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SOCIOLOGICAL THEORY AND THE PRODUCTION

OF A SOCIAL PROBLEM:

THE CASE OF FEAR OF CRIME

REACTIONS TO CRIME PROJECT
CENTER FOR URBAN AFFAIRS

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To the memory of Peter McKeon.

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INTRODUCTION

Fear of crime is a major social problem in urban America. Surveys tell us that close to 50 percent of the adult urban population is afraid to be out at night in their own neighborhood. The media informs us through dramatic stories that fear has crippled individuals and limited their freedom to lead normal, productive lives. Government agencies at the federal, state and local levels have implemented programs to reduce the fear of crime among selected populations. Some commentators have gone so far as to label the fear of crime one of the principal causes of the decline of city life. Yet our knowledge of that fear, and the conceptual framework through which we view it as a problem, have not, for the most part, been scrutinized very closely.

This essay attempts to explain the distribution of fear of crime in American cities and in doing so hopes to improve upon the theoretical framework which has guided the scholarly discussion of the fear of crime to date.

I approach the study of fear of crime from what I call the social control perspective. This perspective is adapted from the "Chicago School" of Sociology's orientation to the study of the city and urban community life. I will argue that the level of fear in a community is a consequence of the level of social disorganization perceived by its residents. If an urban community has the capacity, through its local

^{*}For example, "fear of crime has made life in the inner city so unbearable as to threaten the health of an entire city--especially a city like Chicago with a large and growing black population." Chicago Tribune editorial (August 16, 1979).

institutions (families, churches, voluntary associations, etc.), to combat the growth of the signs of disorganization then fear levels will be modified. If local institutions cannot exert social control and regulate these signs of disorganization then fear will be increased.

Through an analysis of four neighborhoods in Chicago I will demonstrate that fear levels are higher, not merely as a function of rising crime rates, but more as a result of the declining capacity of local institutions to control the social disorganization residents perceive around them.

I was drawn to this older tradition in the study of crime and its impact because of what I plan to show are the inadequacies of the contemporary, more conventional, approach to the study of fear of crime. The recent literature on fear of crime has been dominated by what I call the victimization perspective. This perspective, often implicit in the major studies, treats fear as a response to victimization. It assumes that an individual's report of being fearful is a direct consequence of experiencing crime as a victim. I will argue on both theoretical and empirical grounds that this approach is too narrow and, by focusing on psychological responses to victimization, fails to take account of the political and social structures which play an important role in shaping the fears of citizens. The central issue is not that individualistic psychological perspectives are without utility, but that when they are used in a vacuum they result in a partial (some would say ideological) understanding of the issue.

The social control perspective treats fear as a consequence of the incapacity of local institutions to exert social control. It analyzes

changes in the community as the precipitant of a fearful citizenry.

The victimization experiences of residents must be placed in a community context in order to understand the impact of those experiences on fear.

Victimizations will only increase fear when local institutions have lost the capacity to exert social control and maintain the integrity of the local moral order.

In the chapters to follow I will describe the social control perspective and the victimization perspective and analyze their theoretical assumptions and intellectual traditions. Chapter One describes the development of the social control perspective as it emerged as a general theoretical orientation at the University of Chicago's Department of Sociology in the second quarter of the twentieth century. Particular attention is paid to the importance of urbanization and its impact on community life as the central issue of the emerging discipline of Sociology. In Chapter Two the social control perspective is applied to the study of fear of crime. Building primarily on the work of Gerald Suttles (a contemporary scholar in the "Chicago School" tradition). the concepts of "invasion," "signs of disorganization" and "provincialism" are introduced to explain how and why fear surfaces in urban communities. Chapter Three charts the intellectual decline of the social control perspective and the transition to motivational theories of crime and delinquency. I then discuss how this shift in emphasis affected the social policy initiative of the 1960's, and led to the formulation of the victimization perspective by the end of that decade. The theoretical construction of the new perspective is discussed in terms of the work of Biderman, Ennis and Reiss. I describe the underlying assumptions

which they employed and relate these assumptions to the changes in criminological theory which had taken place within the generation preceding their efforts.

In Chapter Four the discussion moves to the idea of community. Both perspectives treat the preservation of community as an important objective. I discuss the different approaches to that objective which are implicit in the construction of the two perspectives. I show how community, or the lack of it, is treated as a consequence of fear within the victimization perspective and how community is treated as a contextual variable within the social control perspective. I then discuss the implications of these differing approaches for our understanding of the relationship between fear of crime and community solidarity. I extend this discussion of community into an analysis of the policy implications of the two perspectives. I argue that the victimization perspective has spawned a series of policy initiatives commonly referred to as Community Crime Prevention. I show how these initiatives are guided by the perspective and what the consequent design limitations are of these policies. I then discuss the contours of a policy which would be informed by the social control perspective and outline some of that perspective's policy limitations.

In Chapter Five, Six and Seven, I operationalize the theoretical discussion in an empirical analysis of fear of crime in four urban communities in Chicago. My purpose is to explore the distinctions between the perspectives by comparing their relative explanatory power in the same settings. The analysis is secondary, that is, I am using survey instruments and fieldwork data which were not designed to test

the efficacy of the two perspectives, but which can be applied to a discussion of their relative ments. My principle aim is to sharpen the distinction between the perspectives as explanations of the distribution of fear of crime. Consequently this empirical analysis should be viewed as illustrative, and informative rather than definitive.

Chapter Five describes the four communities demographically and outlines the crime problems in each of the areas. Then key concepts within the victimization perspective are operationalized and with the use of official crime reports, the amount and spatial distribution of crime is carefully described. In Chapter Six, I turn to data collected with a random digit dialing telephone survey to determine resident perceptions of specific crime problems in each community and how those assessments are related to personal risk estimates. I then compare the communities on scales of crime problems, risk assessments and amounts of crime, and find several inconsistencies in the relationships one would expect from the victimization perspective. In Chapter Seven the social control perspective is operationalized and applied to the communities. The concept of "incivility" is introduced to explain some of the discrepancies found in the previous analysis and to illustrate the relative merit of going beyond the victimization perspective to account for the fear levels in communities. Several other refinements are suggested to enhance our understanding of fear through the social control perspective.

I conclude in Chapter Eight with a discussion of fear of crime as a social problem. Guided by the sociological discussion of the construction of social problems, I analyze several of the contemporary works on fear of crime and note their concern about social control,

but their inability to link conceptually that concern with an analysis of the problem. Finally, I suggest that the motivational theory implicit in the victimization perspective, while imbedded in the mainstream of conventional social science, is inadequate to the task of explaining fear of crime in urban America.

CHAPTER ONE

FEAR OF CRIME AND THE IDEA OF SOCIAL CONTROL

Research interest in the fear of crime developed as a concomitant of the interest in the late sixties in assessing the "true" amount of crime in our society. Funded by the National Commission on Crime and the Administration of Justice, these studies attempted to determine both the level of crime and the level of fear Americans were experiencing. The primary interest of these scholars was in assessing "the dark figure" of crime, that is, those unreported and underreported crimes whose magnitude was not reflected in the official crime statistics of police departments. From the outset, rape, murder, burglary, robbery and assault were the crimes on which attention was focused. Fear, from this perspective, was of interest to the extent that it could be matched to the true amount of crime in an area. What emerged from this work was a series of findings which demonstrated the lack of concordance · between level of fear and the amount of crime in the study sites (Reiss, 1967; Biderman, 1967). As the official crime rate began to rise in the early 1960's the Commission funded several scholars to take a closer look at the impact of this increase on urban residents. These early studies reported no simple, direct, linear relationship between victimization and fear. The victimization experiences of an individual did not predict his or her fear level. Building on this work, the Census Bureau initiated what have come to be known as the LEAA Victimization Surveys. These national surveys measured both the personal and commercial victimization levels in the major U.S. cities. Again, as a secondary consideration, fear of crime was measured, but here the emphasis was on the

distribution of fear among demographic groups. Analysis of these data was limited to inter-city comparisons and reporting variations in fear levels by demographic sub-population within large national samples. Analysts of the victimization surveys discovered, just as their predecessors had earlier, that fear of crime was often prevalent among precisely the groups (i.e., the elderly) which were least victimized (Skogan, 1976). While young black males consistently reported the most victimizations and the least amount of fear, fear was highest among older females (both black and white) who reported the fewest victimizations of any demographic group. Scholars have attempted to explain this apparent paradox by employing more and more sophisticated analytic techniques to the questions of both the amount of crime in the environment and the dimensions of fear reported by respondents. Through the refinement of measurement techniques and more sophisticated analytic procedures some progress was made in explaining the apparent discrepancy between the amount of crime to which people were exposed and the level of fear they reported (Hindelang, Gottfriedson and Garofalo, 1978).

The work of Biderman, Reiss and Ennis set the tone for the scholar-ship on fear of crime in the 1970's. Most of the research on fear of crime which followed this early work found no consistent relationship between fear of crime and the victimization experiences of the respondent (McIntyre, 1967; Boggs, 1971; Conklin, 1971; Fowler and Mangione, 1974; and Hindelang, 1974). There were also a few studies which did report a positive relationship between victimization and fear (Feyer-hern and Hindelang, 1974; and Kleinman and David, 1973). In reviewing this literature it becomes apparent that the implicit hypothesis that

victimizations predict fear is not substantiated. Some scholars have begun to question whether this perspective is the most appropriate framework for approaching the issue of fear of crime. Most recently Garofalo and Laub (1979), after reviewing the literature, make this point forcefully.

All of the factors discussed above—the ambiguous relationship between victimization and the fear of crime, the indications that crime is not generally perceived as an immediate threat, and the mixing of fear of crime with fear of strangers—point to the conclusion that what has been measured in research as the "fear of crime" is not simply fear of crime (Victimology, p. 246).

Biderman himself hinted at a potentially more useful perspective over a decade ago.

We have found that attitudes of citizens regarding crime are less affected by their past victimization that by their ideas about what is going on in their community—fears about a weakening of social controls on which they feel their safety and the broader fabric of social life is ultimately dependent (1967:160).

Hunter was led to a similar conclusion in a more recent discussion.

(F)ear in the urban environment is above all a fear of social disorder that may come to threaten the individual. I suggest that this fear results more from experiencing incivility than from direct experience with crime itself (1978:9).

The notion that fear may be more directly related to the issue of social control and the local social or moral order offers the possibility of an alternative conceptual framework. The idea of social control has a long tradition of theoretical development in sociology and the use of that tradition to study fear of crime may not only explain more about that problem, but also shed some light on the role of victimization in the fear production process.

After developing the concepts of social control and fear of crime in some detail, I will analyze the victimization perspective and show why the empirical findings in this perspective have been so limited. The problems may be less a function of methodology and more an issue of theorectical orientation.

Janowitz (1978) has recently discussed the history of the idea of social control in sociological theory. He argues that the concept originally was defined as "a perspective which focuses on the capacity of a social organization to regulate itself" (p. 29). The social control perspective became a central theoretical concept for the American discipline of sociology in the 1920's. Park and Burgess' (1925) assertion that "all social problems turn out to be problems of social control," takes on new meaning in the light of Janowitz's discussion.

The social control perspective developed theoretically through the study of city life begun in the Department of Sociology at the University of Chicago. The perspective was part of a reaction to the evolutionary theories of Spencer and Comte which emphasized the historical development of society from lower forms of savagery to the present heights of civilization. Evolutionary thinking was viewed as inherently conservative, anti-empirical and generally incapable of explaining the poverty, vice and human misery so prevalent in American cities at the turn of the century. While Toennies and Durkheim extended the evolutionary tradition into the twentieth century, a group of scholars at the University of Chicago drew upon the metaphors of natural history and biology to counter the pessimistic theorizing of European scholars. Reformist in temperament, these men were developing tools to study the fast growing

metropolis which was shooting up around them, and the changes which were taking place in local urban communities. Led by Parks, Burgess and McKenzie, whose <u>The City</u> was published in 1925, the scholars formulated an approach to the study of society which for the next twenty-five years dominated the new academic discipline of sociology.

The "Chicago School" as they came to be known, borrowed from the evolutionary thinkers a concern about social change and the nature of community. They sought to examine the changes which were taking place in the structure of the local communities, and how these communities were accommodating themselves to the pressures of city life.

Park, Burgess, Wirth and others focused on understanding the effect urbanization (as a particular variant of social change) was having on city dwellers, particularly the newly arrived poor European immigrants. From that theorizing emerged the notion that crime was the "natural" result of the process at work in cities and that urban communities faced serious problems in maintaining social control in the face of these processes. The conceptual link between social change and social control was the concept of social disorganization. For social change in the city affected local communities in a variety of ways, disrupting social control and introducing forms of deviance (including crime and delinquency) as a consequence of that disruption. Carey (1975) gives us a good working definition of social disorganization.

A socially disorganized community is one unable to realize its values. The consequences of disorganization (delinquency, dependency, desertion, truancy, high rates of mental illness, etc.) are considered undesirable by most of the citizens who live in the disorganized community—they would do something about them if they could. The characteristic response to the question, "disorganized from whose viewpoint?" was "disorganized from the viewpoint of the people who live there" (p. 107).

Social control is "the means of doing something about them" and as such plays a pivotal role in how the major social forces of city life effect the social organization of local communities.

Members of the Department of Sociology differed in how they operationalized the concept of social disorganization. Thomas and Znaniecki (1939) were among the first to discuss how communities and families became disorganized under the pressure of urban city life. Park, too, had an approach to social disorganization, specifying a process of organization and reorganization, as the capacity to regulate social life reemerged. There were a number of scholars working with the idea of disorganization (Landesco, 1929; Shaw and McKay, 1942; etc.) who treated the disorganization as an "objective" judgement about the state of the community. As Carey (1975) points out in his discussion of the "social disorganization paradigm," there were a variety of approaches to defining and measuring the concept, but they all hinged on analysis of how city life disrupted the local social order. Contrasting city life to folk ways, Wirth (1938), for example, argued that density, heterogeneity and number increased mobility, insecurity, and instability, leading to the establishment of formal controls to mitigate the personal disorganization in the city.

The close living together and working together of individuals who have no sentimental and emotional ties fosters a spirit of competition, aggrandizement, and mutual exploitation. To counteract irresponsibility and potential disorder, formal control tends to be resorted to (1938:15).

Given this general set of factors, the social and cultural institutions at the local or neighborhood level are not capable of performing their socialization and social control functions, and criminal activity

follows. The family, church, friends and neighbors cannot counter the dysfunctional influences of the city which lead to social disorganization and criminal activity in the urban community.

It is probably the breaking down of local attachments and the weakening of restraints and inhibitions of the primary group, under the influence of the urban environment, which are largely responsible for the increase of vice and crime in great cities (Park, 1970:25).

Primary face to face relations, which had been the basis of social control in less complicated societies, are inadequate control mechanisms in the context of the urbanization process (Smith, 1979). This is especially true for second generation immigrants (those born in the United States) who felt less tied to the traditions of the old country (Wirth, 1933) and are pulled towards the deviant values of the metropolis.

Crime within this theoretical orientation is the direct result of the pressures of city life. Rather than being an aberration due to individual character disorder, it is the anticipated consequence of the effects of disorganization on local community. A theory of the city "explains" criminality. For as city life disorganizes local communities, crime increases. The Chicago scholars are clear as to how to solve the crime problem, for that solution draws upon their general theory of urbanization, social control, and social disorganization.

The distinctive features of the urban mode of life are often seen sociologically as consisting of the substitution of secondary for primary contacts, the weakening of bonds of kinship and the declining social significance of the family, the disappearance of the neighborhood and the undermining of the traditional basis of social solidarity (Wirth, 1938:21).

Against this setting, the individual is forced into "voluntary associations" to achieve his ends.

Being reduced to a stage of virtual impotence as an individual the urbanite is bound to exert himself by joining with others of similar interest into organized groups to obtain his ends. This results in the enormous multiplication of voluntary organizations directed toward as great a variety of objectives as there are human needs and interests (Wirth, 1938:22).

Crime could only be reduced if local communities could reassert the primacy of their values over the insidious influences of city life. The voluntary association is particularly well suited to the exercise of social control for it allows the community to assert its values.

Clifford Shaw and Henry McKay adapted the social control perspective to the particular problem of crime and community. The Chicago Area Projects which were started in 1934 built on precisely the same theoretical construct we have been describing, only in this case the scholars left the classroom and applied that construct in the neighborhoods of Chicago through a series of interventions. This practical application was informed by a series of books on delinquency which were published in the same period (e.g., Shaw and McKay, 1942; Shaw et al., 1929).

The project "attempts to deal with crime as a natural phenomenon," and focuses on local community as the place to take action.

The essential logic of the Area Project becomes, then, one of discovering the pertinent social processes and significant cultural organization of the community as expressed in the institutions of local residents themselves, and through these, introducing values consistent with the standards of conventional society (Burgess, Lohman and Shaw, 1937:23).

The prevention of crime is a matter of working through and with local people and institutions to strengthen the community's capacity to enforce "values consistent with the standards of conventional society."

If juvenile delinquency in the deteriorated areas is a function of the social life characteristics of these situations, it seems that a feasible approach to the changes in the attitudes, sentiments, codes, and moral standards of the neighborhood as a whole (Burgess, Lohman and Shaw, 1937:22).

Through the Chicago Area Project the forces of urbanization can be mitigated. "Society has here an opportunity to discover and encourage forces which will make the local community, insofar as is possible, independently effective in dealing with its own problems" (Burgess, Lohman and Shaw, 1937:23). As Kobrin (1959) pointed out, from this perspective it is city life, not individual pathologies which generate crime. And if crime and its consequences are to be alleviated, social control, meaning the ability of the local group to control its members, has to be reinstated.

Thus, the theory on which the Area Project program is based is that, taken in its most general aspect, delinquency as a problem in the modern metropolis is principally a product of the breakdown of the machinery of spontaneous social control (Kobrin, 1959:22).

The Chicago Area Project attempted to enlist indigenous leadership working through local institutions in the fight against crime. This emphasis on voluntary participation at the neighborhood level was central, given a definition of crime as the process of value erosion.

Only by combatting social disorganization (as indicated by delinquency and crime rates) could local communities become more decent places to live.

Crime could be prevented if the community changed itself. The forces of urbanization could be mitigated by local action. This link between crime prevention and community was forged conceptually over forty years ago. It was based on a theory of social disorganization

in which the city's influence was negative; weakening social control and leaving the individual adrift. Crime was one of many negative outcomes of this process and it followed from the theory that preventing crime was a function of strengthening the local community in its attempt to assert social control. The emphasis on voluntary associations and local citizen action followed from an analysis of social bonds which emphasized the importance of primary social relations over the secondary relations manufactured in the metropolis. Crime could be curbed only if social institutions rather than criminal justice institutions (courts, probation, police, etc.) were strengthened. To prevent crime the impact of city life has to be mitigated by the strengthening of socializing and controlling institutions in the community.

This formulation of the problem has structured the discussion of crime and community for the last fifty years. If crime is by definition the result of the introduction of deviant values, then appropriate values must be taught and reinforced by local institutions if crime is to be reduced.

To summarize, the social control perspective explains the distribution of crime and delinquency (as well as other forms of deviance) in terms of the effects of city life on the local urban community's capacity to regulate itself. Since this capacity varies in different communities depending in part upon the external forces (demographic, urban and economic) impinging on the community and in part on the strength and viability of those local institutions which exert social control, the study of crime and delinquency is often comparative.

assessing the levels of crime and delinquency in different communities in one metropolitan area. It was hypothesized that social change led to social disorganization in communities which could not exert social control. Shaw and McKay (1942) described the higher rates of delinquency they found in the communities most affected by the growth of the city, and true to the logical assumptions of the social control perspective they prescribed strong doses of local social control as the antidote to that delinquency problem.

There are three general theoretical implications of this perspective which are important to bear in mind as the perspective is applied to the study of fear of crime. First, the perspective focuses on differences between communities rather than individuals in the occurrence of criminal and delinquent behavior. The major orientation in the study of causes of crime throughout this century has been towards explaining why the individual commits deviant acts. Emphasis has been on articulating the personal motivations and influences which lead the individual to criminal activity. From phrenology through psychoanalysis, criminologists have attempted to explain the occurrence of deviance by the interaction of personal attributes and the individual's socialization experiences. As Kornhauser (1978) points out in her discussion of theories of delinquency, an over-reliance on personal motivations and sub-cultural influences has limited the explanatory power of most delinquency studies.

The social control perspective emphasizes institutional and contextual variables in explaining differences in community characteristics. This will be particularly important as the perspective is applied to the fear of crime, for the study of fear has mostly focused

on the demographic patterns of individual variations in fear rather than the structural issue of its distribution among local communities.

Second, the social control perspective emphasizes social change as a catalyst for the emergence of social problems. Thus, the investigation must relate the structural transformation of the city to the distribution of crime and delinquency. The differential distribution of resources contributes to that transformation. Shifts in population, density, business growth or decline all effect the development of social problems. The impact of these forces is felt in varying degrees by communities with varying racial and income compositions as well as the more subtle influences of institutional strength and indigenous leadership.

Finally, the criteria for assessing the extent of the social problem are comparative. The seriousness of a social problem is a function of the local community's capacity to cope with the relative effects of social disorganization. Understanding the relative seriousness of a problem means comparing the impact of social disorganization on differing communities. Standards for description as well as treatment are derived empirically from the differing levels of deviance and not from an arbitrary judgement based on some ideal notion of health or normality imputed to individuals. These three features of the social control perspective should be remembered as the discussion now turns to applying the perspective to the study of fear of crime.

CHAPTER TWO

ADAPTING THE SOCIAL CONTROL PERSPECTIVE TO THE STUDY OF FEAR OF CRIME

Fear of crime is a problem in communities which do not have the capacity to regulate themselves. Communities which can exert social control through local institutions will have less fear than communities which cannot. For fear is the consequence of changes in the social organization of the community. As these changes are perceived by local residents they become fearful. Fear can be modified by the exertion of social control. There are many indicators of social disorganization for residents. Where these signs of disorganization go unchecked by local institutions fear increases. Where the signs of disorganization are checked by local action fear is reduced. Fearful communities are communities which cannot defend the local "moral order" in the face of social changes in the area. An example of social disorganization should help clarify the point.

Snodgrass (1976) and Molotch (1979) allude to the importance of business growth in the social control perspective. The expansion of business creates crime by disrupting the lives of city dwellers.

Under the pressure of the disintegrative forces which act when business and industry invade a community, the community thus invaded ceases to function effectively as a means of social control. Traditional norms and standards of the conventional community weaken and disappear. Resistance on the part of the community to delinquent and criminal behavior is low, and such behavior is tolerated and may even become accepted and approved (Shaw, et al., 1929:24).

This notion of "invasion" offers an interesting, if undeveloped, insight into the process which makes crime a problem for a neighborhood.

First, this invasion implies the introduction of exogeneous influences into the life of the community. Shaw hypothesized that business and industry expanded into residential areas, weakening traditional norms. Land which was originally used and controlled by residents was not controlled by businesses, and that transfer of land destroyed in some unspecified ways the operative social controls. This hypothesis was developed in the 1920's in Chicago when the central business and commercial district was expanding. The influence of Burgess' concentric zone theory is evident in Shaw's approach (Burgess, Lohmen and Shaw, 1937). The intrusion of business into residential areas caused significant upheaval.

Suttles (1968) has drawn upon and expanded that notion of invasion in his contemporary work on the moral order of urban communities. He sees diverse ethnic groups rather than businesses as the invaders and argues that moral order is dependent upon the capacity of each host community to modify if not control access to the area which it inhabits. This shift from business expansion to population movements reflects the decaying nature of the American metropolis in general and Chicago in particular. Contemporary cities in the industrialized Northeast have seen a steady erosion of their commercial base since World War II. The massive migration of blacks to the northern cities has replaced business expansion as the social force which most directly changes the shape and composition of urban communities. Suttles reflects this change in his emphasis on ethnic conflict and accommodation. He elaborates on the methods which residents use to assert social control (e.g., ordered segmentation).

Each ethnic section of the Addams area differs from the others in the extent to which it possesses a standardized routine for managing safe social relations. There is, however, a general agreement upon the social categories beyond which associations are not pursued. The boundaries of the neighborhood itself form the outermost perimeter for restricting social relations. Almost all the residents caution their wives, daughters, children, and siblings against crossing Roosevelt, Halsted, Congress, and Ashland. Within each neighborhood, each ethnic section is an additional boundary which sharply restricts movement (1968:225).

Suttles argues that fear and isolation are minimized to the extent that "standardized routines for managing safe social relations" exist. A fearful neighborhood, then, is one in which the signs of disorganization (e.g., invasion) give rise to the sense that community standards are no longer enforced or conformed to. It follows that the fear level in a neighborhood can be reduced by attempts to control these signs of disorganization. Where efforts are underway to reverse this trend towards disorganization fear is often reduced. Communities which have few signs of disorganization will have very little fear. Abandoned buildings, vandalism (disregard for property), kids hanging around and perceived drug use (inappropriate personal conduct) all signal the moral decline of the area. Where attempts are made to combat these problems through collective action, fear levels are lowered. By exerting control over land use and access to the area fear is lessened. Suttles (1968) has termed this capacity "provincialism." In areas where ethnic groups have the power, both privately through home and business ownership, and publicly through locally based community

As Bernard (1973:151) points out, there is no guarantee that justice will accompany this ordering activity.

organizations, to manage access into their areas and the activities in those areas, fear may be reduced even if the signs of disorganization are evident. The reason for this is that the disorganization is not conceived of as a consequence of invasion. The disorganization is perceived as an internal problem which can be managed through channels available to the neighborhood residents.

The ability of local institutions to resist the disorganization process is a function of their capacity to assert the legitimacy of local standards and to affect those activities inside the neighborhood which are contributing to the disorganization process (Suttles, 1968). When a community cannot assert its values, its residents become fearful. The social and political organization of the local community is the first line of defense against the encroachment of the "urban environment" (Bernard, 1973). Since the assertion of those values means the power to, if not dictate, at least influence, the decision making process in the public and private sectors which affect community life. Thus in the modern metropolis the political organization of the local community is equally as important as the social organization. In a great many instances that political organization may serve as the means for expressing the social organization.

Fear of crime from the social control perspective is a reaction to the decline of an area. The signs of the decline are captured in the general physical and moral disruption of community life. Those who are fearful may in fact see their risk of victimization increasing but they see this as a consequence of the moral decay of their community brought about by the invasion of forces which disrupt the social order.

To sum up, the social control perspective offers the following explanation of the fear of crime. Crime for residents of urban neighborhoods is a problem of the undermining of the conventional moral order. Concern about crime, for the most part, focuses on the activities of "invaders" or adolescents in the neighborhood as potential offenders. Residents are concerned that the neighborhood is losing its capacity to control its young as well as the other forces which undermine the social value system. Residents evaluate the extent of that deterioration through a variety of public indicators including the deterioration of property (abandoned buildings and vandalism) and the inappropriate behavior of adolescents (hanging out and drug use). Fear of crime is directly related to the signs of disorganization perceived by neighborhood residents in that locality. As these signs become more prevalent, fear becomes more prevalent. There are two factors which mediate this relationship between fear and signs of disorganization. They are the social integration of the neighborhood and what, following Suttles, we call the provincialism of the area. The former factor is a social dimension and the latter is political. In neighborhoods where there is high social integration, signs of disorganization do not usually induce high levels of fear. Communities which are integrated while reporting that their risk is increased by these signs of disorganization, are not as fearful as less integrated neighborhoods. The reason for this is that risk can be managed through knowledge of the area. Knowledge of the boundaries between ethnic groups in conflict, as well as

This notion of invaders parallels the "fear of strangers" concept in the victimization perspective.

knowledge of individuals and areas which are dangerous, allows the integrated citizen to move through the environment carefully avoiding the dangerous areas. Consequently, because he knows the people and areas he should stay away from, his assessment of risk is relatively high, reflecting that assessment, but his fear is not proportionally as high because he knows how to avoid the danger.

Provincialism also has a modifying effect on fear in areas with many signs of disorganization. Provincialism is a political factor in that the community's capacity to regulate the movement of populations and land usage and to interact with those agencies which impinge upon and affect the community (e.g., municipal building departments) empowers residents to assert control. * The capacity to regulate and provide linkage is especially effective in reducing fear when that capacity is utilized to reduce the signs of disorganization (e.g., have abandoned buildings removed). Taub, et al. (1978) point out the importance of these linkages in the evolution of community organizations and emphasize the role of "external agents" in that evolution. While we are in agreement that community organizations are more an expression of local political development than a consequence of social integration, the ability to cement those linkages is far more important for fear reduction in the community than the fact that those linkages might have been externally induced.

Fear then is a function of the signs of disorganization in an area. Where those signs are extensive, their effect can be mitigated.

Levi and Lipsky (1972) discuss this same capacity but from a sociology of protest orientation.

CHAPTER THREE

A SHORT HISTORY OF THE DECLINE OF THE SOCIAL CONTROL PERSPECTIVE AND THE EMERGENCE OF THE VICTIMIZATION PERSPECTIVE

The social control perspective has not been without its critics and critiques. Indeed the emergence of the victimization perspective is directly tied to the general shift in emphasis away from the social control perspective after World War II. In this chapter I will review that shift in criminological theory and relate it to the major social policies initiative of the early 1960's. I will then describe the emergence of the victimization perspective as part of this general shift of emphasis in a period of a rapidly rising national crime rate.

By the 1950's the social control perspective had been generally discredited (Carey, 1975). Methodological difficulties (Gutterman, 1959) along with a critique of the perspective as inherently middle-class and conservative (Mills, 1943) led to the general disenchantment.

The critique of the perspective began by the early 1940's. In 1939 two works had appeared which offered alternative theoretical explanations for the emergence of crime and delinquency. Edwin H. Sutherland published the third edition of his Principles of Criminology in 1939. In that edition he outlined his theory of "differential association" which described crime as a function of value conflicts between groups. Educated at the University of Chicago, Sutherland depicted criminal activity as the result of the socialization to values by one group which clashed with the values of a more powerful group in the society. "The conflict of cultures is the fundamental principle in the explanation of

crime" (1939:52). The values of one's intimates dictated the extent to which one respected the laws. Adherence to the law was learned from one's primary relation and if one's primary group felt no bond to the statutes then the individual could not. Rather than crime being a violation of commonly held values it was the adherence to values, just not the ones expressed in the criminal code.

Robert Merton, building on the Durkheimian tradition, published his "Social Structure and Anomie" in 1938. In that piece Merton develops a general theory of crime and delinquency. Merton assumes a general agreement upon values among all members of the society and suggests that deviance follows from the differential distribution of legitimate means to achieve those values. For example, all young men agree that being rich is important but the poor lack means (e.g., education, employment opportunities, etc.) for obtaining the end. Consequently illegitimate means (e.g., criminal activity) are used to achieve the commonly agreed upon ends.

Both Sutherland and Merton develop theories of socialization in contradiction to the social control perspective. Where the control theorists emphasize how city life distorts and dilutes the values of the local community, Sutherland and Merton emphasize the Learned
nature of criminal activity. For Merton and Sutherland crime is a consequence of learning all too well the lesson one's community is trying to teach, while Shaw and McKay, among others, see the community's incapacity to socialize as the catalyst for crime. Kornhauser (1978) distinguishes Merton's "Strain Theory" from Sutherland's "Cultural Deviance" approach on a variety of dimensions. However, for our

purposes it is their common reliance on personal motivations and socialization in their theorizing which is most important.

Both Merton and Sutherland explain crime and delinquency in terms of the factors which motivate individuals to commit deviant acts and both perceive the local sub-culture as the transmitting agent for the particular form those motivations take. These approaches are explanations of personal behavior based on cultural influences. Both men were more concerned with the "interactive process" (Matza, 1969) in their communities than with community differences in levels of social disorganization and social control.

Merton and Sutherland take the analysis of the relationship between crime and community in two very different directions. The latter draws the scholars' attention towards the interaction between peers in the community, while the former focuses on the differential opportunities available to adolescents in the community. In neither case are the particularly urban dimensions of the early Chicago thinkers retained. Finestone (1976) points out "the fundamental concept for the analysis of the delinquency problem has become social status rather than social change" (p. 167). The changes brought about by city life in particular were no longer part of the analytic framework; rather, scholars in the 1950's focused on the interplay of values and peer pressure to explain delinquent behavior (e.g., Cohen, 1955).

There was another critique of the social control perspective which began in 1943. In that year C. Wright Mills and William F. Whyte challenged the concept of social disorganization. Whyte suggests that concern about disorganization had led sociologists to focus on

a narrow range of aspects in lower class life.

For too long sociologists have concentrated their attention upon individuals and families that have been unable to make a successful adjustment to the demands of their society. We now need studies of the way in which individuals and groups have merged to reorganize their social relations and adjust conflicts (Whyte, 1943:34).

Building on his own work in <u>Street Corner Society</u> (1943), Whyte emphasizes the newly created social bonds in immigrant communities.

If social disorganization involves a 'decrease of the influence of existing social rules,' and the rules referred to are those of the peasant society from which the immigrants came, then the slum is certainly disorganized. However, that is only a part of the picture. It is fruitless to study the area simply in terms of the breakdown of old groupings and old standards; new groupings and new standards have arisen (Whyte, 1943:38).

Rather than focusing on the destructive forces in the community, emphasis was placed on the institutions and habits which forged the moral order. Reacting to the explicit bias in the social disorganization perspective of emphasizing the deviant and pathological, Gans (1962) and Janowitz (1967), among others, focused on the regulation of daily life by conventional, although non-middle class, standards and rules.

Mills (1943) challenged the criteria social scientists were using in assessing these communities as disorganized. In his review of social problem text books, he observed a bias which stemmed from the white, rural, Protestant and nativist backgrounds of the scholars. That background colored their understanding of urban, immigrant life. Social disorganization was nothing more than the deviation from norms these men held to be correct and that judgement had been couched in scientific

terminology. Both Whyte and Mills demonstrated that what the social control perspective described as <u>deficiencies</u> in community life were nothing more than <u>differences</u> in social organization.

The critique of social disorganization and the development of alternative theories of crime and delinquency reduced the social control perspective to an obsolete approach to the study of social problems.

By the mid-1950's studies of crime and delinquency focused either on sub-culture or strain theories of motivation.

One of the most influential studies of that period was Cloward and Ohlin's (1960) Delinquency and Opportunity. A direct descendant of the Mertonian approach, that book was used to orient the planning of programs for delinquency prevention at the Ford Foundation and the President's Committee on Juvenile Delinquency (Marris and Rein, 1967). The authors argue that because adolescents in poor areas did not have access to the means (opportunities) to achieve their goals (status, money, recognition), they resorted to illicit activities to achieve those goals. Class differences are depicted as differences in relative access to common goals. The task for those who would prevent delinquency is to improve the legitimate access for those potential delinquents.

This can be accomplished by improving the bureaucracies which served the poor.

The processes of assimilation were breaking down, and could only be repaired by an enlargement of opportunities. But this emancipation would only come about as the enabling institutions of assimilation—the schools, the welfare agencies, the vocational services—recognized their failure, and became more imaginative, coherent, and responsive (Marris and Rein, 1967:53).

The very institutions which the Chicago scholars had dismissed twenty years earlier as inadequate to the tasks of improving city life were given the "opportunity" of reforming themselves.

In the Chicago tradition, the city has a negative influence on community life. The problem of crime was a consequence of the social disorganization which ensued. In this newer formulation crime could be prevented if service agencies performed their functions better.

Bureaucratic ineptness was the critical factor rather than urbanization.

This switch from an urban analysis to a service analysis means bureaucracies have to be changed, rather than the social and economic forces shaping the city. Foremost in this shift is a radically different notion of city life from the Chicago tradition. In the 1960's perspective the city was seen as an essentially neutral or benign background within which prevention strategies were developed.

As a whole, the strategy of the projects seemed to assume...that urban society is essentially a benevolent anarchy. Highly competitive, the city is yet open to all ambitious enough to pit themselves in the struggle. It's harshness is mitigated by social welfare, which should not merely confront the failures, but encourage them back into the race. And its justice is protected by an educational system which should ensure to every child an equal state. The will to compete is primary, and social agencies are to be judged, above all by their ability to foster and sustain it. If their middle-class prejudices make them at times, insensitive, this is only an aspect of a more fundamental tendency towards bureaucratic introversion. Thus liberal reform, like the radical right, seems to be appealing to a tradition of individualism which bureaucracy has corrupted (Marris and Rein, 1967:52).

The delinquency prevention projects at the Ford Foundation and President's Committee saw general bureaucratic reform as their goal.

The programs naively called for comprehensive planning and bureaucratic

cooperation in a world without conflicting groups or interests. It seems as if the problems of the bureaucracy would be overcome by adding a new bureaucracy.

Taken together, the conceptions of a poverty cycle and of bureaucratic introversion explained the breakdown of assimilation to the opportunity structure without presupposing any fundamental conflict of interest. On both sides, the breakdown was seen in terms of irrational self-frustration. If this interpretation was right the projects could appeal to all parties to support a non-partisan program of reform (Marris and Rein, 1967:54),

Bureaucracies could reform themselves with the proper infusion of selfawareness and the experimental mentality. Crime could be prevented and community life improved by improving bureaucratic performance.

Shaw and McKay would reject this 1960's assimilationist reformism as part of the very urbanization process which was weakening social control in the communities. Assimilation is part of the process which leads to crime, not part of the prevention process. Improving assimilation, especially through bureaucratic intervention, may exacerbate the problem it is intended to solve.

It is assumed that the reason for rapid increase in crime in our large cities is due to the fact that the foreign element in our population has not succeeded in assimilating American culture and does not conform to the American mores. This would be interesting, if true, but the facts seem to suggest that perhaps the truth must be sought in the opposite direction (Park, 1925:27).

The irony of the evolution of the crime and community tradition should now be apparent. At precisely the point at which "community" programs became a central component of domestic policy, the theoretical orientation which demanded an understanding of how community factors "created" criminals had been replaced by an emphasis on bureaucratic

ineptness. At the point at which community activists were supplied with the resources to attack social problems, they were stripped of a conceptual framework which potentially made sense of the urban processes which affected those problems. While the rhetoric of these programs demanded change, the analysis of social problems with informed that rhetoric was inherently conservative. Or as Finestone (1976) put it in his discussion of delinquency research, "the conceptual primacy of the local community was replaced by that of social class" (p. 93). The 1960's social planners had also discovered the path from local community to social class. But a class or an opportunity structure analysis, without a concrete understanding of how those opportunities are shaped by the structure of the metropolis, is forced into what Zimmerman (1972) calls a strategy for "bureaucratic democratization" (p. 6). For instead of reforming the local community the emphasis is on reforming the bureaucracies which service those communities. The dynamic link between crime and community which had been developed over fifty years ago through the social control perspective had been distorted into a discussion of poverty and bureaucracy in which the bureaucracy rather than the community was supposed to change.

By the mid-1960's, bureaucracy and poverty had replaced crime and community as the central reform issues. The expanded definition of the social problem coupled with the infusion of federal funds recast the issue of crime and community. The major loss in this transformation was a theory of urbanization which reflected the changing realities of American metropolitan life, and indicated how these changes affected the communities' capacity to exert social control.

While the study of crime and delinquency evolved into a critique of bureaucracy, the study of local community continued, but there was a strategic shift in emphasis. Led by William Whyte and his <u>Street</u> <u>Corner Society</u> (1943), there was a renewed interest in the aspects of lower class life which cemented social bonds.

The studies still emphasized social control, but now it was in terms of how it operated rather than in terms of its malfunction.

Given this bifurcation between studies of crime and community, and the practical and conceptual dead end the community action programs had run into by the late 1960's, it is no wonder that the victimization perspective developed so readily.

The victimization perspective shifted the emphasis in crime and delinquency studies from the offender to the victim. With the official crime rates soaring by the late 1960's and the ghetto riots turning policy makers and the public against the infusion of federal funds into the black community, "innovative" concepts about preventing and controlling crime were receiving serious attention. Wilson captured and articulated the spirit of this conservative shift in interest.

Predatory crime does not merely victimize individuals, it impedes and, in the extreme case, even prevents the formation and maintenance of community. By disrupting the delicate nexus of ties, formal and informal, by which we are linked with our neighbors, crime atomizes society and makes of its members mere individual calculators estimating their own advantage, especially their own chances for survival admidst their fellows (Wilson, 1975:21).

The "cost of crime" issue (Miller, 1973) was seen less in terms of what offenders might lose and more in terms of the impact crime was

having on victims. Crime was destroying community.

What these concerns have in common, and thus what constitutes the 'urban problem' for a large percentage (perhaps a majority) of urban citizens, is a sense of the failure of community (Miller, 1973:24).

I will discuss the importance of the idea of community in both perspectives in the next chapter. It suffices at this point to begin to understand how the victimization perspective emerged out of the conceptual void left by the strain and cultural deviance theories of the preceding twenty years.

Biderman (1967), Reiss (1967), and Ennis (1967) all administered surveys funded by the President's Commission on Law Enforcement and the Administration of Justice to randomly selected populations. While the surveys varied in their foci, all attempted to measure the amount of fear reported by respondents. Fear, while measured differently in each survey, was implicitly defined as anticipating the occurrence of a crime event. Where anticipation was high, fear by definition was high. An increase in crime was assumed to generate an increase in fear. All three researchers took as their task documenting the level of fear among respondents, assuming that fear was related to the amount of crime to which respondents were exposed. Indeed, given the measures employed by the scholars, it would have been impossible to dissociate fear of crime from the anticipated crime events. For example, Biderman measured "Fear of Personal Attack" by one item:

Would you say there has been an increase in violent crimes here in Washington? I mean attacks on people—like shootings, stabbings and rapes? Would you say that there's now very much more of this sort of thing, just a little bit more, not much difference, or that there is no more than five years ago? (1967:132; see also Appendix D, p. 11).

To report an increase in violent crime events is to score high on fear of crime (or in this case, attack). Reiss, while avoiding a direct discussion of fear subsumed the topic in a more general discussion of "citizen perceptions about crime in their areas." Here again anticipation of the crime event was synonomous with fear.

When you think about your chances of getting robbed, threatened, beaten up, or anything of that sort, would you say your neighborhood is (compared to other neighborhoods in town): very safe, above average, less safe, or one of the worst? (Reiss, 1967:33-34).

Have you changed your habits because of fear of crime? (stay off streets, use taxis or cars, avoid being out, don't talk to strangers.) (1967:102-110)

These early studies highlighted two ways victimization would increase fear. The "individual fear profile approach" focuses on the correlates of fear among demographically defined groups. Emphasis here is less on the criminogenic aspects of the environment and how it is assessed and more on the demographic characteristics associated with victimization and fear of crime. This approach relies on large national samples and is generally descriptive.

The "neighborhood assessment" approach focused on the amount of crime the respondent expected the local neighborhood to produce. Both Ennis (1967) and Biderman (1967) develop measures of fear which were premised on the imputed relationship between a dangerous neighborhood and individual fear. Biderman called this measure an "Index of Anxiety" and it was composed of the following items:

1) What was it about the neighborhood that was most important?

(This was asked only of those residents who indicated the neighborhood was more important than the house in selecting their present residence) - Safety or moral reasons vs. convenience, etc.

- When you think about the chances of getting beaten up would you say this neighborhood is very safe, about average, less safe than most, one of the worst?
- 3) Is there so much trouble that you would move if you could?

(Again, a screen question asked only of those who did not say their neighborhood was very safe.)

- 4) Are most of your neighbors quiet or are there some who create disturbances? (All quiet, few disturbances, many disturbances.)
- 5) Do you think that crime has been getting better or worse here in Washington during the past year? (Better, worse, same) (Biderman et al., 1967:121).

Ennis (1967) distinguished between "Fear of Crime" and "Perception of Risk." He measured "fear" by the following items:

- How safe do you feel walking alone in your neighborhood during the day?
- 2) How <u>safe</u> do you feel walking alone in your neighborhood after dark?
- 3) How often do you walk in your neighborhood after dark?
- 4) Have you wanted to go somewhere recently but stayed home because it was unsafe?
- 5) How concerned are you about having your house broken into? (Ennis, 1967:72-75).

Risk was measured by two items:

- 1) How likely is it a person walking around here at night might be held up or attacked--very likely, somewhat likely, somewhat unlikely, or very unlikely?
- 2) Compared to other parts of the city, is a home or apartment around here much less likely to be broken into--somewhat less likely, somewhat more likely, or much more likely to be broken into? (Ennis, 1967:75-76).

Ennis distinguishes between "feeling unsafe" (the report of fear) and the assessment of the possibility that a crime will occur (risk).

But his fear measure seems as much an assessment of the neighborhood as it is a report on the respondent's sense of dis-ease.

As Baumer (1977) has pointed out, there is little published information on how these early measures were developed, but for our purposes it is their content rather than their methodological limitations which is of interest. For these early scholars developed the research vocabulary for the study of fear of crime in the decade which followed.

The importance of this early work, for our purposes, can be found in the assumed association between fear (as a reported internal state of the individual) and the number of victimizations the respondent anticipates. Fear is assumed to be a consequence of the potential for victimization and the research issue is how that fear is distributed within a given population. The neighborhood is seen as a setting within which that victimization takes place. If the respondent scores high as an anticipator of victimization he is defined as fearful. A neighborhood is fear inducing to the extent that it provides a context for criminal activity.

The victimization perspective (as we shall call that contemporary approach) postulates "crime" as an event experienced by the individual as either a direct or indirect victim. Fear, from this perspective, is a consequence, a response in time, of having had contact with crime events. If direct victimization fails to account for particularly high levels of fear, then indirect contact usually through the media or personal communication is postulated as the mechanism through which the experience of crime affects the individual. Fear then becomes an indicator of the effect of victimization on the individual. Fear is seen

as a direct consequence of crime exposure. There is a direct linearity to this scenario which is assumed and rarely tested.

The victimization perspective shares several features with the strain and sub-cultural theories of crime and delinquency which displaced the social control perspective. The victimization perspective is an implicit theory of motivation. Fear is explained in terms of the stimuli (victimizations) which trigger the fear in the individual. Just as Sutherland and Merton (and those who followed in their footsteps) sought to explain the motivations of offenders in terms of the values of the groups to which they belonged, so the victimization scholars seek to explain fear of crime in terms of how victimization experiences generate fear in individuals. Victimizations lead to fear just as naturally as working class cultures lead to delinquency.

Ennis, Biderman and Reiss, while focusing on very different issues, all found that fear was not related to the level of victimization experienced by the individual or anticipated in the surrounding area in a direct, straightforward way. While the amount of crime in an area generally predicted the amount of fear among those area residents, there were enough inconsistencies in this finding to raise the issue of what other factors besides the level of victimization affected the level of fear among respondents.

In dealing with these anomalies, some scholars postulated the existence of various social psychological mechanisms to rationalize their findings. For example, Stinchcombe (1977) introduces the concept of "vulnerability" to help explain fear among women and the elderly.

The most commonly relied upon mechanism is the idea of fear of strangers. Faced with the disjunction between levels of fear and levels of victimization, several scholars introduce the "stranger" as that which explains the fear. As stated by Ennis (1967):

It is not the seriousness of the crime, but rather the unpredictability and the sense of invasion by unknown strangers that engenders mistrust and hostility (p. 80).

McIntyre (1967) echoes the same thinking in her analysis of avoidance behaviors. "The precautions which people take to protect themselves indicate that underlying fear of crime is a profound fear of strangers" (p. 40). Biderman (1967) sees the relationship as being even more direct, "fear of crime is the fear of strangers," and Skogan (1976) interprets the relationship between robbery victimization and fear as a consequence of the fear of strangers. But the fear of strangers is only introduced ex post facto to interpret results and explain findings. While Skogan may be correct in attributing the relationship between robbery and fear to an intervening fear of strangers, that suggestion is pure conjecture. The fear of stranger explanation posits the existence of an intervening type of fear which has not been measured. Consequently, this attribution process is not opened to empirical testing and has no better standing then victimization itself as an explanatory factor (Blake and Davis, 1964:460).

There has been some progress made within the victimization perspective by refining measurement techniques and analysis procedures in particular. Furstenburg (1972), Fowler and Mangione (1974), Skogan (1976) and Hindelang, Garofalo and Gottfriedson (1978) have all refined the conceptualization of fear in the victimization framework. Distinctions

between fear, concern, worry and risk have helped distinguish the various attitudinal dimensions captured in the idea of fear, and these clarifications have improved the explanatory power of more recent studies.

Also refining the various types of victimization (personal/property, single/multiple, direct/indirect, etc.) used as the independent variable has led to improved results. In this vein, some scholars have attempted to develop more refined measures of the amount of crime to which respondents are exposed. Balkin (1979), for example, argued "that fear of crime is a rational response to the actual incidence of crime, and that where discrepancies appear it is because of faulty objective measures of crime incorrectly calibrating the real risk of crime."

(p. 343)

Skogan (1977), Garofalo (1977) and Hindelang et al. (1978) have all made valuable contributions to our understanding of fear of crime from the victimization perspective. Indeed, the last decade has seen much progress since the early formulations of Biderman (1967), Reiss (1967) and Ennis (1967). Many of the difficulties of the early work may be overcome by this second generation of scholars by expanding the perspective rather than rejecting it. I simply offer a different road for the reader to take. It may lead nowhere or, more likely, to a junction between the perspectives in the future. But I hope to convince the reader that the anomalies and inconsistencies which have surfaced in the findings on fear of crime throughout this decade of research are more the result of asking the wrong questions than failing to get the right answers because of methodological shortcomings.

CHAPTER FOUR

PRESERVING COMMUNITY AND POLICY DEVELOPMENT IN THE VICTIMIZATION AND SOCIAL CONTROL PERSPECTIVES

The idea of community plays a central role within both the social control perspective and the victimization perspective. Indeed, both perspectives place the preservation of community at the center of the policy initiatives which have emerged from their discussion of the impact of fear on city dwellers. In this chapter I will outline the meaning of community in both perspectives and show how those orientations shape subtly different policy developments to reduce the fear of crime. Those differences in policy development follow from treating community as a consequency of how citizens react to crime in the victimization perspective, while the social control perspective treats community as the context in which crime and fear emerge.

One of the most persuasive discussions of fear of crime and community from the victimization perspective is presented in John Conklin's The Impact of Crime, (1975). Conklin argues that the fear of crime is destroying our sense of community by robbing citizens of the capacity to trust, and consequently isolating them in their own communities. Conklin applies Durkheim's concept of the functionality of deviance to the victimization perspective on fear of crime. He argues that crime does not bring people together as the Durkheimian approach would postulate and that fear of crime disintegrates rather than integrates communities. Conklin treats crime implicitly as the number of victimizations in a community. These victimizations and the fear they foster diminish community solidarity. Crime, and by extension the fear it generates, leads to the

decline of the community.

Little of the material we have examined...suggests that Durkheim was correct in arguing that crime brings people together and strengthens social bonds. Instead, crime produces insecurity, distrust, and a negative view of the community. Although we lack conclusive evidence, crime also seems to reduce social interaction as fear and suspicion drive people apart. This produces a disorganized community that is unable to exercise informal social control over deviant behavior (Conklin, 1975:99).

This scenario is predicated on the notion that people react to crime in individualized ways. Rather than collectively sanctioning the criminal behavior as Durkheim would anticipate, citizens, because of fear, attempt to protect themselves individually (e.g., buying guns and locks, not going out, etc.), thus breaking down community cohesion. Conklin's discussion of community hinges on the distinction he makes between individual and collective responses to crime and these responses in turn gather their importance from Conklin's use of the victimization perspective. For the logic of responding individually hinges on the salience of the victimization experience. Individual responses are assumed to be the normal response to the fear, or experience, of victimiz-Since this relationship is assumed, the conclusion that individual responses have negative consequences follows from the primacy of the victimization experience. Interestingly enough, this line of reasoning makes the response to victimization rather than the victimization itself, the central phenomenon. When a community can respond collectively, crime integrates; when those responses are individualized, crime disintegrates community ties (Lewis, 1979).

The victimization perspective defines crime as an event that is experienced individually by a citizen. Conklin implicitly uses this definition in his application of Durkheim's work on deviance and argues

that "crime" (victimization experiences) does not usually bring people together in a community. However, collective responses, that is, those responses which unite people in an attempt to do something about crime are by definition community building activities, for they bring people together to resist victimization. Given the reliance on victimization as the motivating factor for initiating responses, we have no sense of the process which would make collective responses an appropriate reaction. The victimization perspective draws us toward the characteristics of the particular victimizations (crime waves, dramatic incidents, etc.) in explaining when and where collective responses occur. There is no acknowledged mechanism through which these events could make for collective action. We are told that collective responses build a sense of community, but we are not aware of under what circumstances that will happen. crime destroys the individual's sense of community by undermining each individual's imputed sense of trust and cohesiveness, how are collective responses possible in a crime-ridden community?

While Conklin does not address this issue of process, he does describe the kinds of collective responses which emerge.

Crime weakens the fabric of social life by increasing fear, suspicion, and distrust. It also reduces public support for the law, in terms of unwillingness to report crime and criticism of the police. However, under certain conditions people will engage in collective action to fight crime. They may work for a political candidate who promises to restore law and order. They may call meetings of community residents to plan an attack on crime. Sometimes they may even band together in a civilian police patrol to carry out the functions that the police are not effectively performing for them. Since people who perceive high crime rates often hold the police responsible for crime prevention, we would expect such patrols to emerge where people feel very threatened by crime.

believe that the police cannot protect them, and think from past experience with community groups that the people themselves can solve the problem (Conklin, 1975:185).

The collective response in the victimization perspective is an attempt to exert social control. It is response to crime, but its emergence and the shape it may assume in varying circumstances is left unspecified. Since crime and fear atomize communities it is not at all clear when we should expect to see it develop, and why it emerges in some contexts and not in others. Equally troubling is the issue of sponsorship. Neither Conklin nor any of the other scholars working in this area (Washnis, 1976; Schneider and Schneider, 1977) discuss in any detail which groups or individuals, under what circumstances, are more or less likely to organize these collective responses. While we know something about who will participate in these activities once they are operational, very little has been suggested about which groups or individuals will emerge, either successfully or unsuccessfully, to lead these collective responses.

Within the social control perspective, Louis Wirth (1933) defines community as "group life when viewed from the standpoint of symbiosis":

A territorial base, distribution in space of men, institutions, and activities, close living together on the basis of kinship and organic interdependence, and a common life based upon the mutual correspondence of interest tend to characterize a community (Wirth, 1933:166).

As we have discussed previously, if the community's capacity to regulate itself is undermined by social disorganization, crime and the fear of crime increase. The key problem as Janowitz (1978) points out is "whether the processes of social control are able to maintain the social order while transformation and social change take place" (p. 30).

Thus the collective response is less of a mystery within the social control perspective. All urban communities exert social control through local institutions. The need to regulate behavior by socializing residents to local values and controlling those who violate those values is an integral part of community life.

The problem in realistic terms is one of achieving a new organization of life in these local deteriorated communities. As an objective, society can aim toward the development of a new and local spirit of collective welfare, expressed in an interest in child welfare, and social and physical improvement of the district (Burgess, Lohman and Shaw, 1937:22).

That "new and local spirit of collective welfare" must be instilled in those institutions which can directly affect the values of local residents.

Since for most group purposes it is impossible in the city to appeal individually to the large number of discrete and differentiated individuals, and since it is only through the organizations to which men belong that their interests and resources can be enlisted for a collective cause, it may be inferred that social control in the city should typically proceed through formally organized groups (Wirth, 1938:23).

Fear can be reduced if this new spirit can be infused into "formally organized groups." Finally, the leadership of these groups should logically come from the local citizenry.

In recognizing the existent cultural organization one can identify intelligence and ingenuity in the local population which can be enlisted for this purpose. This natural leadership which is the product of a distinctive social life can be strategically utilized in giving direction of a constructive kind to the cultural and recreational life of the community. Young men and women from the local community are in a position to express more exactly the needs and moods of the people (Burgess, Lohman and Shaw, 1937:23).

Social control, if it is not to become simple coercion, must be exerted through locally led community-based organizations. The secondary institutions of the state are not equipped to prevent either crime or fear. The prevention of crime was conceived as a task that would only be achieved <u>outside</u> of the formal agencies established to prevent crime. The placement of the prevention mechanism within community institutions led to a reformist politics premised on the inability of the criminal justice system to achieve its ends. If conventional value consensus is the key to reducing crime, then bureaucracies are by definition incapable of inculcating those values, because values are best transmitted by individuals with whom one has primary relations.

What we do observe—is that control that was formerly based on mores was replaced by control based on positive law. This change runs parallel to the movement by which secondary relationships have taken the place of primary relationships in the association of individuals in the city environment (Burgess, Lohman and Shaw, 1937:30).

The Chicago scholars and reformers also made several assumptions about the nature of the community they were reforming. Of critical importance among these was the assumption that the community was made up of potential offenders. Their approach to crime prevention was premised on reducing crime by preventing people from becoming criminals rather than preventing people from becoming victims. The assumption embedded in this approach was that if you do something about local social control you will have an impact on crime. That criminal activity was the consequence of the way the community was organized was an assumption which derived directly from a theory of urbanization.

Tannenbaum (1938) makes the point forcefully:

The criminal is not a symptom merely, he is a product, he is of the very bone and fiber of the community itself.... The distinction between the criminal and the community drawn in sharp contrast—a distinction between good and evil—is a false distinction and obscures the issue (Tannenbaum, 1938:25).

The result was a strategy for crime prevention which postulated that to build a community was to deter crime.

The preservation of a viable, secure, urban community is the concern of scholars working within both perspectives. The capacity of those community residents to exert social control is linked to the reduction of fear in both perspectives, but the victimization perspective describes the weakening of community solidarity as a consequence of crime and fear, while the social control perspective treats community as a context within which fear and crime emerge given a lack of social control.

The social control perspective offers an alternative explanation for the emergence of individual responses. Rather than adding to the isolation of individuals and the decline of community solidarity, individual responses may have a far more complex relationship to fear and community.

Individual responses have been separated into avoidance and mobilization behaviors (Furstenberg, 1972). By avoidance, Furstenberg means "strategies to isolate...(oneself) from exposure to victimization," (e.g., staying off the streets at night, locking doors, ignoring strangers, etc.). Mobilization techniques in contrast involved the protection of one's property and/or self through the purchase of a product (e.g., burglar alarms, window bars, flow lights, guns, etc.). In making this distinction Furstenberg noted that Biderman had found that citizens who "avoided" didn't necessarily "mobilize," and vice-versa,

thus suggesting the independence of these dimensions. In addition while those who avoid are more afraid than those who do not, mobilization and fear are not correlated positively (Furstenberg, 1972).

Applying Suttles' (1968) work within the social control perspective, we can offer another interpretation of avoidance behaviors. Avoidance behaviors can be seen as part of a broader social process by which people in slum areas (often high crime areas) define and constitute the "moral order." Given a situation of mutual distrust and suspicion, ghetto residents create a relatively secure, stable environment by restricting their movements and relationships in space. "Public morality" is constituted through the delineation of safe areas and persons,

...a positive role for conflict cannot be appreciated unless it is placed in a developmental sequence. At the outset, parents, and children in the Addams area do not prescribe a definite set of persons with whom the family are to associate. Instead, they voice a variety of proscriptions: 'Don't go out of the neighborhood;' 'Don't you get off the block;' 'Stay by the house, like I told you' (Suttles, 1968:228).

These "avoidance behaviors" then are the building blocks for the "ordered segmentation" which creates a sense of order and safety in slum areas.

From this perspective avoidance behaviors function as the building blocks of community, rather than community disintegrating activities. They have both the symbolic and practical value of delimiting and thereby manufacturing a liveable social order. Avoidance behavior then is a common social practice, not explicitly as a reaction to victimization, but possibly more as an ordering phenomenon. This alternative explanation places avoidance behaviors in a general theory of ordering the environment rather than solely as a response to the perceived risk of victimization. Avoidance behaviors supply the building blocks for a liveable moral order, and this creates trust rather than distrust.

It does not follow from this discussion that <u>all</u> avoidance behaviors build community. Indeed, in areas where residents assume a safe rather than a dangerous environment, e.g., suburbs, avoidance behaviors may have the negative impact Conklin and Furstenberg suggest. The same activity can mean different things in different settings. But in urban areas it may be more useful to think of individual responses as a common ordering activity rather than a response to victimization.

Finally, the Suttles approach may provide an insight into the limited utilization of mobilization techniques. Furstenberg found that regardless of the fear level people did little to protect their homes. The finding was "puzzling" to him. But if avoidance behaviors are better understood as part of a construction of a moral order, rather than a reaction to crime, the discrepancy between the frequency with which avoidance and mobilization behaviors are employed is less startling, Mobilization behaviors may be explained more by social class (having the income to spend on protective devices) that by the anticipation of victimization or fear, and consequently their employment should not be considered a direct reaction to crime. Both perspectives do assume that communities which exert such control have less of a crime and fear problem than communities which do not. There is some evidence (Maccoby et al., 1958; Clinard and Abbott, 1976) that communities which have the capacity to exert informal social control have less of a crime problem than areas which do not have that capacity. However, the relationship between informal social control and collective responses is based more on theoretical considerations than empirical findings. In both perspectives the collective response is an intentional intervention to construct "formally" informal social controls,

Both Furstenberg (1972) and DuBow and Podolefsky (1979) have done empirical studies on citizen participation in collective responses and both report that concern about crime is not associated positively with participation in collective responses to crime. Indeed, participators in collective responses do not differ significantly on a variety of crime-related attitudinal measures from their nonparticipating neighbors.

Podolefsky et al., (1979) in a separate study also demonstrate that the overwhelming majority of collective responses were oriented towards neighborhood improvement and programs for adolescents.

Theorists from both perspectives are pessimistic about the emergence and longevity of such efforts (Wirth, 1933; Conklin, 1975), although that gloom is based on very different kinds of analysis. Collective responses are the chief means of modifying the effects of crime on a community but these responses are difficult to sustain. The victimization perspective posits the isolation of citizens because of crime as the reason for this difficulty, while the social disorganization perspective identifies the general pressures of city life as working against their emergence. In both cases, collective responses helped to maintain local community as crime eroded community life, but the task is by no means automatic. The victimization perspective offers no sociological or psychological mechanism to explain the emergence of collective responses. The social control perspective posits the mechanism (local institutions preserving conventional standards) but remains skeptical of the success of the response given the pressures facing those disorganized communities (Wirth, 1933; Taub et al., 1978).

I will now turn to an analysis of the contemporary crime prevention strategies which were shaped by the idea of community implicit in the

victimization perspective. These policy developments, I will argue, are the direct result of the conceptual framework which I have described at length above.

Pressman and Wildavsky (1973) argue, in a general discussion of implementation, that all policies are premised on theoretical assumptions if only often implicitly so.

Policy implies theories. Whether stated explicitly or not, policies point to a chain of causation between mutual conditions and future consequences. If x, then y (Pressman and Wildavsky, 1973:XV).

Bardach (1977) in this same vein suggests that policies may not achieve their objectives regardless of how well they are implemented, if their underlying conceptualization is faulty.

Any policy or program implies an economic, and probably also a sociological, theory about the way the world works. If this theory is fundamentally incorrect, the policy will probably fail no matter how well it is implemented (Bardach, 1977:251).

The victimization perspective provides the theory which guides the policy initiative which is generically known as community crime prevention. Community crime prevention refers to an approach to crime and fear reduction which emphasizes manipulating two of the three factors necessary for a predatory victimization to occur. The three factors necessary for a victimization are a victim, an offender and a place for them to interact. If following Glaser (1971) one defines predatory victimization as illegal acts in which "someone definitely and intentionally takes or damages the person or property of another," then community crime prevention strategies aim at reducing the number of such victimizations by manipulating the potential victim and the potential place where that interaction takes place. Criminal activity can be deterred by

changing the opportunity structure for victimization rather than by directly attempting to change the offender. Since fear is hypothesized to be a consequence of victimization, then it too would be reduced as victimizations are reduced.

The victimization perspective shifts attention from the criminal to the act (victimization). Community crime prevention strategies focus on changing the behavior of potential victims and the physical environment in which the crimes are committed in an attempt to change the behavior of the criminal. Potential victims are "educated" to their potential risks and to the precautions they might take in order to avoid being victimized. Environmental design strategies attempt to change the behavior of criminals and victims by altering the physical surroundings in which they act.

While there have been projects which focus on either manipulating the behavior of potential victims or on environmental design, there has been a tendency recently to see these activities as mutually reinforcing and thus synthetic strategies which combine both are presently considered optimum. The recently completed Hartford Neighborhood Crime Prevention Program (1979) provides a rationale for this synthesis.

- The crime rate in a residential neighborhood is a product of the linkage between offender motivation and the opportunities provided by the residents, users, and environmental features of that neighborhood.
- The crime rate for a specific offense can be reduced by lessening the opportunities for that crime to occur.
- Opportunities can be reduced by:
 - a. Altering the physical aspects of buildings and streets to increase surveillance capabilities

and lessen target/victim vulnerability, to increase the neighborhood's attractiveness to residents, and to decrease its fear-producing features;

- b. Increasing citizen concerns about and involvement in crime prevention and the neighborhood in general; and
- c. Utilizing the police to support the above.
- 4. Opportunity-reducing activities will lead not only to a reduction in the crime rate but also to a reduction in fear of crime. The reduced crime and fear will mutually reinforce each other, leading to still further reductions in both (Fowler et al., 1979:2).

Fear of crime will decrease as victimizations decrease. Victimizations will decrease as reductions are made in the opportunities afforded to criminals by police, citizens and environments to commit crimes.

Fear reduction policy is a function of victimization reduction and that follows from modifications (both physical and interactional) in community life.

The Community Anti-Crime Program (CACP) offers a slightly different approach to utilizing the victimization perspective in a fear reduction strategy. Introduced in the summer of 1977, the CACP was authorized to spend thiry million dollars in direct grants to community organizations:

To assist community organizations, neighborhood groups and individual citizens in becoming actively involved in activities designed to prevent crime, reduce the fear of crime and improve the administration of justice (U.S. Department of Justice, 1977:58).

The program's guidelines also describe the problems that the grants are meant to alleviate:

The increasing social isolation of neighborhood residents, resulting from a fear of crime, which has destroyed the feelings of community necessary for social control (U.S. Department of Justice, 1977:58).

And the program guildlines are equally clear about what types of activities the program is meant to foster:

The mobilization of community and neighborhood residents into effective self-help organizations to conduct anticrime programs within their communities and neighborhoods. To encourage neighborhood anti-crime efforts that promote a greater sense of community and foster social controls over crime occurrence (U.S. Department of Justice, 1977: 58-1).

The program guidelines go even further in outlining the type of efforts which will be funded.

Priority will be given to programs and activities that are public minded in the sense that they are designed to promote a social or collective response to crime and the fear of crime at the neighborhood level in contrast to "private minded" efforts that deal only with the actions of citizens as individuals or those that result from the provision of services that in themselves do not contribute to the organization of the neighborhood (U.S. Department of Justice, 1977:58-3).

The legacy of the victimization perspective is apparent in the design of this program. Victimization experiences are assumed to create fear. Fear in turn generates isolation, because citizens react individually to the threat. Crime consequently disintegrates community. "Crime occurrences" and fear can be reduced if the citizens react collectively to that threat. The CACP guidelines are quite explicit about the program's goal:

To encourage neighborhood anti-crime efforts that promote a greater sense of community and foster social controls over crime occurrence (U.S. Department of Justice, 1977:58-1).

Crime events are seen as promoting the decline of community, and collective action to prevent those events is seen as the solution to the fear problem.

The Community Anti-Crime Program's approach to fear reduction emphasizes the importance of local groups of citizens acting collectively. Fear reduction from this perspective results from the political mobilization of local citizens. Formulating the problem this way circumvents the entire criminal justice system in favor of these geographically based, for the most part, citizen oriented, voluntary associations. This strategy alone has substantial impact on the distribution of resources (Levi and Lipsky, 1972). Citizen groups enter the policy arena, not as advisors in an ancillary position to the professionals but as the formulators and implementors of policy. The <u>authority</u> to administer public programs may be passed to local groups (Bell and Held, 1978). Greenstone and Peterson (1973) highlight the importance of this point in their discussion of OEO.

The content of the community action controversy involved a critical issue of political authority: namely, which interests should participate in and be deferred to in the course of framing public policy (Greenstone and Peterson, 1973:XVI).

Fear reduction is not simply a matter for the professional. It has an added political dimension, since it is necessary to mobilize community groups and local leaders who can articulate groups' interests and implement programs themselves (Greenstone and Peterson, 1973). The significance of this authority shift, from professionals to citizens, is substantial. This important shift in emphasis places community organizations in a central position, for it serves as both the sociological unit of analysis and the political agent of change. Knowledge of the community and legitimacy within it becomes essential to achieving fear reduction. A perspective which places both the problem and the solution

in a community context gives meaning to the emphasis on local leaders and dilutes local officials' claim to a professional monopoly on the knowledge necessary to reduce fear.

A fear reduction strategy which emphasizes community cohesion, local political development and a general revitalization of the neighborhood should of course be wary of the excesses and mistakes of OEO. One of that program's major design errors was to treat the urban context as a neutral environment in which poverty reduction strategies are implemented. As stated earlier, it was the movement to theories of motivation and service (as opposed to urban) analysis which undermined the theoretical integrity of OEO policy.

Earlier theories of crime and delinquency which relied on the social control perspective emphasized the importance of social change for understanding the distribution of crime and delinquency. Patterns of migration, local political development, the distribution of urban services, and the capacity for social control, all affect communities differentially. An intelligent fear prevention program must take into account the differential pressures of urban life on community, as well as the internal social control capacities of that community, if some success is to be achieved in reducing fear.

The social control perspective offers a fairly narrow range of policy initiatives. Almost all previous initiatives focus solely on reducing or preventing juvenile delinquency. From the original Chicago Area Projects through the President's Committee on Juvenile Delinquency, controlling local adolescents was the goal of various policy developments. For that same thirty year period, local initiative outside the

"control" of the traditional criminal justice bureaucracies was the sole means for achieving this end.

In view of the unsatisfactory results thus far achieved by such methods of treatment as probation, incarceration, and parole, experimentation in the treatment and prevention of delinquency seems not only highly desirable but necessary if society would discover a method for coping effectively with this important social problem (Burgess, Lohman and Shaw, 1937:32).

Since local initiative, voluntary participation and a delinquency focus are essential to the social control perspective, governmental activity at city, state or federal levels is only of supplementary importance in the pursuit of crime prevention. However if we return to the distinctly urban analysis of the Chicago School, one interesting, if unpursued, policy implication emerges. Molotch (1979) points out that if industrial and commerical activities weaken communities, citizen action through voluntary associations seems like a woefully inadequate response to the catalyst for disorganization. Whatever the limitations of the zonal analysis developed by Burgess and refined for the study of crime by Shaw and McKay, it clearly identifies the growth of commerce and industry as the major force for the disorganization of residential communities. Snodgrass (1976) suggests that Shaw and McKay deemphasized this insight in the Chicago Area Projects so as not to upset their board of directors which was made up largely of local business elites. Whatever the reasons for not pursuing this insight, the social disorganization of the city was created by the "natural" activities of business interests as they expanded their physical plants and factories.

Thus, an attempt to modify the forces of urban growth (and decay) by and for the interest of local communities might provide another route

towards crime prevention. Saul Alinsky, who worked with the Chicago Area Projects early in his career, developed the organizational techniques to pursue this route. Alinsky took the Chicago Area Projects model and expanded it beyond an interest in crime prevention and cooperative endeavors, towards a general community building model motored by a conflictual analysis of local problems. The notion that urban forces are the major factor in the decline of neighborhood stability has been developed recently by the National Commission on Neighborhood (1979). General policies which modify these forces may lead to decreased levels of crime and fear in urban communities. These forces manifest themselves in communities as signs of disorganization and to the extent that these conditions can be eliminated it would follow that fear would decline. This general improvement in housing and the "look" of the neighborhood should have an effect on fear levels. (There are indications that this is the case in the empirical analysis in Chapter Seven.) This approach offers intriguing possibilities for theoretical and empirical development. For example, Suttles' notion of provincialism, the link between "some control over land usage and population movements" and the capacity to interact with government agencies and private corporations, suggests how communities protect the local moral order from outside forces. Government policies which recognize the need to build this provincialism can indirectly reduce the fear of crime in those empowered communities. Indeed, as Taub et al. (1978) point out, those outside agencies require some community organization to negotiate with in order to achieve their ends within the community. Policies which enhance these linkages may reduce crime and fear because social control increases as a latent function of the community involvement.

CHAPTER FIVE

USING THE TWO PERSPECTIVES: AN EMPIRICAL EXPLORATION

I have traced the historical and analytic development of two perspectives which guide research into crime in general and fear of crime in particular. The social control perspective emphasizes the impact of city life on the capacity of urban communities to regulate themselves. Local institutions are the key factors in moderating the influence of city life on the values and behavior of local residents, particularly adolescents. If these institutions can maintain their regulating authority then crime and fear of crime will not become major problems. If community residents perceive that their communities are losing that capacity then fear of crime increases. This fear of crime should be greatest in those communities in which the signs of disorganization increase unchecked. It is the social change in the community rather than the absolute level of victimization which should account for the level of fear.

The victimization perspective establishes an entirely different conceptual framework for the study of crime and the fear of crime.

Having developed in the last fifteen years, the victimization perspective posits a theory of motivation to account for fear. Following the post World War II tradition of attempting to explain the occurrence of a social phenomenon in terms of the psychological factors which would lead an individual to participate in that kind of behavior, the perspective attempts to explain differences between individuals in their reported fear levels.

Victimization experiences are assumed to be the catalysts for fear.

The individual who experiences victimizations will be the individual who reports being fearful. Clearly, empirical research findings do not support this contention. There are far more fearful people than there are victims and often the least victimized individuals are the most fearful. Scholars have expanded and refined their notions of victimization and sharpened their measures of fear in response to these findings. The results have been more promising; but since the underlying assumptions remain the same I am pessimistic about the utility of the perspective.

In this section of the essay I will compare the efficacy of the two perspectives in accounting for the fear of crime in one city. I am informed in this undertaking by Kornhauser's (1978) intriguing question about theories of delinquency: is it necessary to specify the motivation for an experience (in this case fear) in order to explain its occurrence? The social control perspective answers this question in the negative while the victimization perspective answers it in the affirmative. By applying both perspectives to the same data sets I hope to shed some empirical light on the subject. Each perspective will be used to explain the same phenomenon, namely, the levels of fear in four Chicago communities. The reader will then have empirical evidence as well as theoretical reasons for answering Kornhauser's question, as well as for assessing the utility of the two approaches.

The first task in making this comparison was to operationalize the central concepts in each perspective. Then measures were developed.

Finally data were collected and analyzed. I am not claiming to have done a formal test of these two "theories," rather I apply the two

perspectives to the data. This is a modest effort. The measure I have of fear is a single item on a survey instrument and the communities in which the data were collected do not represent randomly selected urban communities. Thus the ability to generalize from these findings is limited. The strength of this particular design, that is, a comparative case study approach, is in the multiple methods (fieldwork, surveys and archival data) used to collect data and the variety of measures available for secondary analysis.

In this empirical investigation I am trying to account for the variations in the fear levels reported in the four neighborhoods. The dependent variable in both perspectives is the fear of crime. What varies between perspectives are the independent and intervening variables used to account for the levels of fear in the communities.

The four communities typify four different kinds of urban living situations: a black ghetto, a white ethnic working class community, a gentrified area and a poor area with mixed-ethnic populations.

Finally a randomly selected city-sample was surveyed for comparative purposes.

The victimization perspective would account for the differential levels of fear in each community in terms of the level of victimization in the area. Communities with more victimizations will have more fearful individuals. The relationship between levels of victimization and fear is linear and psychological. Victimization predicts fear because the individual assesses his own probability of victimization as increased (that is, his risk) and this cognitive assessment increases his fear. This assessment is made on the basis of how big a problem victimizations

are perceived to be. These victimizations do not have to be experienced directly. Conklin (1971) has suggested that the number of victimizations within the neighborhood is used by individuals to assess their risk, and that as crime rates rise so do fear levels. Thus neighborhood assessments of local victimizations may also predict fear. Indirect victimizations are difficult to measure. Official crime rates undoubtedly underestimate the objective number of victimizations (Biderman, 1967). Victimization surveys have rarely been analyzed below the city-level of aggregation and generally demonstrate how few people experience serious victimizations (Hindelang, Gottfredson and Garofalo, 1978). Still, fear is often seen as a reflection of the amount of crime "surrounding" the individual. The victimization perspective leads to the following hypothetical relationship:

The individual is the unit of analysis and the central empirical issue is the relationship between assessments of personal victimization, potential and fear. They should be positively related.

An investigation of fear of crime from the social control perspective should begin with the local community as the unit of analysis. For according to that perspective, it is the community which is affected (by becoming more fearful) as social control erodes.

Traditionally, this erosion is indicated by the signs of disorganization residents perceive in the community. Social control is mitigated to the extent that signs of disorganization grow. Measures of disorganization include a variety of local conditions and behaviors which signal the decline of the moral order. Fear is the predicted consequence of their emergence. When social control institutions can maintain the moral order, these signs of disorganization do not increase fear, although the signs may be perceived by residents.

A community's capacity for self-regulation is a function of, among other things, the "relatedness" of the people who live in that community. Residents who have a stake in the community will be less fearful than their unintegrated neighbors. There are two explanations for this. First, the signs of disorganization which appear in the community may have different meanings depending upon one's knowledge of the area. Male adolescents loitering in the area may be less of a sign of disorganization if those adolescents are known by name by adult residents. Their presence may be less threatening to the moral order if their place in it is known by residents. Suttles (1968) maintains they may even help constitute that order through their regulation of the "ordered segmentation" of ghettos.

Social integration may also effect fear more directly. The socially integrated are by definition home owners who report having a commitment to the area. As people with these characteristics cluster in communities, the potential for rapid social change and the concommitant signs of disorganization associated with that change are reduced. Thus, social control remains relatively unchallenged and fear is low.

The Communities

Data were collected to "test" the social control and victimization perspectives as part of the general data gathering activity of the Reactions to Crime Project at the Center for Urban Affairs, Northwestern University. The project was funded by the National Institute of Law Enforcement and Criminal Justice, Law Enforcement Assistance Administration, to undertake a long-term multi-methodological research endeavor. As part of that project data were collected in four communities in Chicago. Data collection techniques included a random-digit dialing telephone survey, fifteen months of fieldwork in each community and the use of archival data, e.g., crime data, census data, etc.

Communities have been defined in many ways. In this investigation we will follow Hunter and Suttles and define community as that piece of urban geography for which residents have a collective awareness which may be manifest minimally in symbolically shared names and boundaries (Hunter, 1974). The measure of fear used as the dependent variable is derived from one item on the telephone survey:

How safe do you feel, or would you feel, being out alone in your neighborhood at night--very safe, somewhat safe, somewhat unsafe, or very unsafe?

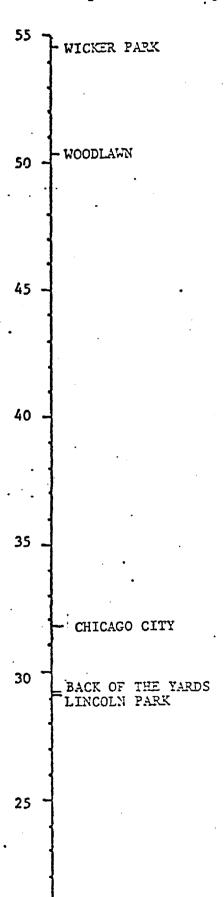
This item is a slight modification of the item most generally used in previous surveys to measure fear of crime (Cook and Cook, 1975).

Figure 1 shows that the four communities in our sample range from a high of 54 percent to a low of 29 percent of the residents reporting fear. The city sample was 32 percent fearful.

Figure 1

FEAR OF CRITE*

(Percent feel unsafe in neighborhood at night)



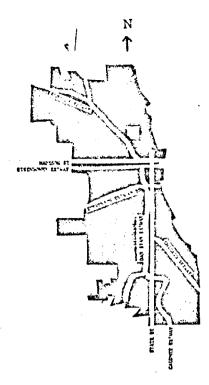
^{*}Missing values have
been excluded from
analysis.

I will now describe each community briefly, with special emphasis on specific crime problems in the areas. The neighborhood descriptions presented here are drawn largely from reports by trained fieldworkers. Interviews were conducted with residents, officials, and community leaders in each neighborhood. These interviews were designed to provide street-level knowledge of neighborhood characteristics and problems. In addition to the qualitative information from the field observations presented here, the demographic characteristics of each neighborhood are compared according to data provided by residents from the telephone survey. The result is a detailed portrait of the four neighborhoods selected.

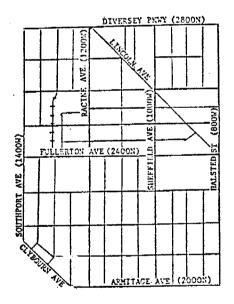
Lincoln Park

The two areas of Lincoln Park selected for study are the western neighborhoods of Wrightwood and Sheffield. Wrightwood is a middle-class area in the northwest section of Lincoln Park, which has a reputation of being more conservative than other parts of Lincoln Park. Many residents are older whites working in trades or middle management. A number of younger families with children left in the 1960's in search of suburban schools but a new influx of younger people is dedicated to the community and similar in economic status to the older, more established residents. Most residential structures are two- and three-flat buildings. There is very little new development and virtually no vacant property in the area.

Immediately south of Wrightwood is Sheffield, a community which has changed considerably in the last ten years. The area remains primarily residential, with most commercial activity being restricted to Halsted



Chicago LINCOLN PARK



and Armitage streets. Many townhouses have been renovated and a more affluent, professional class of people has moved in. In 1975 residents were successful in having Sheffield designated as a historic district and placed in the National Register of Historic Places.

Table 1 compares the four neighborhoods with respect to a set of social and economic indicators from our Chicago surveys. Compared to other neighborhoods, Lincoln Park has more residents in the upper income brackets, over \$20,000 per year. Employment is also higher in Lincoln Park than in other areas. Lincoln Park residents are young adults; almost 70 percent are between the ages of 21 and 40. There are few children in the area, about six for every ten households. Finally, the black population of Lincoln Park is smaller than the city-wide average. There are fewer blacks in Lincoln Park than in any of the communities.

Residents and police alike agree that Lincoln Park crime is not extremely serious. Two police districts serve the area. In the 18th district, reported crime declined 10 percent from 1975 to 1976, and the 19th showed lower rates than all but four other districts in the city. Day-time burglary is the most prevalent crime mentioned by residents since a great number of them work and their homes are empty during the day. Police say that auto theft is also a serious problem in the area. Other crime concerns mentioned by residents who were interviewed by fieldworkers were prostitution in nearby New Town and fights at taverns on Armitage. There is some concern over youths hanging out on the streets; residents opposed any game rooms opening up because they would attract youth. Policemen interviewed said there is nowhere for young people to go and that they are often called when

	Lincoln <u>Park</u>	Wicker Park	Woodlawn	Back of the Yards	Chicago
% Employed	71.8	54.8	44.4	62.2	65.5
% over \$20,000	29.3	12.8	16.4	14.8	22.5
% under \$10,000	22.6	32.4	29.2	19.6	24.0
Mean # Children	.63	1.28	.83	1.30	.93
% Black	8.1	14.7	95.9	21.0	39.6
Age %					
11-20	4.0	12.3	6.0	9.0	5.6
21-40	69.0	56.0	43.0	51.0	56.9
41-60	18.0	23.0	28.4	28.0	24.9
61+	8.0	8.0	22.0	12.0	12.6
% Spanish	12.8	32.1	0.0	16.6	7.5
% Own Homes	22.4	. 35.0	16.9	42.8	35.6
1970 Population ²	21,329	43,081	53,814	64,761	3,369,359
1975 Population 3	20,773	37,216	46,759	58,859	3,094,143
Δ %	-2.6	-13.6	-13.1	-9.1	-8.16

Source: Except as noted, all data are estimates from neighborhood surveys. See Appendix for details.

² Source: 1970 Census

³Source: Estimates from CAGIS (1978)

groups of kids are doing nothing more than standing around on corners. Residents are also fearful of blacks who live in the nearby Cabrini Green housing project. They opposed an A & P supermarket being built in their area because they thought it would attract black shoppers to the area to buy groceries. Their feeling was that if poor blacks came into the area to grocery shop, they would begin to hang around and rob Lincoln Park residents.

Low crime rates notwithstanding, Lincoln Park does contain pockets of criminal activity. Lincoln Park residents interviewed in the field identified the Clybourn corridor and Armitage Avenue, especially near the El station, as danger areas. Official police data on reported crime in this area support the perceptions of our respondents. compares the incidence of reported crime in Lincoln Park as a whole to those areas in the neighborhood singled out by residents, and to the city-wide rate. The first part of Table 2 shows the number of crimes in Lincoln Park and each of the danger areas identified by residents. These data are collected by the Chicago Police Department for each city block, and the rates in this table express the average number of offenses per block. In Lincoln Park as a whole, there were 24 rapes in 1976, for an average of .28 per block. This is not to imply that there was an average of .28 rapes per block in Lincoln Park. block-level means only are reported as a way of comparing the frequency of rape in different parts of the neighborhood. The block around the Armitage El stop, singled out as particularly dangerous, reported 2 rapes in 1976, 4 assaults, 4 robberies, and 19 burglaries. Each of these is above the neighborhood-wide average for these offenses. The

Table 2--Lincoln Park

	R	\PE	ASS/	ASSAULT		ROBBERY		ARY
	Меап	Total	Mean	Total	Mean	Total	Mean	Total.
Lincoln Park Area	.28	(24)	.99	(84)	1.64	(139)	9.66	(821)
Armitage 7 2000 N 800-1400W	.71	(5)	1.29	(9)	1.71	(12)	15.00	(105)
The El Stop 2000 N- 1000 W	2.00	(2)	4.00	(4)	4.00	(4)	19.00	(19)
Clybourn Corridor 2000N- 1200-1400W and 2100N-1300W	0	(0)	.75	(3)	.50	(2)	3.75	(15)

CRIME RATES PER 1000 POPULATION

	RAPE *		ASS	ASSAULT		ROBBERY		LARY
	Rate	Total	Rate	Total	Rate	Total	Rate	Total
City	.73	(1179)	3.58	(11070)	5.68	(17577)	12.50	(38661)
Lincoln Park	2.21	(24)	4.04	(84)	6.69	(139)	39.52	(821)

^{*}Rates per 1000 women

Data are collected by Chicago Police Department for each city block. These rates express the average number of offenses per block. This is not to imply that there was an average of, for example, .28 rapes per block. These means are reported only as a way of comparing the frequency of crime in different area.

perceptions of residents regarding the rest of Armitage Avenue also accurately reflected the relative incidence of crime. The average number of burglaries and rapes per block along this section of Armitage is substantially higher than the neighborhood as a whole, while the number of assaults is only slightly higher than that for the entire Lincoln Park area. Although the Clybourn corridor is seen to be a dangerous area by residents, the crime data suggest otherwise. Rates of assault, burglary, rape, and robbery are considerably lower for this four block area than for the entire Lincoln Park neighborhood. As we discuss more fully below, part of the fear residents express about this area may be attributable to the corridor's desolate surroundings: vacant lots and factories.

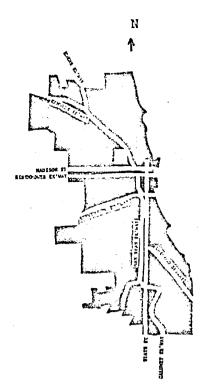
The second part of Table 2 compares crime rates per 1000 population for Lincoln Park to rates for the entire city. These data show that the rate for Lincoln Park is <u>higher</u> than that for the city, especially for burglary and rape. There are about 173 rapes per 1000 females in the entire city of Chicago, while the rate for Lincoln Park is 2.2 per 1000. The burglary rate in Lincoln Park is 39.5 per 1000 residents, compared to a city-wide rate of 12.5. Robberies and assaults are also slightly higher in Lincoln Park than in the entire city, although the differences are less pronounced.

Despite this relatively high rate of reported crime in Lincoln Park, the level of fear is low. Most residents interviewed in the field observations said they were not afraid to walk on their block or in their neighborhood at night. Many residents conveyed the general impression that a certain amount of inconvenience and crime are the price one pays for living in the city.

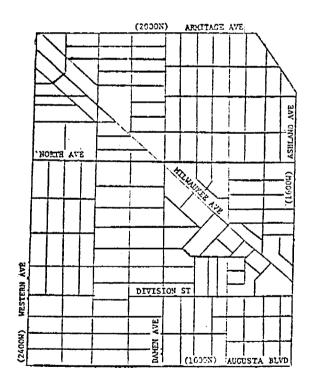
Wicker Park

Wicker Park extends from Augusta Boulevard on the south to West Armitage on the north; from Ashland on the east to Western Avenue on the western border of the neighborhood. This neighborhood is an area of lower working class families. A high percentage of families have incomes below the poverty level according to the 1970 U.S. Census, and in 1970, 12 percent of the families were receiving public assistance. Housing is primarily two- and three-flat apartments, but there are two senior citizens' high rises on Damen and Schiller. In an area known as "Old Wicker Park" homes described as mansions are being bought and renovated by young professionals. Population has changed greatly since the early 1960's, primarily due to an influx of Latino immigrants. There is some friction between Anglo and Spanish-speaking residents. Within the Spanish-speaking community there are reports of animosity between Puerto Rican and Mexican groups.

Table 1 shows that Wicker Park is considerably below Lincoln Park on most social and economic measures. Thirty-two percent of families in this neighborhood make less than \$10,000 per year, according to our survey. Compared to other neighborhoods Wicker Park has a higher proportion of its population below the age of 20. About 15 percent of residents are black, and Latinos made up about 32 percent of our sample in Wicker Park. Schools in this area have bi-lingual programs which are resented by many whites. The percentage of our respondents employed was below that for Lincoln Park and Back of the Yards.



Chicago WICKER PARK



The area is plagued by a great many problems, but in 1976 and 1977 arson was foremost among them. Fire Marshal records show that the area surrounding Wicker Park has far more fires than any other part of the city similar in size. Some residents estimate that in the past few years up to 1000 buildings have been lost due to fires, vandalism, and condemnations. Among residents there are competing explanations for the large number of fires. The most provocative theory offered by respondents in our field studies is that well-connected business people, city politicians, and insurance companies are conspiring to burn everything down for profit.

Several other crime problems are expressed by residents and police officials in Wicker Park. Many people mention the taverns on Division Street as locations for much of the area drug dealing. Puerto Ricans in the area are said to be the major consumers of the illicit drug traffic, and residents say dealers include local residents and pushers from the south side.

Various other areas in Wicker Park were singled out as dangerous or troublesome. Residents cite Damen and Milwaukee as an area where prostitution is centered. North Avenue and Pierce Avenue are often said to be dangerous between Damen and Western. Problems mentioned in these areas are drugs, robbery, and purse snatching. Senior citizens mention that the danger of robbery is particularly great between their high rises on Schiller and the Jewel (a supermarket) a few blocks north on Damen. North Wicker Park, from North Avenue to Armitage, is considered to be much safer. This is a high income area with fewer bars and other hazards.

These concerns are borne out by the crime data presented in Table 3.

The average number of all crime types per block is lower in North Wicker

Table 3--Wicker Park

BLOCK MEANS FOR NEIGHBORHOOD AND DANGER ZONES

(Mean Number of Offenses Per Block 1)

		RA	PE	ASSAI	ASSAULT		ROBBERY		ARY
	• •	Mean	Total	Mean	Total	Mean	Total	Mean	Tota1
	Wicker Park	.20	(20)	2.83	(280)	4.71	(466)	9.17	(908)
	South Wicker	.21	(13)	3.78	(238)	6.24	(393)	10.73	(676)
	North Wicker	.19	(7)	1.17	(42)	2.03	(73)	6.44	(232)
•	Division 1200N from 1600-2400W	.33	(3)	6.67	(60)	9.89	(89)	19.00	(171)
CHANK	Damen & Milwaukee	.25	(1)	4.50	(18)	13.00	(52)	10.00	(40)
DANGER AN	North & Pierce between Damen & Western	.30	(3)	4.40	(44)	11.50	(115)	14.70	(147)
	Schiller St. -1400N 1600-2200W	.29	(2)	2.29	(16)	5.14	(36)	7.86	(55)

¹See note to table 2.

CRIME RATES PER 1000 POPULATION

	RAPE		ASSAULT		ROBBERY		BURGLARY	
	Rate	Total	Rate	Total	Rate	Total	Rate	Total
City	. 73	(1179)	3.58	(11070)	5.68	(17377)	1.2.50	(38661)
Wicker Park	1.01	(20)	7.52	(280)	12.52	(466)	24. 40	(908)

^{*}Rates per 1000 women

Park than in the southern part of the neighborhood. Of the danger spots, Damen and Milwaukee, and the area along North and Pierce from Damen to Western stand out as areas with a high frequency of robbery. These two areas, together with Division Street are also higher than the neighborhood average for burglary. Together, the three areas account for 40 percent of all burglaries in Wicker Park, and 55 percent of the robberies. Schiller Street does not compare with the other danger areas, even though it was singled out as an area plagued by robbery. The six blocks along Schiller were only slightly higher than the average frequency per block in the neighborhood. Compared to all of South Wicker Park, where this section of Schiller is located, robberies are less common. In general, however, residents are able to isolate the problem areas in their neighborhood. Their perceptions of the frequency of serious crime are quite accurate.

The bottom of Table 3 compares the neighborhood offense rate per 1000 population for Wicker Park to the city-wide average. The number of reported rapes per thousand women in Wicker Park is slightly higher than that for the city. There are considerable differences between the neighborhood and city-wide rates for assault, robbery, and burglary, however: Wicker Park residents are about twice as likely to be victims of these crimes compared to the city-wide average rate of reported crime.

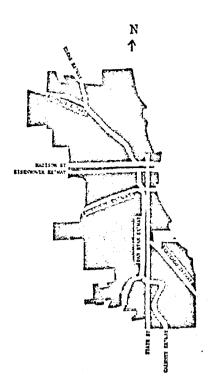
Although we are not able to assess the perceptions of Wicker Park residents with respect to their principal concern, arson, the available data suggest that respondents' expressed fears regarding other crime problems are largely justified. People indicate that they have restricted their activities because of the crime problem, and that they try to avoid

specific danger spots. There are other crime-related problems, such as gang wars and drug traffic, which we are not able to assess with our crime data but which fieldworkers learned were areas of concern for neighborhood residents. Relationships between residents and the police from the 13th and 14th districts which serve the area are strained at best. Residents told our field observers that the district commanders and other police administrators servicing the area are insensitive to the special problems of the Wicker Park community. There are conflicts between residents and other government institutions active in the neighborhood. These factors combine to increase the feelings of isolation among many residents.

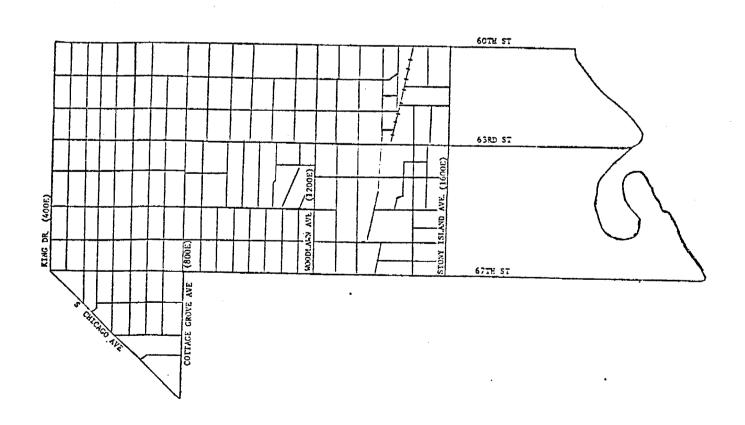
Woodlawn

The definition of the Woodlawn Neighborhood corresponds exactly with the Woodlawn community area boundary, Chicago community area #42. The neighborhood extends from Lake Michigan on the east to Martin Luther King, Jr. Drive on the west, and from 59th on the north to 67th on the south. Woodlawn is a lower class area, described by some area residents as a ghetto slum. All residents interviewed by the field staff distinguished East and West Woodlawn. People reported that West Woodlawn had more home owners who kept up their property, while East Woodlawn was consistently described as run down and deteriorated.

The demographic data on respondents in our neighborhood survey shown in Table 1 indicate that the population of Woodlawn is about 96 percent black, and that the neighborhood suffers from a high rate of unemployment.



Chicago WOODLAWN



About 29 percent of the families had a household income of less than \$10,000 per year, but 16 percent reported incomes in excess of \$20,000. Families in this neighborhood are predominantly renters: only about 17 percent of our survey respondents said they owned their own homes. Woodlawn had a higher proportion of respondents over age 60 than the other neighborhoods and, except for Lincoln Park, Woodlawn had the smallest proportion of residents between the ages of 11 and 20. The 1970 census reported population in Woodlawn at 53,814; the 1975 estimates from CAGIS show the area population to be 46,759 for a net drop of 13.1 percent.

Throughout the rest of the city Woodlawn has developed a reputation as a dangerous, high crime area, but most residents interviewed in the field do not necessarily consider the area to be so bad. Much of Woodlawn's reputation seems to have developed as a result of gang warfare in the 1960's. This problem has largely diminished according to area residents. The fires that plagued the area in the past have also subsided to a degree. The crimes which residents regularly reported were robbery, purse snatching, mugging, and burglary. Most people were aware that these types of crimes were far more common than the more highly publicized offenses of murder and rape.

Many residents consider Woodlawn no more crime ridden than other parts of Chicago, but compared to the rest of the city official police data show that this neighborhood ranks higher than the city-wide average for all four offense types. Table 4 shows that the number of rapes and robberies per 1000 population is considerably higher in Woodlawn than in the city as a whole. The differences between the neighborhood and the city-wide rate for burglary and assault are not quite as great, but the

Table 4--Woodlawn

BLOCK MEANS FOR NEIGHBORHOOD AND DANGER ZONES (Mean Number of Offenses Per Block 1)

	R	APE	ASSAULT		ROBBERY		BURGLARY	
	Mean	Total	Mean	Total	Mean	Total	Mean	Total
Woodlawn	0.43	(66)	2.68	(412)	6.57	(1012)	8.51	(1310)
	ſ 						<u> </u>	
King Dr. Area	1.29	(9)	5.86	(41)	20.86	(146)	20.14	(141)
63rd St. Area	0.66	(23)	4.14	(145)	12.29	(430)	9.74	(341)
62nd-63rd St.	0.40	(6)	4.00	(60)	9.27	(139)	10.93	(164)
63rd-64th St.	0.85	(17)	4.25	(85)	14.55	(291)	8.85	(177)
	King Dr. Area 63rd St. Area 62nd-63rd St.	Mean 0.43 King Dr. Area 1.29 63rd St. Area 0.66 62nd-63rd St. 0.40	Woodlawn 0.43 (66) King Dr. Area 1.29 (9) 63rd St. Area 0.66 (23) 62nd-63rd St. 0.40 (6)	Mean Total Mean 0.43 (66) 2.68 King Dr. Area 1.29 (9) 5.86 63rd St. Area 0.66 (23) 4.14 62nd-63rd St. 0.40 (6) 4.00	Mean Total Mean Total 0.43 (66) 2.68 (412) King Dr. Area 1.29 (9) 5.86 (41) 63rd St. Area 0.66 (23) 4.14 (145) 62nd-63rd St. 0.40 (6) 4.00 (60)	Mean Total Mean Total Mean Woodlawn 0.43 (66) 2.68 (412) 6.57 King Dr. Area 1.29 (9) 5.86 (41) 20.86 63rd St. Area 0.66 (23) 4.14 (145) 12.29 62nd-63rd St. 0.40 (6) 4.00 (60) 9.27	Mean Total Mean Total Mean Total Woodlawn 0.43 (66) 2.68 (412) 6.57 (1012) King Dr. Area 1.29 (9) 5.86 (41) 20.86 (146) 63rd St. Area 0.66 (23) 4.14 (145) 12.29 (430) 62nd-63rd St. 0.40 (6) 4.00 (60) 9.27 (139)	Mean Total Mean Total Mean Total Mean Total Mean . Woodlawn 0.43 (66) 2.68 (412) 6.57 (1012) 8.51 . King Dr. Area 1.29 (9) 5.86 (41) 20.86 (146) 20.14 63rd St. Area 0.66 (23) 4.14 (145) 12.29 (430) 9.74 62nd-63rd St. 0.40 (6) 4.00 (60) 9.27 (139) 10.93

¹See note to table 2.

CRIME TOTALS AT HIGH DANGER SPOTS

Inter	sect	ií	n	Are	ea,
King	Dr.	&	63	Brd	St.
6300-	-6400	s,	, 8	300E	Ξ
6200-	-6400	s,	,]	300)E

RAPE	ASSAULT	ROBBERY	BURGLARY
		73	20
4	9	71	38
3	16	61	24
4	26	49	51

CRIME TOTALS AT SAFE SPOTS

WOODLAWN GARDENS 6230 S. Cottage Gr. (6200S-700E Block)

JACKSON PARK TERR. 6040 S. Harper (6000S-1400E)

_	RAPE	ASSAULTS	ROBBERY	BURGLARY
	1	1	7	9 .
	0	0	0	2

CRIME RATES PER 1000 POPULATION

	RAPE *		ASSAULT		ROBBERY		BURGLARY	
	Rate	Total	Rate Total		Rate	Total	Rate	Total
City	.73	(1179)	3.58	(11070)	5.68	(17577)	12.50	(38661)
Woodlawn	2.68	(66)	8.81	(412)	21.64	(1012)	28.02	(1310)

^{*}Rate per 1000 women

rate per thousand in Woodlawn is still about $2\frac{1}{2}$ times that for the city of Chicago.

Respondents mentioned two sections of Woodlawn as especially dangerous or troublesome areas: 63rd Street from the Lake to King Drive, and all of King Drive between 59th and 67th.

People consistently expressed fear regarding 63rd Street. Most residents were afraid to visit the area, especially at night. All age groups interviewed by field observers noted serious problems on the street, especially muggings, robbery and purse snatching. Table 4 shows the average number of crimes per block for these danger areas and for the rest of Woodlawn. For the entire 63rd Street area, there was an average of .66 rapes per block compared to .43 per block for the entire neighborhood. Burglary and assault were also slightly higher along this street, and the mean number of robberies was almost twice that for the neighborhood. Table 4 shows that with the exception of burglary the average number of offenses per block was higher on the blocks beginning on the south side of 63rd Street. There was an average of 14½ robberies per block on the south side of this street, compared to a little over 9 per block on the north side.

King Drive was also mentioned as a place to avoid. The average number of offenses per block along this street is higher than along 63rd. There was an average of over 1 rape per block on this stretch of King Drive compared to less than .5 per block for all of Woodlawn, and .85 for the worst part of 63rd Street. Assaults were only slightly more common, while robberies occurred on King Drive at the rate of almost 21 per block, close to three times the neighborhood average. Together King

Drive and 63rd Street accounted for 48.5 percent of the rapes in Woodlawn, 45 percent of assaults, 57 percent of robberies, and 37 percent of burglaries in the entire neighborhood. These data suggest that residents accurately perceive specific areas in Woodlawn where crime problems are especially severe.

Parts of Woodlawn consistently reported as being particularly safe were the areas surrounding the housing projects of The Woodlawn Organization (TWO). The average number of crimes per block in the immediate areas around Woodlawn Gardens (6230 S. Cottage Grove) and Jackson Park Terrace (6040 S. Harper) supports the notion that crimes are less frequent here than in the rest of Woodlawn. In all of 1976 there were no rapes, no robberies, and no assaults in the Jackson Park Terrace area. These safety islands seem to be confined to relatively small radii since one block to the south and east of Woodlawn Gardens lies another concentration of high crime blocks.

In general people in Woodlawn believe that although <u>some</u> streets are dangerous, the areas surrounding their own homes are relatively safe. Since a large proportion of crime in Woodlawn appears to be concentrated along two streets, residents are probably correct in believing that crime may be high in some places in Woodlawn, but not on their block.

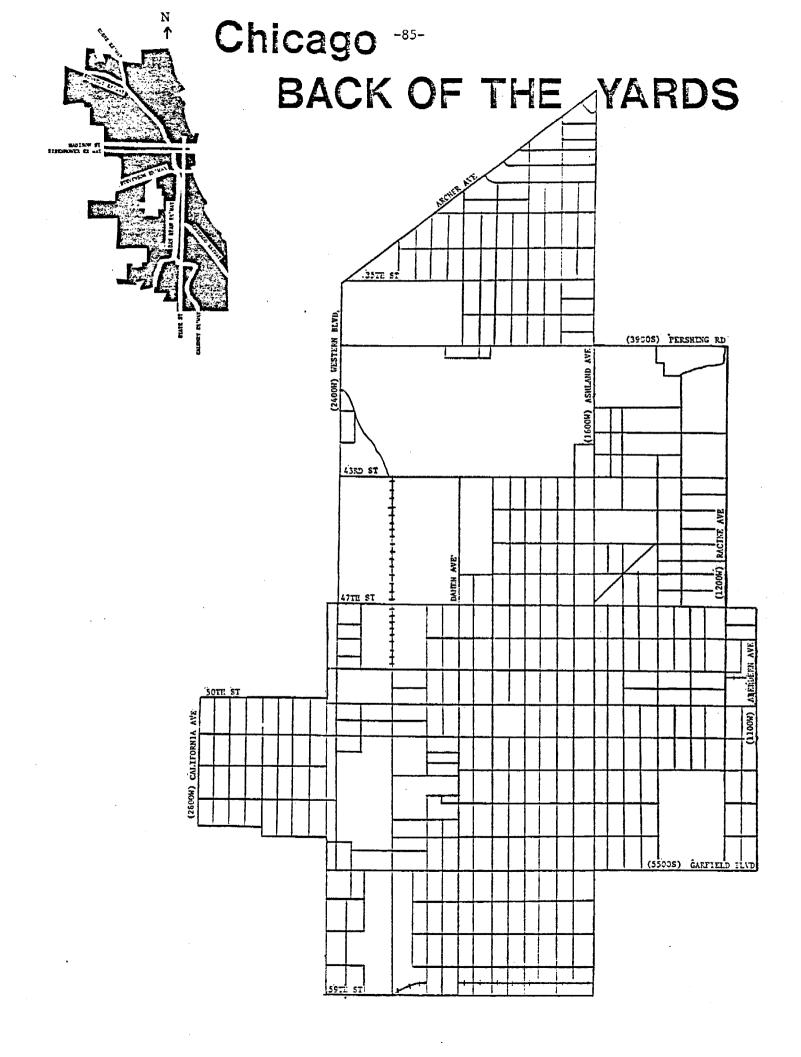
One final characteristic of individual reactions to crime in Woodlawn was the reported tendency of residents to say they would not report
crimes to the police. Police interviewed by field observers agreed that
many residents often did not report crimes. People said that crimes or
incidents they had witnessed were not the business of the police.
Regarding the low level of expressed fear, this might indicate that Woodlawn residents do not fear crime because they feel they can handle it

themselves. On the other hand, if residents in Woodlawn report crime less often, then the actual incidence of criminal offenses is even higher, and the disparity between fear and actual crime should be even greater.

Back of the Yards

The Back of the Yards neighborhood occupies a large area on the city's near southwest side. The neighborhood is defined by the following boundaries: Archer Avenue from Ashland to Western on the north, and Garfield Avenue from Aberdeen to Western on the south. In addition there is a small portion from Garfield to 59th between Ashland and Western, and another small area from Western to California between 50th and 54th. This is a stable, working class area including a mix of ethnic white, Spanish-speaking and black families.

Among respondents to our survey, about 17 percent were Latino, and 21 percent were black (see Table 1). Latinos get along well in the community and are accepted into the stable white lifestyle. Most black families in Back of the Yards live in the southern parts of the neighborhood, below 55th Street. Compared to the other three neighborhoods examined here, Back of the Yards has the highest percentage of home owners. Field observers report that most families plan to stay in the neighborhood. Families in Back of the Yards have a larger proportion of children between the ages of 11 and 20 than the other neighborhoods except for Wicker Park. Although it is a middle income working class area, Table 1 shows that this neighborhood had the lowest proportion of families earning less than \$10,000 annually. Relative to other



neighborhoods a high proportion of respondents in Back of the Yards was employed, second only to Lincoln Park. Population has declined somewhat since the 1970 level of 64,761. CAGIS 1975 estimates place the neighborhood population at just under 59,000, a decline of 9.1 percent.

Residents of the neighborhood are somewhat fatalistic about crime.

They say that although they are aware of crime, there is not much to be done about it—it exists everywhere in all cities and suburbs. Residents therefore accept crime as a fact of life in the city. There is a low level of fear expressed by people in the neighborhood.

This low-key treatment of crime as a local problem is encouraged by one of the most important influences in the area, the Back of the Yards Council (BOYC). The Council's weekly newspaper does not cover crime news. It is the stated policy of Joe Meegan, executive director of BOYC, that stories about neighborhood crime will not be covered in order to keep area news positive, to reduce residents' fear of crime, and to promote neighborhood stability. The Council also supports a program to reduce violence on television by boycotting the products of companies which sponsor violent shows.

Compared to other neighborhoods, there are no serious crime problems in Back of the Yards. The Juvenile Welfare Committee of BOYC conducts a series of programs directed at neighborhood youth in an effort to reduce truancy, runaways, and vandalism. This committee does not handle serious cases, referring them to juvenile courts. The only other perceptions of crime problems discerned by field observers referred to shoplifting in area stores, primarily at the South Ashland Avenue Goldblatt's store. People distinguish between the majority of shoplifters

who are juveniles, and adults who are generally believed to be professional thieves from areas outside the Back of the Yards neighborhood.

The BOYC conducts a program against shoplifting by encouraging store owners to prosecute offenders.

Because the block level crime reports from the Chicago Police Department are available only for serious crimes, we were unable to assess the reports of Back of the Yards residents concerning the problems of vandalism and shoplifting. For the sake of comparison with other parts of the neighborhood we did isolate data for our four serious offenses in the area surrounding the Goldblatt's store. These are shown in Table There were no rapes in the area near the store in 1976, and only 12 burglaries. The average number of assaults and robberies per block around Goldblatt's was somewhat higher than the corresponding average for the entire neighborhood. It is, however, difficult to conclude that there are relatively more robberies and assaults in this area. This is because we have no way of comparing the population at risk, the number of people in the area, for Goldblatt's and the entire neighborhood. It seems likely, however, that the concentration of people in space and time around the large department store would reduce the number of assaults and robberies per person to a level considerably below that for the neighborhood as a whole.

The overall rate of serious crime in Back of the Yards is comparable to that for the city as a whole. There were about .73 rapes per 1000 women throughout Chicago, and about .8 per 1000 for Back of the Yards.

The rate of assaults was slightly lower here than in the whole city, while robberies and burglaries were slightly more common in Back of the Yards than in all of Chicago.

Table 5--Back of the Yards BLOCK MEANS FOR NEIGHBORHOOD AND DANGER ZONES (Mean Number of Offenses Per Block 1)

		RAPE		ASSAULT		ROBBERY		CLARY
BOY Area	Mean	Total (26)	Mean .54	Total (178)	Mean 1.07	Total (353)	Mean 2.78	Total (915)
47th-Ashland (4600-4700S, 1500-1600W)	.00	(0)	1.75	(7)	8.25	(33)	3.00	(12)

¹See note to table 2.

CRIME RATES PER 1000 POPULATION

	<u>,</u>	rape*	ASSA	ASSAULT		ROBBERY		LARY
	Rate	Total	Rate	Total	Rate	Total	Rate	Total
City	.73	(1179)	3.58	(11070)	5.68	(17577)	12.50	(38661)
воч	.84	(26)	3.02	(178)	6.00	(353)	15.55	(915)

^{*}Rate per 1000 women

These findings suggest that residents and business people in the area are accurate in their perceptions of crime. They do not see it as a big problem relative to the city as a whole, and are not inclined to take drastic steps to protect themselves from crime. A few residents told field observers that they don't sit out on their porches anymore, and some shop owners said that they close their stores earlier than they used to. In general residents don't discuss crime problems, and express tolerance toward the existing level of crime.

This section has provided brief descriptions of the demographic characteristics of our four neighborhoods, and has presented an overview of the extent of serious crime in each area. The field observations have enabled us to compare neighborhood residents' perceptions about general crime problems in their area with official police reports on frequency of serious crime. In most cases, it appears that residents in our four neighborhoods have a reasonably accurate picture of the crime problem which they face. Informants who talked with our observers in the field accurately single out more dangerous areas in their neighborhoods.

This is important for the discussion of the different perspectives. The consistency with which informants and crime statistics identify the same trouble spots can be interpreted to support the rationality of citizen assessments of risk (these assessments were not systematically collected). If citizen perceptions of dangerous areas are substantiated by official records, then it can be said with some confidence that citizens can differentiate between high risk locations within their environments. I will return to this point in the discussion of the victimization perspective.

At this point it suffices to say that the four Chicago communities represent very different kinds of urban experiences. The two "high fear" communities, Wicker Park and Woodlawn, have poor non-white residential populations and show a good deal of urban decay. The two low fear communities, Back of the Yards and Lincoln Park, are mostly white, more affluent communities. In all four areas residents are capable of discerning the high risk parts of their environments.

I now turn my attention to describing the crime problems in each community.

CHAPTER SIX

CRIME IN THE COMMUNITIES AND CITIZEN PERCEPTIONS

official police statistics discussed in the previous chapter gave an approximate picture of the incidence of serious crime in each of the four neighborhoods. These are crimes reported to police and recorded by the police as index offenses. Despite the well-known problems with using data on reported crime, official police statistics provide the most accurate estimates of the magnitude of crime to which residents in the neighborhoods are exposed. Victimization surveys may provide better estimates of the actual incidence of crime, but the number of crimes reported to the police is a better measure of the salient (the victimizations which concern residents) crime people hear about, and therefore a better base from which to compare the incidence of crime to people's fear of crime.

I have taken two distinct approaches to measuring people's perceptions of crime in our telephone surveys. For each of four offense types, burglary, robbery, assault, and rape and sexual assault, we asked respondents to indicate whether they thought it was a big problem, some problem or no problem at all in their neighborhood. Note that this question asks people to assess the crime problem based on their own criteria. It asks about people's general feelings concerning crime as an issue or a problem in their neighborhood. The second set of indicators is more specific. People are asked to estimate, on a scale from one to ten, the likelihood that they would become a victim of each of the four crime types.

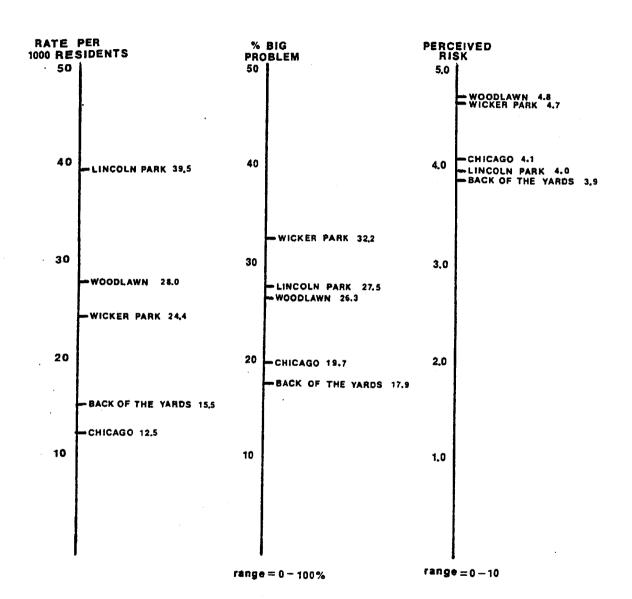
This approach to analyzing perceptions of crime assumes that these three concepts—the actual incidence of crime, concern about crime, and perceived chance of becoming a victim—are linked directly to reported fear levels. This assumes that people's perceived risk of crime and official crime rates are not directly linked, but are both related to an intervening variable, general concern about the crime problem. In other words, people make the judgement that a certain level of crime is a problem and this judgement influences their assessment of risk. This intervening step makes explicit the connection between the official crime rate and the individual's assessment of risk.

In the following analyses the correspondence between these three concepts for our selected neighborhoods is examined. The offense type figures compare the actual rates of reported crime, expressed concern, and average estimates of perceived risk for each neighborhood. If perceptions of crime are linked to aggregate crime rates in an individual's immediate surroundings, then concern and perceived risk should be higher for those neighborhoods with higher rates of reported crime.

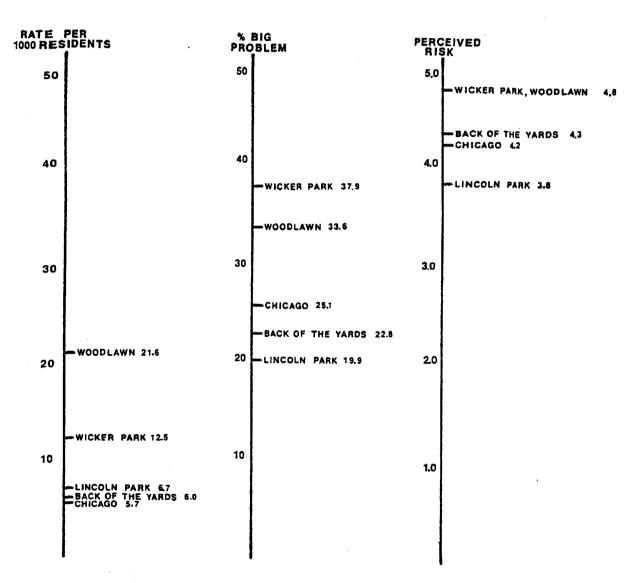
Figures 2 through 5 show scales for each of the three indicators for each crime type. Figure 2 compares the incidence of burglary as reported in official Chicago Police statistics, the proportion of residents who think that burglary is a big problem, and the mean perception of risk of becoming a burglary victim in each neighborhood. The crime data are the same aggregations of block-level statistics presented above.

Between 18 and 32 percent of residents in each neighborhood thought burglary was a big problem. The ordering of the neighborhoods on this variable is not entirely consistent with the reported crime rates. People in Wicker Park expressed more concern over burglary than respondents in other areas despite the fact that the official burglary rate in Wicker

BURGLARY



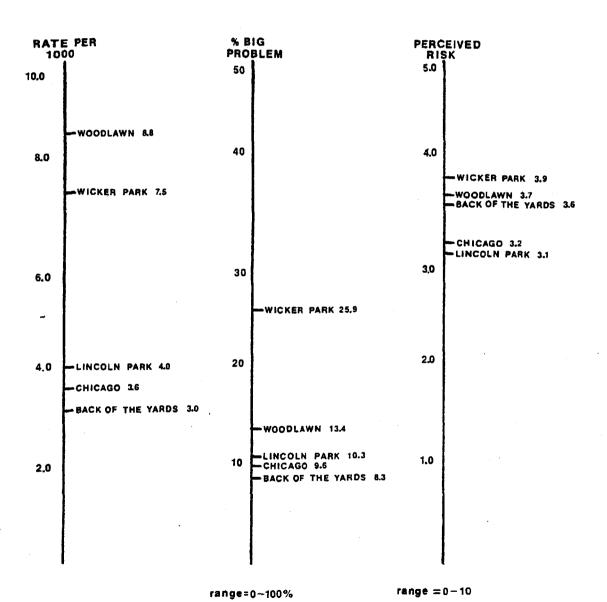
ROBBERY



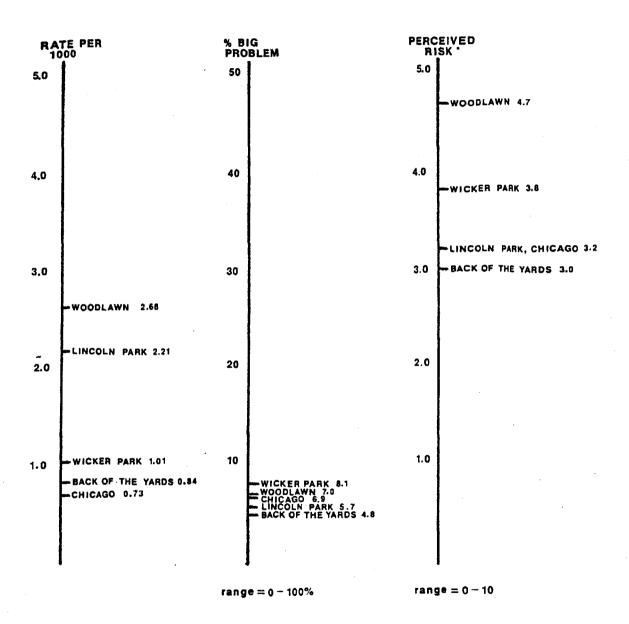
range = 0 - 100%

range = 0 - 10

ASSAULT



RAPE AND SEXUAL ASSAULT



'women respondents only

Park was below that for both Woodlawn and Lincoln Park. Lincoln Park residents were somewhat likely to express concern over burglary than people in Woodlawn, while only 18 percent of Back of the Yards residents saw burglary as a big problem. The proportion of city-wide residents in this category was 19.7 percent, so residents in three of our neighborhoods showed more concern over burglary than people living throughout the city. Overall, except for the high concern in Wicker Park, the neighborhoods are ordered on this variable relative to their objective burglary rates.

The perceived risk scale shows that respondents in Wicker Park and Woodlawn think their chances of being burglarized are higher than those in either Lincoln Park or Back of the Yards. This ordering does not follow that for the official crime statistics on burglary, nor for the way the neighborhoods rank on concern. Back of the Yards respondents estimate their risk of burglary as slightly lower than residents of Lincoln This accurately reflects the relative position of Back of the Park. Yards on the scales measuring burglary rate and concern about burglary. The absolute values of estimated risk on all neighborhoods seem rather high. Most people place their chances of being burglarized at just below the mid-point on the scale. But this does not necessarily mean people see their chance of being victimized as close to 50-50, only that they feel they are about as likely as most people to have their homes burglarized. The mean scores of Woodlawn and Wicker Park residents are above that for the city-wide sample, and above that of the other neighborhoods. Collectively, respondents living in Wicker Park and Woodlawn estimate their chances of being burglary victims as somewhat higher than people in other neighborhoods in our sample and in other parts of the city.

Figure 3 compares official robbery rates with concern about robbery and perceived risk of becoming a robbery victim. The robbery rate per 1000 residents in Woodlawn is almost twice that for the second highest neighborhood. Lincoln Park and Back of the Yards are well below the two higher areas, but both are slightly above the city-wide rate of 5.7 robberies per 1000. The large difference between Woodlawn and Wicker Park is somewhat surprising. In Woodlawn there are 21.6 robberies per 1000 residents; put another way this means that robbery victims in Woodlawn in 1976 equaled about 2 percent of the area's population. There were almost 13 robberies per 1000 in Wicker Park.

Woodlawn and Wicker Park residents expressed greater concern about the problem of robbery than people living in Back of the Yards and Lincoln Park. Once again Wicker Park residents are most likely to think that robbery is a big problem although the official incident reports show that Wicker Park is a distant second to Woodlawn in the incidence of robbery. There are almost twice as many robberies per capita in Woodlawn than in the Wicker Park area, yet almost 38 percent of respondents in the latter neighborhood thought robbery was a big problem, while less than 34 percent of those in Woodlawn expressed equivalent concern. Lincoln Park and Back of the Yards are both slightly below the city-wide average score for concern, even though they are slightly above the city-wide rate of robberies per capita.

There are also some inconsistencies regarding estimates of risk for robbery. The Woodlawn neighborhood had many more robberies than Wicker Park, but residents in the two neighborhoods saw their chances of being robbed as equal, at 4.8, just below the mid-point. This is only slightly

above the estimates for Back of the Yards and Lincoln Park despite
the fact that these latter two areas are well below Wicker Park and Woodlawn in the incidence of robbery. It is interesting to note that the
perceived risk for robbery in all neighborhoods is about the same as
that for burglary. Even though burglary is much more common, people
estimate their chances of becoming victims as about equal for the two
offense types. The indicator of concern about robbery shows that more
people in each neighborhood see it as a bigger problem than the more common
offense of burglary. This is consistent with previous research which
has found that robbery, as a personal crime involving confrontation and
often violence between offender and victim, is more likely to generate
fear in victims and people who hear of the robberies (Skogan, 1977;
President's Commission, 1967).

Aggravated assault is even less common than robbery. Figure 4 compares the rate of assaults per 1000 residents to our measures of concern and perceived risk. Once again Woodlawn and Wicker Park cluster together as high crime areas, well above Lincoln Park and Back of the Yards. There were 8.8 serious assaults per 1000 residents in Woodlawn and 7.5 per 1000 in Wicker Park. Lincoln Park was slightly above the city-wide assault rate with 4 per 1000 residents, while Back of the Yards reported a total of 178 serious assaults or about 3 per 1000 residents.

Relatively few people in these neighborhoods see assault as a big problem. About twice as many people in Wicker Park express concern over assault as those living in Woodlawn, the runner-up on the concern scale. This is despite the fact that there are more reported assaults per capita in Woodlawn, and that residents of the two areas have about equal estimates of their chances of being assaulted. More than one-quarter of the

people in Wicker Park see assault as a big problem, while the rate of reported assault in that area is 7.52 per 1000, just under the rate for Woodlawn. Less than ten percent of the people in Back of the Yards and the city of Chicago see assault as a big problem, and this corresponds with objective and perceived risk of assault in those areas. Similarly, people in Lincoln Park are not too concerned about assaults. Although they are much more likely to become assault victims, Woodlawn residents express only slightly more concern about assault than people in the city and in the two neighborhoods with lower official assault rates.

The four neighborhoods cluster very closely in their mean estimates of risk. Residents estimate their chances of being assaulted at between 3.1 and 3.9 on the ten-point perceived risk scale. Wicker Park residents see assault as most probable, followed closely by people living in Woodlawn and Back of the Yards. People in Lincoln Park are somewhat below those in other areas in their estimate of risk, and just below the citywide estimate of 3.2. There is considerable variation among the neighborhoods in the incidence of assault, but there is not much difference in people's perceived risk of being beaten up. The perceived risk of assault for all neighborhoods is slightly below that for robbery. This accuracy reflects relative frequency of the two offense types.

Figure 5 compares the four neighborhoods with respect to their rates and perceptions about rape and sexual assault. Rape is much less common than the other offense types we have discussed. Woodlawn and Lincoln Park show the most rapes per 1000 resident women, well above the rates for the other two neighborhoods and for the city as a whole.

The questions about perceived chance of victimization were asked of women only, while both males and females responded to the concern question.

Few respondents express much concern over rape and other sexual assaults. Between 4.8 and 8.1 percent of the residents in our neighborhoods saw these crimes as a big problem. This is lower than any other offense types we have examined here, and the difference between perceived risk and concern is greater for this offense than for any other. The low values for concern are consistent with the low probability of victimization, but they are at odds with women's perceived risk for this offense type. The four neighborhoods cluster very closely together in respondents' expression of concern. People in the city-wide sample express slightly more concern over sexual assault than those in Lincoln Park or Back of the Yards, and they estimate their chances of being sexually assaulted as about the same as respondents in Lincoln Park. Although this is the least common of the serious offenses examined here, women still estimate their chances of being victimized at a level close to that for other offenses. The variation across neighborhoods is most interesting. Women in Lincoln Park and Back of the Yards were considerably below those in Woodlawn and Wicker Park in estimating the likelihood of being the victim of a sexual assault, despite the fact that the rate was higher in Lincoln Park.

There are some regularities in the ordering of the neighborhoods across the four crime types. The Woodlawn area is consistently high in official crime rate, being second to Lincoln Park only in burglary.

Wicker Park is just below Woodlawn in all offenses except rape. Despite this consistent ordering for official crime rates, residents of Wicker

Park expressed more concern about all crime types than people living in other neighborhoods. The average perceived risk in Wicker Park was highest for assault, and either just below or equal to that for Woodlawn in the other offense types. The differences between Woodlawn and Wicker Park on the attitudinal items are not great, but they are consistent across scales. Residents of Lincoln Park estimate their chances of being victimized as lower than the other areas except for rape and sexual assault, and Back of the Yards is lowest on the proportion of residents seeing these crimes as a big problem, except for robbery. These attitudes are consistent with the official rates of crimes in these two neighborhoods.

In sum, this analysis has shown that official crime rates and perceived risk are not related in any simple way. There appear to be some consistent relationships between the intervening variable concern and people's perceived risk of crime, but the measures of concern do not appear to be related directly to crime rates. When we relate fear to risk, concern and the local crime rates, the picture becomes even more clouded. As Figure 7 demonstrates, the relationship between the crime rates and fear levels is neither obvious nor direct. The fear rankings certainly do not parallel the crime rankings. Lincoln Park, the least fearful neighborhood, ranks second in crime rate. Back of the Yards, with approximately the same fear level as Lincoln Park has the lowest crime rate of all four neighborhoods. What is perhaps even more perplexing is that the most fearful neighborhood, Wicker Park, ranks third in crime rate, yet first in concern about crime and perceived risk.

What we are left with is a less than persuasive application of the victimization perspective. Local crime conditions do not match local fear conditions. Fear seems to be affected by more than the crime rate in a locality. Citizens clearly have the capacity to discern dangerous parts of their neighborhoods, so that it is not a lack of the capacity to make an assessment about the potential for victimization which explains the discrepancy.

It seems apparent that the victimization perspective in this form leaves as many questions unanswered as it resolves. These findings are consistent with the results of previous studies. Biderman (1967), Garofalo (1977) and Rifai (1976) all found that fear of crime was only indirectly linked to actual criminal offenses. While there is some evidence (Skogan, 1976) that individuals who have directly experienced particular types of victimizations (e.g., robbery) are more afraid than their non-victimized counterparts, there is little support for the genéral proposition that victimization experiences predict fear levels at the meighborhood or individual level. Indeed, given the relatively rare occurrence of serious crimes even in the most crime-ridden neighborhood, and the relatively high levels of fear in all the Chicago sites, we must look beyond victimization to account for the prevalent phenomenon of fear. For while citizen assessments of particular areas match official records of crime events occurring more often there, their general assessments of the magnitude of the crime problem in their communities and the attendant risk to themselves seem to be affected by factors beyond the rate of victimization in the community.

CHAPTER SEVEN

APPLYING THE SOCIAL CONTROL PERSPECTIVE

We will now apply the social control perspective to the same problem of fear of crime in the four study areas. We will employ the same dependent variable and attempt to explain the variations in neighborhood fear levels. The social control perspective posits the signs of disorganization manifested in the proximate environment as the main determinants of the level of fear in a neighborhood. These signs signal the demise of the local moral order for its residents. As those signs increase, fear increases.

What the previous discussion demonstrates is that while crimes may be a sign of disorganization they do not account for the fear levels in the communities. What I will show in this section is that various behaviors and conditions which appear in these communities are associated with fear. These conditions and behaviors signal the decline of the moral order in general and the lack of social control in particular. There is a transformation taking place and community institutions lack the means to control it.

Fear of crime would increase in a community as the indicators of that weakening process become more evident. The first issue then is to develop indicators of that process. In an earlier work (Lewis and Maxfield, 1980) I began that task by proposing the concept of "incivility" to account for relative ranking of neighborhoods along the fear dimensions.

I approached the question of incivility in the telephone survey of residents. Each respondent was asked whether she/he thought the

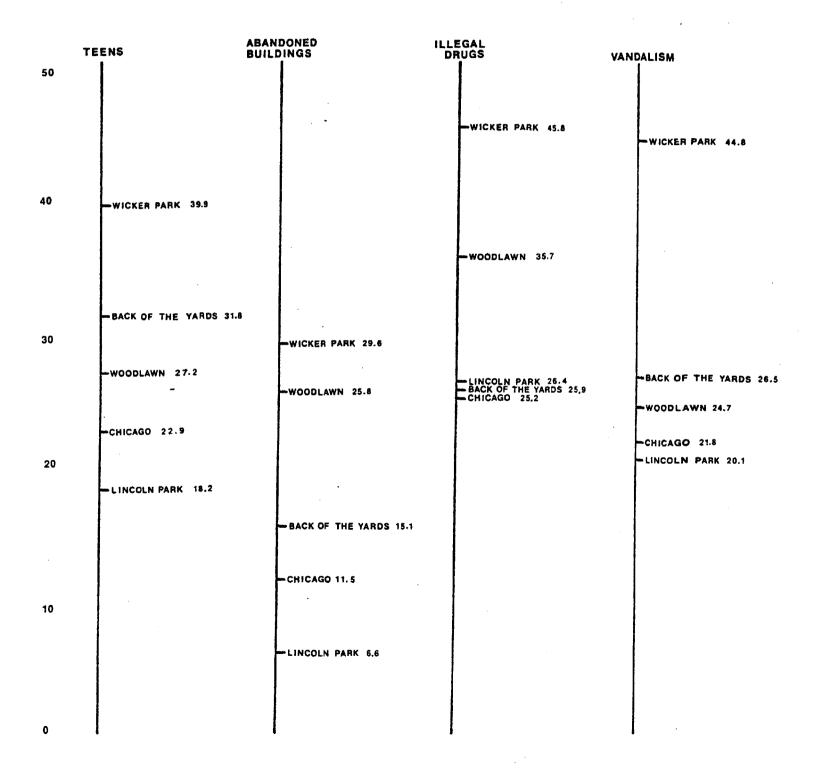
following conditions were a big problem, some problem, or almost no problem:

- -Groups of teenagers hanging out on the streets.
- -Abandoned or burned out buildings or storefronts.
- -People using illegal drugs.
- -Vandalism, like graffiti or breaking windows.

Figure 6 shows what proportion of respondents in each community thought each of these conditions was a big problem. The respondents from Wicker Park scored higher than other neighborhoods on each of these questions. Forty percent or more of the residents in Wicker Park believe teenagers. illegal drugs, and vandalism are big problems in their neighborhood. This is much larger than the city-wide average for these problems, and substantially greater than the next highest neighborhood. Relatively few people in all areas felt abandoned buildings were a big problem, although about 26 percent of those responding from Woodlawn, and almost 30 percent of those from Wicker Park expressed concern over this problem. The problem evoking most concern in all neighborhoods was that of illegal drugs, where the city-wide average was 25 percent. Many people expressed concern over teenagers also, ranging from 18 percent in Lincoln Park to 40 percent in Wicker Park. The city-wide total in this area was about 23 percent. It is not surprising that Lincoln Park residents do not express much concern about these problems. There are fewer children and teenagers living in Lincoln Park and abandoned buildings are comparatively rare. Back of the Yards residents thought teenagers hanging around were a big problem. This corresponds with information from field observations in this neighborhood which indicate that the only real crime problem in the area is shoplifting, where many of the

FIGURE 6

CONCERN ABOUT INCIVILITY



offenders are juveniles. Back of the Yards residents are also above the city-wide average in expressing concern over abandoned buildings and vandalism. The latter is usually a youth-related problem, and the concern over abandoned buildings probably accurately reflects patterns of population movement in that neighborhood.

What is perhaps most significant about the data in Figure 7 is that Wicker Park stands out above the other neighborhoods in every category, just as residents of this neighborhood expressed more concern than other respondents over each of the serious criminal categories. Despite the fact that Wicker Park has a lower official crime rate than Woodlawn in every category, and lower than Lincoln Park for rape and burglary, Wicker Park residents consistently express more concern over the problem of crime and what we have called incivility which reinforce each other in the Wicker Park neighborhood. On the other hand, despite crime rates which are at least as high as the city-wide average, residents of Lincoln Park express relatively low concern over problems of crime and civility. We argued that the two problems, crime and incivility, must go hand in hand for them to affect the perceptions of area residents and that a low level of incivility may decrease perceived risk in a neighborhood.

Another important conclusion to be drawn from the earlier work is that people generally see the problem of incivility as more important than the problem of crime itself. Figure 8 compares the crime and incivility questions directly. For each neighborhood two scale scores are presented in Figure 8: the left side of each neighborhood scale shows concern over crime problems, while the right hand side shows concern over the four questions of incivility. As in the preceding scales, the

3.0 : great big problem

1=no problem

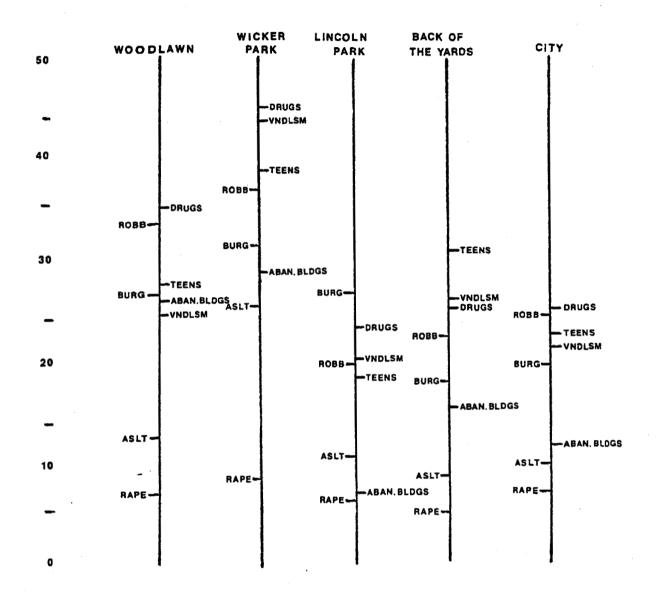
*% feet unsafe in their nbhd at night

scores for each neighborhood on each indicator express the proportion of respondents who think each of the respective questions is a big problem in their neighborhood. In all neighborhoods except Lincoln Park the four civility related problems were of greater concern to residents than the crimes of assault and rape. Lincoln Park was the only neighborhood where more people expressed concern over any serious criminal offense than the problem of drug use. Drugs, vandalism, and teenagers were more often mentioned as big problems than all serious crimes in Wicker Park and Back of the Yards. Except in Back of the Yards, drug use was most often mentioned as a big problem among the incivility variables. The residents of Wicker Park were more likely to express concern about all of these problems than people living in any of our other study neighborhoods, or people living throughout the city.

We concluded from Figure 8 that the neighborhood residents perceive the problems of serious crime and incivility together. Neighborhoods which exhibit high concern about crime also show high concern about problems of incivility. Figure 9 shows this relationship more clearly. This figure demonstrates the covariance between concern about all incivility questions and concern about all four categories of serious crime. Given available data, we cannot determine which of the two factors, crime or incivility, is more important, but it did appear that people express greater concern over incivility in our four study neighborhoods. Drug use, vandalism, and raucous teenagers are considered to be big problems by more than 20 percent of the respondents in the citywide sample and in all neighborhoods except Lincoln Park. Since the problems of drug use and vandalism are themselves youth-related

FIGURE 8

CONCERN OVER CRIME AND INCIVILITY

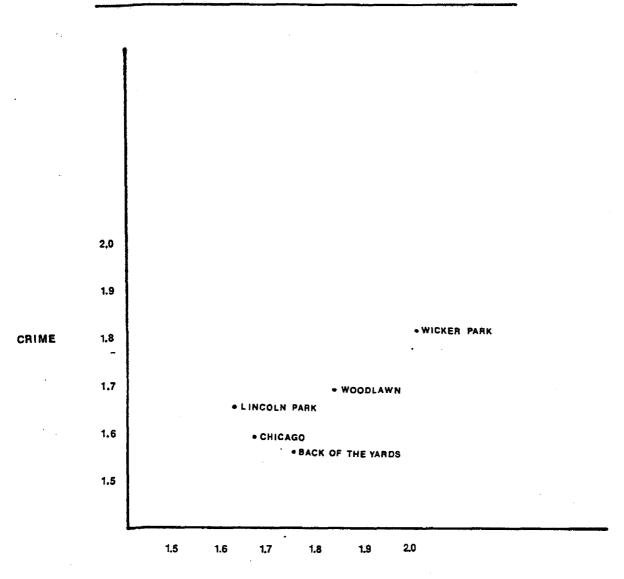


range = 0 - 100%

left of scale/levels of concern over serious crime right of scale/concern about problems of incivility

FIGURE 9

COVARIANCE BETWEEN CONCERN ABOUT CRIME AND INCIVILITY



range = 0 - 4

INCIVILITY

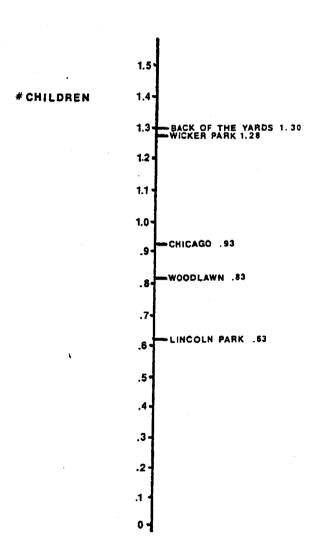
phenomena, the data indicate that serious crime may not be so much a problem as the presence and activities of teenagers. Fear of crime may be directly affected by concern about local adolescents. It is conceivable that the issue of the local moral order intersects the issue of inappropriate adolescent behavior around the concept of incivility.

Data on the number of teenagers living in each area lend some support to this view. Figure 10 shows the average number of children under age 18 per household in our four neighborhoods. Wicker Park and Back of the Yards have the highest average number of young people, well above the number per household in the other two neighborhoods. These two areas also show the highest proportion of people expressing concern over youth-related problems relative to the number of people concerned about serious crime. The problems of vandalism and teenagers rate below robbery for the two other areas and the city-wide sample, and below burglary for Lincoln Park. Drugs, vandalism, and teenagers were more often mentioned as big problems in Wicker Park and Back of the Yards than any crime type. Although the proportion of people expressing concern over incivility in Woodlawn is also high, it is more closely related to concern about serious crime. Lincoln Park with the lowest average number of children per household also shows the lowest proportion of people saying that incivility is a big problem in their neighborhood as well as the lowest fear of crime.

What we failed to present in the earlier analysis was a systematic perspective on why incivility and victimization had the combined effect on neighborhood residents of increasing fear. The social control perspective supplies that framework, for both victimizations and acts of

FIGURE 10

AVERAGE # CHILDREN BELOW AGE 18 LIVING IN HOUSE



*source:RTC Survey Estimates

incivility are indicators to residents of the decline of the moral order. Residents see incivility and crime increasing, and they become convinced that the community's capacity to exert social control is eroding. Victimization and conditions of incivility are evidence that conventional standards are no longer controlling behavior, especially the behavior of the young. The unsocialized (adolescents) and those who live by other standards (the stranger) are the greatest perceived threats to the moral order.

Assessments of crime problems within the victimization perspective indicate cognitive reflections of objective conditions. These assessments were hypothesized to motivate the individual to be fearful as he calculated his risk of victimization. The more victimizations the bigger the perceived problems; the greater the specific crime problems, the greater likelihood of personal victimization and thus the higher the level of fear. Differences between individuals are a function of differences in objective conditions' and how these conditions are "processed" psychologically. If victimization and incivilities are treated as conditions, indicating the level of disorder in a community, and if incivility indicators are seen as more of a problem than victimizations in a community (both because they are more apparent and because they are constant reminders of the decline of the moral order) then fear can be accounted for without referring to the "processing" individuals do. Communities which have more signs of disorganization will have more fear if socal control is not exercised. When victimizations are treated as conditions rather than anticipated experiences, they become indicators of social change. Victimizations and incivilities are signs of disorganization.

Signs of disorganization indicate social controls are weak. Weak social control as well as high crime are associated with high fear in a community.

In other words, victimizations, neighborhood crime problems and risk assessments are used in the victimization perspective to account for individual differences in reports of fear. The social control perspective treats victimizations, incivilities and the perceived crime problems as indicators of disorganized communities. These <u>deficiencies</u> in community organization account for fear levels, for those communities. The same indicators are used but they measure differing phenomena depending on the perspective operating.

The discussion of signs of disorganization in the social control perspective uses each community as the unit of analysis. To simplify this discussion, scales were generated for the incivility and victimization measures. As the reader will recall from the previous analyses, the survey respondents were asked whether four types of crime were neighborhood problems. The exact wording of the questions was:

- (burglary) o What about burglary for the neighborhood in general. Is breaking into people's homes or sneaking in to steal something a big problem, some problem, or almost no problem for people in your neighborhood?
- (robbery) o How about people being robbed or having their purses or wallets taken in the street. Would you say that this is a big problem, some problem or almost no problem?
- (assault) o Besides robbery, how about people being attacked or beaten up in your neighborhood by strangers. Is this a big problem, some problem, or almost no problem?
 - (rape) o In your neighborhood, would you say sexual assaults are a big problem, somewhat of a problem, or almost no problem at all?

The response scale for these items ranged from 1 (almost no problem) to 3 (big problem). Figure 11 shows the four scales, one for each type of victimization derived from the above questions. Each scale simply ranks the neighborhoods on the percentage of residents who said that a particular victimization was a big problem in their neighborhood. The scales for assault and for rape have an expanded range in order to improve the readability of the figure.

A similar procedure was used to aggregate the incivility measures by community. Figure 6 shows the scales for each of the four signs of incivility we tapped with the four questions in the survey. The position of each neighborhood on the scales is determined by the percentage of residents who felt that the signs of incivility were big problems in their neighborhood. The aggregate level of the incivility and victimization indicators will serve as a measure of the perceived level of discoganization in a neighborhood.

The rationale behind constructing the aggregate concern about victimization and incivility scales, Figure 12, was as follows. From the social disorganization perspective, any individual victimization problem is not as important as the aggregate victimization problem across all serious crime categories because that aggregate level signals the general decline of moral order. Thus, the internal consistency of all four concerns about victimization was checked through factor analysis (all four items loaded on a single unidimensional factor accounting for 51 percent of the variance) and by calculation of Cronbach's alpha coefficient on the pooled city-wide samples. All four items were moderately correlated with the sum of the other three and together formed

PERCENT RESIDENTS WHO SAY THESE VICTIMIZATIONS ARE BIG PROBLEMS FICURE 11

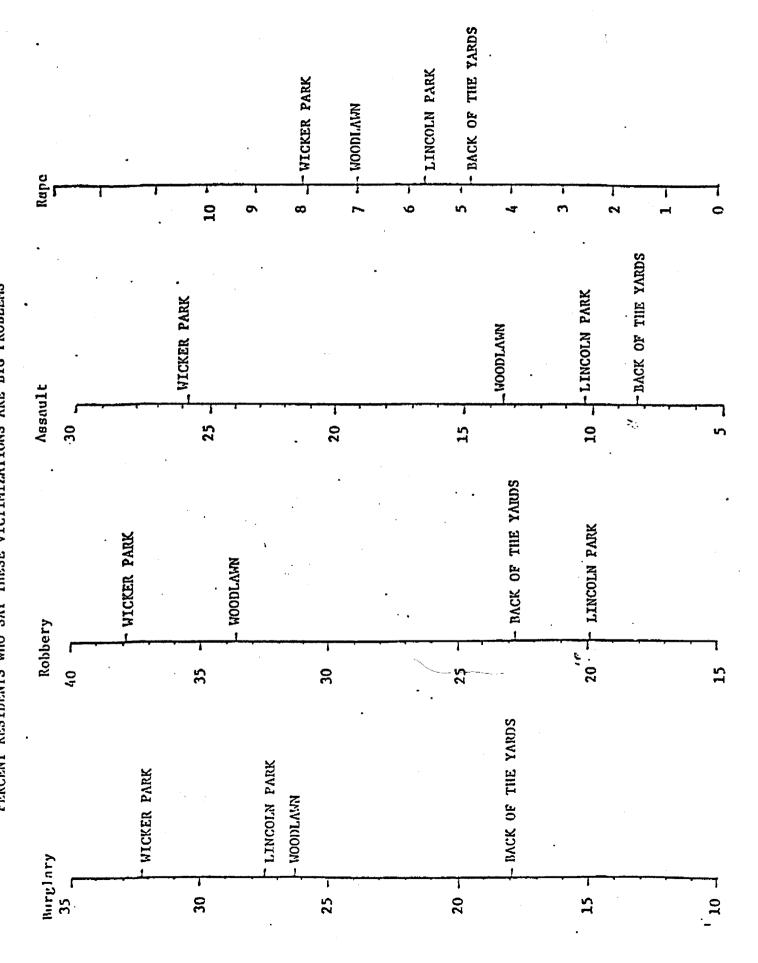
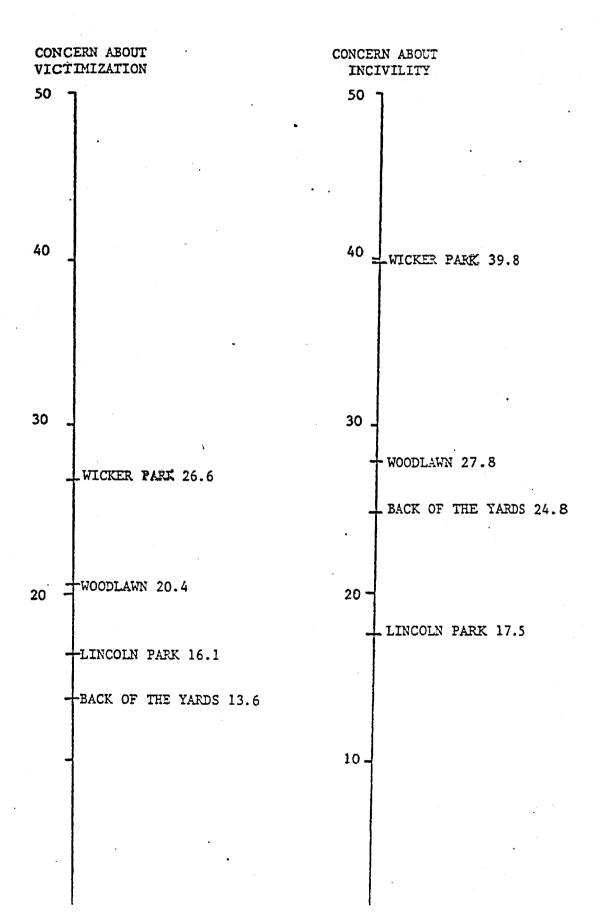


Figure 12

AGGREGATE PROFILES



a scale with an alpha coefficient of .674. The position of a neighborhood on the concern about victimization scale was determined by the percentage of responses that were "Big Problems" for any of the four crimes. The aggregate scale for concern about incivility was similarly determined.

It was hypothesized that all four signs of incivility (loitering youth, drugs, vandalism, and abandoned buildings) would define a construct representing the extent to which there was a perceived problem with social disorganization in the neighborhood which went beyond problems of victimization. Accordingly, a factor analysis of the four indicators was performed on the pooled city-wide samples. All the items were unidimensionals and significantly intercorrelated. The internal consistency of these items was further checked by calculations of Cronbach's alpha coefficient. The four items formed a scale with an alpha of .755. The position of a neighborhood on the concern about the social order scale was determined by the percentage of responses that were "Big Problems" for any of the four questionnaire items.

The next step in operationalizing the social control perspective was to develop measures of the intervening variables "social integration" and "provincialism." Social integration was measured by the use of several items from the telephone survey. First, a scale was developed from three items in the survey which were thought to tap commitment to the local community. They were:

- -Difficulty of identifying a stranger in the neighborhood.
- -Whether or not the respondent felt a part of the neighborhood.
- -The number of children the respondent knew in the neighborhood.

This scale was constructed from the unweighted sum of a respondents teamdardized scores on the three variables. Reliability analysis of the

scale resulted in a moderately high Cronbach's alpha of .585. It should be remembered that the scale sums the standard scores and consequently is a standard score itself. Actual scale scores ranged from values of -4. up to +8.00. We collapsed these values into three categories on the basis of a normal distribution of values, i.e., 50 percent of the cases falling into the middle category, and about 25 percent each into the low or the high categories. The scale was then recorded into low, moderate and high categories. Table 6 depicts the distribution of integrates in the four neighborhoods and Figure 13 graphically displays the differences between high and low integrates on fear levels. Two other items were employed to measure the impact of social integration. Those measures are length of time living in the neighborhood (Figure 14) and participation in community affairs (Figure 15). The social integration scale (Figure 16) gives us multiple measures of the comparative levels of social integration in the study areas.

Finally, the social control perspective posits the importance of the level of provincialism manifested in the neighborhood. In neighborhoods where residents can exert control over land use decisions and interact with those agencies and institutions which are active in that decision making process, fear is reduced. Operationalizing the concept of provincialism calls for the use of qualitative data, for provincialism most often manifests itself through community organizations operating within the neighborhood. These organizations were only observed over time through field study techniques. A qualitative analysis of the fieldwork was done in the four Chicago neighborhoods.

TABLE 6

DISTRIBUTION OF RESPONDENTS ACCORDING TO DEGREE OF SOCIAL INTEGRATION*

	CHICAGO				
Level of Social Integration	Lincoln Park	Wicker Park	Woodlawn	Back of the Yards	City
Low	21.9%	14.8%	19.6%	8.5%	18.5%
Moderate	60.1%	61.0%	54.1%	57.8%	51.5%
High	18.1%	24.1%	26.2%	33.8%	30.0%
	(310)	(260)	(106)	(124)	(379)

^{*}The number in the parentheses gives the total N for that neighborhood.

Figure 13

Z FEEL UNSAFE GOING OUT AT NIGHT

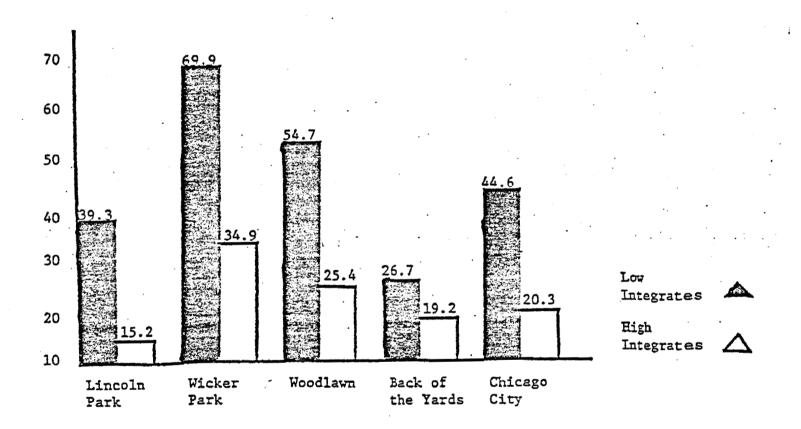


Figure 14
POPULATION STABILITY

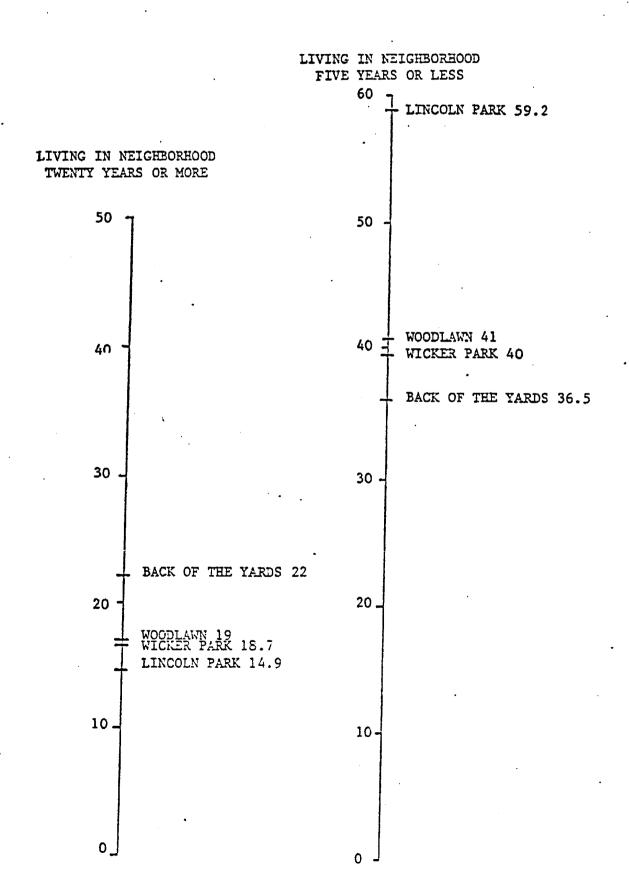


Figure 15

PERCENT INVOLVED IN COMMUNITY AFFAIRS

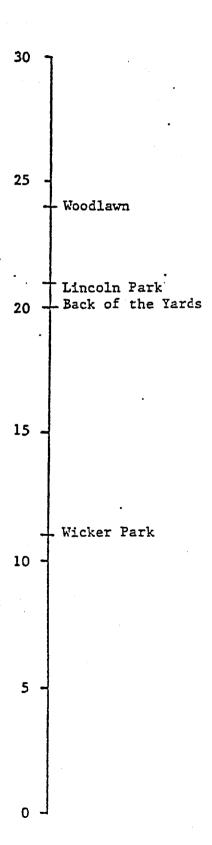
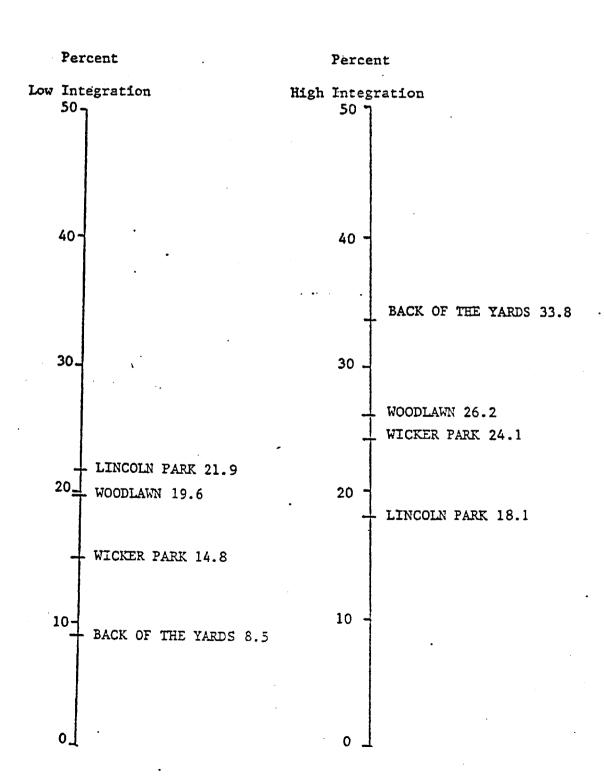


Figure 16

LEVELS OF SOCIAL INTEGRATION

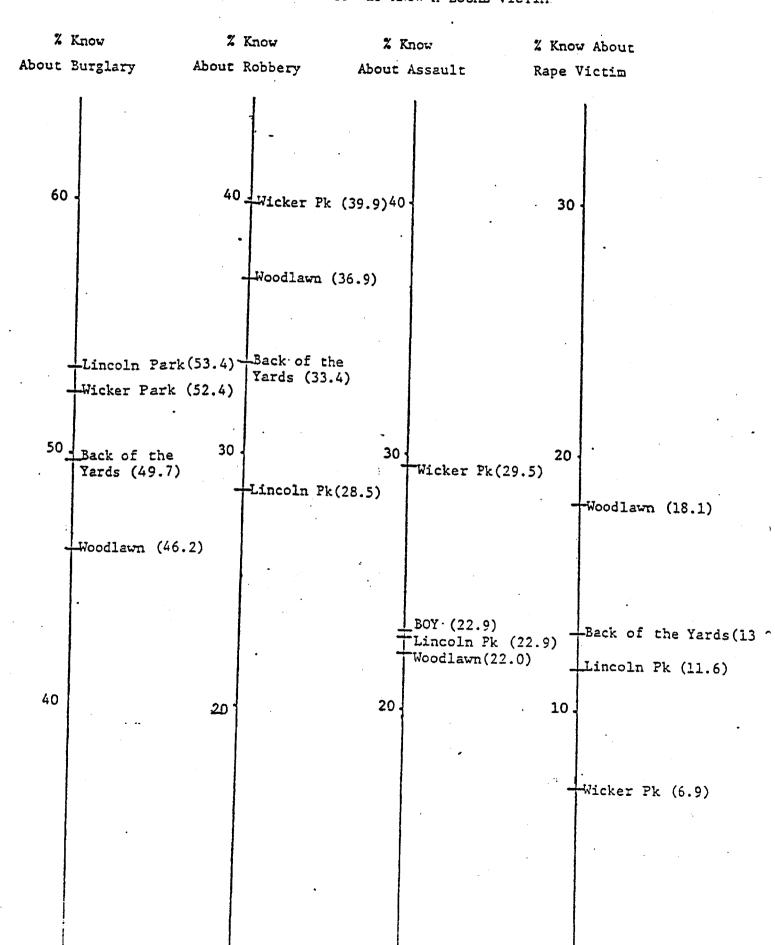


The level of social integration in each of the study areas indicates both the <u>context</u> in which social control is being exerted and the social composition of the community in transition. Communities in which there are significant numbers of highly integrated residents are not only fairly stable but also have better chances of exerting social control through local institutions. Thus social integration is an indicator of community stability and the community capacity to regulate behavior. The level of provincialism in a community is tapped both by the number of high integrates in the area, for they by definition control land (their homes) and care about the future of the area, and by the activities of the local community organizations.

Wicker Park

Wicker Park is the neighborhood with the most fearful people (54%). It is also the area with the most signs of disorganization. Residents perceive both victimizations and incivility as more of a problem than residents of any other neighborhood. As Figure 8 demonstrates Wicker Park ranks first in concern about burglary (32%), robbery (38%), assault (26%) and rape (8%). Figure 6 also illustrates Wicker Park's leadership in concern about teens (39.9%), abandoned buildings (29.6%), illegal drugs (45.8%) and vandalism (44.8%). Wicker Park (see Figure 17) also has the highest percentage of residents who know a local victim of robbery (39.9%) and assault (29.5%), and ranks a very close second in knowledge of burglary victims (52.4%). The aggregate profiles (Figure 12) show Wicker Park to be the neighborhood with the most concern about victimizations (26.6%) and incivility (39.8%). Figure 12 shows clearly

FIGURE 17
PERCENT OF RESIDENTS WHO KNOW A LOCAL VICTIM



that residents of Wicker Park see incivility problems as being of greater magnitude than victimization problems.

The picture of perceived disorganization which emerges from the telephone survey is echoed in the fieldwork. Housing is dilapidated and residents perceive absentee landlords to be in control of most rentals. Gangs are seen to be a problem as ethnic conflict rages among older Polish whites, Mexicans, Puerto Ricans, blacks, and professional whites gentrifying the area. Area bars are perceived as havens for various unsavory types who spend too much time drinking on the sidewalks. Arson is also mentioned as a continuing problem in the area. The schools are mentioned as the scenes of fights and racial conflicts. Whites continually mention bilingual education programs in resentful ways, while Latinos feel excluded from school affairs. Since most of the adolescents are Latino and black, and Polish whites are older more established residents, ethnic conflict is magnified by the generational tensions.

The general picture which emerges is a neighborhood in disarray.

The streets, schools and parks are filled with the constant reminders of the disorganized state of affairs in the area. There is little confidence among residents as to their ability to affect this situation.

Many leaders feel that city government is unresponsive to the community's needs and that the local deterioration which surrounds them is the result of a conscious strategy to remove lower income residents and gentrify the area for upper middle class whites.

The area is rich in organizations claiming to represent the interests of residents. There are twenty-six community based organizations and

voluntary associations operating in the area. However, the participation of residents in these organizations is very low. As Figure 15 illustrates, Wicker Park with approximately 11 percent participation has by far the lowest involvement of the Chicago neighborhoods. So while many organizations claim to represent residents, only a few residents are involved with their self-proclaimed representatives. Consequently, there are few mechanisms for developing the provincialism which could mediate the effects of social disorganization on fear of crime. Without the political development which manifests itself in provincial neighborhoods, the loss of control over the changes in the neighborhood goes unchecked. Residents feel helpless to affect the deterioration process. The lack of provincialism and the magnitude of the signs of disorganization are reflected in the relation of fear to social integration. Figure 13 shows the high levels of fear reported by both low and high integrates. Over 40 percent of the residents have lived in the neighborhood for five years or less. However, the demographic data does not tell a uniform story, for 35 percent of residents own their own homes and Wicker Park ranks second in having the smallest percentage of low integrates (see Table 6). Again reviewing Table 6, it is clear that Wicker Park does not stand in stark contrast to the other sites. Wicker Park is only 6 percent lower than the city average in high integrates and only 4 percent lower than the city average for high integrates (meaning there are fewer low integrates in Wicker Park). The key to the high level of fear in the area appears to be in the level of social disorganization perceived in the area and the lack of the capacity of the residents to exert any control over the forces which generate those signs of disorganization.

An elderly tenant of a public housing project summarized the situation.

"The neighborhood used to be nice, but now it is bad. The sidewalks are terrible, there is garbage all over." She also identified the cause of the present situation as "the Puerto Ricans who don't keep things clean." A young resident echoed her assessment when she lamented "it feels just terrible to be walking alone around four o'clock in the afternoon."

Woodlawn

Woodlawn ranks as the second most fearful neighborhood with slightly over 50 percent of the residents reporting feeling unsafe. Woodlawn also ranks second in the signs of disorganization. As Figure 12 illustrates, Woodlawn residents perceive relatively high levels of disorganization for both concern about victimization (20.4%) and concern about incivility (27.8%). Woodlawn is only six percentage points lower than Wicker Park (26.6%) on the victimization scale while it is twice that percentage (12%) lower than Woodlawn on the incivility scale. Since Woodlawn is relatively close to Wicker Park in fear level, separated by just a few percentage points, it may be that victimization concerns are relatively more significant in generating fear than the incivility measures in this particular setting.

In comparing Woodlawn and Wicker Park on incivility and victimization concerns (see Figure 8), three of the four incivility measures rank before the first victimization measure in Wicker Park, while in Woodlawn only drugs rank before robbery. It appears that concern about incivility plays a less prominent role in generating fear in Woodlawn.

As discussed earlier, Woodlawn is a ghetto neighborhood with a 95 percent black population. The area developed a considerable reputation in the 1960's for gang activity. This problem coupled with high rates of youth unemployment and a substantial number of residents on public-aid (approximately 25% in 1970) led to serious disinvestment problems.

There are dozens of vacant lots and a dearth of business in the area.

Many residents feel that Woodlawn has in fact "bottomed out" and that the area is improving. (Some would say it had no place to go but up.) A representative of the local community organization (The Woodlawn Organization, TWO) commented that "that feeling of hopelessness that used to plague the area is slowly disappearing. I think people who are living here really feel that there is a future in Woodlawn."

The community has in TWO one of the most visible community organizations in the city. Having evolved from a protest group to a community development corporation in the last decade, TWO stands clearly as the major force for the development of provincialism in the area. The strength of the organization is reflected in the high level of community participation reported by residents. Figure 15 shows Woodlawn as ranking first (24%) among the Chicago neighborhoods in residents involved in community affairs. It is important to note that while Wicker Park ranks lowest on the involvement scale, it is just slightly above Woodlawn on the fear scale. Thus participation itself is no panacea for fear. The key to participation is that it be in support of attempting to control and have input into land use decisions in the community. The leaders of TWO made the conscious decision (Fish, 1973) to deemphasize the provincial aspects of their program and build the organization's

economic base (by building housing projects and operating food stores, etc.). This lack of emphasis on provincialism coupled with the highest percentage of residents over 61 (22%) and the fewest middle-aged residents (28%) makes the impact of the signs of disorganization felt without any mediating influences. The low integrates are more than twice as fearful as the high integrates and both rank second to Wicker Park in their levels of fear.

The perceived seriousness of victimization problems and the relatively high level of perceived incivility create a fearful neighborhood. With neither the communal benefits of social integration nor the political benefits of provincialism area residents feel quite unsafe given the perceived disorder around them.

Back of the Yards

The next two neighborhoods in the study rank well below Wicker Park and Woodlawn in fear levels. Back of the Yards ranks a distant third on our fear ladder with 29 percent of the residents reporting feeling unsafe (see Figure 1). This drop of over twenty percentage points makes Back of the Yards (and Lincoln Park) relatively secure neighborhoods. Explaining this drop is the essential task of the social disorganization perspective. The signs of disorganization aggregate profile (Figure 12) places Back of the Yards third in concern about incivility (24.8%) and fourth in concern about victimization (13.6%). Interestingly Back of the Yards is only 3 percent below Woodlawn in incivility concerns and just under 7 percent below Woodlawn in victimization concerns. The drop in fear is much more dramatic than the drop in signs of disorganization.

A closer look at the signs of disorganization shows a resemblance between the rankings in Wicker Park and Back of the Yards, with the three incivility indications ranking higher than robbery as neighborhood problems and abandoned buildings following closely after burglary on the scale. The difference between the neighborhoods has more to do with the magnitude of the problem than with the relative distribution of the indicators. Indeed given the relative closeness of Back of the Yards to Woodlawn on the "signs of disorganization" scales and the relative distance between the areas of the fear measures, we must look at our intervening variables to explain the discrepancy.

Table 6 depicts the distribution of social integrates in Back of the Yards. Back of the Yards has the fewest low integrates (8.5%) and the most high integrates (33.8%) of any neighborhood in the sample. It is also important to note that the low integrates have signficantly lower fear levels (Figure 13) than any of the other low integrates in the other sites. Not only is the area scoring higher on social integration, but those who are less integrated seem to have their fear levels reduced by living in the more integrated area. Thus even though Back of the Yards ranks a close third to Woodlawn in the signs of disorganization, its fear levels, especially for its less integrated residents is substantially lower than anticipated. Low integrates in Wicker Park were 15 percent more afraid than low integrates in Woodlawn, but there is a 25 percent drop between Woodlawn and Back of the Yards. For the high integrates Wicker Park (34.9%) is 9 percent higher than Woodlawn in fear and Back of the Yards (19.2%) is 6 percent lower than Woodlawn (25.4%). Something is happening in Back of the Yards which makes the low integrates less afraid.

That something is the Back of the Yards Council. The Council was founded over forty years ago by a coalition of church and labor leadership. It has had the same executive director throughout its history. Its longevity and continuity have made it the effective voice of the Back of the Yards. Residents of the area can control what happens in the area through the Council. This capacity is reflected in how the Council responds to incivility. The Council has a program for each indicator of incivility. For abandoned buildings, the Council has a direct conduit into the building department for complaints, its own day in housing court for all Back of the Yards buildings and complete records on every building in the area. Jones (1979) reported on the success of this particular program. For drug abuse and delinquency problems there are distinct active efforts by the Council to combat these difficulties. The Council has the capacity to affect what happens in the neighborhood, from keeping businesses opened to stimulating the city bureaucracies to function well. The Council offers the community an agency for maintaining its own standards and sense of order. The Back of the Yards residents rank third (see Figure 15) in participation in community affairs, althought at almost twice the rate as Wicker Park residents (20% to 11%), the least involved area. The Council itself still has the strength and skill to speak for the area and make itself heard. Residents of Back of the Yards have an organizational mechanism for reacting to local problems which mitigates the impact of those problems on fear. Where social integration and provincialism interact to make manageable the control of local problems, there fear is reduced.

Back of the Yards has both the social and political capacity to combat the problems of incivility which in other communities indicate the dissolution of the moral order. Wicker Park has not developed its capacity for provincialism and Woodlawn's community organization chose a different strategy (community development) to combat its problems. The Back of the Yards Council maintained its early ideological commitment to "take the slumminess out of the slum" and the consequence has been a secure community.

Lincoln Park

Lincoln Park ranks as the least fearful neighborhood with 29 percent of the residents feeling unsafe at night.* The signs of disorganization (see Figure 8) are perceived as being well below the levels in Wicker Park and Woodlawn and reflect a different pattern than Back of the Yards. Burglary is perceived as the most serious problem with drugs ranking a close second. Lincoln Park is the only neighborhood in which a victimization ranks above an incivility indicator as the most serious issue and also the only neighborhood in which burglary rather than robbery ranks as the leading victimization of concern. This distinct constellation of problems (both in the magnitude of the problems and in their sequencing) makes Lincoln Park appear to its residents as a neighborhood with few signs of serious disorganization. Lincoln Park ranks last (17.5%) in concern about incivility and third (16.1%) in

^{*}It should be noted that this percentage reflects a considerable number of people who are afraid.

concern about victimization. Given the relatively few adolescents in the neighborhood (4% of the population) and the relative wealth of the residents (29.3% with incomes over \$20,000), Lincoln Park is an area whose local moral order seems intact to its residents. With few signs of disorganization fear levels remain comparatively low. The lack of threatening environment has coupled with a relatively high level of community participation (21%) in Lincoln Park. The local community organization (The Lincoln Park Conservation Association) keeps a watchful eye on any activity which might threaten the stability of the neighborhood, and acts as a spokesman for the area in negotiations with governmental agencies and institutional agencies (e.g., hospitals) operating in the area. However, given the lack of signs of disorganization, these activities are aimed towards the maintenance, rather than the transformation, of the area.

As Table 6 demonstrates, Lincoln Park has the lowest level of social integration of any area in the study. The neighborhood has the largest percentage of low integrates and the smallest percentage of high integrates of any area in the study. Figure 13 portrays fear level by level of social integration and portrays the high integrates as the least fearful (15.6%) in the study, while Lincoln Park's low integrates rank third in fear at 39.3%, approximately midway between Back of the Yards at 26.7 percent and Woodlawn at 54.7 percent. Thus it could be argued that even when the signs of disorganization are relatively few they still affect the low integrates' sense of security in the area.

Lincoln Park is a gentrified area, dominated by the well-to-do professional and relatively free of the signs of disorder which plague

most urban neighborhoods. But even here, the low integrates are likely to feel afraid, perhaps because of their lack of familiarity with those who share that relatively secure space. Low integrates in Lincoln Park differ from their opposites in Back of the Yards because of the extraordinarily strong community organization in the latter area. The Back of the Yards Council's activities are so strongly felt as to make even the more isolated feel relatively safe. Provincialism and social integration are powerful factors in the reduction of fear.

Limitations and Implications of the Social Control Perspective

The social control perspective has serious limitations. Since there are only four communities in the study and since these were not randomly selected, the analysis possibilities were extremely limited, and so is the generalizability of the findings. Second, since I am for the most part comparing means between and within communities on one dimensional scales, there are serious limits to the comparability of the findings. For example, if Lincoln Park scores higher on concern about burglary than robbery, this does not demonstrate that comparatively speaking, Lincoln Park is more concerned about burglary than robbery. Since items were constructed without a comparative dimension (e.g., Are you more concerned about robbery than burglary?) the conclusion that burglary generates more concern than robbery is only suggested and not demonstrated. Clearly, more sophisticated analysis is needed if the social control perspective is to be truly tested as an approach to explaining the fear of crime.

The first step towards that more rigorous analysis is to compute multiple regression coefficients for each variable in an equation which would test the utility of community in explaining fear of crime. Previous research on fear of crime has identified age, sex, race and income as the demographic characteristics with the most predictive power (e.g., Hindelang, Gottfredson and Garofalo, 1978). By placing these variables in an equation with community, that is, place of residence, we can determine if community will result in an improved regression.

Fear =
$$B_1$$
 (Race) + B_2 (Income) + B_3 (Age) + B_4 (Sex) + B_5 (Community) + C

If the mean fear score for each community is a simple linear combination of the demographic variables and the dummy variable of community does not improve the regression, then the social control perspective and its reliance on community as the unit of analysis may hold less attraction as an alternative approach to the issue. If the community variable improves the statistical analysis, then there is an indication that the social control perspective would be worth further consideration.

For the sake of simplicity, this approach is additive with no interaction terms. Since race, sex and income all correlate with each other when it comes to fear, it might be more useful to use a "saturation" equation in which interaction terms are included in the regression.

What this precaution means for this analysis is that further work must be done before I can state with assurance just what the significance is of the community variable for the study of fear of crime. This analysis will indicate if there is any suggestion of utility.

Multiple regression coefficients were computed for each of the variables in the equation in a step wise fashion. Demographic characteristics were entered in first and evaluated, and then the community variable. Dummy variables were created to indicate the four different communities. The results are depicted in Table 7.

The correlation between fear and community of residence is sometimes stronger in magnitude than those between fear and the demographic variables. Residence in Wicker Park and to a less extent Woodlawn is associated with high fear. Residence in Lincoln Park and Back of the Yards is associated with low fear. There are significant correlations between high fear and race (black), age (older), sex (female) and income (poorer). This analysis does show the independent effect of community of residence on fear levels and leaves the clear impression that more work within the social control perspective might improve our understanding of fear of crime.

This analysis adds credibility to the community level analysis reported earlier in this section. I believe there is ample evidence to suggest the following discussion.

In the four communities, as social disorganization (as perceived by the residents) increases, fear of crime increases. I have hypothesized that the weakening social control in those disorganized areas triggers the fear. Both Woodlawn and Wicker Park are disorganized areas and both have few resources to assert control over the transformations underway. Residents of these communities have few individual resources (e.g., income, education, etc.) and the metropolitan forces at work (e.g., racial succession and commercial disinvestment) are extraordinarily

TABLE 7

REGRESSION RESULTS FOR CHICAGO

Equation 1	<u>-</u>				Equ	ation	<u>2</u> (Nei	ghborh	boo	Move)
R ²				•						
Age	.02285									*,
Income	.04524									
Sex	.08389									
Race	.00808									
Total $R^2 =$.16005									
Neighborho	ods		R	<u>-</u>	Nei	ghborh	oods			$\underline{R^2}$
Lincoln Pa	rk		.000	004	Lin	coln P	ark			.01711
Wicker Park	k		.043	L18	Wic	ker Pa	rk			.02698
Woodlawn			.00	L80	Woo	dlawn				.00483
Back of the	e Yards	•	.001	L98	Bac	k of t	he Yar	ds	•	.00583
Total R ² (Ne	eighborh	noods):	.045	501	Tot	al R ² (Neighb	orhood	s):	.05475
Total R ² (Ed	quation)):	.205	506	Total R ² (Equation):					.05475
* *	*	*	*	*	*	*	*	*	*	*
Betas for N	leighbor	hoods			Bet	as for	Neigh	borhoo	ds	
Neighborhoo	<u>d</u>	Beta			Nei	ghborh	ood]	Beta	
Lincoln Par	k	.0078			Line	coln Pa	ark	⊷.(0527	
Wicker Park		. 2225	*		Wicl	ker Pa:	rk	.:	1897*	i
Woodlawn		.0503			Wood	dlawn		. 1	1122*	
Back of the	Yards	.0044			Bacl	k of tl	he Yaro	ds()19 4	

difficult to modify under the best of conditions (Molotch, 1972; Rossi and Dentler, 1961). The two less fearful communities, Lincoln Park and Back of the Yards represent two alternative paths to neighborhood security. Lincoln Park, while experiencing a relatively high level of property crime, has few signs of disorganization. This is a stable, ordered community. Its provincialism stems from the wealth of its inhabitants. Shifts in residential population, while relatively frequent, occur within stable class and racial lines. Social control is less problematic given the few adolescents in the area and the resources available to individuals residing there. Back of the Yards, while relatively secure, has a very different story to tell. The signs of disorganization (especially incivilities) are relatively common and the threat of racial succession is imminent. Yet Back of the Yards through the formal provincialism supplied by the Council and the social integration of its residents has been able to mediate the impact of social disorganiz-The Council provides the community with a mechanism to combat social disorganization in general and the problem of incivility particular.

The importance of incivility in the study of fear deserves further comment. In Wicker Park, it appears that incivility may play a decisive role in increasing fear. Concern about incivility is considerably higher in Wicker Park than in any other community. These conditions are perhaps clearer, more obvious indications of the loss of social control than victimizations. Victimizations are rarer, they must be learned about in order to have impact and often they occur between friends and family. Incivilities are constant reminders that conventional values are not shared, especially by the young.

Lincoln Park is a community with few signs of incivility and a low level of fear. The lack of incivilities is in most part a function of the social and economic composition of the community. High income and few adolescents appear to mean little incivility. This lack of the constant reminder of disorganization may very well increase feelings of security among residents. Finally there is Back of the Yards. Incivilities are conditions which can be improved through the exercise of social control by strong community organization. Vandalism, abandoned buildings, loitering and perhaps even drug abuse can be combatted by the social efforts of residents. Conventional values may be reinforced by respected adult residents through Council initiatives. These initiatives have influenced local teenagers as well as the municipal building court. In other words, incivilities are actionable problems where success is a real possibility. It is less clear what if anything can be done to reduce robberies and rapes. Given the importance of incivilities in increasing fear (Wicker Park) and their absence in less fearful communities (Lincoln Park), fear reduction programs might well focus on enabling community organizations to increase their capacity to control these indicators of social disorganization.

These findings are suggestive at best. Clearly a great deal more work needs to be done to test more vigorously the social control perspective. However these comparative case studies are cause for some optimism for they point to an approach to the study of the fear of crime which relies less on the imputed motivation of individuals and focuses on the impact of social change on urban communities.

In this essay, I have operationalized both perspectives. The victimization perspective uses the individual as the unit of analysis and posits the relations depicted in Figure 18. The social control perspective uses the community as the unit of analysis and posits a very different set of relationships (see Figure 18). The former approach emphasizes differences between individuals while the latter emphasizes the deficiencies in community. The former approach attempts to explain what motivates people, while the latter examines the interplay between social change and community cohesion. They are not mutually exclusive. But clearly the findings here demonstrate the utility of reconsidering the social control perspective.

FIGURE 18

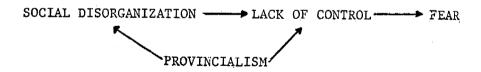
COMPARING PERSPECTIVES

Victimization Perspective

VICTIMIZATIONS → CONCERN → RISK → FEAR (direct or indirect)

Unit of analysis--individual

Social Control Perspective



Unit of analysis--community

CHAPTER EIGHT

CONCLUSION

Gusfield (1975) has used the metaphor of container and contained to distinguish between the social problem and its public construction. This essay has attempted to describe two containers which have been, and may be, used to describe the problem of fear of crime. While it is not necessary to take the extreme position of Kitsuse and Spector (1978) and define social problems solely as the assertions groups make about putative conditions, it is important to understand that the perspectives researchers and policy makers use in studying social problems are not merely the reflection of some reality out there which they are all trying to see clearly. Wirth (1940) recognized over forty years ago the essentially reflexive nature of study in this area.

There is a widespread belief that the problems of maladjustment of men to one another and to the world in which they live arise out of the nature of men or of things. Despite the work of a long line of social scientists who have indicated that the situations we call social problems are problematical only because they represent deviations from socially accepted norms and expectations, there is substantial evidence to indicate that the situations we call social problems are problematical only because they represent deviations from socially accepted norms and expectations, there is substantial evidence to indicate that even some contemporary sociologistic continue to deal with social problems as if they did not involve evaluational elements (Wirth, 1940:472-73).

Blumer (1971), Ross and Staines (1972) and many others have emphasized these "evaluational elements" in their approach to social problems to the exclusion of concern at all with "objective conditions." While this shift in emphasis may have been a sound corrective to the "abstracted empiricism" (Mills, 1959) practiced by many social scientists,

it has added few tools to the arsenals of those studying the lives of the poor and disenfranchised.

Recent criticism of the study of fear of crime either condemned the interest as inherently racist and conservative or simply called for more attention to other matters (e.g., poverty). These criticisms proved inadequate, for "by denying the phenomena as a problem, they could not provide a competing public position" (Gusfield, 1975:290).

The social control perspective offers a "competing public position" on the issue of fear of crime (as well as predatory crime itself). The social control perspective challenges the victimization perspective's reliance on a theory of motivation to explain the distribution of fear. The victimization perspective assumes the "neutrality of context" (Marris and Rein, 1967) and primacy of victimization in order to develop a general "theory" of motivation which could be applied to randomly selected individuals. This emphasis on motivation reflects the major orientation of American Sociology after World War II. Parsons (1951) outlines his approach to the problem of order in terms of personal motivations.

The problem of order, and thus of the nature of the integration of stable systems of social interaction, that is, of social structure, thus focuses on the integration of the motivations of actors with the normative cultural standards which integrate the action system, in our context interpersonally. These standards are...patterns of value-orientation and as such are a particularly crucial part of the cultural tradition of the social system (Parsons, 1951:36-7).

Order is possible because individuals are motivated to meet the standards of society. Wrong (1961) calls this notion the "internalization of social norms" approach (p. 185). Individuals learn social

roles and expectations and thus conform to society's values. Ellis (1971) points out that Parsons places great emphasis on the "process of internalization" in explaining social order. Parsons assumes value consensus and the theoretical problem is to account for how individuals come to share in that consensus. He identifies the sources of social integration which explain the conformity. Order is possible because individuals internalize values and thus conform to the standards of society. While it is beyond my present scope to critique this approach (see Mills, 1959; Lockwood, 1956; Gouldner, 1970), it is important to see how this general theoretical orientation shapes the victimization perspective. The problem in the victimization perspective is to account for the factors by which one learns to be afraid.

Fear is a problem of socialization, a learned response to a situation. What is problematic within the perspective is to identify the sources of fear in society, and to develop a hypothetical process by which that source is internalized. This is why so much attention is given to the types of victimizations associated with fear (What motivates the fearful?) and to constitutive psychological formation (How does that source generate the fear?).

Parsons, Merton and Sutherland all emphasize the learning process in their explanations of social order and crime. The scholars operating in the victimization perspective shared in this general orientation.

Kornhauser (1978) has shown the empirical and theoretical limits of theories of motivation in delinquency research.

The belief that behavior is always rooted in slavish conformity to cultural or subcultural values, which is one of the keystones of cultural deviance theory, is utterly lacking in credibility. The belief that cultures or subcultures vary substantially in the content of their definitions of theft and violence is unsupported by any evidence. There is no culture known to man in which those actions enjoined in the core of the criminal law are or can be collectively endowed with value, for they have no value for human beings whose existence depends upon their safe association with one another (Kornhauser, 1978:244).

While I would not take so extreme a position, my main point about the fear of crime research is the same, namely, that fear is not learned through experience with victimization. Victimization does not have a universal meaning in all places and all times and we must go beyond general theories of motivation if we are to understand the distribution of a fearful citizenry.

Both Charles Silberman (1978) and James Q. Wilson (1975) are imbedded within the victimization perspective in their widely read discussions of fear of crime. Silberman's discussion treats fear as a universal response to the universal threat of victimization. Since we all share the potential for victimization we all are capable of the same psychological reaction. Fear is the same for all people. Differences in context are submerged into general psychological determinants.

Ultimately, the whole fabric of urban life is based on trust; trust that others will act predictably, in accordance with generally accepted rules of behavior, and that they will not take advantage of that trust (Silberman, 1978:10).

But as we have tried to show, the fabric of urban life varies considerably from community to community. That fabric is "man-made," resulting from the distribution of values including security. Silberman may be correct that "people need to be able to make sense out of their environment" (p. 14), but that "need" is more or less easily met depending upon the political development of the community. Social control is a

function of resources and that makes the local social order a concrete political reality, which shapes how much trust the individual can have.

Following Conklin, Silberman argues for the primacy of victimization in undermining that order.

Crime does more than expose the weakness in social relationships; it undermines the social order itself, by destroying the assumption on which it is based (Silberman, 1978:12).

But crime does not have a universal effect on that order. Indeed the social order itself is constructed of varying materials, depending on what is available to the community. The quality of materials has much more to do with creating weakness than an abstract notion of victimization.

It may be true that "our sense of self is bound up with our ability to control the personal space in which we live" (Silberman, 1978:12).

But that ability to control is not purely a psychological mechanism.

It is a political capacity which communities develop in varying degrees depending upon their resources. Silberman reduces fear to a matter of individual psychology rather than a matter of the differential distribution of community power.

Wilson (1975), while avoiding the neutrality of context problem, is also locked into the primacy of victimization in his discussion of fear. But again the differential distribution of the capacity to maintain community is linked more to the crime rate and the migration of local leadership to the suburbs.

Wilson suggests that as the leaders of local urban communities became more affluent and moved to better areas, the neighborhood's capacity to exert social control diminished and crime increased. Crime then was a consequence of the decline of the moral order.

Many of those who once headed the block clubs, ran the PTAs, complained of poor garbage collection, manned the neighborhood political apparatus, and kept the streets under some degree of surveillance had moved out. They left a void, sometimes literally a physical one. The growing number of abandoned buildings in the central parts of New York and other cities is grim evidence of the reduction in population densities and the increased purchasing power of former slum-dwellers.

With the more affluent having departed and the community-maintenance functions they once served now undermanned, the rates of predatory crime in inner-city areas rose (Wilson, 1975:38).

While Wilson hypothesizes that a community's loss of leadership leads to a loss of control which creates the conditions for more crime, he also suggests that local control must be exerted over a wide range of issues. His suggestion about the decline of the moral order in general concerned urban citizens.

What these concerns have in common, and thus what constitutes the "urban problem" for a large percentage — (perhaps a majority) of urban citizens, is a sense of the failure of community. When I speak of the concern for "community," I refer to a desire for the observance of standards of right and seemly conduct in the public places in which one lives and moves, those standards to be consistent with—and supportive of—the values and life styles of the particular individual. Around one's home, the places where one shops, and the corridors through which one walks there is for each of us a public space wherein our sense of security, self—esteem, and propriety is either reassured or jeopardized by the people and events we encounter (Wilson, 1975:24).

Modifying these social conditions is difficult for Wilson because the leaders are gone and because crime has isolated citizens. Silberman recognizes the importance of local social control in reducing fear but that fear reduction comes as a consequence of reducing crime.

Thus the development of more effective social controls in poor communities can provide a far larger payoff in reduced crime and improved order than can the development of more effective methods of policing, more efficient courts, or improved correctional programs (Silberman, 1978:429).

Echoing the founders of the social control perspective, Silberman goes on to call for local initiative in developing that social control,

If a community development program is to have any chance of success, those in charge must understand that the controls that lead to reduced crime cannot be imposed from the outside; they must emerge from changes in the community itself, and in the people who compose it. Hence the emphasis must be on enabling poor people to take charge of their own lives—on helping them gain a sense of competence and worth, a sense of being somebody who matters (Silberman, 1978:430).

For Wilson, fear is a consequence of the lack of social control.

And it is the breakdown of neighborhood controls (neighborhood self-government, if you will) that accounts for the principal concerns of many urban citizens. When they can neither take for granted nor influence by their actions and those of their neighbors the standards of conduct within their own neighborhood community, they experience what to them are "urban problems"—that arise directly out of the unmanageable consequences of living in close proximity (Wilson, 1975:25).

But in both cases the conceptual link between social control and the reduction of fear is not made. We are told that control is necessary but we are unable to generate it, given the definition of the problem. For Silberman fear is a universal problem of individual psychology in which only a general reduction in crime will bring relief. For Wilson we must wait for the migration process to be completed before order will be restored. The former analysis calls for less crime through social control but does not tell us how to achieve it, while the latter urges patience while the cities empty.

Concern about fear of crime in the policy arena is for Silberman a derivative of the larger question of how to control crime. For Wilson

fear also stems from "predatory crime," but broader issues of public behavior also enter the equation. For others (Cook and Cook, 1975) the policy focus becomes more directly fear itself.

The data presently available suggest that the major policy problem associated with the elderly and crime is probably not crime per se. Rather, the problem is related to the elderly person's fear of crime and the restrictions to daily mobility that this fear may impose (Cook and Cook, 1975:643).

Rather than reducing crime or criminal behavior this line of thinking aims directly at reducing fear.

If the 'fear diagnosis' is correct, it suggests that the policy response to victimization of the elderly should be targeted at alleviating fear. This response might well include campaigns to inform older persons that they are not being singled out as victims and that talk of a crisis of victimization is unwarranted unless it is understood to mean a fear of victimization (Cook and Cook, 1975:644).

In all these cases, the conceptual link between fear of crime and social order is called for, but not established. Ellis (1971) and Wrong (1961) suggest that since value consensus is assumed in motivational theories of the social order, these theories cannot account for the emergence of that order when consensus has broken down. Once fear of crime erodes the sense of community an individual has developed, the victimization perspective does not provide a method for reestablishing that community. Since one has learned to be afraid, there is no mechanism specified for learning to feel secure. Thus collective action is called for but no scheme is developed to demonstrate sociologically or politically how and why that action should occur. The victimization perspective shares with Parsonian consensus theory an inability to explain social order when and where that order is not already operational.

Once the individual is motivated to be afraid the perspective cannot explain the reemergence of the social order that fear destroys. Either the impetus for fear (victimizations) must be removed or the victimized individual must process victimization information differently. It is the fearful individual who must change if fear is to be overcome.

We see the social control perspective as a corrective to this situation. For this perspective emphasizes the political over the psychological, and community context over individual variations. Policy development becomes a matter of community development rather than the education of potential victims. The social control perspective offers a systematic approach to the fear of crime problem and hopefully moves the discussion of fear away from an analysis which defines citizens as potential victims and security as a matter of individual initiative. That approach emphasizes the resident's capacity to respond to the social change which constantly reshapes the community. Where political action by those residents can be mustered in the name of provincialism, their fear will be modified. But if the signs of disorganization increase unchecked and the local community institutions lack the strength to combat them then fear will result. Communities can shape their own futures but it is a difficult, time consuming task which does not come automatically. The resources of individuals are, of course, important, but they can be supplemented and augmented by the political action of residents committed to having their values count in the determination of the quality of community life.

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APPENDIX A

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Interviewer's Initials:		OFFICE USE ONLY
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(Specify)	18-23	24-29	30-35	36-41	42-47	48-53	54-59	18-23	24-29	30-35	36-41	42-47
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Reactions to Crime/Fear of Rape

Telephone Survey

May I please speak to the man or woman of the house? (ACCEPT ANY RESPONSIBLE ADULT)

My name is

I'm calling for Northwestern University near Chicago. We are working on a study about how peoples'lives are affected by crime, and I would like to ask you some questions. Of course, your help is voluntary and all your answers will be kept confidential. Your telephone number was picked at random.

I. Is this a business phone, or is this a home phone?

PHILADELPHIA -- SCUTH PHILADELPHIA

II. Do you live within the city limits of Philadelphia?

No (FILTER OUT NOT IN CITY) . . . 2

In this survey we need to get the opinion of people wno live in the South Philadelphia area.

III. Do you live between Morris (on the north) and Packer Avenue (on the south)?

IV. Do you live between 5th (on the east) and Vare Avenue (on the west)?

(NOTE: PACKER AVENUE IS NORTH OF FOR PARK: VARE AVENUE IS JUST EAST OF THE SCHUYLKILL RIVER.)

Y. (IF "DON'T FILTER OUT	KNOW") Well, can yo WRONG NEIGHBORHOOD)	ou tell me which :	street you live an? (IF NOT INCLUDED IN	LIST BELOW,
YI. Would that	address be between	(RI	EAD RANGE FROM LIST, I	F NOT IN RANGE, FIL	TER OUT WRONG .
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Bailey	•	Percy	•	Bigler	•
Bambrey	•	Reese	•	Cantrell	•
Bancroft	•	Ringgold	•	Castle	• '
Beechwood	•	Rosewood	•	Daly	•
Beulah	•	Sartain	•	Dudley	•
Bonsall	•	Sheridan	•	Durfor	
Bouvier	•	Stoker	•	Emily	•
Broad	•	Taney	•	Fitzgerald	•
Bucknell	•	Taylor	•	Gladstone	•
Çanac	•	Varnock	•	Hoffman	•
Carlisle	•	Watts		Jackson	
Chadwick	•	Woodstock	•	Johnston	•
Clarion	•	5th	•	McKean	
Cleveland	•	6th		Mclellan	
Colorado	•	7th	•	Hercy	
Croskey	•	8th	•	Kiffiin	•
Darien	• •	9th		Moore	-
Dorrance	•	10th	•	Morris	-
Dover	•	lith	•	Moyamensing	
Etting	•	12th		Oregon	500-2000
Fairhill	•	13th	•	Packer	503_3000
Franklin	•	1425	•		
Garnet	• .	15th		Passyunk	1200-2600
Kemberger	•	16th		Pierce	500-3000
Hicks		17th		Point Sreeze Ave.	
Hallywood	•	18th		Pollack	500-3000
Kutchinson	•	19th	-	Porter	
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lessuo	•	21st		Roseberry	<u> </u>
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Male .	Ξ	27th	•	Watkins	•
leshape	Ξ.	28th	<u>:</u>	Winton	•
lewkirk	Ξ.	29th	•	Wolf	-
torwood_		30th	•		

(\$3 NOT ASKED)

A) How many adults 18 years of age or older are presently living at home including yourself?
____(CIRCLE IN COL. A)

B) How many of these adults are men?

__(CIRCLE IN ROW B) No. (Write-in)

No. (Write-in)

Ro ₩ B	Col. A Number of Adults In Household								
Number of Man In Household	1	2	3	4 or mare					
0	Woman	Youngest Woman	Youngest Y/oman	Oldes Wamen					
1	Man	Man	Oldet Wamen	Nan					
2	\times	Oldest Man	Vonen	Oldest Warten					
3	X	X	Youngest Non	Homan/ Warren					
4 or more	X	X	X	Oldes Man					

Version 2

NOTE: The intersection of Col A and Row B determines the sex and relative age of the respondent to be interviewed

For this survey, I would like to speak to the (Verbal label indicated on grid) currently living at home, in your household. Is he/she at home?

1 ... Yes - Continue with Q. 1 WITH THE CORRECT INDIVIDUAL TO BE INTERVIEWED

2 ... No - Arrange call-back, record on callback line

START	TIM
21001	

- A. Para empezar quisiera conocer cuántos adultos de 18 y mas eños viven en su familia
- B. Cuantos de ellos son hombres?

(CIRCLE IN ROW B)

Row B	Col. A In Ho	Col. A Number of Adults In Mousehold								
Number of Men In Household	,	2	3	4 or more						
0	Women	Youngest Woman		Oldest Waman						
1	Mon	Mon	Oldest Woman	Non						
2	$\perp \times$	Oldest Man	Vones	Oldest Wagen						
3	\times	\times	Yeungest Non	Woman/ Ciden/						
4 of more	X	X	X	Oldest Nan						

Version 2

NOTE: The intersection of Col A and Now B determines the sex and relative age of the respondent to be interviewed

Mecesito preguntar a (TOME EN EL CUACRICULADO) (La interseccion de adultos y hombres determina el sexo y la edad relativa de la persona a entrevistar). SI LA PERSONA ELEJIDA NO ESTA EN CASA, HAGA UNA SITA FARA LA ENTREVISTA O PREGUNTE CHANDO ESTARA EN CASA. TOME EL NUMERO DE TELÉFONO Y LLAME PARA HACER LA CITA) APPENDIX B

...

<u>:...</u>

Fir	st of all, I have a few questions about yo	our neighborhood.
1	In general, is it pretty easy or pretty a stranger in your neighborhood from so	y difficult for you to tell omebody who lives there?
		Pretty easy
2.	Would you say that you really feel a part think of it more as just a place to live?	of your neighborhood or do you
<u></u>		Feel a part
3.	Would you say that your neighborhood has changed for the better, or for the worse in the past couple of years, or has it stayed about the same?	Better
4.	How many people would you say are usually out walking on the street in front of where you live after dark a lot, some, a few or almost none?	A lot
5.	Do you usually try to keep an eye on what is going on in the street in front of your house or do you usually not notice?	Usually keep an eye on 1 -25 Usually don't notice
6.	If your neighbors saw someone suspicious window what do you think they would do? BELOW MULTIPLE MENTIONS ALLOWED)	trying to open your door or (ASK OPEN END CODE RESPONSE
		Check situation 1-26 Call police 1-27 Ignore it 1-28 Call someone else(Landlord, Janitor, etc.) 1-29 Call me/respondent 1-30 Other 1-31 (SPECIFY) 7-32 Not ascertained 8

res	•	•			٠			•		•	,		٠	٠		٠	1-	٠42
٠o،												,					,2	
on	't	kn	OW														.7	
iot	as	ce	rta	1	ne	d			,								8	

 $z_{1}, z_{2}, z_{3}, z_{4}, z_{5}, z_{5},$

Sound are stabilities as the second of the stabilities and the stabilities are stabilities are stabilities and the stabilities are stabilities

		\int	No Don't kro		
•	Nave you ever been involved with any of those community groups or org	enizations?			7
			No Son't kno Not ascer		
	Could you tell me their names?			MAIN NUMBER OF ORGANIZATIONS)	-
	Ist pention				
	2nd mention		Not ascer	taines 98	
	3rd mention		Inesprepr	riate 99	1
	4th sention			1	I
	(RECORD ALL MAMES MENTICKED)			₩	
	(ASK C-F FOR FIRST 3 ORGANIZATIONS MENTIONED)				1
	(ASK FOR FIRST GROANIZATION MENTIONED IN 8)			f	
	• • • • • • • • • • • • • • • • • • •	i. Dir yo. take p activities? Yes		F1. To you trink that the organization's offerts helped, turn or dion't make any difference? The difference 3-turn 1-turn 1-tur	
	(ASK FOR SECOND ORGANIZATION MENTIONED IN 3.)			(60 TO C2) (7
	From what you know has ever tried to do anything about crime in your neighborhood? Yes (GO TO D2)	2. Did you take practivities? Yes	::: <u></u>	F2. To you think that the organization's efforts helped, turn or didn't make any differences?	
_	(ASK FOR THIRD ORGANIZATION MENTIONED IN B)	·····		(60)7 (53)	1
		Dir you take positivities?	1——	F3. Do you think that the organization's efforts help-ed, nurt or dign't make any difference?	
	Yes (60 TO 01) 1	No	: :	-eled	

Cd 1

12.	Do you know of any (other) special efforts or programs going on in your neighborhood to prevent crime?	
1	Yes	56
Α.	Please describe these efforts or programs and/or their names.	
	Inappropriate9	57-58 MOR
13.	In the past year, have you contacted the police to make a complaint about something or to request some kind of help? Yes	59
Α.	What was your last call to the police about? (ASK OPEN END MULTIPLE MENTIONS ALLOWED CODE BELOW) Report crime against self	60 61 62 63 64 65 66 67 68

70-75 MOR 76 Cd # 77-80 Job #

Cd 2]-20 ID

14. Have you contacted any public official, other than police, in the No past year to make a complaint about Don't know 7 something or to request some kind Not ascertained 8 of help? What was your last call to a public official about? (ASK OPEN END -- MULTIPLE MENTIONS ALLOWED -- CODE SELOW) 22 23 24 25 26 Complaints about specific officer or incidents General request of information from a public official 27 Public services problem (sewer, streets, 28 29 Other ___ 30 (SPECIFY) Not ascertained 31.

KP - 0 Fill

32-41 MOR

- arra-errararan atau arra-aran agadalan andah albahahan angahahahah

15. Now, I am going to read you a list of crime-related problems that exist in some parts of the city. For each one, I'd like you to tell me how much of a problem it is in your neighborhood. Is it a big problem, some problem, or almost no problem in your neighborhood? (ROTATE) (VOLUNTEERED) Almost Not A Big Some No Ascertained/ Problem Problem Problem Don't Know For example, groups of teenagers hanging out on the streets. Is this a big problem, some problem or almost no problem in your neighborhood? 42 3 2 1 9 Buildings or storefronts sitting abandoned or burned out. Is this a big problem, some problem, or almost no problem in your 3 1 9 43 neighborhood? People using illegal drugs in the neighborhood. Is this a big problem, some problem, or almost no problem. 44 3 2 1 9 Vandalism like kids breaking windows or writing on walls or things like that. How much of a problem is this? 45 3 2 1 9 16. Was there ever a time in this country Yes when crime seemed to be much less of a problem than it is now? (IF YES) When was that? About how many years ago? (PROBE: JUST A GUESS WILL DO. GET BEST ESTIMATE OF A SINGLE DATE OR YEARS AGO) 47-48 Inappropriate (INTERVIEWER: IF GIVEN RANGE RECORD BASED ON MIDDLE YEAR E.G. 1920-1925=1922; 50's=1955)

17.	What about burglary for the neighborhood in general. Is breaking into people's homes or sneaking in to steal something a big problem, some problem or almost no problem for people in your neighborhood?	A big problem 3 -49 Some problem	
18.	Do you personally know of anyone, other than yourself, whose home or apartment has been broken into in the past couple of years or so?	Yes	
a.	Did any of these break-ins happen in your present neighborhood?	Yes	51
19.	About how many times do you think this might have happened in your immediate neighborhood in the last year? (GET BEST ESTIMATE)	Don't know	52-54
20.	(READ SLOWLY) Now we're going to do something a little question, I'd like you to think of a rollet the ZERO stand for NO POSSIBILITY of the TEN will stand for it being EXTREME happen.	ow of numbers from zero to ten. Now, TALL of something tappening, and	
a.	On this row of numbers from ZERO to TEN someone will try to get into your own thing. (REREAD INSTRUCTION IF NEO	(house/apartment) to steal some-	
		Don't know 97 Not ascertained 98	55-56

	Has (NOT	TE THIS APPLIES TO ALL RESIDENCES IN LAST		(EFK3)		
•			Con't	know		. 1-57 2 7 . 8
22.	for	th of the following three things would yokeeping your house safe from burglars: iving in a good neighborhood?				
			Being Livin Being (VO Being nei Being nei All t Cther	caref g in g lucky LUNTEE lucky ghborh caref ghborh hree (VOLU (SPECI know	cod neighborhood . /peing careful RED)	.02 .03 . 04 . 05
23.	from	going to mention a few things that some burglary. As I read each one would you family does that?	pecole	do to	me whether or not LUNTEERED)	
23.	from your a. !	burglary. As I read each one would you	pecole	do to	me whether or not	es 60
23.	from your a. ! b. [burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they	people pleas Yes	do to e tell (VO	The whether or not LUNTEERED) Don't Know	
23.	from your a. ! b. [burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special	people pleas Yes	do to e tell (VO No	me whether or not LUNTERED) Don't Know	60
23.	from your a. I b. [burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special locks on your windows? Do you have a peep-hole or little window in your door to identify	people pleas Yes 1	do to e tell (vo No 2	me whether or not LUNTERED) Don't Know 7	60 61
	b. [Now, d. D	burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special locks on your windows? Do you have a peep-hole or little window in your door to identify become before letting them in?	people pleas Yes 1	do to e tell (vo No 2	me whether or not LUNTERED) Don't Know 7	60 61
	b. [] c. [Now, d. [y	burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special locks on your windows? Do you have a peep-hole or little window in your door to identify beople before letting them in? think of the last time you just went ou bid you leave a light on while	people pleas Yes l l tatn	do to e tell (VO No 2 2 2 fight. 2	me whether or not LUNTEERED) pon't Know 7	60 61 62 63
	b. [Now, Now. e. D	burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special locks on your windows? Do you have a peep-hole or little window in your door to identify seeple before letting them in? think of the last time you just went ou you leave a light on while you were gone?	people pleas Yes l l tatn	do to e tell (VO No 2 2 2 fight. 2	me whether or not LUNTEERED) pon't Know 7	60 61 62 63
	from your a. !	burglary. As I read each one would you family does that? Have you engraved your valuables with your name or some sort of identification, in case they are stolen? Do you have any bars or special locks on your windows? Do you have a peep-hole or little window in your door to identify beeple before letting them in? think of the last time you just went ou bid you leave a light on while you were gone? think of the last time you went away from the country of the country of the police so they	people pleas Yes l tatn l om homs	do to e tell (VO No 2 2 2 ight. 2	me whether or not LENTERED) pon't know 7 7 7 7 more than a day or	60 61 62 63 so.

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					Cd 3 1-20	
24.	How about people being robbed or their purses or wallets taken or street. Would you say that this big problem, some problem or alm no problem in your neighborhood?	the is a most	Some prob Almost no Don't kno	em	2	
25.	How about yourself? On the row about before, how likely is it i will try to rob you or take your neighborhood? Remember TEN mean POSSIBILITY at all.	in the next	couple of	years that :	someone.	
			Don't kno	RITE IN NUMB	97	22-2
26.	Do you personally know of anyone or had their purse or wallet tak someone tried to do this to them	en, in the	an yoursel past coup	f, who has be le of years,	een robbed or if	-
			No Don't kno	w		
Д	. Where did these robberies hap hood, someplace else in the c	pen? Were	they in y	our present	neighbor-	
	• •	First Mention	Second Mention	Third Mention		
	Present neighborhood City Out-of-town Don't know Not ascertained Inappropriate	1 -25 2 3 7 8 9	1 –26 2 3 7 8 9	1 -27 2 3 7 8 9	·	
; }	Sesides robbery, how about people attacked or beaten up in your nei nood by strangers. Is this a bigoroblem, some problem or almost no problem?	ighbor-	Some probl		2	
1	How about yourself? On the row of the third that some stranger would try the third the next couple of the likely and ZERO is NO POSSIBILITY	o attack a f years?	and beat yo	u up in your	present	-
			Don't know	TE IN NUMBER	97	29-30

		Don't kno	ow		1-: 2 7 8
. Where did these attacks happen? someplace else in the city, or			esent n e ighbo	orhood,	
	First <u>Mention</u>	Second Mention	Third Mention		
Present neighborhood City	1-32 2	1-33 2	1-34 2		
Out-of-town Don't know	3 7	.3 .7	3 7		
Not ascertained Inappropriate	. 8 . 9	8	8 9	٠.	
		·			-
). What kinds of people do you he in your neighborhood? Are the or children?	ear about being victims most	ng attacked ly older p	i; beaten up, eople, young	or robbed er people,	-
in your neighborhood? Are the	ear about bein victims most	:ly older p 	people, young	er people,	1-3
in your neighborhood? Are the	ear about being victims most	Older p Older p Younger Childre	people people	er people,	1-3 2
in your neighborhood? Are the	ear about being victims most	Older p Older p Younger Childre	people, young people people shination of	er people, older,	1-3 2
in your neighborhood? Are the	ear about being victims most	Older p Older p Younger Childre Any com young	people, young people people people phination of per people, c	er people,	1-3 2 3
in your neighborhood? Are the	ear about being victims most	Older p Younger Childre Any com young (VOLU	people, young people people phination of per people, c INTEERED) hear specifi	er people, older, hildren cs	1-3 2 3 .4
in your neighborhood? Are the	ear about being victims most	Older p Younger Childre Any com (VOLU No crim Don't k	people, young people people people phination of per people, countreersD) hear specifi	er people, older, hildren NTEERED).	1-3 2 3 .4 .5 .6 7
in your neighborhood? Are the	victims most	Older p Younger Childre Any com (VOLU No crim Don't k	people, young people people people people people, c per people, c presser presse	er people, older, hildren NTEERED).	1-3 2 3 .4 .5 .6 7

Don't know Not ascertained . . .

36

Do you personally know anyone who has been a victim of an attack by strangers

31.	During the past week, abou outside after dark? (GET	t how many BEST ESTIMA	times did (TE)	you leave ; (PROBE: Ji	your home a UST A GUESS	and go WILL DO)
	(RECORD NUMBER)		know certained .		. ,97 . 98	37-38
							-
32.	In the past two weeks, ab your neighborhood for eve somewhere like that? (GET	nino entert	:ainment	· to go to :	a show or		
	(RECORD NUMBER)			know scertained			39-40
33.	Now I have a list of things being attacked or robbed on me whether you personally do	the street	. As I rea	ad each one	would you	tell	
		Most Of The Time	Some- Times	Almost Never	(VOLUN N.A./ Don't Know	TEERED) Inapp./ Don't Go Out	, -
a. b.	how often do you get someone to go with you because of crime? How often do you go out by	3	2	1	7	8	41
c.	car rather than walk at night because of crime? How about taking something	3	2	1	7	8	42
••	with you at night that could be used for protection from crime like a dog, whistle, knife or a gun.						•
d.	How often do you do some- thing like this? How often do you avoid	3	2	1 .	7	8	43
,	certain places in your neighborhood at night?	3	2]	1	7	8	44
dd.	How close to your home is the BLOCKS. IF MENTION MORE THAN	place you ONE, RECC	try to ave	oid? (GET	EEST ESTIM	ATE IN	
	•		Ko da Kot a	: NO SAFE angerous pl ascertained	BER OF BLO PLACES = 0 aces) 96 98	3 45-4

147642 11 .42

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37. In the last week, have you read any daily newspapers? Not ascertained (GO TO Q. 38) . . 8 a. Which one(s)? (CIRCLE ALL THAT APPLY) Chicago Philadelphia San Francisco 55-56 Tribune . . . 10 Evening Bulletin. . . 20 Chronicle 31 57-58 Bay Guardian . . . 32 59-60 Other _ Defender13 Tribune 24 33 61-62 Other (SPECIFY) Other (SPECIFY) 14 63-64 65-66 Not ascer-Inappropriate . . 99 Inappropriate 99 Inappropriate . 99 Do you read a <u>local</u> or <u>community</u> newspaper regularly? Yes Not ascertained . . Inappropriate (Can't Read) Yesterday, did you read any stories about crime in any paper? Yes 1 -68 Didn't read paper yesterday (VOLUNTEERED) 3 Not ascertained Inappropriate (Can't read) 9

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		Cd 4 1-20 ID
40.	Thi cou sti	nking of all the crime stories you've read, seen or heard about in the last ple of weeks, is there a particular one that you remember, or that cks out in your mind?
		Yes
	a.	What crime was that?
	b.	What did you read or hear about it? (Crime mentioned)
41.	Cons sour END	idering all the sources you use to get information, what's your <u>best</u> ce of information about crime <u>in your neighborhood?</u> (ASK OPEN CODE RESPONSE BELOW. ONE RESPONSE ONLY)
		Local community paper

		Yes
a.	Who have you talked to? We don't want names, only the person's relationship to you.	(CODE FIRST MENTION ONLY) Wife/husband/spouse
SO	t about rape and other for how frequently has this su never, occasionally, or v	ms of sexual assault? In the past month or bject come up in conversation would you ery often?
	•	Never 1 - 26 Occasionally 2 Very often 3 Don't know 7 Not ascertained 8
ssault.		s about the problem of rape or sexual
SO	mewhat of a problem, or a	lmost no problem at all?
v		Big problem 3-27 Somewhat of a problem
iny	you think that the number of cour neighborhood is going ag down or staying about the court of th	up. Down
rape	t how many women would you d in your neighborhood in BE: JUST A GUESS WILL DO)	guess have been sexually assaulted or the last year? (GET BEST ESTIMATE)

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ASK OF FEMALES ONLY

(ASK Q. 47-49 OF FEMALE RESPONDENTS ONLY)

	(ASK Q. 47-49 OF FEMALE RESPONDENTS ONLY)			
.47F.	On the zero to ten scale we have been using chances are that someone will try to sexue neighborhood? Let TEN mean that your chanc ZERO mean that there is NO POSSIBILITY at a (PROBE: JUST A GUESS, 0-10 WILL DO)	ly assault you in this es are EXIREMELY HIGH and		
	(RECORD NUMBER)	Don't know	31	-32
48 F.	Now, think about the last time you went out neighborhood. How afraid or worried were yo assaulted or raped? Use the same numbers z (RECORD NUMBER) 0-10	u then,about being sexually	33	-34
49F.	Do you personally know of anyone who has been sexually assaulted?	-Yes		
50A.	Did this happen to someone you know, or to yourself?	Someone you know 1 Yourself 2 Both		36
506.	When this happened to you, did you report it to the police?	res		37
	How long ago did this take place? ASK AS OPEN END)	Within past six months.1 Seven months-1 year2 Between 2-5 years ago.3 Between 6-10 years ago.4 More than 10 years ago.5 Don't know	(38
50D.	Where did these sexual assaults happen?	(READ CODES) Second Third		
	Mention	Mention Mention 1-40 1-41 2 2 3 3 7 7 8 8 9 9		

(ASK OF MALES CHLY)

	. THOK OF MALES				
47M.	What do you think the chances are of a woman being sexually assaulted in this neighborhood? Let TEN mean that chances of rape are EXTREMELY HIGH and ZERO mean that there is NO POSSIEILITY at all. (PROBE: JUST A GUESS, 0-10 WILL DO)				
	(RECORD NUMBER)	Not a	scertained		3 42-43
48M.	Not asked				44 MC
4014	Do you personally know of anyone who	Vaa		*	-45
49M.	has been sexually assaulted?	No . Don't	know		7
50M.		No . Don't Not a	know scertained		7
	has been sexually assaulted?	No . Don't Not a	know scertained		7

ASK OF EVERYONE

51. There are many different opinions about how to prevent rape or sexual assault from happening. I'm going to rention several possible ways of preventing rape and we'd like to know what, in general, you think about each of these ideas. For each one I read, please tell me how much you think it would help to prevent rape, would it: Help a great deal, help somewhat, or help hardly at all. (READ CATEGORIES) (ROTATE)

		Help A Great Deal	Help Somewhat	Help Hardly At All	Don't Know/ Not Ascertained	
a.	Stronger security measures at home, like better locks or alarms					
	Would they (READ CATEGORIES)	3	2	1	7	49
b.	Women not going out alone, especially at night.	3	2	1	7	50
c.	Women dressing more modestly, or in a less sexy way.	3	2	1	7	51
d.	Providing psychological treatment for rapists. Would this	1				
	(READ CATEGORIES)	3	2	1	7	52
e.	Encouraging women to take self-defense classes, like judo or					
	karate.	3	2	1	. 7	53
f.	Women carrying weapons for protection, like knives or guns.	3 .	2	1	7	54
g.	Newspapers publicizing names and pictures of known rapists.	3	2	1	7	55
h.	Nomen refusing to talk to strangers. Would this					
	(READ CATEGORIES)	3	2	1	7	55
1.	Stopping the push for women's rights and women's liberation.	3	2	1	7	57
j.	Rape victims fighting	J	•	•	•	
	back against their attackers.	3	2	1	7	58
k.	Increasing men's respect for all			_	_	
	women.	3	2	1	7	59

Is there anything else that you can think of that would help prevent rape? (IF YES, WHAT?)

m. From all the things you can think of, which one do you feel would work $\underline{\text{best}}$ to help prevent rape?

Finally, we have a few more questions for statistical purposes.

D1. How many years have you personally	Don't know 97	60-61
lived in your present meighborhood?(RECORD YEARS)	Not ascertained	
D2. Do you live in a single family house, an apartment building with less than 7 units or a building with 7 or more units?	Single family	_
D3. Do you own your home or do you rent it?	Rent 1 -63 Own (includes buying) 2 Don't know	-
D4. Do you expect to be living in this neighborhood two years from now?	Yes	
D5. Do you carry an insurance policy which covers your household goods against loss from theft or vandalism?	Yes	
D6. What is the last grade of school you completed?	No formal education00 -66/67 Grade school or less (Grades 1-8) 01 Some high school02 Graduated high school (Grades 9-12) 03 Vocational/Technical school	7

12

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D7.	How many children under the age of 18 are currently living with you?	Don't know	68-6
D8.	Are you presently employed somewhere or are you unemployed, retired, (a student), (a housewife), or what?	Working now 01 With a job, but not at work because of temporary illness, labor dispute, on strike, bad weather . 02 Unemployed 03 Retired 04 In school 05 Keeping house 06 Disabled 07 Armed service 08 Other	70-71
a.	What is your occupation?		72 -7 3
	(RECORD VERBATIM)		MOR
D9.	Considering all sources of income and all salaries of people who worked last year, what was your total household income in 1976? You don't have to give me an exact amount, I'll just read some categories and you tell me which applies to your household.	Below \$6,000 0 -74 Between \$6,000 and \$9,999 l Between \$10,000 and \$14,999	

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DIO. Besides being an American, we would like to know what your ethnic back- ground is. For example, is it Irish, Puerto Rican, Afro-American or what?	Cd 5 1-20 ID
Dll. For statistical purposes, we would also like to know what racial group you belong to. Are you Black, White, Asian, or something else?	Black 1 -36 White 2 Asian 3 Other 4 Refused 6 Don't know 7
D12. Were you born in the United States or somewhere else?	Born in U.S
D13. By the way, since we picked your number at random, could you tell me if your phone is listed in the phone book or is it unlisted?	Listed
D14. We also need to know how many different telephone numbers you have at home. Do you have another number besides this one? (IF YES, HOW MANY) (NUMBER OF OTHER NUMBERS)	Don't know 97 -39/40 Not ascertained 98
DIS. What is your age?	(Record exact age) Refused 97-41/42 Not ascertained 98

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<u>...</u>

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F.

<u>...</u>

QUALITY CONTROL ITEMS (INTERVIEWER -- RATE INTERVIEW FOR ALL RESPONDENTS) Q.1 Respondent's English was: Good Fair Q.2 Was interview taken in Spanish? Q.3 Respondent was: Very cooperative. 1-52 Fairly cooperative 2 Not very cooperative 3 Q.4 Respondent seemed: Very interested in his/her attention. . . . 3 Q.5 Do you believe the information Accurate L54 Inaccurate 2 given to you by the respondent is . . . Please explain

55-75 MOR

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Cd 5

We know that crime is a problem in many neighborhoods. We are going to be interviewing some people in person to discuss the ways they protect themselves from harm, including sexual assault. It would help us if you would talk with us. We will be able to pay you something (\$10) and we could come directly to your house or meet you somewhere else at a time that is convenient for you. Would you like to participate?

No .								1-43
Yes	(G0	ΤQ	TEAR	SHEET)				2
Unde	cide	3\b	OK.					7