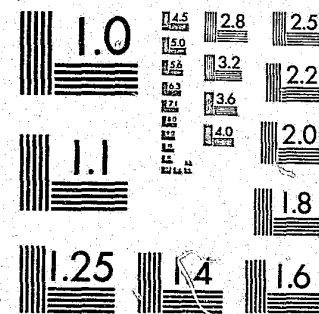National Criminal Justice Reference Service

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1.25	1.4	1.6

MICROCOPY RESOLUTION TEST CHART
NATIONAL BUREAU OF STANDARDS-1963-A

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National Institute of Justice
United States Department of Justice
Washington, D. C. 20531

8/7/85

OFFENDER RISK ASSESSMENT: THE IOWA MODEL

CODING FORMS AND PROCEDURES

U.S. Department of Justice
National Institute of Justice

Statistical Analysis Center
Office for Planning and Programming
State of Iowa
523 East 12th Street
Des Moines, Iowa 50319

April, 1984

97097

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION
<u>DATA COLLECTION</u>

		Mo. Day Yr.		Mo. Day Yr.

OFFENDER NAME _____   NUMBER _____   DATE CONVICTED __/__/__   DATE OF BIRTH __/__/__

SUBSTANCE ABUSE CLASSIFICATION
(check highest applicable)

SA III ☐ History of Problem Use of Narcotics, Hallucinogens, or Glue

SA III ☐ History of Illicit Drug Injections

SA II ☐ History of Non-Problem Use of Narcotics, Hallucinogens or Glue

SA I ☐ History of Problem Use of Drugs or Alcohol

NA ☐ No History as Above

CURRENT OFFENSE CLASSIFICATION
(check highest applicable)

☐ Robbery
☐ Aggravated Assault
☐ Aggravated Burglary
☐ Burglary
☐ Motor Vehicle Theft
☐ Forgery
☐ Bad Checks
☐ Other Offense
☐ Drug-Related Offense
☐ Alcohol-Related Offense

AGE AT CONVICTION

☐ 0-19

☐ 20-23

☐ 24-29

☐ 30+

CRIMINAL HISTORY CLASSIFICATION (priors)

A. Arrests ____
B. Probation Terms ____
C. Jail Terms ____
D. Juvenile Commitments ____
E. Adult Commitments (prison terms) ____

CRIMINAL HISTORY SCORE =

A + B + C + 2D + 2E = ____

☐ 0-1
☐ 2-5
☐ 6-10
☐ 11-19
☐ 20+

SPECIAL RISK FACTOR SCORING
(circle applicable categories)

Class I Violence Factors   1 2 3 4 5

Class II Violence Factors   9 10 11 12
                            13 14 15 16
                            17 18 19

Other Class I Factors   6 7 8

Other Class II Factors   20 21 22 23 24

Special Violence Risk Factor (check) ___

Special Factors Classification (check) None ___ Class I Only ___ Class II ___

OFFENDER TYPING (check)

Violent Offender ☐ Current Arresting or Convicting Offense Against Person(s)
☐ Special Violence Risk Factor
☐ Current Sentence for Jailbreak or Escape from Prison

First Offender ☐ No Prior Felony Arrest/Conviction

Burn-out ☐ Not a Violent Offender as Above <u>and</u> Age 50+ at Commitment <u>or</u> Age 25+ at Commitment and 4-Factor Score is 1-4

4-FACTOR SCORE _____

FINAL RISK ASSESSMENT   General _____   Violence _____

Property _____

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION

STEP I - 4-FACTOR SCORING

SUBSTANCE ABUSE CLASSIFICATION

CURRENT OFFENSE CLASSIFICATION (Highest Applicable)	CRIMINAL HISTORY AND AGE AT CONVICTION																
	0-1			2-5			6-10				11-19				20+		
	30+	20-29	0-19	30+	20-29	0-19	30+	24-29	20-23	0-19	30+	24-29	20-23	0-19	30+	20-29	0-19
Substance Abuser III																	
Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	6	6	6	6	6	7	7	6	7	7
Aggravated Burglary	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser II																	
Aggravated Burglary/Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	5	5	6	4	5	5	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	5	3	5	5	6	4	5	5	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser I																	
Robbery/Escape	1	2	2	1	3	4	2	4	4	6	4	4	4	6	5	5	6
Aggravated Burglary/Aggravated Assault	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Other Offense Classification	1	2	2	1	3	3	2	3	3	4	3	3	3	5	3	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	2	1	2	2	3	2	3	3	3	3	3	4
Non-Abuser																	
Robbery/Escape	1	1	2	1	3	3	1	3	3	4	3	3	3	6	3	5	6
Aggravated Burglary/Aggravated Assault	1	1	2	1	3	3	1	3	3	4	3	3	3	5	3	5	5
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	1	2	1	3	3	1	3	3	4	2	3	3	5	3	5	5
Other Offense Classification	1	1	1	1	1	2	1	3	3	3	2	3	3	5	2	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	1	1	1	1	3	2	3	3	3	2	3	3

STEP II - OFFENDER TYPING (check applicable categories)

Violent Offender
___ Current Offense Against Persons
___ Special Violence Risk Factor (see STEP III)
___ Current Sentence for Escape or Jailbreak

First Offender
___ No Prior Felony Arrest or Conviction

Burn-out
___ Not a Violent Offender and Age 50+ at Conviction
___ Not a Violent Offender and Age 25-49 at Conviction and 4-Factor Score is 1-4

STEP III - SPECIAL RISK FACTOR SCORING

Circle

Class I Factor / Violence

1 1+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
2 2+ Total Arrests for Felonies Against Persons in Last 3 Years Street Time
3 2+ Prior Arrests for Felonies Against Persons in Last 5 Years Street Time
4 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time
5 3+ Prior Arrests for Crimes Against Persons in Last 5 Years Street Time
6 1+ Prior Felony Incarcerations in Last Year Street Time
7 2+ Prior Incarcerations for Indictable Offenses in Last Year Street Time
8 Current Arrest is at Least Fifth Arrest for Same Type Felony in Last 5 Years Street Time

Class II Factor / Violence

9 4+ Prior Convictions for Felonies Against Persons in Last 20 Years Street Time
10 3+ Prior Convictions for Felonies Against Persons in Last 10 Years Street Time
11 2+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
12 1+ Prior Convictions for Felonies Against Persons in Last 2 Years Street Time
13 4+ Prior Arrests for Felonies Against Persons in Last 10 Years Street Time
14 3+ Prior Arrests for Felonies Against Persons in Last 7 Years Street Time
15 3+ Total Arrests for Felonies Against Persons in Last 5 Years Street Time
16 2+ Prior Arrests for Felonies Against Persons in Last 2 Years Street Time
17 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time **and**
   2+ Prior Arrests for Felonies Against Persons in Last 3 Years Street Time
18 3+ Prior Arrests for Crimes Against Persons in Last 3 Years Street Time
19 Current Convictions for Felony Against Persons and Escape (Prison)
20 3+ Prior Felony Convictions or Incarcerations in Last 3 Years Street Time
21 2+ Prior Felony Convictions or Incarcerations in Last 2 Years Street Time
22 Current Conviction is at Least Third Conviction for Same Type Felony in Last 5 Years Street Time
23 Current Conviction is at Least Third Conviction for High Recidivism Offense in Last 5 Years Street Time
24 Current Prison Admission as Release Violator with New Felony Conviction for High Recidivism Offense

STEP IV - FINAL GENERAL RISK ASSESSMENT

4-Factor Score	Burn-out or First Offender	Special Risk Factors		
		None	Class I Only	Class II
7	Poor	Poor	Very Poor	Very Poor
6	Good	Poor	Poor	Very Poor
5	Good	Good	Poor	Poor
4	Good	Good	Good	Fair*
3	Very Good	Very Good	Good	Fair*
2	Very Good	Very Good	Very Good	Good
1	Excellent	Excellent	Excellent	Excellent

*Code as POOR if current offense is against persons.

STEP V - FINAL VIOLENCE/PROPERTY RISK ASSESSMENT

General Risk Assessment	Violence Risk		Property Risk	
	Violent Offender	Non-Violent Offender	Violent Offender	Non-Violent Offender
Very Poor	Very Poor	Fair	Very Poor	Very Poor
Poor	Poor	Fair	Poor	Poor
Fair	Good	Good	Fair	Fair
Good	Good	Very Good	Good	Good
Very Good	Very Good	Very Good	Excellent	Very Good
Excellent	Excellent	Excellent	Excellent	Excellent

OFFENDER RISK ASSESSMENT
CODING INSTRUCTIONS

The coding of the offender risk assessment involves a five-step process as follows:

Step I: 4-Factor Scoring

Step II: Offender Typing

Step III: Special Risk Factor Scoring

Step IV: Final General Risk Assessment

Step V: Final Violence/Property Risk Assessment

The final product of the process (from Step V) consists of two assessments, one of the potential for new violence, and the second of the potential for new property (non-violent) crime.

Step I entails the examination of the combined predictive power of four "general risk factors," namely 1) Substance Abuse Classification, 2) Current Offense Classification, 3) Criminal History Classification, and 4) Age at Conviction. First, the appropriate row in the matrix of Step I is determined by classification according to substance abuse history and current offense type. Similarly, the appropriate column is located by classification according to criminal history score and age at conviction. The entry in the body of the matrix where the selected row and column cross indicates the "4-Factor Score" for the offender, which should be circled in actual coding. This score varies from 1 to 7 in increasing order of risk. The coding of the four general risk factors covered under Step I is given in the "Item Definitions" section to follow.

Step II provides a general typology of offenders which proves useful in Step IV and Step V. Initially, Step II involves the classification of the offender as either a Violent Offender or a Non-Violent Offender. This is a reference to the fact of a known history of violence and not a specification of any type of violence risk. A Violent Offender is one who is either: 1) currently convicted of an offense against person(s), 2) covered by one of the special violence risk factors of Step III, or 3) currently convicted of escape or jailbreak. The appropriate categories here should be checked. Step II, in addition, identifies offenders to be covered under Burn-out and First Offender designations appearing in Step IV. Again, if applicable, the appropriate categories should be checked. A First Offender is one who has no prior record of felony arrests or convictions. The Burn-out designation applies if either: 1) the offender is not classified as a Violent Offender and is age 50 or over at conviction, or 2) the offender is not a Violent Offender, is age 25 to 49 at conviction, and has a 4-Factor Score of 1 to 4. If either the First Offender or Burn-out designations apply, this may result in a lower general risk assessment from Step IV.

Step III provides a much more sensitive reading of the offender's criminal history than was possible in Step I, and is particularly aimed at the identification of special highly predictive risk factors that may virtually stand alone as indicators of high risk offenders. To this extent, a special risk factor may override the 4-Factor Score from Step I in providing a more accurate risk assessment. To code Step III, it is necessary to examine the detailed criminal history of the offender, including dates and dispositions of all felony charges, and of other indictable offenses resulting in incarceration, as well as periods of "street time" since previous arrests, convictions, and incarcerations of certain types. The designation "N+" means "N or more". Generally speaking, in coding this section, it is only necessary to identify one special risk factor, since the the simple occurrence of a factor is what applies in Step IV. Special risk factors are not counted, and the ordering is purely arbitrary except for the groupings indicated to the left

of the numbered sequence. In stating that only one special factor need be identified, we must qualify this statement somewhat as follows:

1) If the offender is not otherwise classified as a Violent Offender from Step II, it may be necessary to code a special violence risk factor (where applicable) to obtain the Violent Offender classification.

2) Independent of the classification of Violent and Non-Violent Offenders, Class II Special Risk Factors are generally stronger predictors that take precedence over Class I factors in many cases. It may be necessary, depending on the 4-Factor Score, to find a Class II factor to be able to classify the offender as a Poor Risk under Step IV.

The "Item Definitions" section gives the meanings of all terms used in Step III, including "street time," "high recidivism offense," and "felonies of same type."

Step IV provides a Final General Risk Assessment resulting from a combination of the results of the first three steps. To code Step IV, first locate the 4-Factor Score from Step I on the left side of the table, making a check mark to the left of the score. Then determine from Step II if either the First Offender or Burnout designations apply. If so, then the Final General Risk Assessment is given in the first column of the body of the table. If not, then the first column should be ignored, and the remaining three columns examined to obtain the general assessment. In the latter case, if any Class II Special Risk Factor was coded under Step III, then the general assessment is given in the last column. If no Class II factor was coded, but at least one Class I factor was coded, then the middle column gives the general assessment. If no Class I or II factor was coded, then the first (None) column gives the general assessment. In any case, the resulting assessment should be circled.

Step V combines the Final General Risk Assessment from Step IV with the result of the Violent/Non-Violent Offender classification to give both a Final Violence Risk Assessment and a Final Property Risk Assessment. First, the Final General Risk Assessment should be located on the left side of the table. Then the final Violence Risk is determined by examining the first two columns in the body of the table, the first column giving the Violence Risk for Violent Offenders and the second column the Violence Risk for Non-Violent Offenders. Similarly, the final Property Risk is determined by examining the last two columns, the first of the two giving the Property Risk for Violent Offenders and the second the Property Risk for Non-Violent Offenders. Both of the resulting assessments should be circled.

OFFENDER RISK ASSESSMENT
ITEM DEFINITIONS

Substance Abuse Classification

Based on documented or self-reported history of drug or alcohol abuse.

Substance Abuser III: History of problem use of narcotics, hallucinogens, or glue, or
History of illicit drug injections

Substance Abuser II: History of non-problem use of narcotics, hallucinogens, or glue

Substance Abuser I: History of problem use of drugs or alcohol

Non-Abuser: No history as above

In coding this item, always select the highest applicable category.

Current Offense Classification

Based only on offenses for which the offender has been arrested, convicted, or incarcerated in the last year of street time. In case of multiple offense applicability, the highest category (bottom to top) is to be selected. For example, if a Substance Abuser III has current Robbery, Burglary, and Larceny charges, then the category "Aggravated Assault/Robbery" is chosen as the current offense classification. The definitions of the general offense categories considered under this item are the same as those used in conjunction with the Uniform Crime Reports (UCR) program. In Iowa, offenses would be classified as follows:

Aggravated Assault - Attempt to Commit Murder, Willful Injury, Assault while Participating in a Felony, Terrorism, and Assault with Intent to Inflict Serious Injury.

Robbery - Robbery in the First Degree and Robbery in the Second Degree

Aggravated Burglary - Burglary in the First Degree and Attempted Burglary in the First Degree

Burglary - Burglary in the Second Degree and Attempted Burglary in the Second Degree

Motor Vehicle Theft - Theft in the Second Degree (Motor Vehicle) and Operating Motor Vehicle without Owner's Consent

Forgery - False Use of a Financial Instrument

Bad Checks - Theft in the First, Second, or Third Degree (by Check)

Criminal History Classification

The Criminal History Classification is a classification according to the general "volume" and "seriousness" of the offender's prior criminal record. A single Criminal History Score is calculated from information on the number of prior arrests, probations, jail terms, and juvenile and adult commitments, and then a single category is determined based on placement along an array of five ranges of scores as follows: 0-1, 2-5, 6-10, 11-19, 20+. The Criminal History Score is calculated as:

CRIMINAL HISTORY SCORE = $A + B + C + 2D + 2E$, where

A = Number of prior arrests

B = Number of prior probations

C = Number of prior adult jail terms

D = Number of juvenile commitments

E = Number of prior adult commitments

Equivalently, the Criminal History Score assigns one point to each prior arrest not leading to a probation or incarceration, two points to each prior arrest leading to a probation or jail term, and three points to each prior arrest leading to a juvenile or adult commitment. Strictly traffic offenses (non-criminal) should be excluded in counting prior arrests, convictions, etc., however, all arrests for criminal and juvenile status offenses should be counted, including arrests for

simple misdemeanors.

High Recidivism Offense

A high recidivism offense is any offense falling in one of the categories: Burglary,

Motor Vehicle Theft, Forgery, Bad Checks, Robbery, and Aggravated Assault, as

defined above.

Felony

A felony is defined as any offense for which an adult _may_ be committed to a state

or federal correctional institution. In Iowa, this _includes_ the category of

aggravated misdemeanor.

Street Time

In referring to the amount of "street time" since a past event, as under the

coding of Step III, we imply the amount of time between the event in question and

the current conviction or commitment (whichever is used as a reference point) which

was not spent in a county jail (pre or post conviction), juvenile institution, or

adult correctional facility (prison, halfway house, etc.).

Crime Against Persons

Generally, a crime against persons is taken to be any felony or misdemeanor invol-

ving harm, or threat of harm, to a person. Specifically, crimes against persons

include the following:

o Murder, manslaughter, feticide, and attempts
o Nonconsensual termination
o Assault, aggravated assault, battery, aggravated battery, etc.
o Terrorism
o Administering harmful substances
o Rape, attempted rape, sexual abuse
o Kidnapping, child stealing
o Robbery, attempted robbery, extortion, larceny from a person

o Aggravated burglary
o Arson of a dwelling
o Lascivious acts, indecent contact, sodomy, incest, other sex offenses
o Sexual exploitation
o Nonsupport, neglect, abandonment
o Contributing to the delinquency of a minor
o Going armed with intent

Felonies of Same Type

Certain felonies are classified as being of the same type for purposes of defining

the special risk factors of Step III:

o Murder, manslaughter, feticide and attempts/aggravated assault/terrorism
o Rape, attempted rape, sexual abuse/lascivious acts, indecent contact, sodomy,
  incest, other sex offenses/sexual exploitation
o Kidnapping, child stealing
o Robbery, attempted robbery, extortion, larceny from a person
o Nonsupport, neglect, abandonment
o Burglary, possession of burglar's tools, larceny in the nighttime
o Motor vehicle theft (includes operating without consent)
o Arson, vandalism, explosives offenses, bomb threat
o Larceny, shoplifting, stolen property (include larceny in the nighttime)
o Forgery, counterfeiting, altering, bad checks, fraud
o Embezzlement, white collar offenses
o Weapons offenses
o Drug offenses
o Alcohol offenses (intoxication, drunken driving, etc.)
o Prostitution, pimping, pandering, pornography
o Motor vehicle offenses (exclude theft, drunken driving)
o Escape, failure to appear, flight
o Bribery, perjury, obstruction, tampering, misconduct in office

EXAMPLE #1

Committed 10/7/74

10 Years

Breaking and Entering (drugstore)

Age 23/White/Male - Born 10/23/50

Substance Abuse History: Problem use of alcohol, marijuana, and glue

Felony Record

Date of Arrest	Offense	Disposition	Dates Incarcerated
8-68	Robbery with Aggravation	Juvenile Comm.	9-68 to 2-69
12-69	Breaking and Entering	Dismissed	
3-70	Breaking and Entering	Dismissed	
11-70	Breaking and Entering reduced to Attempted Breaking and Entering	5 yrs.	2-71 to 1-74
6-74	Carrying Concealed Weapon	Dismissed	
10-74	Breaking and Entering	10 yrs.	10-74 to 3-77 Discharged 1-81
2-78	Burglary-1st changed to Robbery-1st	7 yrs.	9-78 to ?

Paroled after serving 29 months on a 10-year sentence, with 40 months remaining until expiration. Had two major reports while incarcerated and three minor reports, with no good time lost, but was off honor roll for 71 days.

Received a new 7-year sentence for Robbery in the First Degree after 18 months on parole.

RISK ASSESSMENT SCORING

Step I - 4-Factor Scoring

Substance Abuse Classification: Substance Abuser III (history of problem use of glue)

EXAMPLE #1 (continued)

Current Offense Classification: Burglary (Breaking and Entering)

Criminal History Classification: 20+

    20 prior arrests (A)
     1 prior probation (B)
     5 prior adult jail terms (C)
     1 juvenile commitment (D)
     1 prior adult commitment (E)

    Total Criminal History Score: A + B + C + 2D + 2E = 20 + 1 + 5 + 2 + 2 = 30

Age at Conviction: 20-29 (23)

Final 4-Factor Score: 6

Step II - Offender Typing

Classified as "Violent Offender" based on the presence of a special violence risk factor (prior conviction for felony against persons in last 5 years of street time) (see Step III)

Not classified as a first offender (has prior felony record)

Not subject to "burn-out" classification

Step III - Special Risk Factor Scoring

Special factor #1 is applicable based on a prior conviction (adjudication) for a felony against persons (robbery with aggravation) in the last 5 years of street time (25 months of street time since admission to Boys' Training School for robbery).

Special factor #6 is applicable based on a prior felony incarceration (for attempted breaking and entering) in the last year of street time (8 months of street time since previous prison admission).

Special factor #23 is applicable based on the current conviction for breaking and entering being the third conviction for a "high recidivism" offense in the last 5 years of street time (previous convictions for robbery and attempted breaking and entering in the last 33 months of street time).

Step IV - Final General Risk Assessment

4-Factor Score of 6 (from Step I)
No burn-out or first offender classification (from Step II)
Has Class II Special Risk Factor #23 (taking precedence over Class I factors) (from Step III)

EXAMPLE #1 (continued)

Final General Risk Assessment: VERY POOR RISK

Step V - Final Violence/Property Risk Assessment

Final General Risk Assessment from Step IV is
Has "Violent Offender" classification from Step II (Special Risk Factor #1)

Final Violence Risk Assessment: VERY POOR RISK
Final Property Risk Assessment: VERY POOR RISK

Summary

Offender served 29 months on a 10-year sentence for breaking and entering.

Rated as VERY POOR RISK both for violence and property crime.

Received new 7-year prison sentence for robbery after 18 months on parole.

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION
DATA COLLECTION

			Mo. Day Yr.		Mo. Day Yr.

OFFENDER NAME _____ NUMBER _____ DATE CONVICTED 10 / 2 / 74 DATE OF BIRTH 10/23/50

SUBSTANCE ABUSE CLASSIFICATION
(check highest applicable)

SA III ☑ History of Problem Use of
Narcotics, Hallucinogens,
or Glue

SA III ☐ History of Illicit Drug
Injections.

SA II ☐ History of Non-Problem Use
of Narcotics, Hallucinogens
or Glue

SA I ☐ History of Problem Use of
Drugs or Alcohol

NA ☐ No History as Above

CURRENT OFFENSE CLASSIFICATION
(check highest applicable)

☐ Robbery
☐ Aggravated Assault
☐ Aggravated Burglary
☑ Burglary
☐ Motor Vehicle Theft
☐ Forgery
☐ Bad Checks
☐ Other Offense
☐ Drug-Related Offense
☐ Alcohol-Related Offense

AGE AT CONVICTION

☐ 0-19

☑ 20-23

☐ 24-29

☐ 30+

CRIMINAL HISTORY
CLASSIFICATION (priors)

A. Arrests   20
B. Probation Terms   1
C. Jail Terms   5
D. Juvenile
   Commitments   1
E. Adult Commitments
   (prison terms)   1

CRIMINAL HISTORY SCORE =

A + B + C + 2D + 2E = 30

☐ 0-1
☐ 2-5
☐ 6-10
☐ 11-19
☑ 20+

4-FACTOR SCORE   6

SPECIAL RISK FACTOR SCORING
(circle applicable categories)

Class I Violence Factors   ① 2 3 4 5

Class II Violence Factors 9 10 11 12
                           13 14 15 16
                           17 18 19

Other Class I Factors   ⑥ 7 8

Other Class II Factors   20 21 22 ㉓ 24

Special Violence Risk Factor (check) ✓

Special Factors Classification (check) None ___ Class I Only ___ Class II ✓

OFFENDER TYPING (check)

Violent   Current Arresting or Convicting
Offender ☐   Offense Against Person(s)
         ☑   Special Violence Risk Factor
         ☐   Current Sentence for Jailbreak or
First   Escape from Prison
Offender ☐   No Prior Felony Arrest/Conviction

Burn-out ☐   Not a Violent Offender as Above and
             Age 50+ at Commitment or Age 25+ at Commitment and 4-Factor
             Score is 1-4

FINAL RISK ASSESSMENT   General Very Poor Violence Very Poor

Property Very Poor

OFFENDER RISK ASSESSMENT

STEP I – 4-FACTOR SCORING

SUBSTANCE ABUSE CLASSIFICATION

CRIMINAL HISTORY AND AGE AT CONVICTION

CURRENT OFFENSE CLASSIFICATION (Highest Applicable)	0-1			2-5			6-10				11-19				20+		
	30+	20-29	0-19	30+	20-29	0-19	30+	24-29	20-23	0-19	30+	24-29	20-23	0-19	30+	20-29	0-19
Substance Abuser III / Substance Abuser II																	
Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	6	6	6	6	6	7	7	6	7	7
Aggravated Burglary	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	(6)	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Aggravated Burglary/Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	5	5	6	4	5	5	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	5	3	5	5	6	4	5	5	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser I																	
Robbery/Escape	1	2	2	1	3	4	2	4	4	6	4	4	4	6	5	5	6
Aggravated Burglary/Aggravated Assault	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Other Offense Classification	1	2	2	1	3	3	2	3	3	4	3	3	3	5	3	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	2	1	2	2	3	2	3	3	3	3	3	4
Non-Abuser																	
Robbery/Escape	1	1	2	1	3	3	1	3	3	4	3	3	3	6	3	5	6
Aggravated Burglary/Aggravated Assault	1	1	2	1	3	3	1	3	3	4	3	3	3	5	3	5	5
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	1	2	1	3	3	1	3	3	4	2	3	3	5	3	5	5
Other Offense Classification	1	1	1	1	1	2	1	3	3	3	2	3	3	5	2	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	1	1	1	1	3	2	3	3	3	2	3	3

STEP II – OFFENDER TYPING (check applicable categories)

Burn-out ___ Not a Violent Offender **and** Age 50+ at Conviction

___ Not a Violent Offender **and** Age 25-49 at Conviction **and** 4-Factor Score is 1-4

Violent Offender
___ Current Offense Against Persons
✓ Special Violence Risk Factor (see STEP III)
___ Current Sentence for Escape or Jailbreak

First Offender
___ No Prior Felony Arrest or Conviction

STEP III - SPECIAL RISK FACTOR SCORING

Circle

Class I Factor — Violence

① 1+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
2 2+ Total Arrests for Felonies Against Persons in Last 3 Years Street Time
3 2+ Prior Arrests for Felonies Against Persons in Last 5 Years Street Time
4 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time
5 3+ Prior Arrests for Crimes Against Persons in Last 5 Years Street Time
⑥ 1+ Prior Felony Incarcerations in Last Year Street Time
7 2+ Prior Incarcerations for Indictable Offenses in Last Year Street Time
8 Current Arrest is at Least Fifth Arrest for Same Type Felony in Last 5 Years Street Time

Class II Factor — Violence

9 4+ Prior Convictions for Felonies Against Persons in Last 20 Years Street Time
10 3+ Prior Convictions for Felonies Against Persons in Last 10 Years Street Time
11 2+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
12 1+ Prior Convictions for Felonies Against Persons in Last 2 Years Street Time
13 4+ Prior Arrests for Felonies Against Persons in Last 10 Years Street Time
14 3+ Prior Arrests for Felonies Against Persons in Last 7 Years Street Time
15 3+ Total Arrests for Felonies Against Persons in Last 5 Years Street Time
16 2+ Prior Arrests for Felonies Against Persons in Last 2 Years Street Time
17 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time and
    2+ Prior Arrests for Felonies Against Persons in Last 3 Years Street Time
18 3+ Prior Arrests for Crimes Against Persons in Last 3 Years Street Time
19 Current Convictions for Felony Against Persons and Escape (Prison)
20 3+ Prior Felony Convictions or Incarcerations in Last 3 Years Street Time
21 2+ Prior Felony Convictions or Incarcerations in Last 2 Years Street Time
22 Current Conviction is at Least Third Conviction for Same Type Felony in Last 5 Years Street Time
㉓ Current Conviction is at Least Third Conviction for High Recidivism Offense in Last 5 Years Street Time
24 Current Prison Admission as Release Violator with New Felony Conviction for High Recidivism Offense

STEP IV - FINAL GENERAL RISK ASSESSMENT

4-Factor Score	Burn-out or First Offender	Special Risk Factors		
		None	Class I Only	Class II
7	Poor	Poor	Very Poor	Very Poor
✓ 6	Good	Poor	Poor	(Very Poor)
5	Good	Good	Poor	Poor
4	Good	Good	Good	Fair*
3	Very Good	Very Good	Good	Fair*
2	Very Good	Very Good	Very Good	Good
1	Excellent	Excellent	Excellent	Excellent

STEP V - FINAL VIOLENCE/PROPERTY RISK ASSESSMENT

General Risk Assessment	Violence Risk		Property Risk	
	Violent Offender	Non-Violent Offender	Violent Offender	Non-Violent Offender
✓ Very Poor	(Very Poor)	Fair	(Very Poor)	Very Poor
Poor	Poor	Fair	Poor	Poor
Fair	Good	Good	Fair	Fair
Good	Good	Very Good	Good	Good
Very Good	Excellent	Very Good	Excellent	Very Good
Excellent	Excellent	Excellent	Excellent	Excellent

*Code as POOR if current offense is against persons.

EXAMPLE #2

Committed 8/5/78

10 Years

Burglary in the Second Degree

Age 18/Black/Male - Born 6/25/60

Substance Abuse History: None

Felony Record

Date of Arrest	Offense	Disposition	Dates Incarcerated
12-76	Breaking and Entering Assault with Intent to Murder	Probation	
7-77	Possession of Stolen Property	Probation	
8-78	Burglary in the First Degree reduced to Burglary in the Second Degree	10 yrs.	8-78 to 9-78 4-79 to 12-80
3-79	Robbery in the First Degree	Returned to prison as shock probation violator 4-79	
7-81	Theft in the Third Degree	6 mos. Jail Returned to prison as parole violator 11-81	11-81 to 9-82
10-82	Robbery in the First Degree Assault while Participating in a Felony Conspiracy to Commit a Forcible Felony	Convicted of Simple Assault 30 days Jail	

Released on shock probation after 1½ months in prison. Returned to prison 6½ months later as a shock probation violator in lieu of prosecution on a new charge of robbery in the first degree. Paroled after serving a total of 20 months, with 28 months remaining until expiration. Had one major report, no minor reports, and no time lost.

Received a new 6-month jail sentence for Theft in the Third Degree after seven months on parole and was returned to prison as a parole violator. Paroled again

EXAMPLE #2 (continued)

after serving an additional nine months. After two months on the second parole, was arrested and charged with Robbery in the First Degree, Assault while Participating in a Felony, and Conspiracy to Commit a Forcible Felony. Found guilty by jury of Simple Assault and served 30 days in jail (parole not revoked).

RISK ASSESSMENT SCORING

Step I - 4-Factor Scoring

Substance Abuse Classification: Non-Abuser

Current Offense Classification: Aggravated Burglary

Criminal History Classification: 2-5

    2 prior arrests (A)
    2 prior probations (B)

    Total Criminal History Score: $A + B + C + 2D + 2E = 2 + 2 = \underline{4}$

Age at Conviction: 0-19 (18)

Final 4-Factor Score: $\underline{3}$

Step II - Offender Typing

Classified as "Violent Offender" based on the presence of a special violence risk factor (prior conviction for felony against persons in last two years of street time) (see Step III)

Not classified as a first offender (has prior felony record)

Not subject to "burn-out" classification

Step III - Special Risk Factor Scoring

Special factors #1 and #12 are applicable based on a prior conviction (adjudication) for a felony against persons (Assault with Intent to Murder) in the last 2 years of street time (20 months of street time since placed on juvenile probation for the assault charge).

Special factor #21 is applicable based on the presence of two prior felony convictions (adjudications) in the last two years of street time (20 months of street time since the first of two juvenile probations for felonies).

Step IV - Final General Risk Assessment

4-Factor Score of 3 (from Step I)
No burn-out or first offender classification (from Step II)
Has Class II Special Risk Factor (#12,#21) (taking precedence over Class I factor)

EXAMPLE #2 (continued)

Final General Risk Assessment: POOR RISK

Step V - Final Violence/Property Risk Assessment

Final General Risk Assessment from Step IV is FAIR RISK
Has "Violent Offender" classification from Step II (Special Risk Factors #1,#12)

Final Violence Risk Assessment: POOR RISK
Final Property Risk Assessment: POOR RISK

Summary

Offender served 1½ months prior to release on shock probation.

Rated as POOR RISK for violence and POOR RISK for property crime.

Returned to prison as a shock probation violator after 6½ months on probation in lieu of prosecution on a new charge of robbery in the first degree.

Offender served a total of 20 months prior to first parole on 10-year sentence for burglary in the first degree.

Received new 6-month jail sentence for Theft in the Third Degree after seven months on parole.

Paroled a second time after serving an additional nine months as a parole violator, and charged with robbery, felony assault, and conspiracy after two months on the second parole.

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION
DATA COLLECTION

	Mo. Day Yr.	Mo. Day Yr.
OFFENDER NAME _____ NUMBER _____	DATE CONVICTED 7/14/78	DATE OF BIRTH 6/25/60

SUBSTANCE ABUSE CLASSIFICATION
(check highest applicable)

SA III ☐ History of Problem Use of
Narcotics, Hallucinogens,
or Glue

SA III ☐ History of Illicit Drug
Injections

SA II ☐ History of Non-Problem Use
of Narcotics, Hallucinogens
or Glue

SA I ☐ History of Problem Use of
Drugs or Alcohol

NA ☑ No History as Above

CURRENT OFFENSE CLASSIFICATION
(check highest applicable)

☐ Robbery
☐ Aggravated Assault
☑ Aggravated Burglary
☐ Burglary
☐ Motor Vehicle Theft
☐ Forgery
☐ Bad Checks
☐ Other Offense
☐ Drug-Related Offense
☐ Alcohol-Related Offense

AGE AT CONVICTION

☑ 0-19

☐ 20-23

☐ 24-29

☐ 30+

CRIMINAL HISTORY CLASSIFICATION (priors)

A. Arrests _2_
B. Probation Terms _2_
C. Jail Terms _0_
D. Juvenile
   Commitments _0_
E. Adult Commitments
   (prison terms) _0_

CRIMINAL HISTORY SCORE =

$A + B + C + 2D + 2E = 4$

☐ 0-1
☑ 2-5
☐ 6-10
☐ 11-19
☐ 20+

4-FACTOR SCORE _3_

SPECIAL RISK FACTOR SCORING
(circle applicable categories)

Class I Violence Factors ① 2 3 4 5

Class II Violence Factors 9 10 11 ⑫
                          13 14 15 16
                          17 18 19

Other Class I Factors   6 7 8

Other Class II Factors   20 ㉑ 22 23 24

Special Violence Risk Factor (check) ✔

Special Factors Classification (check) None ___ Class I Only ___ Class II ✔

OFFENDER TYPING (check)

Violent
Offender

☑ Current Arresting or Convicting
   Offense Against Person(s)
☑ Special Violence Risk Factor
☐ Current Sentence for Jailbreak or
   Escape from Prison

First
Offender ☐ No Prior Felony Arrest/Conviction

Burn-out ☐ Not a Violent Offender as Above **and**
          Age 50+ at Commitment **or** Age 25+ at Commitment and 4-Factor
          Score is 1-4

FINAL RISK ASSESSMENT   General _Poor_   Violence _Poor_

                                                  Property _Poor_

OFFENDER RISK ASSESSMENT

STEP I - 4-FACTOR SCORING

CURRENT OFFENSE CLASSIFICATION (Highest Applicable)	CRIMINAL HISTORY AND AGE AT CONVICTION																
	0-1			2-5			6-10				11-19				20+		
	30+	20-29	0-19	30+	20-29	0-19	30+	24-29	20-23	0-19	30+	24-29	20-23	0-19	30+	20-29	0-19
Substance Abuser III																	
Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	6	6	6	6	6	7	7	6	7	7
Aggravated Burglary	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser II																	
Aggravated Burglary/Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	5	5	6	4	5	5	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	5	3	5	5	6	4	5	5	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser I																	
Robbery/Escape	1	2	2	1	3	4	2	4	4	6	4	4	4	6	5	5	6
Aggravated Burglary/Aggravated Assault	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Other Offense Classification	1	2	2	1	3	3	2	3	3	4	3	3	3	5	3	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	2	1	2	2	3	2	3	3	3	3	3	4
Non-Abuser																	
Robbery/Escape	1	1	2	1	3	3	1	3	3	4	3	3	3	6	3	5	6
Aggravated Burglary/Aggravated Assault	1	1	2	1	3	3	1	3	3	4	3	3	3	5	3	5	5
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	1	2	1	3	(3)	1	3	3	4	2	3	3	5	3	5	5
Other Offense Classification	1	1	1	1	1	2	1	3	3	3	2	3	3	5	2	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	1	1	1	1	3	2	3	3	3	2	3	3

SUBSTANCE ABUSE CLASSIFICATION

STEP II - OFFENDER TYPING (check applicable categories)

Violent Offender
- ✓ Current Offense Against Persons
- ✓ Special Violence Risk Factor (see STEP III)
- ___ Current Sentence for Escape or Jailbreak

First Offender
- ___ No Prior Felony Arrest or Conviction

Burn-out
- ___ Not a Violent Offender **and** Age 50+ at Conviction
- ___ Not a Violent Offender **and** Age 25-49 at Conviction **and** 4-Factor Score is 1-4

STEP III - SPECIAL RISK FACTOR SCORING

Circle

Class I Factor — Violence

1. (①) 1+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
2. 2+ Total Arrests for Felonies Against Persons in Last 3 Years Street Time
3. 2+ Prior Arrests for Felonies Against Persons in Last 5 Years Street Time
4. 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time
5. 3+ Prior Arrests for Crimes Against Persons in Last 5 Years Street Time
6. 1+ Prior Felony Incarcerations in Last Year Street Time
7. 2+ Prior Incarcerations for Indictable Offenses in Last Year Street Time
8. Current Arrest is at Least Fifth Arrest for Same Type Felony in Last 5 Years Street Time

Class II Factor — Violence

9. 4+ Prior Convictions for Felonies Against Persons in Last 20 Years Street Time
10. 3+ Prior Convictions for Felonies Against Persons in Last 10 Years Street Time
11. 2+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
12. (⑫) 1+ Prior Convictions for Felonies Against Persons in Last 2 Years Street Time
13. 4+ Prior Arrests for Felonies Against Persons in Last 10 Years Street Time
14. 3+ Prior Arrests for Felonies Against Persons in Last 7 Years Street Time
15. 3+ Total Arrests for Felonies Against Persons in Last 5 Years Street Time
16. 2+ Prior Arrests for Felonies Against Persons in Last 2 Years Street Time
17. 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time and
    2+ Prior Arrests for Felonies Against Persons in Last 3 Years Street Time
18. 3+ Prior Arrests for Crimes Against Persons in Last 3 Years Street Time
19. Current Convictions for Felony Against Persons and Escape (Prison)
20. 3+ Prior Felony Convictions or Incarcerations in Last 3 Years Street Time
21. (㉑) 2+ Prior Felony Convictions or Incarcerations in Last 2 Years Street Time
22. Current Conviction is at Least Third Conviction for Same Type Felony in Last 5 Years Street Time
23. Current Conviction is at Least Third Conviction for High Recidivism Offense in Last 5 Years Street Time
24. Current Prison Admission as Release Violator with New Felony Conviction for High Recidivism Offense

STEP IV - FINAL GENERAL RISK ASSESSMENT

4-Factor Score	Burn-out or First Offender	Special Risk Factors			General Risk Assessment
		None	Class I Only	Class II	
7	Poor	Poor	Very Poor	Very Poor	
6	Good	Poor	Poor	Very Poor	Very Poor
5	Good	Good	Poor	Poor	✓ Poor
4	Good	Good	Good	Fair*	Fair
✓ 3	Very Good	Very Good	Good	Fair* (Poor)	Good
2	Very Good	Very Good	Very Good	Good	Very Good
1	Excellent	Excellent	Excellent	Excellent	Excellent

STEP V - FINAL VIOLENCE/PROPERTY RISK ASSESSMENT

	Violence Risk		Property Risk	
	Violent Offender	Non-Violent Offender	Violent Offender	Non-Violent Offender
	Very Poor	Fair	Very Poor	Very Poor
	(Poor)	Fair	(Poor)	Poor
	Good	Good	Fair	Fair
	Good	Very Good	Good	Good
	Excellent	Very Good	Excellent	Very Good
	Excellent	Excellent	Excellent	Excellent

*Code as POOR if current offense is against persons.

EXAMPLE #3

Committed 3/6/72

10 Years

Forgery ($2400 in checks)

Age 21/White/Male - Born 6/12/50

Substance Abuse History: Problem use of alcohol and hallucinogens
Non-Problem use of marijuana and pills

Felony Record

Date of Arrest	Offense	Disposition	Dates Incarcerated
1-66	Larceny of Motor Vehicle	Released to parents	
3-66	Robbery with Aggravation Larceny of Motor Vehicle	Juvenile Comm.	3-66 to 7-66
11-70	Jailbreak	1 yr.	11-70 to 7-71
2-72	Forgery	10 yrs.	3-72 to 1-77
2-77	Robbery with Aggravation	1 yr. Jail 2 yrs. Probation	
3-79	Robbery in the Second Degree	10 yrs.	6-79 to --
5-81	Escape	1 yr cs	
1-83	Escape (2 counts)	2 yrs. cs	

Discharged after serving 59 months on 10-year sentence. Had seven major reports while incarcerated, with 104 days of good time lost. Escaped and returned the following day during fourth year of incarceration.

Received 1-year jail term and probation for new Robbery with Aggravation three months after discharge. Received new 10-year sentence for Robbery in the Second Degree 29 months after discharge, and three additional 1-year sentences each to be served consecutive to the original sentence.

RISK ASSESSMENT SCORING

EXAMPLE #3 (continued)

Substance Abuse Classification: Substance Abuser III (history of problem use of hallucinogens)

Current Offense Classification: Forgery

Criminal History Classification: 6-10

3 prior arrests (A)
1 prior probation (B)
1 juvenile commitment (D)
1 prior adult commitment (E)

Total Criminal History Score: $A + B + C + 2D + 2E = 3 + 1 + 2 + 2 = \underline{8}$

Age at Conviction: 20-23 (21)

Final 4-Factor Score: $\underline{6}$

Step II - Offender Typing

Classified as "Violent Offender" based on the presence of a special violence risk factor (prior conviction for felony against persons in last 5 years of street time) (see Step III)

Not classified as a first offender (has prior felony record)

Not subject to burn-out classification

Step III - Special Risk Factor Scoring

Special factor #1 is applicable based on a prior conviction (adjudication) for a felony against persons (robbery with aggravation) in the last 5 years of street time (59 months of street time since admission to Training School for robbery).

Special factor #6 is applicable based on a prior felony incarceration (for jail-break) in the last year of street time (7 months of street time since previous prison admission).

Step IV - Final General Risk Assessment

4-Factor Score of 6 (from Step I)
No burn-out or first offender classification (from Step II)
Has Class I Special Risk Factors (#1,#6) but no Class II factors
(from Step III)

Final General Risk Assessment: POOR RISK

EXAMPLE #3 (continued)

Step V - Final Violence/Property Risk Assessment

Final General Risk Assessment from Step IV is POOR RISK
Has "Violent Offender" classification from Step II (Special Risk Factor #1)

Final Violence Risk Assessment: POOR RISK
Final Property Risk Assessment: POOR RISK

Summary

Offender served 59 months on 10-year sentence for forgery.

Rated as POOR RISK both for violence and property crime.

Received new 1-year jail term and probation for robbery with aggravation three
months after discharge, and a new 10-year sentence for robbery 29 months after
discharge. Received three 1-year sentences for escape while imprisoned on the
new robbery charge.

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION
DATA COLLECTION

OFFENDER NAME _____ NUMBER _____ DATE CONVICTED **3/7/72** DATE OF BIRTH **6/12/50**

Mo. Day Yr.   Mo. Day Yr.

SUBSTANCE ABUSE CLASSIFICATION
(check highest applicable)

CURRENT OFFENSE CLASSIFICATION
(check highest applicable)

AGE AT CONVICTION

CRIMINAL HISTORY
CLASSIFICATION (priors)

SA III ☑ History of Problem Use of
Narcotics, Hallucinogens,
or Glue

SA III ☐ History of Illicit Drug
Injections

SA II ☐ History of Non-Problem Use
of Narcotics, Hallucinogens
or Glue

SA I ☐ History of Problem Use of
Drugs or Alcohol

NA ☐ No History as Above

☐ Robbery
☐ Aggravated Assault
☐ Aggravated Burglary
☐ Burglary
☐ Motor Vehicle Theft
☑ Forgery
☐ Bad Checks
☐ Other Offense
☐ Drug-Related Offense
☐ Alcohol-Related Offense

☐ 0-19

☑ 20-23

☐ 24-29

☐ 30+

A. Arrests **3**
B. Probation Terms **1**
C. Jail Terms **0**
D. Juvenile
Commitments **1**
E. Adult Commitments
(prison terms) **1**

CRIMINAL HISTORY SCORE =

A + B + C + 2D + 2E = **8**

☐ 0-1
☐ 2-5
☑ 6-10
☐ 11-19
☐ 20+

SPECIAL RISK FACTOR SCORING
(circle applicable categories)

OFFENDER TYPING (check)

Class I Violence Factors ① 2 3 4 5

Class II Violence Factors 9 10 11 12
13 14 15 16
17 18 19

Other Class I Factors ⑥ 7 8

Other Class II Factors 20 21 22 23 24

Special Violence Risk Factor (check) ✔

Special Factors Classification (check) None ___ Class I Only ✔ Class II ___

Violent
Offender

First
Offender

Burn-out

☐ Current Arresting or Convicting
Offense Against Person(s)
☐ Special Violence Risk Factor
☐ Current Sentence for Jailbreak or
Escape from Prison
☐ No Prior Felony Arrest/Conviction

☐ Not a Violent Offender as Above and
Age 50+ at Commitment or Age 25+ at Commitment and 4-Factor
Score is 1-4

4-FACTOR SCORE **6**

FINAL RISK ASSESSMENT General **Poor** Violence **Poor**

Property **Poor**

OFFENDER RISK ASSESSMENT

STEP I – 4-FACTOR SCORING

CURRENT OFFENSE CLASSIFICATION (Highest Applicable)	CRIMINAL HISTORY AND AGE AT CONVICTION																
	0-1			2-5			6-10				11-19				20+		
	30+	20-29	0-19	30+	20-29	0-19	30+	24-29	20-23	0-19	30+	24-29	20-23	0-19	30+	20-29	0-19
Substance Abuser III																	
Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	6	6	6	6	6	7	7	6	7	7
Aggravated Burglary	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	6	3	5	(6)	6	4	5	6	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser II																	
Aggravated Burglary/Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	5	5	6	4	5	5	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	5	3	5	5	6	4	5	5	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser I																	
Robbery/Escape	1	2	2	1	3	4	2	4	4	6	4	4	4	6	5	5	6
Aggravated Burglary/Aggravated Assault	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Other Offense Classification	1	2	2	1	3	3	2	3	3	4	3	3	3	5	3	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	2	1	2	2	3	2	3	3	3	3	3	4
Non-Abuser																	
Robbery/Escape	1	1	2	1	2	3	1	3	3	4	3	3	3	6	3	5	6
Aggravated Burglary/Aggravated Assault	1	1	2	1	2	3	1	3	3	4	3	3	3	5	3	5	5
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	1	2	1	3	3	1	3	3	4	2	3	3	5	3	5	5
Other Offense Classification	1	1	1	1	1	2	1	3	3	3	2	3	3	5	2	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	1	1	1	1	3	2	3	3	3	2	3	3

(Left margin label: SUBSTANCE ABUSE CLASSIFICATION)

STEP II – OFFENDER TYPING (check applicable categories)

Violent Offender
____ Current Offense Against Persons
_✓_ Special Violence Risk Factor (see STEP III)
____ Current Sentence for Escape or Jailbreak

First Offender
____ No Prior Felony Arrest or Conviction

Burn-out
____ Not a Violent Offender and Age 50+ at Conviction
____ Not a Violent Offender and Age 25-49 at Conviction and 4-Factor Score is 1-4

STEP III - SPECIAL RISK FACTOR SCORING

Circle

Class I Factor / Violence

- (1) 1+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
- 2 2+ Total Arrests for Felonies Against Persons in Last 3 Years Street Time
- 3 2+ Prior Arrests for Felonies Against Persons in Last 5 Years Street Time
- 4 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time
- 5 3+ Prior Arrests for Crimes Against Persons in Last 5 Years Street Time
- (6) 1+ Prior Felony Incarcerations in Last Year Street Time
- 7 2+ Prior Incarcerations for Indictable Offenses in Last Year Street Time
- 8 Current Arrest is at Least Fifth Arrest for Same Type Felony in Last 5 Years Street Time

Class II Factor / Violence

- 9 4+ Prior Convictions for Felonies Against Persons in Last 20 Years Street Time
- 10 3+ Prior Convictions for Felonies Against Persons in Last 10 Years Street Time
- 11 2+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
- 12 1+ Prior Convictions for Felonies Against Persons in Last 2 Years Street Time
- 13 4+ Prior Arrests for Felonies Against Persons in Last 10 Years Street Time
- 14 3+ Prior Arrests for Felonies Against Persons in Last 7 Years Street Time
- 15 3+ Total Arrests for Felonies Against Persons in Last 5 Years Street Time
- 16 2+ Prior Arrests for Felonies Against Persons in Last 2 Years Street Time
- 17 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time and
    2+ Prior Arrests for Felonies Against Persons in Last 3 Years Street Time
- 18 3+ Prior Arrests for Crimes Against Persons in Last 3 Years Street Time
- 19 Current Convictions for Felony Against Persons and Escape (Prison)
- 20 3+ Prior Felony Convictions or Incarcerations in Last 3 Years Street Time
- 21 2+ Prior Felony Convictions or Incarcerations in Last 2 Years Street Time
- 22 Current Conviction is at Least Third Conviction for Same Type Felony in Last 5 Years Street Time
- 23 Current Conviction is at Least Third Conviction for High Recidivism Offense in Last 5 Years Street Time
- 24 Current Prison Admission as Release Violator with New Felony Conviction for High Recidivism Offense

STEP IV - FINAL GENERAL RISK ASSESSMENT

4-Factor Score	Burn-out or First Offender	Special Risk Factors		
		None	Class I Only	Class II
7	Poor	Poor	Very Poor	Very Poor
✓ 6	Good	Poor	(Poor)	Very Poor
5	Good	Good	Poor	Poor
4	Good	Good	Good	Fair*
3	Very Good	Very Good	Good	Fair*
2	Very Good	Very Good	Very Good	Good
1	Excellent	Excellent	Excellent	Excellent

*Code as POOR if current offense is against persons.

STEP V - FINAL VIOLENCE/PROPERTY RISK ASSESSMENT

General Risk Assessment	Violence Risk		Property Risk	
	Violent Offender	Non-Violent Offender	Violent Offender	Non-Violent Offender
Very Poor	Very Poor	Fair	Very Poor	Very Poor
✓ Poor	(Poor)	Fair	(Poor)	Poor
Fair	Good	Good	Fair	Fair
Good	Good	Very Good	Good	Good
Very Good	Excellent	Very Good	Excellent	Very Good
Excellent	Excellent	Excellent	Excellent	Excellent

EXAMPLE #4

Committed 12/8/69

10 Years

Robbery

Age 18/Black/Male - Born 6/12/51

Substance Abuse History: Problem use of heroin and barbiturates
                         Non-Problem use of alcohol and codeine

Felony Record

Date of Arrest	Offense	Disposition	Dates Incarcerated
8-66	Larceny of Motor Vehicle	Probation	
9-66	Robbery	Reprimand	
10-68	Murder	Probation	
4-69	Carrying Concealed Weapon	$100 Fine	

9-69	Robbery with Aggravation <u>reduced to</u> Robbery	10 yrs.	12-69 to 11-71

5-72	Robbery with Aggravation	25 yrs. Returned to prison as parole violator 10-72	11-72 to 1-77
4-77	Robbery Habitual Criminal	40 yrs. cc Returned to prison as parole violator 11-77	11-77 to --

Paroled after serving 23 months on 10-year sentence, with 30 months remaining until expiration. Had three major reports and two minor reports, with no time lost on the sentence.

<u>Received new 25-year sentence for Robbery with Aggravation after 10 months on parole. Paroled a second time after serving an additional 51 months. Received a new 40-year sentence for Robbery and Habitual Criminal after 10 months on the second parole.</u>

RISK ASSESSMENT SCORING

EXAMPLE #4 (continued)

Substance Abuse Classification: Substance Abuser III (history of problem use of heroin)

Current Offense Classification: Robbery

Criminal History Classification: 6-10

    7 prior arrests (A)
    2 prior probations (B)

    Total Criminal History Score: $A + B + C + 2D + 2E = 7 + 2 = \underline{9}$

Age at Conviction: 0-19 (18)

Final 4-Factor Score: $\underline{6}$

Step II - Offender Typing

Classified as "Violent Offender" based on a current sentence for a crime against persons and on the presence of special violence risk factors (see Step III) -- either of which would be sufficient to allow classification as a violent offender

Not classified as a first offender (has prior felony record)

Not subject to burn-out classification

Step III - Special Risk Factor Scoring

Special factors #1 and #12 are applicable based on a prior conviction (adjudication) for a felony against persons (murder) in the last 2 years of street time (11 months of street time since placed on juvenile probation for murder).

Special factors #2 and #15 are applicable based on a total of three arrests for felonies against persons in the last 3 years of street (prior arrests for robbery and murder and the current arrest for robbery with aggravation all in the last 36 months of street time).

Special factors #3, #4, and #17 applicable based on the recency of the prior arrests for robbery and murder.

Special factor #21 applicable based on two prior felony convictions (adjudications) (for murder and carrying a concealed weapon) in the last two years of street time (11 months of street time since the first of the two convictions).

Step IV - Final General Risk Assessment

EXAMPLE #4 (continued)

4-Factor Score of 6 (from Step I)
No burn-out or first offender classification (from Step II)
Has Class II Special Risk Factors (#12,#15,#17,#21) (taking precedence over Class I
    factors)

Final General Risk Assessment: VERY POOR RISK

Step V - Final Violence/Property Risk Assessment

Final General Risk Assessment from Step IV is POOR RISK
Has "Violent Offender" classification from Step II (current offense against persons)

Final Violence Risk Assessment: VERY POOR RISK
Final Property Risk Assessment: VERY POOR RISK

Summary

Offender served 23 months on 10-year sentence for robbery.

Rated as VERY POOR RISK both for violence and property crime.

Received new 25-year sentence for robbery with aggravation after 10 months on parole.

Paroled again after serving 51 months on the 25-year sentence for robbery with
aggravation.

Received new 40-year sentence for robbery and habitual criminal after 10 months on
the second parole.

OFFENDER RISK ASSESSMENT
THE IOWA MODEL: 1983 VERSION
DATA COLLECTION

OFFENDER NAME _____   NUMBER ____   DATE CONVICTED Mo. Day Yr. 12/14/69   DATE OF BIRTH Mo. Day Yr. 6/12/51

SUBSTANCE ABUSE CLASSIFICATION
(check highest applicable)

SA III [✓] History of Problem Use of
Narcotics, Hallucinogens,
or Glue
SA III [ ] History of Illicit Drug
Injections
SA II [ ] History of Non-Problem Use
of Narcotics, Hallucinogens
or Glue
SA I [ ] History of Problem Use of
Drugs or Alcohol
NA [ ] No History as Above

CURRENT OFFENSE CLASSIFICATION
(check highest applicable)

[✓] Robbery
[ ] Aggravated Assault
[ ] Aggravated Burglary
[ ] Burglary
[ ] Motor Vehicle Theft
[ ] Forgery
[ ] Bad Checks
[ ] Other Offense
[ ] Drug-Related Offense
[ ] Alcohol-Related Offense

AGE AT CONVICTION

[✓] 0-19
[ ] 20-23
[ ] 24-29
[ ] 30+

CRIMINAL HISTORY
CLASSIFICATION (priors)

A. Arrests 7
B. Probation Terms 2
C. Jail Terms 0
D. Juvenile
   Commitments 0
E. Adult Commitments
   (prison terms) 0

CRIMINAL HISTORY SCORE =

A + B + C + 2D + 2E = 9

[ ] 0-1
[ ] 2-5
[✓] 6-10
[ ] 11-19
[ ] 20+

4-FACTOR SCORE 6

SPECIAL RISK FACTOR SCORING
(circle applicable categories)

Class I Violence Factors ①②③④ 5

Class II Violence Factors 9 10 11 ⑫
13 14 ⑮ 16
⑰ 18 19

Other Class I Factors   6 7 8

Other Class II Factors   20 ㉑ 22 23 24

Special Violence Risk Factor (check) ✓

Special Factors Classification (check) None ___ Class I Only ___ Class II ✓

OFFENDER TYPING (check)

Violent [ ] Current Arresting or Convicting
Offender [ ] Offense Against Person(s)
         [ ] Special Violence Risk Factor
         [ ] Current Sentence for Jailbreak or
First   Escape from Prison
Offender [ ] No Prior Felony Arrest/Conviction

Burn-out [ ] Not a Violent Offender as Above **and**
            Age 50+ at Commitment or Age 25+ at Commitment and 4-Factor
            Score is 1-4

FINAL RISK ASSESSMENT   General Very Poor   Violence Very Poor

                                              Property Very Poor

OFFENDER RISK ASSESSMENT

STEP I - 4-FACTOR SCORING

SUBSTANCE ABUSE CLASSIFICATION

CURRENT OFFENSE CLASSIFICATION (Highest Applicable)	0-1			2-5			6-10				11-19				20+		
	30+	20-29	0-19	30+	20-29	0-19	30+	24-29	20-23	0-19	30+	24-29	20-23	0-19	30+	20-29	0-19
Substance Abuser III																	
Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	6	6	(6)	6	6	7	7	6	7	7
Aggravated Burglary	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	6	3	5	6	6	4	5	6	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser II																	
Aggravated Burglary/Aggravated Assault/Robbery/Escape	1	2	4	3	3	6	3	5	5	6	4	5	5	6	5	6	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	4	3	3	5	3	5	5	6	4	5	5	6	5	6	6
Other Offense Classification	1	2	4	3	3	5	3	5	5	5	4	5	5	5	5	5	5
Drug or Alcohol Related Offense Only	1	1	3	1	1	3	3	3	3	5	4	5	5	5	5	5	5
Substance Abuser I																	
Robbery/Escape	1	2	2	1	3	4	2	4	4	6	4	4	4	6	5	5	6
Aggravated Burglary/Aggravated Assault	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	2	2	1	3	4	2	4	4	5	4	4	4	6	5	5	6
Other Offense Classification	1	2	2	1	3	3	2	3	3	4	3	3	3	5	3	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	2	1	2	2	3	2	3	3	3	3	3	4
Non-Abuser																	
Robbery/Escape	1	1	2	1	3	3	1	3	3	4	3	3	3	6	3	5	6
Aggravated Burglary/Aggravated Assault	1	1	2	1	3	3	1	3	3	4	3	3	3	5	3	5	5
Burglary/Motor Vehicle Theft/Forgery/Bad Checks	1	1	2	1	3	3	1	3	3	4	2	3	3	5	3	5	5
Other Offense Classification	1	1	1	1	1	2	1	3	3	3	2	3	3	5	2	5	5
Drug or Alcohol Related Offense Only	1	1	1	1	1	1	1	1	1	3	2	3	3	3	2	3	3

STEP II - OFFENDER TYPING (check applicable categories)

Violent Offender
- ✓ Current Offense Against Persons
- ✓ Special Violence Risk Factor (see STEP III)
- ___ Current Sentence for Escape or Jailbreak

First Offender
- ___ No Prior Felony Arrest or Conviction

Burn-out ___ Not a Violent Offender **and** Age 50+ at Conviction

___ Not a Violent Offender **and** Age 25-49 at Conviction **and** 4-Factor Score is 1-4

STEP III - SPECIAL RISK FACTOR SCORING

Circle

Class I Factor — Violence

(1) 1+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
(2) 2+ Total Arrests for Felonies Against Persons in Last 3 Years Street Time
(3) 2+ Prior Arrests for Felonies Against Persons in Last 5 Years Street Time
(4) 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time
5 3+ Prior Arrests for Crimes Against Persons in Last 5 Years Street Time
6 1+ Prior Felony Incarcerations in Last Year Street Time
7 2+ Prior Incarcerations for Indictable Offenses in Last Year Street Time
8 Current Arrest is at Least Fifth Arrest for Same Type Felony in Last 5 Years Street Time

Class II Factor — Violence

9 4+ Prior Convictions for Felonies Against Persons in Last 20 Years Street Time
10 3+ Prior Convictions for Felonies Against Persons in Last 10 Years Street Time
11 2+ Prior Convictions for Felonies Against Persons in Last 5 Years Street Time
(12) 1+ Prior Convictions for Felonies Against Persons in Last 2 Years Street Time
13 4+ Prior Arrests for Felonies Against Persons in Last 10 Years Street Time
14 3+ Prior Arrests for Felonies Against Persons in Last 7 Years Street Time
(15) 3+ Total Arrests for Felonies Against Persons in Last 5 Years Street Time
16 2+ Prior Arrests for Felonies Against Persons in Last 2 Years Street Time
(17) 1+ Prior Arrests for Felonies Against Persons in Last Year Street Time _and_
    2+ Prior Arrests for Felonies Against Persons in Last 3 Years Street Time
18 3+ Prior Arrests for Crimes Against Persons in Last 3 Years Street Time
19 Current Convictions for Felony Against Persons and Escape (Prison)
20 3+ Prior Felony Convictions or Incarcerations in Last 3 Years Street Time
(21) 2+ Prior Felony Convictions or Incarcerations in Last 2 Years Street Time
22 Current Conviction is at Least Third Conviction for Same Type Felony in Last 5 Years Street Time
23 Current Conviction is at Least Third Conviction for High Recidivism Offense in Last 5 Years Street Time
24 Current Prison Admission as Release Violator with New Felony Conviction for High Recidivism Offense

STEP IV - FINAL GENERAL RISK ASSESSMENT

4-Factor Score	Burn-out or First Offender	Special Risk Factors		
		None	Class I Only	Class II
7	Poor	Poor	Very Poor	Very Poor
✔ 6	Good	Poor	Poor	(Very Poor)
5	Good	Good	Poor	Poor
4	Good	Good	Good	Fair*
3	Very Good	Very Good	Good	Fair*
2	Very Good	Very Good	Very Good	Good
1	Excellent	Excellent	Excellent	Excellent

STEP V - FINAL VIOLENCE/PROPERTY RISK ASSESSMENT

General Risk Assessment	Violence Risk		Property Risk	
	Violent Offender	Non-Violent Offender	Violent Offender	Non-Violent Offender
✔ Very Poor	(Very Poor)	Fair	(Very Poor)	Very Poor
Poor	Poor	Fair	Poor	Poor
Fair	Good	Good	Fair	Fair
Good	Good	Very Good	Good	Good
Very Good	Excellent	Very Good	Excellent	Very Good
Excellent	Excellent	Excellent	Excellent	Excellent

*Code as POOR if current offense is against persons.

END