCUMENT CONTROL NO. AGENCY CONTROL NO. | INDEX S | DATE OF TRANS. | A C H | STATUTE CITATION | ACTION | NAME USED |
|---|------------|----------------------|-------------|---------------------|---|-----------|
| | | 10/15/81 | | | DATE OF TRANSCRIPT | |
| | | 09/10/80 | 01 | 38-19-1 | FILED BURGLARY | |
| | | 09/10/80 | 02 | 38-12-4 | FILED AGGRAVATED BATTERY | |
| COURT DISPOSITION APPELLATE CRT 4 DS 099999580 | J | 10/10/80 | 01 | 38-19-1 | CONVICTED OF BURGLARY SENTENCED TO IMPRISONMENT FOR 2Y6M RESTITUTION AMOUNT \$200 | |
| | | 10/10/80 | 02 | 38-12-4 | ACQUITTED AGGRAVATED BATTERY | |
| CUSTODIAL INFORMATION AGENCY C99999580 | C | 12/01/80 | | | RECEIVED | |

DLF 4 65 4/78

Illinois
 Department of Law Enforcement
 Division of Support Services
 Bureau of Identification
 515 East Woodruff Road,
 Joliet, Illinois 60432

AGENCY REQUESTING TRANSCRIPT
 DEPT OF LAW ENFORCEMENT
 SUPPORT SERVICES
 300 NORTH ARMOYR BUILDING
 SPRINGFIELD
 IL 62706

NAME TEST, JACKET
 BIRTHDATE 021453 SEX M RACE W
 ALIAS DOB 021242 041354
 MISC. NO
 HAIR BLN EYES GRN
 HGT. FT. 6 IN. 00 WEIGHT 200
 HENRY FP CLASS NCIC FP CLASS
 US 29 U UOUGUO

ILL BUREAU NO IL99999570 REQ/
 FBI NUMBER
 CHICAGO IR NO 99999590
 AGENCY CONTROL NO. IR99999590

NUMBER OF BONDS 01
 BFW ISSUED 00 11 PI 25 W POPIPO PI PIPPOPIPOI1122334455
 BFW QUASHED 01

WARNING: RELEASE OF THIS INFORMATION TO UNAUTHORIZED INDIVIDUALS OR AGENCIES OR MISUSE IS PROHIBITED BY FEDERAL LAW
 TITLE 42 USC 3771b PERTAINING TO CRIMINAL HISTORY INFORMATION.

| CONTRIBUTOR DOCUMENT CONTROL NO. AGENCY CONTROL NO. | DATE OF TRANS. | A C H | STATUTE CITATION | ACTION | NAME USED |
|--|----------------------|-------------|---------------------|--------------------|-----------|
| | 10/15/81 | | | DATE OF TRANSCRIPT | |
| CUSTODIAL INFORMATION | | | | | |
| AGENCY C99999580 | C 12/25/80 | | | COMMUTED/RESCINDED | |
| <p>THIS RECORD IS TOTALLY ON ILLINOIS CCH</p> <p>CONFIDENTIAL—THIS INFORMATION IS TO BE UTILIZED SOLELY IN THE DUE ADMINISTRATION OF CRIMINAL LAWS AS PROVIDED BY ILLINOIS REVISED STATUTES CHAPTER 38, SECTION 206-3.</p> <p>THE BUREAU OF IDENTIFICATION MUST BE QUERIED BEFORE DISSEMINATION.</p> | | | | | |
|  | | | | | |
| <p>STATE'S ATTORNEY SANGAMON COUNTY 8TH AND MONROE ROOM 404 SPRINGFIELD IL 62701</p> | | | | | |

*** PAGE 003. FINAL PAGE OF TRANSCRIPT.

APPENDIX B

Reception Classification Report

Run Instruction

RUN INSTRUCTIONS

The three transactions involved in running the Reception Classification Report are CLASSADD, CLASSINQ and SUBMIT.

The CLASSADD transaction will enable you to input the selection criteria for the Classification Report (DOC number and desired report number). The CLASSINQ will enable you to view your report request before running the reports. Upon successful completion of the above, you will be ready to submit the report for processing and printing.

----- RESIDENTS FOR REPORT -----
 ENTER "Y" FOR REPORT SECTIONS DESIRED

| DOC NUMBER | | 1 | 2 | 3 | 4 | 5 OR 6 |
|------------|-------|---|---|---|---|--------|
| 1 | 2 | | | | | |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |
| ?????????? | ##### | ? | ? | ? | ? | ? |

RUN INSTRUCTIONS - CLASS ADD

The CLASSADD transaction will tell the system which classification reports are desired. Report information is generated, based on DOC number. Up to 10 DOC numbers can be entered on the CIMIS Classification Report - Additional Form Screen. To continue, just press send key and 10 more DOC numbers can be entered.

I/O PROCEDURES:

INITIATOR:

/CLASSADD <<SEND>>

INSTRUCTIONS

- 1. DOC NUMBER Enter the DOC number for the report you wish to generate.
- 2. ERROR MESSAGE Skip. These are systems generated error messages.
- 3. REPORT SECTIONS Enter "Y" under report sections desired for IDOC number entered.

RESIDENTS FOR REPORT

| 1 | 2 | 3 REQUESTED SECTIONS | | | | | | ? | REQUESTED SECTIONS | | | | | |
|---|-------|----------------------|---|---|---|---|------|-------|--------------------|---|---|---|---|------|
| | | 1 | 2 | 3 | 4 | 5 | OR 6 | | 1 | 2 | 3 | 4 | 5 | OR 6 |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |
| ? | ##### | # | # | # | # | # | ? | ##### | # | # | # | # | # | ? |

RUN INSTRUCTIONS - CLASS INQ

The CLASSINQ transactions will list all DOC numbers for which a Classification Report is being requested. Numbers can be deleted by keying a "D" in front of the DOC number on the CIMIS Classification Report - Inquiry/Delete Form. Note for each inquiry screen of data you will do 1 submit.

I/O PROCEDURE:

INITIATOR:

/CLASSINQ <<SEND>>

INSTRUCTIONS

1. DELETE FIELD Enter "D" to delete IDOC number to right of unprotected area.
2. DOC NUMBER Displays IDOC number for which a Reception Classification Report was requested.
3. REPORT SECTION Displays reports requested.

RUN INSTRUCTIONS - SUBMIT

To submit the Classification Report, use the SUBMIT transaction:

/SUBMIT, CLASSRPT,MM,DD,YY

MM,DD,YY is defined as follows:

MM - is a 2 digit month
DD - is a 2 digit day
YY - is a 2 digit year
IE: May 2, 1982 is 05,02,82

Each submission of the Classification Report, CLASSRPT, will report for up to 20 IDOC numbers requested, using the /CLASSADD transaction.*

*Note for each inquiry screen of data, you will do 1 submit.

END