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RACE/ETHNICITY, JUVENILE COURT PROCESSING AND CASE OUTCOMES: FLUCTUATION OR STABILITY?

Final Report

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Michael J. Leiber, Ph.D. Professor and Chair Department of Criminology College of Behavioral and Community Sciences University of South Florida 4202 East Fowler Ave. SOC 107 Tampa, FL 33620 (813) 974-9704 - Office (813) 974-2803 - Fax mjleiber@bcs.usf.edu

Jennifer H. Peck, Ph.D. School of Criminology & Criminal Justice Florida Atlantic University 777 Glades Road Boca Raton, FL 33431 Jpeck2@mail.usf.edu

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RACE/ETHNICITY, JUVENILE COURT PROCESSING AND CASE OUTCOMES: FLUCTUATION OR STABILITY?

ABSTRACT

Sampson and Laub's (1993) perspective contends that community characteristics, especially underclass poverty and racial inequality, influence the social control of youth in juvenile justice proceedings. Structural factors are believed to enhance class and race stereotypes of the poor and Blacks as either criminals or drug offenders, but can also be characterized as sexual, aggressive, etc. In turn, these actual and/or perceived threats to middle class values result in the poor and Blacks being subjected to greater social control in communities evidencing impoverishment and racial inequality. An interpretation of the perspective is that the social control of youth, and especially minority youth, will fluctuate over time due to associations with and changes in the economic and racial/ethnic inequality of communities. The main objective of the present study was to use Sampson and Laub's structural theory of inequality to examine whether characteristics of communities explain the social control of youth in general but also focuses on potential racial/ethnic and drug offending disparities across White, Black, and Hispanic youth within juvenile justice proceedings. In anticipation of these possible relationships, an assessment was done to see to what extent these relationships vary or remain relatively stable over time, and if they are race and/or ethnic specific with drug offending.

Data was provided by the National Juvenile Court Archive (NJCA) and represented county-level aggregated information for sixteen states involving 172 counties for over thirty years (1985, 1995, 2005, and 2009). Ordinary Least Squares (OLS) regression was used to predict the proportion of referrals petitioned, detained, received out-of-home placement, and change models to understand how changes in the independent variables over time influenced changes in the dependent variables over time. A second data set, also provided by NJCA, was used that represented individual-level data of all delinquent referrals in 67 counties in a Northeast state from January 2000 through December 2010. Legal variables (e.g. crime severity, prior record), extralegal considerations (e.g. gender, age), and decision-making at intake, adjudication, and judicial disposition were captured. Hierarchical generalized linear modeling (HGLM) was used to analyze the data for the purpose of simultaneously estimating the amount of variation of both the individual (level-1) and county (level-2) measures at three processing junctures. In addition to the estimation of main and interaction effects, cross-level interactions were also estimated to examine how youth from different racial/ethnic backgrounds are treated in the juvenile court depending on county of residence.

In short, minimal to modest support was found for Sampson and Laub's (1993) perspective. Macro-level variables were at times found to be determinants of social control at each of the four time frames and to a somewhat greater extent in explaining case outcomes in the 67counties in a Northeast state. However, the effects were sporadic and not always in the predicted direction. In fact, underclass poverty and racial/ethnic inequality most often were not statistically significant determinants of social control. Limited evidence was also found for anticipated relationships between community characteristics and disadvantaged treatment of minorities and drug offenders. When community characteristics significantly impacted the treatment of Blacks, Hispanics, and/or drug offenders and decision-making, the effects at times resulted in leniency

rather than greater social control. An examination of the results across thirty years showed, with a few exceptions, stability in the relationships rather than fluctuation or change.

At the individual-level, Black drug offenders were subjected to greater social control at intake than other offenders. Hispanics and Hispanic drug offenders were also found to have a greater odds of being adjudicated compared to similarly situated Whites. At judicial disposition, Blacks and Hispanics had a greater likelihood of receiving the more severe outcome of out-homeplacement compared to Whites. These effects were enhanced if a minority youth was charged with a drug offense. In addition, drug offenders and in particular, Black drug offenders and Hispanic drug offenders, were responded to differently throughout court proceedings than other types of offenders. The findings reported here indicate that underclass poverty and racial/ethnic inequality alone (or if at all) do not seem to account for these occurrences.

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EXECUTIVE SUMMARY

INTRODUCTION

One of the most well documented and controversial features of the juvenile justice system and the criminal justice system is the disproportionate representation of African Americans and to a lesser extent, Latinos, Native Americans and other minority youth among those subjected to social control (Bishop, Leiber, & Johnson, 2010; Bridges & Steen, 1998; Hartley, Maddan, & Spohn, 2007; Huizinga et al., 2007; Kempf-Leonard, 2007; Mauer & King, 2007; Pope & Feyerherm, 1993; Steffensmeier, Ulmer, & Kramer, 1998). Many studies have discovered that legal criteria (i.e. crime severity), and to some degree extralegal factors (i.e. assessments about the family, school status); explain some of the overrepresentation in the juvenile justice system (Pope, Lovell, Stojkovic, & Rose, 1996; Pope & Snyder, 2003; Tracy, 2005; Cohen & Kluegel, 1979; Rodriguez, Smith, & Zatz, 2009). A number of comprehensive reviews of this literature, however, report that legal and extralegal factors alone are unable to account for race differentials in involvement in juvenile court proceedings (Bishop & Leiber, 2012; Bishop, 2005; Engen, Steen, & Bridges, 2002; Leiber, 2002; Pope & Feyerherm, 1993; Pope, Lovell, & Hsia, 2002; Pope & Leiber, 2005).

These overall findings indicate that both delinquency and the administration of social control of youth need to be placed within historical, structural, political, and organizational contexts. Furthermore, race stereotyping is often fostered by these same contexts and are important in understanding the relationship between when race and ethnicity matter and increased social control (e.g., Bridges & Steen, 1998; Fagan, 2010; Feld, 1999; Graham & Lowery, 2004; Leiber, 2003; Rodriguez, 2010; Sampson & Laub, 1993).

Most of the research conducted has overwhelmingly focused on the influence of macrolevel factors on *criminal* justice sentencing. The relative neglect of the effects of the social contexts on *juvenile* justice decision-making is surprising, given the "loosely coupled" structure of the juvenile court. In particular, the *parens patria*e foundation of the juvenile court and reliance on a host of legal and extralegal considerations by decision-makers allows for widespread discretion and possible race and/or ethnic differences in case outcomes (e.g., Bishop et al., 2010; Feld, 1999; Harris, 2007). The economic conditions of a jurisdiction would seem and have been found to be viable explanatory factors in the increased social control of youth and in particular, minorities, in the juvenile justice system (Rodriguez, 2013; Sampson & Laub, 1993). Yet, little is known concerning the extent that economic macro-level considerations as a contextual framework hold influence over juvenile court decision-making.

An exception is the work by Sampson and Laub (1993), who provide a modified conflict theory that emphasized the interplay between the war on drugs, the macro-structural characteristics of communities, and racial stereotyping, to explain the increased social control of youth and in particular, minority youth who are involved in drug offending. Little research has been conducted that tests Sampson and Laub's (1993) structural inequality perspective. Furthermore, inherent within their perspective is the assumption that the social control of youth should fluctuate over time. That is, changes in social control are thought to be in response to, or at least associated with, changes in the macro-level structural characteristics of a community (e.g., inequality, racial/ethnic inequality). To date, research has not been conducted to assess the validity of this assumption. In the present study, these voids in the literature are addressed.

More specific, the main purpose of the present study is to use Sampson and Laub's structural theory of inequality to examine whether characteristics of communities explain the

social control of youth, especially minority youth, in juvenile justice proceedings. In anticipation of these possible relationships, an assessment is done to see to what extent these relationships are race and/or ethnic specific and whether the effects vary or remain relatively stable over time. Data from the National Juvenile Court Data Archive (NJCDA) is used for the study. The time-frames examined are 1985, 1995, 2005 and 2009.

Sampson & Laub's (1993) Macrolevel Theory of Inequality and Social Control

Sampson and Laub (1993) put forth a perspective that contends that community characteristics, such as poverty and inequality, will influence social control in the form of juvenile justice proceedings. Structural factors will also fuel or augment class and race stereotypes of the poor and Blacks as either criminals, drug offenders, and/or as sexual, aggressive, etc. These actual and perceived threats to middle-class values in turn result in the poor and Blacks being subjected to greater social control in counties evidencing impoverishment and racial inequality. Inherently, the social control of youth and especially minority youth should fluctuate over time due to associations with and changes in the economic and racial/ethnic inequality of communities. The overall goal of the present study is to examine if this premise is true.

Furthermore, although macro-level contextual approaches have been used to study race and social control in the criminal justice system, very few studies have been conducted to assess the relationships between community characteristics, race, ethnicity, and juvenile court outcomes. Moreover, only a few studies exist that have specifically applied Sampson and Laub's (1993) theoretical perspective to understand juvenile justice outcomes. Last and more importantly, no study has assessed the fluctuation or the stability of race or ethnic effects on the

social control of youth in the juvenile justice system within the context of structural community characteristics over time.

Of the few studies conducted to date, mixed results have been produced that characteristics of communities in the form of concentrated disadvantage and racial inequality hold relevance over juvenile court outcomes. For example, Sampson and Laub's (1993) initial test of the theory discovered that youth, especially Black drug offenders, were subjected to increased social control at the stages of detention and judicial disposition. Additional research has shown some evidence that youth processed in courts located in communities characterized by underclass poverty and racial inequality results in the increased social control of minority youth. However, other studies have indicated that relationships may be conditioned by the amount of variation in the structural characteristics across jurisdictions and the stage examined.

Other studies that have used macro-level indicators based somewhat on measures tapping into concentrated poverty and inequality have also yielded inconsistent results. Some studies find support, while others find little to no support. Also, some studies have reported that the control of minority youth at the individual level occurs regardless of structural characteristics and often involves both more severe and lenient treatment.

JUSTIFICATION AND IMPLICATIONS FOR PRESENT RESEARCH

The need for the present research rests on several factors. First, only a few tests of Sampson and Laub's macro-inequality and social control perspective have been conducted. Of those studies, support for the perspective has been mixed (Sampson & Laub, 1993; Leiber & Jamieson, 1995; Leiber & Stairs, 1999; Leiber, 2003; Sutton, 2013) but this body of research has limitations. For example, Sutton (2013) used the perspective as a framework to study *criminal* justice proceedings, and despite non-findings, concluded that Sampson and Laub's (1993)

perspective and structural contexts in general should be subjected to further inquiry. This is especially true concerning juvenile justice proceedings where greater discretion and informality exists compared to the criminal courts. The research by Leiber (2003), Leiber and Jamieson (1995), and Leiber and Stairs (1999) examined counties in one state that were more homogeneous in composition than different. This limitation could account for the limited support for Sampson and Laub's (1993) perspective. Recall that Sampson and Laub (1993) examined data from 1985 consisting of aggregated individual-level juvenile court records involving over 200 counties across the United States and found support for their perspective. Last and more importantly, no study has assessed the fluctuation or the stability of the social control of youth, especially minority youth, in the juvenile justice system within the context of structural community characteristics over time. These limitations served as the impetus for the present research.

The main purpose of the present study is to use Sampson and Laub's structural theory of inequality to examine whether characteristics of communities explain the social control of youth, especially minority youth, in juvenile justice proceedings. In anticipation of these possible relationships, an assessment is done to see to what extent these relationships are race and/or ethnic specific and whether the effects vary or remain relatively stable over time. The objectives of the research are: (1) to examine whether macro-level factors in the form of structural characteristics of communities explain the social control of youth in juvenile justice proceedings; (2) to investigate the extent to which these relationships are similar or different for various racial/ethnic groups, and (3) to assess if these effects predict decision-making over time.

In particular, the current study examines three broad research questions. First, do disadvantaged structural characteristics of communities explain the social control of youth

throughout juvenile justice proceedings? Second, does greater social control result in counties with larger proportions of Black, Hispanic, and drug referrals within communities characterized by underclass poverty and racial/ethnic inequality? Third, to what extent do the reported relationships predict social control over time? That is, do the effects vary or remain relatively stable over a 30-year time period? Based on the research questions, it is hypothesized that counties characterized by underclass poverty and racial/ethnic inequality will have higher rates of social control compared to counties evidencing less underclass poverty and racial/ethnic inequality. We also predict that counties characterized by larger proportions of Black, Hispanic, and drug referrals to the juvenile court, as well as underclass poverty, and racial/ethnic inequality will correspond to greater social control, and when applicable, more severe juvenile court outcomes. Last, it is hypothesized that changes in the level of social control within communities will be dependent upon changes in communities' levels of underclass poverty and racial/ethnic inequality.

METHODS

Data

Data for the current study was provided by the National Juvenile Court Archive (NJCDA) located at the National Center for Juvenile Justice (NCJJ) and information from the 1980, 1990, and 2000 U.S. Census. Note that at the writing of this report, data needed for more specific racial and ethnic measures from the 2010 U.S Census has not yet been released. Two separate types of data were provided from the NCJDA. The first data set was created by NJCDA who aggregated all individual-level case records in 1985, 1995, 2005, and 2009 to the county-level in sixteen states throughout the U.S. for a total of 172 counties. The second data set

included all delinquent referrals in a Northeast state from January 2000 through December 2010 (n = 302, 531) for a final sample size of 302,531.

Analyses

The analysis plan consisted of three steps. First, Ordinary least squares (OLS) regression models were examined to predict the relationship between the independent and control variables at the individual-level and community-level on the proportion of youth within each county that were petitioned, detained, and sentenced to placement. This first step was replicated for the referral data from 1985, 1995, 2005, and 2009. Second, a reduced model was predicted across all three court outcomes and all four-time frames. The reduced models included the proportion of Black referrals, proportion of drug referrals, underclass poverty, racial inequality, and percent Black residents within the 1985 and 1995 referral data. The reduced models within the 2005 and 2009 referral data included the proportion of Black referrals, proportion of Hispanic referrals, proportion of drug referrals, underclass poverty, racial inequality, ethnic inequality, and percent Black residents. The third step in the analyses procedure was to estimate OLS change models to understand how changes in the independent variables over time may influence changes in the dependent variables over time (1980/1985-1990/1995; 1980/1985-2000/2005; 1980/1985-2000/2009). Four variables of interest were included in each change model depending on the years examined: changes in the proportion of Black referrals, changes in the proportion of drug referrals, changes in underclass poverty, and changes in racial inequality.

Since the data provided from the Northeast state comprises individual referrals compared to the other data that is aggregated counts of individual referrals in 172 counties, a different analytic procedure is needed to analyze over 300,000 referrals within 67 counties. Due to the nested nature of the data that includes juveniles residing within counties, a 2-level hierarchical

linear structure and hierarchical linear modeling (HLM) was used to analyze the data. Since each of the dependent variables have binary outcomes, hierarchical generalized linear modeling (HGLM) were used to assess the effect of individual (Level-1) and community level (Level-2) data on each court outcome.

The HGLM analysis plan also consisted of several steps. Each of these steps was conducted for each of the dependent variables (intake, adjudication, and judicial disposition). First, an intercept-only, unconditional model was estimated to determine if the mean rate of each dependent variable varied across counties. The results of each model were significant and confirmed the use of multi-level models. Second, the community-level variables (Level-2) were included in the model to estimate the effect of county-level measures on each dependent variable.

Third, all individual-level measures (Level-1) were included in the model to assess the effect of offender and offense characteristics on the court outcome while controlling for community-level characteristics. Fourth, an interaction term between the race/ethnicity of the offender and drug crimes were predicted to see if being a Black or Hispanic drug offender received disadvantaged court outcomes compared to other types of offenders. Fifth, cross-level interactions between a youth's race/ethnicity, drug offenses, and community-level variables of interest were estimated to understand how youth of specifically racial and ethnic backgrounds (with and without drug offenses) are treated within counties characterized by underclass poverty, racial inequality, ethnic inequality, and the percent of Black and Hispanic residents within counties.

Limitations

It is important to note that there were limitations concerning the aggregated data over the 30-year time frame. Due to issues with missing data, not all counties were able to be included within each statistical model. Furthermore, numerous counties did not collect information about certain variables included in the present study, and were removed from the analyses for different time frames. In other situations, depending on the nature of the variable, missing data was imputed with the mean or the mode. Since the data provided are counts of referrals within each county instead of individual case records, traditional imputation methods for missing data that have been used previously by OJJDP (i.e. record and format-level imputation) and prior criminological research were unable to be performed.

There were also limitations of the county-level data that pertained specifically to Hispanics in the 1980 and 1990 U.S. Census because numerous counties did not collect Hispanic-specific information until the 2000 U.S. Census. In addition, the aggregated referral data from 1985 and 1995 was also problematic concerning the Hispanic measure. This limitation prevented the inclusion of the proportion of Hispanic youth as a measure when predicting juvenile court outcomes in 1985 and 1995. Data pertaining to the detention stage was also problematic in a number of counties. For each of the four time frames, different counties were included to predict detention compared to the other outcome stages. The counties with missing detention data were dropped from the analysis when predicting the likelihood of detention. A more detailed description about missing data issues can be found in the final technical report.

RESULTS

Aggregated Counts of Delinquent Referrals over 30-Years

In regards to the results using aggregated counts of referrals over a 30-year time frame, modest to minimal support was found for the hypotheses. Although macro-level variables were at times found to be determinants of social control at each of the four time frames, the effects were sporadic (H_1) . In terms of whether social control increased in counties with larger Black, Hispanic, and drug referrals, as well underclass poverty and racial/ethnic inequality, the answer, for the most part, is no (H_2) . There are four exceptions to this conclusion. A significant interaction was discovered involving the percent of Black referrals and racial inequality with an increased level of detention in 1985. In addition, for the same time frame, the percent of Black referrals interacted with detention to decrease the proportion referrals receiving out-of-home placement. In 1995, the percent of Black referrals jointly with the percent of drug referrals corresponded to an increase in petitions. The fourth interaction effect involved the percent of Hispanic referrals and the percent of drug referrals with a decrease in the percent of petitions. Due to very few statistically significant effects involving underclass poverty and racial/ethnic inequality with the dependent variables (n=5) within each time frame, not much can be said concerning the stability and/or fluctuation in social control (H_3). The third hypothesis was specifically explored in the change models. With a few exceptions, stability in the effects rather than fluctuation or change occurred over time from 1985-2009.

County-Level Data and Individual-Level Data

In regards to the results of the multi-level examination of Sampson and Laub's (1993) perspective with both individual and county-level data, underclass poverty and racial/ethnic inequality were most often not statistically significant determinants of social control. Estimations

of cross-level interactions between the community-level variables (underclass poverty, racial/ethnic inequality, percent Black/Hispanic), and the individual-level variables (Black, Hispanic, drug offenses) yielded eight significant relationships with social control. These joint relationships, however, sometimes involved less social control rather than increased social control.

Although modest, some support is offered for the expectation that macro-level county characteristics predict juvenile court outcomes (H_1). Furthermore, these effects temper the treatment of drug offenders and to a much lesser degree, Blacks, Hispanics, and Black/Hispanic drug offenders. Similar to the results from using the aggregate count data, the percent racial/ethnic makeup of a community was at times predictive of social control. In addition, race/ethnicity alone and combination with drug offending influenced case outcomes depending on the stage examined. Last, the overall effects sometimes involved increased and decreased social control and the relationships vary by the stage in the proceedings. Thus, consideration of these findings leads to a conclusion of mixed support for the expectation that community characteristics condition the treatment of Blacks and Hispanics and in particular if these race/ethnic groups are involved in drug offending (H_2). Once again, it is important to note until data for the 2010 U.S. Census is released, this section of the analyses is unable to test the third hypothesis concerning the extent these relationships predict social control over time.

DISCUSSION AND POLICY IMPLICATIONS

Overall, minimal to modest support was found for Sampson and Laub's (1993) perspective and the hypotheses framing the research. Macro-level variables were at times found to be determinants of social control at each of the four time frames and to a somewhat greater extent in explaining case outcomes in the 67counties in a Northeast state. But, the effects were

sporadic and not always in the predicted direction. In fact, the macro-level variables of interest and in particular, underclass poverty and racial/ethnic inequality, most often were not statistically significant determinants of social control. While contrary to the results reported by Sampson and Laub (1993) and some other research, the failure to find community characteristics to be predictive of social control is consistent with those reported elsewhere.

Limited evidence was also found for anticipated relationships between community characteristics and disadvantaged treatment of minorities and drug offenders. When community characteristics significantly impacted the treatment of Blacks, Hispanics, and/or drug offenders and decision-making, the effects at times resulted in less rather than more social control. Findings indicating leniency instead of harsh outcomes was also present involving direct or main effects of community characteristics with the treatment of youth. This inconsistency in the direction of the relationships involving minorities and case outcomes has been frequently discovered by prior research in the juvenile justice system. That is, minorities are disadvantaged at some stages in processing but not others or, depending on which stages are examined; minorities receive both more severe and more lenient outcomes. The interpretations offered in those studies suggested that decision-makers may compensate or correct racial inequities that they are aware were introduced at earlier stages.

In addition, Black drug offenders received more social control at intake than other offenders. Hispanics and Hispanic drug offenders were also found to be more likely to be adjudicated once all relevant controls were considered. At judicial disposition, Blacks and Hispanics had a greater likelihood of receiving the more severe outcome of out-home-placement. These effects were enhanced if a minority youth was charged with a drug offense. These findings highlight that race/ethnicity still matters in juvenile justice proceedings and is also consistent

with prior research. Drug offenders and in particular, Black drug offenders and Hispanic drug offenders, were also responded to differently than other types of offenders. The findings reported here indicate that underclass poverty and racial/ethnic inequality alone (or if at all) do not seem to account for these occurrences.

Although little support was provided for Sampson and Laub's (1993) perspective, race/ethnicity individually and at times, in combination with drug offending, were found to explain the treatment of youth even after taking into account important legal and extralegal factors. In short, both legal considerations and race/ethnicity were determinants of case proceedings. Therefore, policy should be developed that is multi-prong in that efforts should continue to be made that address both the prevention of delinquent behavior and inequities in the handling of minority youth.

Therefore, to reduce the number of minority youth coming into contact with the system, community-based resources and programs need to be established and/or continued to be funded that focus on delinquency prevention and recidivism. It is important to establish outreach efforts to both parents and youth to connect them with activities that already exist. Most important is that minority youth have access to and the opportunity to participate in these programs. For example, services should continue to be funded and implemented that attempt to improve the life chances of youth such as skill development, educational attainment, and positive relationships with family and peers and those that address poverty and the social institutions within impoverished neighborhoods that are conducive for delinquent behavior (Bishop & Leiber, 2012; Welsh & Farrington, 2012).

The Disproportionate Minority Contact Mandate (DMC) is one policy implication that investigates the overrepresentation of minorities throughout the juvenile justice system, with an

underlying goal for the equitable treatment of all youth. On the basis of the results from the present study, interventions could involve cultural sensitivity training of key personnel within the juvenile justice system and change in the system itself. Changes that have been implemented elsewhere include legislative reform, administrative changes, and structural and procedural changes that impact decision-making. Efforts such as these should continue to be implemented as methods to address and possible reduce inequities in the treatment of minority youth.

The current research also has implications for future research. Some of the statistical models were based on a relatively small sample of counties. Furthermore, the counties used in the present research may lack variability in terms of the indicators of underclass poverty and racial/ethnic inequality. That is, the counties were more similar in terms of community characteristics than different. Therefore, future research is needed that incorporates a larger number of counties that also show sufficient variability in the Census measures. Future research should attempt to conduct additional analyses using 2010 Census information. Research may also want to consider the use of zip-codes, census tracts, segregation measures (i.e. a segregation index), and other information that can be obtained from units of analysis smaller than counties better identify possible pockets of disadvantage. Smaller units of analysis have the potential to unmask community and/or race/ethnic effects that were not found in the present study of counties.

Lastly, it is also possible that Sampson and Laub's (1993) inequality perspective needs theoretical refinement. For example, one avenue for theory development and future research may be to expand the notion of "threat" beyond the symbolic aspect to include multiple perceived threats, (e.g., political, cultural) that may be intertwined and possibly racialized. The consideration of multiple types of threats may place the perspective in a better position to

account for why (in addition to Blacks) certain populations are targeted for increased social control. Hispanics and the poor may be other groups that are perceived as threatening and in need of social control based on the decisions of court actors. Concomitantly, linkage to mid-level (e.g., focal concerns perspective) and micro-level (e.g., attribution theory) explanations may improve our understanding of social control. Support for potential theoretical refinements may also be found through the use of both quantitative and qualitative analyses. Observational studies of court decision-making, including police and school referrals, interviews with decision-makers, and content analyses of case files could provide additional insights into the contexts of when race/ethnicity influences case outcomes.

FINAL REPORT

I. INTRODUCTION

One of the most well documented and controversial features of the juvenile justice system and the criminal justice system is the disproportionate representation of African Americans and to a lesser extent, Latinos, Native Americans and other minority youth among those subjected to social control (Bishop, Leiber, & Johnson, 2010; Bridges & Steen, 1998; Hartley, Maddan, & Spohn, 2007; Huizinga et al., 2007; Kempf-Leonard, 2007; Mauer & King, 2007; Pope & Feyerherm, 1993; Steffensmeier, Ulmer, & Kramer, 1998). Many studies have discovered that legal criteria (i.e. crime severity), and to some degree extralegal factors (i.e. assessments about the family, school status), explain some of the overrepresentation in the juvenile justice system (Pope, Lovell, Stojkovic, & Rose, 1996; Pope & Snyder, 2003; Tracy, 2005; Cohen & Kluegel, 1979; Rodriguez, Smith, & Zatz, 2009). A number of comprehensive reviews of this literature, however, report that legal and extralegal factors alone are unable to account for race differentials in involvement in juvenile court proceedings (Bishop & Leiber, 2012; Bishop, 2005; Engen, Steen, & Bridges, 2002; Leiber, 2002; Pope & Feyerherm, 1993; Pope, Lovell, & Hsia, 2002; Pope & Leiber, 2005).

These overall findings indicate that both delinquency and the administration of social control of youth need to be placed within historical, structural, political, and organizational contexts. Furthermore, race stereotyping is often fostered by these same contexts and are important in understanding the relationship between when race and ethnicity matter and increased social control (e.g., Bridges & Steen, 1998; Fagan, 2010; Feld, 1999; Graham & Lowery, 2004; Leiber, 2003; Rodriguez, 2010; Sampson & Laub, 1993). In addition, legal criteria, extralegal factors, and process variables (i.e., detention) may be racially tainted to the disadvantage of minorities (e.g., Armstrong & Rodriguez, 2005; Frazier & Bishop, 1995; Leiber

& Fox, 2005; Leiber & Johnson, 2008). In conjunction with the pervasiveness of these results, Bishop's (2005) review of over 150 studies of literature on race and juvenile justice decisionmaking led to the conclusion: "The issue is no longer simply whether White and youths of color are treated differently. Instead, the preeminent challenge for scholars is to explain *how* these differences come about" (2005, p. 24). The objective of the present research is to do this by assessing the extent to which structural characteristics of communities impact juvenile justice decision-making and in particular, the treatment of youth and especially minority youth.

Most of the research conducted has overwhelmingly focused on the influence of macrolevel factors on *criminal* justice sentencing. The relative neglect of the effects of the social contexts on *juvenile* justice decision-making is surprising, given the "loosely coupled" structure of the juvenile court. In particular, the *parens patria*e foundation of the juvenile court and reliance on a host of legal and extralegal considerations by decision-makers allows for widespread discretion and possible race and/or ethnic differences in case outcomes (e.g., Bishop et al., 2010; Feld, 1999; Harris, 2007). The economic conditions of a jurisdiction would seem and have been found to be viable explanatory factors in the increased social control of youth and in particular, minorities, in the juvenile justice system (Rodriguez, 2013; Sampson & Laub, 1993). Yet, little is known concerning the extent that economic macro-level considerations as a contextual framework hold influence over juvenile court decision-making.

An exception is the work by Sampson and Laub (1993), who provide a modified conflict theory that emphasized the interplay between the war on drugs, the macro-structural characteristics of communities, and racial stereotyping, to explain the increased social control of youth and in particular, minority youth who are involved in drug offending. Little research has been conducted that tests Sampson and Laub's (1993) structural inequality perspective.

Furthermore, inherent within their perspective is the assumption that the social control of youth should fluctuate over time. That is, changes in social control are thought to be in response to, or at least associated with, changes in the macro-level structural characteristics of a community (e.g., inequality, racial/ethnic inequality). To date, research has not been conducted to assess the validity of this assumption. In the present study, these voids in the literature are addressed.

More specific, the main purpose of the present study is to use Sampson and Laub's structural theory of inequality to examine whether characteristics of communities explain the social control of youth, especially minority youth, in juvenile justice proceedings. In anticipation of these possible relationships, an assessment is done to see to what extent these relationships are race and/or ethnic specific and whether the effects vary or remain relatively stable over time. Data from the National Juvenile Court Data Archive (NJCDA) is used for the study. The time-frames examined are 1985, 1995, 2005 and 2009.

1.1 Sampson and Laub's Macro-Level Theory of Inequality and Social Control

Sampson and Laub (1993) developed a modified integrated conflict perspective consisting of macro-structural contexts with racial stereotyping based on an interpretation of Tittle and Curran's (1988) symbolic threat thesis and effects of the war on drugs on increased social control. Underlying the relationships between structural conditions with decision-making is an emphasis on class and race stereotyping. Sampson and Laub (1993) suggest that the poor, underclass, and minorities will be perceived by decision-makers as threatening and in need of social control in communities ranking high on economic and racial inequality.

Rather than perceiving youth as directly undermining positions of authority (as proposed by some versions of conflict theory) Sampson and Laub, similar to Tittle and Curran (1988), emphasize what youth and minorities symbolize to decision-makers: aggressive, sexual, and

lack discipline. Thus, what is stressed is the interplay between the characteristics of youth, especially Blacks, and the social psychological emotions of juvenile court officers. These emotions include fear and jealousy and are thought to manifest in beliefs that youth, the poor, and in particular minority youth, pose symbolic threats to White middle-class standards and public safety (Sampson and Laub, 1993, pp. 289–290).

Sampson and Laub (1993) further refine the symbolic threat concept by emphasizing decision-makers' use of stereotyping within a larger context symbolized by the "war on drugs" and the characteristics of the social structure. Sampson and Laub (1993) discuss the evolving stereotype of the poor black male as a drug user and drug dealer. Race, class, and drugs are seen as intertwined and difficult to disentangle (Sampson and Laub, 1993, pp. 290). Thus, perceptions that minorities are disproportionately involved in crime and drugs, and overall are believed to be linked to an urban underclass consisting of a population largely represented by the poor, minorities and female-headed African American families with children. The overall effect of this characterization of the poor and minorities in this manner translates into the greater social control of these disadvantaged groups. This effect is anticipated to be especially true in counties with greater underclass poverty and racial inequality (pp. 293).

In summary, Sampson and Laub (1993) put forth a perspective that contends that community characteristics, such as poverty and inequality, will influence social control in the form of juvenile justice proceedings. Structural factors will also fuel or augment class and race stereotypes of the poor and Blacks as either criminals, drug offenders, and/or as sexual, aggressive, etc. These actual and perceived threats to middle-class values in turn result in the poor and Blacks being subjected to greater social control in counties evidencing impoverishment and racial inequality. Inherently, the social control of youth and especially minority youth should

fluctuate over time due to associations with and changes in the economic and racial/ethnic inequality of communities. The overall goal of the present study is to examine if this premise is true. The objectives of the research are: (1) examine whether macro-level factors in the form of structural characteristics of communities explain the social control of youth in juvenile justice proceedings; (2) to investigate the extent to which these relationships are similar or different for various racial/ethnic groups, and (3) to assess if these effects predict decision-making over time.

II. REVIEW OF LITERATURE

Although macro-level contextual approaches have been used to study race and social control in the criminal justice system, very few studies have been conducted to assess the relationships between community characteristics, race, ethnicity, and juvenile court outcomes. Further, only a few studies exist that have specifically applied Sampson and Laub's (1993) theoretical perspective to understand juvenile justice outcomes. Last and more importantly, no study has assessed the fluctuation or the stability of race or ethnic effects on the social control of youth in the juvenile justice system within the context of structural community characteristics over time. Next, tests of Sampson and Laub's (1993) perspective are reviewed, followed by an assessment of research using macro-level factors in general to understand race, ethnicity, and social control in juvenile proceedings.

2.1 Tests of Sampson and Laub's (1993) Inequality Perspective

Sampson and Laub (1993) used data from 1985 consisting of aggregated individual-level juvenile court records involving over 200 counties across the United States. Drawing upon the 1980 U.S. Census and 1983 County and City Data Book, a measure of *underclass poverty* was developed based on the county-level proportions of residents receiving public assistance, Black residents, female-headed families with children, individuals in poverty, families with less than

\$5,000 income, non-married households, and female-headed families in poverty. A *racial inequality* measure was also constructed based on a ratio of Black to White individuals in poverty and the percent of Black families in poverty.

Other county characteristics were also included, such as *wealth* (measured by the percent of families in each county that had more than a \$50,000 yearly income and the median per capita income), *residential mobility* (an index that included the percentage of residents that moved within the last five years, the county population change from 1980-1984, and the net county migration), the *degree of urbanism* in counties (measured by the percentage of a county that residents in an urbanized area, the population size, and the population size per square mile), a measure of *youth* (the percentage of individual who are between the ages of 15-18 and the ratio of juveniles to adults in each county), and an indicator of *criminal justice resources* (per capita of county revenues, per capita spending on police, and per capital spending on state and local corrections).

Overall, the regression results showed both underclass poverty and racial inequality, to varying degrees, were predictive of the treatment of youth and in particular drug offenders at formal petition, secure detention, and out-of-home placement. When the results were disaggregated by race, counties characterized by underclass poverty was not found to be predictive of the detention of White youth, but was a determinant of the decision to detain non-petitioned Black youth. Counties with high levels of racial inequality were more likely to detain Black non-petitioned drug and property offenders compared to Whites. At judicial disposition, underclass poverty was positively related to rates of out-of-home placement for Black personal and drug offenders, but for White property offenders an inverse effect was reported. The overall findings lend some support for Sampson and Laub's (1993) theoretical perspective.

Three studies conducted by Leiber (2003), Leiber and Jamieson (1995), and Leiber and Stairs(1999), yielded mixed support for Sampson and Laub's (1993) structural inequality perspective. Leiber and Jamieson (1995), for example, used the theory to examine juvenile justice outcomes in four urban counties with the largest non-White populations in the state of Iowa. The sample consisted of delinquent referrals from 1980-1991. Various structural indicators of communities, beliefs of juvenile court decision-makers, and relevant legal and extra-legal variables were examined to predict case outcomes. Beliefs of juvenile court decision-makers consisted of attitudes towards the importance of punishing juvenile offenders for their crimes and attitudes towards racial differences in the behavior and attitudes of juveniles. Underlying the justification for the research was the inclusion of attitudinal beliefs. Sampson and Laub (1993) inferred the perceived threat of youth felt by decision-makers by evidence of race effects rather than directly asking decision-makers themselves about their attitudes toward punishment and their beliefs and fears concerning minorities and crime.

Race effects between Black youth and White youth were evident across some stages of justice proceedings. Minorities, however, were not always subjected to increased social control as predicted by the inequality and social control perspective. In addition, depending on the stage examined, disadvantaged community measures predicted both harsh and lenient outcomes, and at times were not significantly predictive of the dependent variable at all. Similar effects were discovered concerning race and decision-makers attitudes. Despite these findings, Leiber and Jamieson (1995) provided a direct test of Sampson and Laub's (1993) perspective and yielded some support for the contention that structural characteristics of communities involving underclass populations, inequality, and stereotyping by decision-makers impact the social control of the poor and minority youth.

In the second study, Leiber and Stairs (1999) focused on three of the jurisdictions included in the research by Leiber and Jamieson (1995). This study conducted an in-depth analysis of the intake stage and the use of diversion. Previous studies often collapse release and diversion into one category at the stage of intake and then compare to those youth referred for further court proceedings. Leiber and Stairs (1999) argued that combining both release and diversion outcomes at intake may mask potential race effects. It was believed that Black youth would not receive diversion relative to similarly situated Whites, but this traditionally would not be captured when collapsing release into diversion. Leiber and Stairs (1999) hypothesized that communities characterized by an underclass population and racial inequality would subject Black youth to increased social control. Blacks were also anticipated to be less likely to receive diversion than similar Whites.

Results indicated that consistent with expectations, Black youth were more likely to be referred on for further court proceedings compared to Whites in the jurisdiction with the greatest inequality and underclass populations. Contrary to expectations, drug offenders received the lenient outcome of "no probation" versus "probation" in the community ranked last on the inequality and underclass measures. Also, race did not impact diversionary outcomes in the most disadvantaged community. In fact, in one jurisdiction, Blacks were subjected to less social control compared to Whites across all three potential diversionary outcomes. Similar to Leiber and Jamieson (1995), Leiber and Stairs (1999) reported partial support for Sampson and Laub's (1993) perspective.

In the third study by Leiber (2003), both quantitative and qualitative methods were used to examine four counties in Iowa with a random sample of White and a disproportionate random sample of Black court referrals. Sampson and Laub (1993) were able to maximize the amount of

variation across community characteristics by using a nationally representative sample of U.S. counties. Leiber (2003) provided a more strict empirical examination of Sampson and Laub's (1993) perspective by testing the theory with four jurisdictions that were more homogenous in regards to racial composition. In addition, qualitative analyses in the form of responses by decision-makers through interviews and questionnaires were used to provide insights into race and social control.

Leiber (2003) concluded that Sampson and Laub's (1993) perspective was unable to account for race differences across the four counties in Iowa. Community characteristics predicted the treatment of youth in certain counties in some instances, but the results were not always in the expected direction. More importantly, race differences were reported in court outcomes in all four counties, irrespective of the levels of disadvantage within each county. Leiber (2003) also failed to find a relationship between being a minority youth, drug offending, and social control. In the four counties, drug offending, for the most part, was either not predictive of court outcomes or youth referred to the juvenile court for a drug offense received lenient outcomes compared to other types of offenses.

Results from the qualitative analyses of decision-makers provided further insights into the reported quantitative findings. The most prominent qualitative finding was that the role of race in juvenile justice decision-making played out differently in each county. For instance, depending on the jurisdiction examined, decision-makers perceived that Black referrals compared to Whites were delinquent, did not abide by middle-class standards, did not respect authority, and resided in dysfunctional families (Leiber, 2003). Leiber (2003) concluded that the relationship between race and social control is multifaceted and court outcomes are based on a mixture of the historical context of race, community influences, organizational characteristics of

the courts, legal criteria, and extra-legal factors. Each of these aspects may impact the treatment of youth and in particular, minority youth, in different ways depending on each decision-making stage.

Sutton (2013), also attempted to test the relevancy of Sampson and Laub's (1993) structural inequality perspective to pretrial detention outcomes, guilty pleas, and sentence severity of adult felony offenders in 40 counties in the year 2000. Racial income inequality and poverty concentration did not influence the social control of minority defendants across the three outcome measures. Income inequality in general had some effects with the dependent variables but the relationships were not always in the anticipated direction. In addition, race and sentence severity were not conditioned by structural context. In short, community characteristics had at best modest effects on criminal justice proceedings and the social control of minorities as measured in his study.

2.2 Macro-Level Research on Juvenile Court Outcomes

Dannefer and Schutt (1982) used Blalock's power threat thesis in their study of two counties and three police bureaus from each county in New Jersey. Blalock (1967) argues that the larger the proportion of the population made up by the minority group, the greater the competition over resources (i.e., money, property, prestige, voting rights) and the perceived challenges to the dominant group's status. The second group-level factor linked to minority group threat is economic equality. The traditional interpretation of this concept is that increases in the income and wealth of minorities relative to whites should make the latter group feel more threatened. Consequently, prejudicial attitudes will develop and discriminatory practices will be employed by the dominant group to diffuse the minority group threat. The likelihood of the dominant group perceiving and acting upon a minority group threat is dependent on the existing

political and economic relations between the groups. Dannefer and Schutt (1982) discovered that in the community with the higher proportion of minorities, police responded in a biased manner toward minority youth. Yet, in this same county, the bias was corrected, to some extent, by the courts.

Frazier and colleagues (1992) examined the case processing of youth in Florida with the specific objective of testing Hawkins' (1987) version of the power threat thesis. Hawkins (1987) called for a revised conflict theory that incorporates the historical contexts of race and punishment with the concept of Blalock's (1967) power-threat thesis to account for the anomalous findings in the criminal justice system. Hawkins' (1987) argued that as minority populations gain greater visibility through increased numbers and through gains in social, economic, and political domains, their threat to the advantage of majority groups becomes more intense (as does competition for resources). Under these conditions, the criminal justice system will exert greater social control as a method of diffusing this perceived or actual threat. As a result, discriminatory treatment will be more evident in settings where minority presence and economic equality is greater.

Frazier and colleagues (1992) argued that Hawkins' (1987) thesis actually stands in direct opposition to several traditional conflict theories, which posit that a lower proportion of minorities in the population allows this relatively powerless group to be subjected to greater social control. Hawkins' (1987) thesis contends that racial differences in social control will be evident in communities with greater numbers of minorities and racial economic equality. Frazier et al. (1992) tested this thesis by incorporating case-level variables and a number of social contextual variables (e.g., racial income inequality, percent white, white/black poverty, index crime rate, and juvenile arrest rate) to assess the case outcomes of Blacks compared to Whites at

intake, court referral, and court dispositions. Although not always consistent, the contextual variables were found to be significant determinants of case outcomes. Racial disparity in each of the three case outcomes was evident, and to some degree, was conditioned by the percent of White residents living in a jurisdiction. However, the result was consistent with a traditional conflict interpretation rather than a power threat thesis. Frazier et al. (1992) argued that as White majority populations increase in a jurisdiction, so does their ability to exert social control over minorities (see also Bridges and Crutchfield, 1988). Frazier and colleagues (1992) conclude with the view that Black powerlessness may be a better explanatory factor of black disadvantage in juvenile justice proceedings than the notion of power threat.

Bridges and colleagues (1995) also found mixed support for the effects of macro-level contexts in their examination of the rates of confinement in juvenile correctional facilities for whites and minorities for all counties in the state of Washington for the years 1990 through 1991. The racial composition of a community and urban concentration did not have effects on the level of confinement for minority youth. Both structural factors, however, were discovered to have inverse statistically significant effects on the rate of confinement for White youth. Bridges and colleagues (1995) also found that youth in communities that experienced higher levels of violent crime were more likely to be confined, and the effect was strongest for minorities living in violent crime communities. This pattern held even after controlling for differences in white and minority rates of referral to the juvenile court.

Crawford and associates (1998) rely on Blalock's (1967) concept of minority group threat and Sampson and Laub's (1993) emphasis on the perceptions of African Americans as racially threatening to "mainstream America", to examine African Americans likelihood of being classified as habitual offenders, especially for crimes involving drugs and violence. They found

race effects for drug offenses and property offenses. All significant race effects, however, were found in communities that were presumed to be low in terms of the racial threat (i.e., low in terms of percent African American, racial income inequality, drug arrest rates, and violent crime rates). The racial threat was greatest where the actual threat of crime itself was low.

Tittle and Curran (1988) found some support for the symbolic threat thesis in their examination of juvenile court dispositions in 31 jurisdictions in Florida. Recall that Tittle and Curran (1988) focus on the contexts of crime and official perceptions and the conditions under which the perceived threats result into social control. Tittle and Curran (1988) contend that rather than perceiving youth as directly undermining their position of dominance and authority because of power or powerlessness, decision-makers instead feel a psychological discomfort or uneasiness as a consequence of specific behaviors and characteristics displayed by the youth. Tittle and Curran (1998) hypothesize that "nonwhites and youth symbolize to white adults resentment-provoking or fear-provoking qualities like aggressiveness, sexuality, and absence of personal discipline" (1988: 53).

These stereotypical perceptions of youth are assumed to be "threatening" because justice officials are unable to identify with Blacks or experience such a lifestyle as adults. Specific forms of behavior may also make decision-makers fearful of youth especially, minority youth. The primary emphasis, however, is on the perception and stereotyping of youth and categories of youth as well as their specific behavior. Overall, the perceived threat is more symbolic than real. Class and race differentials in sanctioning practices are conditioned by decision-makers' perceptions of and reactions to stereotypical notions and behaviors concerning the poor and minority youth. Tittle and Curran (1988) discovered that a selection bias in dispositions was influenced by the type of crime and two contextual variables: (1) the size of the non-White

population, and (2) the age of the population. A larger non-White population and a younger population overall result in different case outcomes of minority youths charged with status offenses, drug offenses, and sex offenses.

Rodriguez (2010, 2013) conducted two studies to examine the relationships of structural disadvantage on juvenile court outcomes in Arizona. In one study, Rodriguez (2010) found that structural disadvantage of youths' communities (e.g. percent unemployed and percent living in poverty) predicted decisions at detention. Structural disadvantage was not directly associated with case outcomes at petition, adjudication, and out-of-home placement at judicial disposition. However, indirect relationships were discovered involving youth who lived in structurally disadvantaged areas and were detained at some point of the justice proceedings, were treated more severely at subsequent stages.

In the second study, Rodriguez (2013) utilized an index of concentrated disadvantage to examine the applicability of attribution theory, racial effects, and rates of correctional confinement. It was hypothesized that minority youth who reside in underclass communities would receive the more disadvantaged outcome (i.e. confined at judicial disposition) than Whites who reside in similar communities. Similar to Bridges and colleagues (1995), Rodriguez (2013) argued that decision-makers would perceive youth in these communities as needing more help and need to be removed from their communities because they are more likely to be exposed to negative role models and criminal opportunities (2013, pg. 7). Rodriguez (2013) reported that youth who resided in zip codes characterized by concentrated disadvantage had a higher probability of receiving a sentence of confinement at judicial disposition, but this effect was not conditioned by race/ethnicity.

Freiburger and Jordan (2011) focused on the symbolic threat hypothesis at the macrolevel to study the relationship between race and the decision to petition a youth for further court proceedings. Misdemeanor and felony cases in 2005 in the state of West Virginia comprised the sample, and included county-levels of urbanism, the percentage of Black residents, the percentage of residents living in poverty, and percentage of households with only a singlemother present. Overall, they found modest support for the effects of macro-level factors with the decision to file a petition. Race was not a determinant of the dependent variable. While counties characterized by high levels of poverty and female-headed households significantly influenced the mean rate of petition, the findings often showed a lenient outcome rather than a harsh petition outcome. The study, however, did reveal that Black youth who reside in counties characterized by high levels of poverty were more likely to be petitioned to the juvenile court than Whites who reside in similarly situated communities. This specific finding is consistent with Sampson and Laub's (1993) theory and expectations.

Thomas and colleagues (2013) compare Blalock's (1967) racial threat thesis and elements of Sampson and Laub's (1993) and Tittle and Curran's (1988) symbolic threat perspective. The sample consisted of data from 2000 and 2008 within 34 counties in a Southeastern state. A hierarchical generalized linear model (HGLM) was estimated based on the impact of individual-level (Level-1) and community-level (Level-2) data on each juvenile court outcome. Results failed to produce evidence that community characteristics influenced the rate of detention. However, results from cross-level interactions did yield support for the symbolic threat hypothesis. Black youth who resided in communities characterized by racial socioeconomic inequality were more likely to be detained compared to White youth who resided
in disadvantaged counties. According to Thomas and colleagues (2013), racial inequality fosters racial stereotypes and in turn leads to increased social control.

Leiber, Peck, and Rodriguez (forthcoming) also found nonsupport for the Blalock's (1967) power threat perspective in an examination of counties from a Midwest state, Northeast state, and Southwest state. Results indicated that increases in Black and Hispanic populations were not found to be determinants of the social control of youth. Likewise, measures of economic threat (in the form of White-to-Black and White-to-Hispanic unemployment ratios) did not predict harsh juvenile court outcomes for minority youth in the expected direction. For example, at the stage of intake, as the White-to-Black unemployment ratio increased, the probability that a youth received an intake referral decreased and this relationship was not tempered by the race/ethnicity of the juvenile offender. Blalock's (1967) perspective argues that this relationship should result in severe outcomes rather than lenient outcomes. The results once again contradicted expectations derived from Blalock (1967) when assessing the White-to-Hispanic unemployment ratio on intake decisions. Hispanic economic threat resulted in an increased probability of intake referrals for all youth (instead of more severe outcomes followed by lenient outcomes).

2.3 Summary

A review of prior research that tests the validity of Sampson and Laub's (1993) macrolevel inequality perspective to understand the social control of youth and in particular minority youth have been limited. Of the few studies conducted to date, mixed results have been produced that characteristics of communities in the form of concentrated disadvantage and racial inequality hold relevance over juvenile court outcomes. For example, Sampson and Laub's (1993) initial test of the theory discovered that youth, especially Black drug offenders, were subjected to

increased social control at the stages of detention and judicial disposition. Research by Leiber and Jamieson (1995) and Thomas and colleagues (2013) also showed some evidence that youth processed in courts located in communities characterized by underclass poverty and racial inequality results in the increased social control of minority youth. But, research by Leiber (2003) and Leiber and Stairs (1999) also indicates the relationships may be conditioned by the amount of variation in the structural characteristics across jurisdictions and the stage examined.

Other studies that have used macro-level indicators based somewhat on measures tapping into concentrated poverty and inequality have also yielded inconsistent results. Some studies find support (Armstrong & Rodriguez, 2005; Rodriguez, 2010, 2013) while others find little to no support (Hayes-Smith & Hayes-Smith, 2013; Leiber et al., forthcoming; Rodriguez, 2007). Also, some studies have reported that the control of minority youth at the individual level occurs regardless of structural characteristics and often involves both more severe and lenient treatment (Leiber, 2003; Rodriguez, 2007).

III. IMPLICATIONS FOR THE PRESENT STUDY

The need for the present research rests on several factors. First, only a few tests of Sampson and Laub's macro-inequality and social control perspective have been conducted. Of those studies, support for the perspective has been mixed (Sampson & Laub, 1993; Leiber & Jamieson, 1995; Leiber & Stairs, 1999; Leiber, 2003; Sutton, 2013) but this body of research has limitations. For example, Sutton (2013) used the perspective as a framework to study *criminal* justice proceedings, and despite non-findings, concluded that Sampson and Laub's (1993) perspective and structural contexts in general should be subjected to further inquiry. This is especially true concerning juvenile justice proceedings where greater discretion and informality exists compared to the criminal courts. The research by Leiber (2003), Leiber and Jamieson

(1995), and Leiber and Stairs (1999) examined counties in one state that were more homogeneous in composition than different. This limitation could account for the limited support for Sampson and Laub's (1993) perspective. Recall that Sampson and Laub (1993) examined data from 1985 consisting of aggregated individual-level juvenile court records involving over 200 counties across the United States and found support for their perspective. Last and more importantly, no study has assessed the fluctuation or the stability of the social control of youth, especially minority youth, in the juvenile justice system within the context of structural community characteristics over time. These limitations serve as the impetus for the present research.

3.1 Research Questions and Hypotheses

The primary goal of the proposed research is to examine the ability of Sampson and Laub's (1993) macro-structural conflict perspective to better understand the relationships between community characteristics (i.e., inequality, racial inequality) and race/ethnicity with social control in the juvenile justice system at four points in time. The proposed study will attempt to answer three general research questions. First, do disadvantaged structural characteristics of communities explain the social control of youth throughout juvenile justice proceedings? To address this question, the first hypothesis is:

 H_1 : Counties characterized by underclass poverty and racial/ethnic inequality will have higher rates of social control compared to counties evidencing less underclass poverty and racial/ethnic inequality.

The first hypothesis (H_1) rests on the tenets of Sampson and Laub's (1993) perspective and findings from their research. Recall that counties characterized by high underclass poverty and racial inequality were found to be significantly related to increased social control of youth

referred to the juvenile court. Sampson and Laub (1993) interpreted these findings as evidence that juvenile court decision-makers perceive youth who reside in communities with these characteristics (underclass poverty, racial inequality) as threatening, offensive, and in need of social control.

The second research question is couched within the context of Sampson and Laub's primary theoretical position: Does greater social control result in counties with larger proportions of Black, Hispanic, and drug referrals within communities characterized by underclass poverty and racial/ethnic inequality? The second hypothesis states:

*H*₂: Counties characterized by larger proportions of Black, Hispanic, and drug referrals to the juvenile court, as well as underclass poverty, and racial/ethnic inequality will correspond to greater social control, and when applicable, more severe juvenile court outcomes.

Recall in the justification for the first hypothesis, Sampson and Laub's (1993) main theoretical thesis was that counties high in underclass poverty and racial inequality will subject youth to greater social control because these counties contain threatening populations. Furthermore, Black and Hispanic youth who reside in what decision-makers perceive as "threatening communities" will be discriminated against, seen as more problematic, and receive greater social control compared to Whites who also reside in disadvantaged communities (see also Bridges et al., 1995; Freiburger & Jordan, 2011; Leiber & Jamieson, 1995; Tittle & Curran, 1988). In additional and more detailed analyses, the study will also examine the proposition that Black drug offenders (and when available, Hispanic drug offenders) who reside in communities characterized by underclass poverty and racial inequality result in increased social control.

The third and final research question inquires about the stability or fluctuation of social control over time. Specifically, to what extent do the reported relationships predict social control over time? That is, do the effects vary or remain relatively stable over a 30-year time period? The third hypothesis states:

H₃: Changes in the level of social control within communities will be dependent upon changes in communities' levels of underclass poverty and racial/ethnic inequality.

An underlying tenet of Sampson and Laub's (1993) inequality perspective, as well as some other macro-level perspectives (Blalock, 1967; Tittle & Curran, 1988), is that social control is contingent, in part, on the extent structural characteristics of communities (captured here by underclass poverty and racial/ethnic inequality) vary *across* communities and implicitly *within* communities over time. One objective of the present research is to examine whether in fact the relationship between these community characteristics and social control is stable, or fluctuates.

IV. METHODOLOGY

Data for the current study was provided by the National Juvenile Court Archive (NJCDA) located at the National Center for Juvenile Justice (NCJJ). County-level data were taken from the 1980, 1990, and 2000 U.S. Census. Note that at the writing of this report, data needed for more specific racial and ethnic measures from the 2010 U.S. Census has not yet been released. Once released, future research will use the 2010 Census data as part of the analyses.

The referral data provided to the authors mirrors the variable construction of Sampson and Laub's (1993) initial analyses. While one of the initial objectives was to replicate Sampson and Laub's (1993) analyses throughout thirty years of data, we were unable to perform identical analyses since we were provided aggregated counts of delinquent referrals instead of individuallevel case data as used by Sampson and Laub. For example, while Sampson and Laub (1993)

reported that Black youth, and in particular, Black drug offenders received disadvantaged outcomes at the stages of detention and judicial disposition, we are unable to identify the race, gender, age, etc. of drug offenders referred to the juvenile court within each county. We only know the count of Black referrals, referrals for drug offenses, etc., not the demographic characteristics of delinquent referrals across offense types. This limitation prevented us from replicating Sampson and Laub's (1993) original analyses. It is important to note though, that individual-level data was provided from NJCDA of all delinquent referrals in a Northeast state from January 2000 through December 2010. The decision to include these data in additional analyses is to provide a more in-depth examination of Sampson and Laub's (1993) perspective to explain the social control of youth and especially minority youth and those involved in drug offending in juvenile justice proceedings.

For the purpose of clarity, the research is conducted in two phases. The first phase focuses on the thirty years of aggregated data, the analysis procedures, and results involving the aggregated counts of delinquent referrals. The second phase is comprised of the data, sample, analysis procedures, and results for the individual-level data for the Northeast state.

V. AGGREGATED COUNTS OF DELINQUENT REFERRALS

5.1 Data and Sample

Rather than the collection of new data, data for the current study relies on the use of existing state maintained databases and information from the U.S. Census. Data was provided from sixteen states throughout the U.S. for a total of 172 counties. Only counties with a minimum population of 6,000 youth between the ages of 10-17 throughout all four stages of data collection (1985, 1995, 2005, and 2009) were included in the final sample. The decision to focus

on these specific years (1985, 1995, 2005 and 2009) was to allow for time to assess for possible changes in community characteristics (e.g., racial inequality) and in the levels of social control.

Even though the original goal of the study was to examine the same jurisdictions from Sampson and Laub (1993), each county needed to maintain the threshold of 6,000 youth ages 10-17 throughout all four time frames. Therefore, if a county met this criterion, it was included in the final sample even if it was not a part of Sampson and Laub's original sample. The states and number of counties/districts that comprised the final sample included: Alabama (16), Arizona (1), California (11), Hawaii (3), Missouri (12), New Jersey (18), Pennsylvania (38), South Carolina (22), South Dakota (2), Tennessee (1), Virginia (15), Washington (15), Connecticut (8), District of Columbia (1), Utah (8), and Michigan (1).

While the initial purpose was to collect a nationally representative sample of all U.S. states, not all states were able to provide complete measures of the necessary data to the NJCDA, or had permission to provide data to NJCDA. In only two instances, data were not available for sixteen counties within the exact time frame, so a later year of data was collected. In this instance, data from 2006 instead of 2005 were included for the sixteen total jurisdictions in Connecticut and Utah. The remaining data from each of the other three time frames were from the originally requested years (i.e. 1985, 1995, and 2009). A data set was created by NJCDA who aggregated all individual-level case records to the county-level. The aggregated counts of all delinquent referrals within each of the 172 counties throughout the four data collection years comprised the final data set that was sent to the authors with counties as the unit of analysis. Approximately 299,000 individual cases in 1985 were aggregated to the county-level, compared to 428,000 records in 1995, 363,000 cases in 2005, and 325,000 cases in 2009.

5.2 Case Variables

Table 1 presents the distribution of all individual and county-level variables throughout the time frames of 1985 (with 1980 census data), 1995 (with 1990 census data), 2005 (with 2000 census data), and 2009 (with 2000 census data). Recall that 2010 census data has not yet been released; otherwise, 2010 census data would have been used with the 2009 data.

Following Sampson and Laub (1993), the total number of delinquent referrals within each county was disaggregated by race, ethnicity, offense type, gender, and age. Separate race measures were constructed based on the proportion of youth who were referred to the juvenile court within each county who were characterized as White, Black, American Indian or Native American, Asian or Pacific Islander, Other race, and mixed race. The categories of American Indian or Native American, Asian or Pacific Islander, Other race, and mixed race and mixed race were combined into one racial category, "Other race". Three final variables distinguished across racial groups and included *White*, *Black*, and *Other*. It is important to note that in the 1985 data, 47 counties did not report the proportion of youth from "Other" racial backgrounds. In order to include the 47 counties in all analyses, the "Other" race variable was not included in any models with 1985 data.

Ethnicity was captured by the proportion of youth referred within each county who were identified as *Hispanic*. Due to data limitations, we were not able to distinguish between youth who identified as Hispanic and a specific race category. For example, it is possible that youth who identified as White and Hispanic are represented in the aggregated counts of both measures.

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Table 1. Distributions of Variables Differentiated by Time (N = 172) Image: Comparison of Variables Differentiated by Time (N = 172)

| | 1985ª | 1995 | 2005 | 2009 |
|-------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| | <u>(1980)</u> | <u>(1990)</u> | (2000) | (2000) |
| | (1) | (2) | (3) | (4) |
| Variable | Mean, SD, Range | Mean, SD, Range | Mean, SD, Range | Mean, SD, Range |
| Independent | | | | |
| Referrals | | | | |
| Percent Black | 23.60, 23.03, 0.00 - 96.03 | 31.03, 26.27, 0.00 – 95.18 | 34.28, 26.98, 0.00 – 97.59 | 35.55, 26.83, 0.00 – 98.83 |
| Percent Hispanic | | | 17.57, 23.18, 0.00 – 96.01 | 15.11, 18.67, 0.00 - 88.84 |
| Percent Drugs | 5.74, 3.91, 0.00 - 21.64 | 8.88, 4.11, 2.17 – 22.38 | 11.58, 4.77, 3.02 – 27.39 | 12.10, 5.58, 3.00 – 29.17 |
| Community | | | | |
| Underclass Poverty | 0.00, 2.45, -4.96 - 7.44 | 0.00, 2.64, -5.28 - 7.96 | 0.00, 2.64, -5.44 - 8.58 | 0.00, 2.64, -5.44 - 8.58 |
| Racial Inequality | 0.00, 1.00, -1.83 - 2.36 | 0.00, 1.00, -1.51 - 2.27 | 0.00, 1.00, -2.14 - 4.22 | 0.00, 1.00, -2.14 - 4.22 |
| Ethnic Inequality | | | 0.00, 1.00, -2.12 - 3.54 | 0.00, 1.00, -2.12 - 3.54 |
| Percent Black Residents | 10.92, 13.72, .10 – 70.30 | 11.63, 14.12, 0.10 - 65.80 | 12.31, 14.43, 0.20 - 60.90 | 12.31, 14.43, 0.20 - 60.90 |
| <u>Controls</u> | | | | |
| Referrals | | | | |
| Percent Mid – Late Teen | 62.91, 9.45, 34.33 - 83.88 | 63.10, 9.26, 36.24 - 80.49 | 66.31, 9.13, 40.24 - 82.35 | 70.57, 9.27, 39.89 - 87.22 |
| Percent Male | 81.87, 4.39, 67.61 – 96.24 | 79.22, 4.36, 69.56 – 92.02 | 73.40, 4.93, 56.93 - 83.93 | 73.30, 5.24, 60.89 - 86.51 |
| Percent Other Race | | 4.19, 10.54, 0.00 - 80.35 | 4.44, 11.16, 0.00 - 82.96 | 4.94, 11.07, 0.00 - 85.06 |
| Percent Person | 16.68, 7.08, 3.70 – 45.07 | 23.52, 8.29, 1.83 – 49.50 | 28.09, 7.42, 11.39 - 47.32 | 26.98, 7.93, 7.44 – 49.26 |
| Percent Property | 64.80, 9.38, 32.30 - 80.39 | 50.01, 9.66, 26.69 - 79.88 | 36.31, 8.87, 19.26 - 60.36 | 37.36, 8.24, 13.19 - 59.00 |
| Community | | | | |
| Wealth | 0.00, 1.54, -2.42 - 11.00 | 0.00, 1.87, -2.34 - 6.94 | 0.00, 1.96, -4.63 - 7.00 | 0.00, 1.96, -4.63 – 7.00 |
| Youth | 0.00, 1.58, -6.45 - 5.21 | 0.00, 1.68, -5.60 - 8.39 | 0.00, 1.41, -2.92 - 9.51 | 0.00, 1.41, -2.92 - 9.51 |
| Northeast ^b | 0.38, 0.49, 0.00 - 1.00 | 0.38, 0.49, 0.00 - 1.00 | 0.38, 0.49, 0.00 - 1.00 | 0.38, 0.49, 0.00 - 1.00 |
| South ^b | 0.31, 0.47, 0.00 - 1.00 | 0.31, 0.47, 0.00 - 1.00 | 0.31, 0.47, 0.00 - 1.00 | 0.31, 0.47, 0.00 - 1.00 |
| West ^b | 0.22, 0.42, 0.00 - 1.00 | 0.22, 0.42, 0.00 - 1.00 | 0.22, 0.47, 0.00 - 1.00 | 0.22, 0.47, 0.00 - 1.00 |
| Residential Mobility | 43.80, 8.53, 23.87 - 63.76 | 43.13, 8.27, 12.29 - 61.25 | 41.72, 6.64, 27.70 - 58.00 | 41.72, 6.64, 27.70 - 58.00 |
| Urbanism | 332176 | 369801 | 40961 | 40961 |
| | 668192 | 781231 | 854697 | 854697 |
| | 260033 - 7477503 | 26601 - 8863164 | 40735 - 9519338 | 40735 - 9519338 |

Table 1. continued

| | | 1995 (1990) (2) | 2005 (2000) (3) | 2009 (2000) (4) |
|--------------------|----------------------------|----------------------------|----------------------------|-----------------------------|
| Variable | Mean, SD, Range | Mean, SD, Range | Mean, SD, Range | Mean, SD, Range |
| <u>Dependent</u> | | | | |
| Percent Petitioned | 45.59, 19.64, 3.30 - 92.04 | 40.73, 24.06, 0.00 - 96.05 | 36.59, 23.12, 0.00 - 86.25 | 37.74, 22.61, 0.00 - 90.71 |
| Percent Detained | 11.15, 12.66, 0.00 - 70.58 | 9.47, 13.23, 0.00 - 68.46 | 11.13, 14.43, 0.00 – 94.95 | 11.04, 13.27, 0.00 – 70.82 |
| Percent Placed | 12.85, 11.68, 0.00 - 60.85 | 11.96, 10.93, 0.00 – 56.66 | 15.57, 16.01, 0.00 - 80.93 | 13.89, 15.75, 0.00 - 100.00 |

a: Data year, census year if applicable (). Forty-seven counties did not include any youth within the "Other Race" category.

b: Reference category is Midwest

The NJCDA categorizes all delinquent referrals into four types of offenses: crimes against persons, crimes against property, drug offenses, and public order offenses. Four variables were constructed based on the proportion of all delinquent referrals that comprised each of offense type: *person*, *property*, *drugs*, and *public order*. The proportion of all four offense types equated to 100 percent within each county. Person, property, and drug offenses were included in each statistical model. Two measures of gender were constructed to distinguish between the proportion of *male* referrals and *female* referrals. As with the offense type variables, the proportion of male and female referrals equated to 100 percent within each county. Male referrals were included in each statistical model.

In regards to age, the data originally produced twenty-one variables that measured the number of delinquent referrals within each separate age category (ages 1-21). The original twenty-one variables were collapsed into an age range of 10-17 (Sampson & Laub, 1992), then three separate variables were constructed to capture the percentage of different age groupings of youth referred within each county: *Pre-teen* (under 12 years old), *early-teen* (13-14 years old), and *middle-to-late teen* (16-17 years old). The three categorizations mirror the coding schemes utilized by the Office of Juvenile Justice and Delinquency Prevention (OJJDP) (Butts & Snyder, 1997). To produce more parsimonious results and prior research that has suggested this this age group is the most at-risk to be involved in delinquent behavior, only the middle-to-late teen measure was included in statistical analyses.

5.3 Contextual Variables

Following the variables included in Sampson and Laub's (1993) initial examination, various county-level measures were constructed based on information provided from the 1980, 1990, and 2000 U.S. Census for all 172 counties (see Appendix 1). Recall, while the authors'

intended to include data from the 2010 U.S. Census, not all of the necessary measures were released from the U.S. Census at the current time. All multi-item scales were created based on z-scores of the individual measures, and higher levels on all indexes correspond to higher levels of each measure within each county.

Mirroring and extending the measures constructed by Sampson and Laub (1993), an index of *underclass poverty* was constructed based on three interrelated measures: percent of female-headed households with children under 18 years old, percent individuals living in poverty, and percent of household incomes less than \$10,000. For the underclass poverty index based on 1980 U.S. census measures, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 76% of the variance, with an eigenvalue of 2.28 ($\alpha = .75$). For the underclass poverty index based on 1990 U.S. census measures, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 76% of the underclass poverty index based on 2000 U.S. census measures, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 2.39 ($\alpha = .86$). For the underclass poverty index based on 2000 U.S. census measures, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 2.39 ($\alpha = .86$). For the underclass poverty index based on 2000 U.S. census measures, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 78% of the variance, with an eigenvalue of 2.39 ($\alpha = .86$). For the underclass poverty index based on

Two separate measures of racial and ethnic inequality were also constructed. *Racial inequality* was measured by the sum of the ratio of Black to White individuals living in poverty and percent of Black families living in poverty. *Ethnic inequality* was measured by the sum of Hispanic to White individuals living in poverty and percent of Hispanic families living in poverty. Once again, due to data limitations, ethnic inequality was only included in data from the 2000s.

While Sampson and Laub (1993) included the proportion of Black residents within the underclass poverty measures, more recent research has argued that including this measure in a

disadvantaged index is problematic. This is due to the assumption that the proportion of Black residents in a county is indicative of counties characterized by underclass poverty. From this argument, we included both the proportion of Black residents as a separate county-level measure of interest. Unfortunately, while the 1980 and 1990 U.S. Census recorded the proportion of Hispanic residents within each county, numerous counties were missing more specific Hispanic measures (i.e. percent of Hispanic individuals living in poverty) in the 1980 and 1990 U.S. Census. For example, 55 out of the 172 counties (32%) did not have data that measured the percent of Hispanic families and the percent of Hispanic individuals living in poverty in 1990. Therefore, these data limitations preclude the inclusion of the Hispanic measures in the data for juvenile referrals from the 1980s and 1990s. All Hispanic measures were included in the data from the 2000s.

5.4 County-Level Control Variables

The remaining community-level variables were treated as controls. A *wealth* measure was created based on the median household income within each county summed with the percent of families within each county with household incomes larger than \$50,000 (for 1980s data), \$100,000 (for 1990s data), and \$200,000 (for 2000s data). The U.S. Census only provided information in 1980 for household incomes larger than \$50,000 and \$100,000 in 1990. The amount of \$200,000 for the 2000s data was decided as a proxy for "wealth" based on the changing economy in the last 30 years. A measure of *residential mobility* was constructed based on the percentage of residents that have moved households in the past five years. *Urbanism* was captured by the population of residents within each county. *Density of youth* was constructed based on the sum of youth between the ages of 15 and 18 within each county and the ratio of juveniles to adults. The final control variable, *region*, differentiated between jurisdictions located

in the Northeast (65 counties), South (54 counties), Midwest (15 counties), and West (38 counties). The Midwest region constituted the reference category.

5.5 Dependent Variables

Four stages of juvenile justice decision-making (petition, detention, adjudication, and judicial disposition) were initially considered as the dependent variables for the current study. Unfortunately, not all counties were able to provide complete data for all four stages throughout each of the four data collection time frames. For example, in 1985 and 1995, more than 80 of the 172 counties were missing over fifty percent of adjudication data. In 2005 and 2009, twenty counties (twelve percent of the 172 counties) were missing over thirty percent of adjudication data. In order to feel comfortable with the stability of the data and confidence in the statistical models, the authors chose to remove adjudication as a decision-making stage. At the stage of judicial disposition, counts were originally provided that distinguished between youth who were placed or securely placed. The two variables were collapsed to represent the proportion of youth who received a placement at judicial disposition. The final dependent variables included the proportion of youth referred to the juvenile court who received a petition (*petitioned*), held in secure detention (*detention*), or received a placement at judicial disposition (*placement*).

The selected dependent variables mirror and also extend the research by Sampson and Laub (1993). In their initial examination, Sampson and Laub (1993) examined the stages of secure predisopositional detention and outcome of out-of-home placement at judicial disposition. While Sampson and Laub (1993) disaggregated cases at petition between non-petitioned and petitioned youth and separately examined the outcomes of these two different types of cases at detention and disposition, we decided to predict the likelihood of formal petition (petitioned). Predicting the likelihood of non-petitioned cases would produce the same results as predicting

formal petition, except that the signs of the coefficients would be reversed. To confirm this claim, additional regression equations were estimated predicting non-petitioned cases, and all coefficients were the same but in the opposite direction of the coefficients that predicted petitioned cases.

5.6 Missing Data

It is important to note that due to issues with missing data, not all counties were able to be included within each statistical model. Furthermore, numerous counties did not collect information about certain variables included in the present study, and were removed from the analyses for different time frames. In other situations, depending on the nature of the variable, missing data was imputed with the mean or the mode. For example, if less than ten percent of data on the gender of the youth was missing for a certain county, the missing counts were recoded to the modal category. For the race and offense type variables, the counts of missing data were assigned to each race and offense type measure based on the proportion of data that was complete within each measure. For example, the count of missing data for offense type within each county was divided and imputed into the person, property, drug, and public order measures depending on the proportion of complete data within each measure.

Two counties in California did not provide any information for 1995. In light of this limitation, the mean of the other three years of data collection were imputed into the data for these two counties in 1995. Also, one county in California did not report the ages of youth referred, therefore the mean of the other three years were imputed for 1995. In addition, all counties in South Carolina and South Dakota did not report information on the ethnicity of youth in 2005 (n = 24). The proportion of Hispanic youth within these two states in 2009 was imputed into the Hispanic measure for 2005 to allow for the counties in these two states to be included in

the 2005 analyses. However, if the flagged variable for missing ethnicity data (see below for description of flagged variables) was a significant predictor of any court outcome, the counties for these two states were removed from the analysis when predicting court outcomes in 2005.

As with the limitations of the county-level data that pertained specifically to Hispanics in the 1980 and 1990 U.S. Census, the aggregated referral data from 1985 and 1995 was also problematic concerning the Hispanic measure. More specific, 140 counties in 1985 and 121 counties in 1995 did not collect data identifying the ethnicity (Hispanic versus Non-Hispanic) of youth. This limitation prevented the inclusion of the proportion of Hispanic youth as a measure when predicting juvenile court outcomes in 1985 and 1995.

Data pertaining to the detention stage was also problematic in a number of counties. For each of the four time frames, different counties were included to predict detention compared to the other outcome stages. Fifty counties in 1985, 74 counties in 1995, 79 counties in 2005, and 78 counties in 2009 did not collect information on detention status. These counties were dropped from the analysis when predicting the likelihood of detention.

For data that was originally missing (then later imputed), three flagged variables were originally constructed (0 = no, 1 = missing more than ten percent; 1 = missing more than thirty percent; 1 = missing more than fifty percent). If the flagged variable for counties that were missing more than ten percent of data for any variable (age, gender, race, ethnicity, offense type) were statistically significant predictors of any of the dependent variables, then the flagged counties were dropped from the individual final models. This was to be more conservative in the results (instead of including flags that represented more than 30% or 50% missing data instead of 10%) and to determine if the counties that originally had missing data affected the estimates of the coefficients. If we were to include the counties that were represented by significant flagged

variables, these counties would bias the estimates of the other variables included in the model (Allison, 2002). Since the data provided are counts of referrals within each county instead of individual case records, traditional imputation methods for missing data that have been used previously by OJJDP (i.e. record and format-level imputation) and prior criminological research were unable to be performed (Fox, 2004; Fox & Swatt, 2008; Johnson & Young, 2011; Stahl et al., 2007).

5.7 Analytic Procedures

The analysis plan with the data over a 30-year time frame was guided by the three research questions. First, Ordinary least squares (OLS) regression models were examined to predict the relationship between the independent and control variables at the individual-level and community-level on the proportion of youth within each county that were petitioned, detained, and sentenced to placement. This first step was replicated for the referral data from 1985, 1995, 2005, and 2009. Second, a reduced model was predicted across all three court outcomes and all four-time frames. The reduced models included the proportion of Black referrals, proportion of drug referrals, underclass poverty, racial inequality, and percent Black residents within the 1985 and 1995 referral data. The reduced models within the 2005 and 2009 referral data included the proportion of Black referrals, proportion of Hispanic referrals, proportion of drug referrals, underclass poverty, racial inequality, and percent Black residents. Both linear and non-linear terms of underclass poverty and racial inequality were included in the analyses to test for any potential curvelinear effects.

The third step in the analyses procedure was to estimate OLS change models to understand how changes in the independent variables over time may influence changes in the dependent variables over time. Changes in the independent variables in referral data between

1985 and 1995 and U.S. census data between 1980 and 1990 were used to predict changes in the dependent variables from 1985 to 1995. In addition, changes in the independent variables in referral data between 1985 and 2005 and U.S. census data between 1980 and 2000 were used to predict changes in the dependent variables from 1985 to 2005. This step was also repeated to estimate the changes in the independent variables in referral data between 1985 and 2009 and U.S. census data between 1985 and 2009 and U.S. census data between 1985 and 2009 and U.S. census data between 1980 and 2000 on the changes in court outcomes from 1985 to 2009. Four variables of interest were included in each change model depending on the years examined: changes in the proportion of Black referrals, changes in the proportion of drug referrals, changes in underclass poverty, and changes in racial inequality.

5.8 Results

Before the OLS regression results are discussed, the discussion first examines by time the distributions of the central variables of interest: referrals, community indicators, and the decision-making stages. Following this discussion, the findings from the multivariate analyses are detailed.

5.9 Distributions of Variables of Interest by Time

Table 2 (next page) provides the distributions of variables of interest by the four time periods. The information is reproduced from Table 1. These variables represent referrals (% Black, % Hispanic, % Drugs), community characteristics (underclass poverty, racial inequality, ethnic inequality, % Black), and decision making stages (% petitioned, % detained, % placed).

| | 1985 ^a | 1995 | 2005 | 2009 |
|-------------------------|-------------------|--------------|--------------|--------------|
| | <u>(1980)</u> | (1990) | (2000) | (2000) |
| Variable | (1) | (2) | (3) | (4) |
| Independent | | | | |
| Referrals (mean) | | | | |
| Percent Black | 23.60 | 31.03 | 34.28 | 35.55 |
| Percent Hispanic | | | 17.50 | 15.11 |
| Percent Drugs | 5.74 | 8.88 | 11.58 | 12.10 |
| Community-a | | | | |
| Underclass Poverty | -4.96 - 7.44 | -5.28 - 7.96 | -5.44 - 8.58 | -5.44 - 8.58 |
| Racial Inequality | -1.83 - 2.36 | -1.51 - 2.27 | -2.14 - 4.22 | -2.14 - 4.22 |
| Ethnic Inequality | | | -2.12 - 3.54 | -2.12 - 3.54 |
| Percent Black Residents | 10.92 | 11.63 | 12.31 | 12.31 |
| <u>Dependent</u> | | | | |
| Percent Petitioned | 45.59 | 40.73 | 36.59 | 37.74 |
| Percent Detained | 11.15 | 9.47 | 11.13 | 11.04 |
| Percent Placed | 12.85 | 11.96 | 15.57 | 13.89 |

Table 2. Distributions of Variables of Interest Differentiated by Time (N = 172)

a. Data year, census year if applicable (). Range is provided for underclass poverty, racial inequality and ethnic equality. Referrals and percent Black residents are represented by the mean.

Note: Results reproduced from Table 1

An interpretation of Sampson and Laub's (1993) macro-level inequality perspective is that community characteristics matter in the social control of youth and these relationships should vary over time if changes occur in underclass poverty and racial inequality. An examination of the distributions provides preliminary insights into the latter assumption.

Both the mean percent Black referrals and the mean percent drug referrals have increased over the four time frames. For example, the mean percent Black referral was 23.60 in 1985, 31.03 in 1995, 34.28 in 2005 and 35.55 in 2009. A similar linear pattern is evident for the mean percent of drug referrals. The community characteristics represented by underclass poverty and racial inequality show relative stability over time with slight increases on the ends of the measures (range). The positive values in the range of racial inequality increased in 2000 compared to 1980. The percent Black residents also show a slight increase from 10.92 in 1980

to 12.31 in 2000. In regards to the decision-making stages, although there is some slight variability over time, the percent of detained referrals and percent of placed referrals have remained relatively stable. Yet, the percent petitioned, with one exception in 2009, has an inverse relationship over time. In 1985, the percent petitioned was 45.59 compared to 37.74 in 2009.

In short, in terms of social control, the percent Black referrals and the percent drug referrals have increased over time. The percent of detained referrals and percent of placed referrals have remained relatively the same. With one exception, the percentage of petitioned referrals has decreased. The extent of underclass poverty and racial inequality in terms of larger values at the positive end of the range has increased, in particular the latter, in 2000 compared to 1980. Next, multivariate analyses are used to assess the extent to which (1) these factors predict social control, (2) if these relationships are similar or different for various racial/ethnic groups, and (3) if these effects predict decision-making over time.

5.10 OLS Regression Results Differentiated by Time

Table 3 through Table 6 present the OLS regression results for each stage (petition, detention, placement) by each year (1985, 1995, 2005, 2009). Additive models were first estimated, followed by estimation involving reduced modeling, and then tests for interaction models involving underclass poverty and racial inequality with Black referrals, drug referrals and when applicable, detention. Because the relatively small number of counties (n= 172 or less) may produce unreliable results, reduced modeling was performed. For the purpose of clarity, the discussion will center on the results from the central variables of interest from the main models and the interaction models. Recall, due to the small number of counties within some of the models (which could affect the stability of the results) the reduced models that were estimated

and presented are strictly exploratory in nature. Interaction models were only produced if they resulted in significant effects. It is important to note that all potential interaction models were estimated, but non-findings were not produced in the form of a table.

Table 3 provides the additive results for case outcomes for 1985 and Table 3a details the interaction effects. As can be seen in column 1 of Table 3, an increase in the percent of drug referrals leads to an increase in the level of petitioned cases. In addition, an increase in the percent Black residents comprising a community leads to increases in the level of detained cases (column 2) and cases receiving out-of-home placement (column 3).

Concerning the control measures, an increase in the percent of mid-late teen referrals, males, property offenses, density of youth, referrals from the Northwest, South, and West leads to decreases in the level of petitioned cases (column 1). An increase in the population size of counties corresponds to increases in the level of petitioned cases (column 1). The density of youth within a county leads to decreases in the level of detained cases, while an increase in referrals from the West and residential mobility leads to increases in the level of detained cases (column 2). An increase in property offenses, referrals from the West, and residential mobility corresponds to increases in cases receiving residential placement (column 3). A greater density of youth in a county is inversely related to judicial disposition (column 3).

Table 3 and Table 3a – Next Page

| | Full Models | | | | | | |
|-----------------------------|------------------------|-----------------|-----------------|--------------|---------------|----------------|--|
| | Petition | Detention | Placement | Petition | Detention | Placement | |
| Variable | (1) | (2) | (3) | (4) | (5) | (6) | |
| Independent | | | | | | | |
| Referrals | | | | | | | |
| Black | .11 (.14) ^a | 17 (.11) | 21 (.08) | .13 (.14) | 08 (.10) | 15 (.08)* | |
| Drugs | 1.55 (.46)*** | .23 (.47) | 38 (.26) | .11 (.41) | 1.17 (.34)*** | 21 (.24) | |
| Community | | | | | | | |
| Underclass Poverty | 43 (.89) | 53 (.68) | .14 (.50) | .03 (.89) | .17 (.66) | .90 (.51)* | |
| Racial Inequality | -1.76 (1.69) | 1.09 (1.36) | 67 (.96) | -3.50 (1.89) | .98 (1.45) | -2.54 (1.03)** | |
| Black Residents | 10 (.27) | .73 (.22)*** | .50 (.15)*** | 20 (.27) | .31 (.20) | .21 (.15) | |
| Controls | | | | | | | |
| Referrals | | | | | | | |
| Mid – Late Teen | 85 (.16)*** | .22 (.17) | .14 (.09) | | | | |
| Male | 74 (.36)** | 13 (.28) | 11 (.20) | | | | |
| Person | .54 (.30) | .07 (.28) | .22 (.17) | | | | |
| Property | .40 (.19)** | 18 (.19) | .37 (.11)*** | | | | |
| Community | | | | | | | |
| Wealth | .58 (.99) | 74 (.71) | .22 (.56) | | | | |
| Youth | -2.01 (.99)** | -3.77 (1.05)*** | -1.17 (.56)** | | | | |
| Northeast | -16.68 (6.07)*** | -1.24 (5.34) | 2.03 (3.42) | | | | |
| South | -13.90 (6.20)** | -4.21 (4.90) | 41 (3.50) | | | | |
| West | -13.01 (6.10)** | 16.83 (5.70)*** | 15.24 (3.47)*** | | | | |
| Residential Mobility | .15 (.23) | .47 (.21)** | .26 (1.29)** | | | | |
| Urbanism | .47 (.01)** | .97 (.01) | .18 (.01) | | | | |
| R ² : | .43 | .45 | .49 | .07 | .20 | .07 | |
| N: | 172 | 119 | 171 | 172 | 119 | 126 | |

Table 3. OLS Regression Coefficients Predicting Juvenile Court Outcomes - 1985 Juvenile Court Data, 1980 Census Data

Note: Estimations of non-linear effects of underclass poverty and racial inequality failed to produce statistically significant relationships with all three dependent variables

a: Unstandardized coefficient, S.E. ()

* p < .10, ** p < .05, *** p < .01

| Data | Deten | tion | Placement | | |
|--------------------------------------|-----------------------|-----------------|-----------------|----------------|--|
| | Main | Interaction | Main | Interaction | |
| Variable | (1) | (2) | (3) | (4) | |
| <u>Independent</u> | | | | | |
| Referrals | | | | | |
| Black | 17 (.11) ^a | 25 (.14)* | 21 (.08) | .04 (.08) | |
| Drugs | .23 (.47) | .03 (.60) | 38 (.26) | 89 (.31)*** | |
| Community | | | | | |
| Underclass Poverty | 53 (.68) | 46 (.99) | .03 (.89) | .21 (.46) | |
| Racial Inequality | 1.09 (1.36) | 40 (1.58) | 67 (.96) | 35 (.90) | |
| Black Residents | .73 (.22)*** | .82 (.25)*** | .50 (.15)*** | | |
| Controls | | | | | |
| Referrals | | | | | |
| Mid – Late Teen | 74 (.71) | .32 (.17)* | .14 (.09) | .15 (.12) | |
| Male | 13 (.28) | 23 (.27) | 11 (.20) | .15 (.19) | |
| Person | .07 (.28) | .23 (.28) | .22 (.17) | 34 (.19)* | |
| Property | 18 (.19) | 04 (.19) | .37 (.11)*** | 20 (.13) | |
| Detention | | | | .43 (.10)*** | |
| Community | | | | | |
| Wealth | 74 (.71) | 71 (.72) | .22 (.56) | 45 (.48) | |
| Youth | -3.77 (1.05)*** | -4.09 (1.13)*** | -1.17 (.56)** | 1.42 (.80)* | |
| Northeast | -1.24 (5.34) | -4.08 (5.26) | 2.03 (3.42) | -1.79 (3.54) | |
| South | -4.21 (4.90) | -5.60 (5.04) | 41 (3.50) | -7.71 (3.37)** | |
| West | 16.83 (5.70)*** | 20.70 (5.89) | 15.24 (3.47)*** | 6.00 (4.24) | |
| Residential Mobility | .47 (.21)** | .40 (.21)** | .26 (1.29)** | 06 (.14) | |
| Urbanism | .97 (.01) | 28 (.01) | .18 (.01) | .35 (.01)*** | |
| Interactions | | | | | |
| Black Referrals x Underclass Poverty | | 03 (.02) | | | |
| Black Referrals x Racial Inequality | | .14 (.07)* | | | |
| Black Referrals x Drug Referrals | | .01 (.01) | | | |
| Black Referrals x Detention | | × / | | 01 (.01)*** | |
| R ² : | .45 | .50 | .49 | .45 | |
| N: | 119 | 116 | 171 | 116 | |

 Table 3a. OLS Regression Coefficients Predicting Juvenile Court Outcomes - Main and Interaction Models – 1985 Juvenile Court Data, 1980 Census

a: Unstandardized coefficient, S.E. ($\);$ * p<.10, ** $\ p<.05,$ *** p<.01

In column 2 of Table 3a, the interaction results show a positive statistically significant effect involving a joint relationship between the percent Black referrals and racial inequality with increases in detention (p < .10). That is, in communities with a greater percent of Black referrals and greater racial inequality, greater levels of detention exist. Estimations of interactions also revealed an inverse relationship involving Black referrals and detention with out-of-home placement (p < .01) (column 4).

The results for juvenile court outcomes for 1995, using 1990 Census data, are presented in Table 4. Looking across the findings for all three stages, it can be seen that three additive statistically significant relationships exist. Drug referrals leads to an increase in the level of petitioned cases (column 1). Underclass poverty is a positive determinant of out-of-home placement, while racial inequality has inverse effect on out-of-home placement (column 3).

In regards to the control measures, the proportion of mid-late teen referrals, "other" racial groups, and referrals from the Northeast and South have an inverse effect on petition (column 1), while the proportion of property offenses, person offenses, and residential mobility have a positive effect on petition (column 1). The percent of male referrals corresponds to an increase in detained cases (column 2), while referrals from the South corresponds to a decrease in detained cases (column 2). Concerning residential placement, and increase in the proportion of mid-late teens, person offenses, wealthy counties, density of youth, referrals from the West, and urban counties leads to an increase in out-of-home placement (column 3).

Estimations of interaction effects produced one statistically significant relationship with decision making at petition. These results are presented in Table 4a. An interaction relationship exists between Black referrals and drug referrals with petition and the effect is positive (column 2).

| | Full Models | | | Reduced Models | | |
|----------------------|------------------------|-----------------|-----------------|----------------|---------------|----------------|
| | Petition | Detention | Placement | Petition | Detention | Placement |
| Variable | (1) | (2) | (3) | (4) | (5) | (6) |
| Independent | | | | | | |
| Referrals | | | | | | |
| Black | .12 (.15) ^a | .21 (.13) | 05 (.07) | 02 (.17) | 01 (.13) | .05 (.08) |
| Drugs | 1.09 (.53)** | .26 (.46) | .14 (.26) | 56 (.51) | 1.11 (.41)*** | .31 (.23) |
| Community | | | | | | |
| Underclass Poverty | -1.09 (1.89) | .29 (1.21) | 1.38 (.65)** | .88 (1.02) | .78 (.82) | .83 (.50)* |
| Racial Inequality | .55 (2.02) | 2.17 (1.80) | -1.94 (.96)** | -1.60 (2.54) | 1.90 (1.69) | -2.51 (1.13)** |
| Black Residents | .12 (.29) | 21 (.28) | .03 (.15) | .07 (.32) | 08 (.25) | 16 (.16) |
| Controls | | | | | | |
| Referrals | | | | | | |
| Mid – Late Teen | -1.02 (.19)*** | .24 (.18) | .23 (.10)** | | | |
| Male | 01 (.48) | .87 (.39)** | 29 (.23) | | | |
| Other Race | 30 (.16)* | 01 (.24) | 28 (.08) | | | |
| Person | 1.00 (.28)*** | 24 (.24) | .23 (.13)* | | | |
| Property | .63 (.25)** | 22 (.22) | .09 (.12) | | | |
| Community | | | | | | |
| Wealth | .90 (1.51) | .44 (1.48) | 1.36 (.73)* | | | |
| Youth | 03 (1.16) | -1.35 (1.51) | -1.93 (.57)*** | | | |
| Northeast | -32.83 (6.96)*** | -7.99 (5.12) | 2.75 (3.31) | | | |
| South | -18.07 (6.75)*** | -10.41 (5.17)** | 3.24 (3.25) | | | |
| West | 1.76 (7.15) | 4.73 (8.91) | 15.57 (3.37)*** | | | |
| Residential Mobility | 18 (.24) | .20 (.19) | .07 (.12) | | | |
| Urbanism | .36 (.01) * | .26 (.01) | .19 (.01)* | | | |
| R ² : | .49 | .48 | .47 | .06 | .16 | .13 |
| N: | 169 | 95 | 154 | 169 | 73 | 156 |

Table 4. OLS Regression Coefficients Predicting Juvenile Court Outcomes – 1995 Juvenile Court Data, 1990 Census Data

Note: Estimations of non-linear effects of underclass poverty and racial inequality failed to produce statistically significant relationships with dependent variables a: Unstandardized coefficient, S.E. ()

* p < .10, ** p < .05, *** p < .01

| | Petition | | | | |
|----------------------------------|------------------------|-----------------------------|--|--|--|
| | Main | Interaction | | | |
| Variable | (1) | (2) | | | |
| Independent | | | | | |
| Referrals | | | | | |
| Black | .12 (.15) ^a | .46 (.20)** | | | |
| Drugs | 1.09 (.53)** | 2.20 (.69)*** | | | |
| Community | | | | | |
| Underclass Poverty | -1.09 (1.89) | 85 (1.30) | | | |
| Racial Inequality | .55 (2.02) | 95 (2.08) | | | |
| Black Residents | .12 (.29) | .10 (.28) | | | |
| Controls | | | | | |
| Referrals | | | | | |
| Mid – Late Teen | -1.02 (.19)*** | 95 (.19)*** | | | |
| Male | 01 (.48) | 05 (.47) | | | |
| Other Race | 30 (.16)* | 35 (.16)** | | | |
| Person | 1.00 (.28)*** | .95 (.28)*** | | | |
| Property | .63 (.25)** | .61 (.25)** | | | |
| Community | | | | | |
| Wealth | .90 (1.51) | .52 (1.50) | | | |
| Youth | 03 (1.16) | 17 (1.14) | | | |
| Northeast | -32.83 (6.96)*** | -30.65 (6.90)*** | | | |
| South | -18.07 (6.75)*** | -20.817 (6.73)*** | | | |
| West | 1.76 (7.15) | 4.38 (7.11) | | | |
| Residential Mobility | 18 (.24) | 14 (.24) | | | |
| Urbanism | .36 (.01) | .38 (.01)* | | | |
| Interactions | | | | | |
| Black Referrals x Drug Referrals | | .03 (.01)** | | | |
| R ² : | .49 | .51 | | | |
| N: | 169 | 169 | | | |

Table 4a. OLS Regression Coefficients Predicting Juvenile Court Outcomes-Main and InteractionModels, 1995 Juvenile Court Data, 1990 Census Data

a: Unstandardized coefficient, S.E. ()

* p < .10, ** p < .05, *** p < .01

The OLS regression results for the main effects involving the predictors of petition, detention and out-of-home placement for 2005 using 2000 Census data are provided in Table 5. Three statistically significant effects are present. The variable Hispanic referral has an inverse relationship with petition (column 1). At detention, both racial inequality and the percent Black residents have positive statistically significant relationships (column 2). Concerning the control measures, the percent of mid-late teen referrals, "other" racial groups, and referrals from the Northeast and South have an inverse relationship with petition (column 1), while wealthy counties have a positive relationship with petition (column 1). At detention (column 2), as the percent of mid-late teens, "other" racial groups, and referrals from the northeast increases, the proportion of detained cases increases as well. Furthermore, the percent of mid-late teen referrals and wealthy counties have positive and significant relationships with placement (column 3).

The additive relationship between Hispanic referrals with petition appears to be conditioned by drug referrals as shown by a significant interaction. As presented in Table 5a, an inverse statistically significant effect exists between the percent Hispanic referrals and drug referrals with the percent petitioned.

Juvenile court outcomes for 2009, using Census data from 2000 is the last time frame that was examined. The findings for the additive or main models are detailed in Table 6. Estimations involving tests for interaction relationships failed to produce statistically significant effects. These non-findings are not presented. In Table 6, only two statistically significant effects are found and both occur at petition (p < .10). An inverse relationship exists between drug referrals with the dependent variable as does such an effect involving racial inequality. Therefore, an increase in racial inequality corresponds to a decrease in the percent of petitioned referrals.

Concerning the control variables, an increase in the proportion of mid-late teen referrals, "other" racial groups, density of youth, and referrals from the Northeast and South correspond to a decrease in the percent of petitioned cases (column 1). A positive relationship exists between the percent of male referrals, youth from "other" racial groups, and referrals from the Northeast and the detention outcome (column 2). The proportion of mid-late teens, "other" racial groups, and counties with residential mobility and urbanism correspond to increases in out-of-home placement cases (column 3), while the proportion of person offenses, property offenses, and referrals from the South and West have a positive relationship with out-of-home placement.

Table 5, Table 5a, Table 6 – Next Page

| | Full Models | | | Reduced Models | | |
|-----------------------------|------------------------|----------------|----------------|----------------|--------------|--------------|
| | Petition | Detention | Placement | Petition | Detention | Placement |
| Variable | (1) | (2) | (3) | (4) | (5) | (6) |
| Independent | | | | | | |
| Referrals | | | | | | |
| Black | .02 (.13) ^a | 18 (.12) | .08 (.13) | 08 (.14) | 34 (.11)*** | .01 (.09) |
| Hispanic | 32 (.09)*** | 05 (.09) | .32 (.23) | .25 (.17) | .10 (.06) | .04 (.07) |
| Drugs | 59 (.43) | 85 (.62) | 31 (.41) | -1.80 (.43)*** | 20 (.50) | .90 (.28)*** |
| Community | | | | | | |
| Underclass Poverty | .98 (1.33) | -2.24 (1.48) | 1.48 (1.23) | .04 (1.25) | -1.43 (.95) | 1.56 (.73)** |
| Racial Inequality | 10 (.21) | .49 (.29)* | 12 (.20) | 44 (.30) | .25 (.29) | 52 (.16)*** |
| Ethnic Inequality | .14 (.16) | .03 (.15) | 26 (.17) | 17 (.24) | .03 (.14) | 04 (.14) |
| Black Residents | 09 (.25) | .57 (.21)** | 14 (.25) | .29 (.33) | .74 (.18)*** | 32 (.20) |
| <u>Controls</u> | | | | | | |
| Referrals | | | | | | |
| Mid – Late Teen | 85 (.21)*** | .54 (.26)** | .41 (.23)* | | | |
| Male | .67 (.44) | .11 (.46) | .52 (.41) | | | |
| Other Race | 33 (.16)** | 1.45 (.48)*** | .01 (.98) | | | |
| Person | .27 (.24) | .23 (.24) | .11 (.26) | | | |
| Property | .12 (.21) | .28 (.22) | 11 (.24) | | | |
| Community | | | | | | |
| Wealth | 3.19 (1.46)** | -1.87 (1.67) | 3.11 (1.59)* | | | |
| Youth | -1.10 (1.08) | .80 (1.07) | 33 (.90) | | | |
| Northeast | -38.28 (7.16)*** | 19.88 (9.25)** | 3.89 (7.55) | | | |
| South | -20.98 (6.51)*** | -4.34 (5.89) | 1.68 (6.89) | | | |
| West | -5.95 (7.57) | 50 (7.92) | -12.24 (72.87) | | | |
| Residential Mobility | -1.2 (.35) | .36 (.32) | .08 (.45) | | | |
| Urbanism | .15 (.01) | .16 (.01) | .80 (.01) | | | |
| R ² : | .53 | .44 | .46 | .22 | .28 | .20 |
| N: | 169 | 93 | 76 | 101 | 66 | 163 |

Table 5. OLS Regression Coefficients Predicting Juvenile Court Outcomes with 2005 Juvenile Court Data, 2000 Census Data

Note: Estimations of non-linear effects of underclass poverty and racial inequality failed to produce statistically significant relationships with all three dependent variables

a: Unstandardized coefficient, S.E. (); * p < .10, ** p < .05, *** p < .01

| | Petition | | | |
|-------------------------------------|------------------------|--------------------|--|--|
| | Main | Interaction | | |
| Variable | (1) | (2) | | |
| Independent | | | | |
| Referrals | | | | |
| Black | .02 (.13) ^a | .03 (.13) | | |
| Hispanic | 32 (.09)*** | 99 (.23)*** | | |
| Drugs | 59 (.43) | -1.44 (.50)*** | | |
| Community | | | | |
| Underclass Poverty | .98 (1.33) | .63 (1.30) | | |
| Racial Inequality | 10 (.21) | 33 (1.90) | | |
| Ethnic Inequality | .14 (.16) | 1.14 (1.52) | | |
| Black Residents | 09 (.25) | 08 (.24) | | |
| Controls | | | | |
| Referrals | | | | |
| Mid – Late Teen | 85 (.21)*** | 73 (.21)*** | | |
| Male | .67 (.44) | .63 (.43) | | |
| Other Race | 33 (.16)** | 28 (.15)* | | |
| Person | .27 (.24) | .24 (.23) | | |
| Property | .12 (.21) | .07 (.20) | | |
| Community | | | | |
| Wealth | 3.19 (1.46)** | 2.87 (1.43)** | | |
| Youth | -1.10 (1.08) | 96 (1.05) | | |
| Northeast | -38.28 (7.16)*** | -35.80 (7.00)*** | | |
| South | -20.98 (6.51)*** | -21.78 (6.33)*** | | |
| West | -5.95 (7.57) | -7.92 (7.39) | | |
| Residential Mobility | 12 (.35) | .01 (.34) | | |
| Urbanism | .15 (.01) | .13 (.01) | | |
| Interaction | | | | |
| Hispanic Referrals x Drug Referrals | | 07 (.02)*** | | |
| R ² : | .53 | .56 | | |
| N: | 169 | 169 | | |

Table 5a. OLS Regression Coefficients Predicting Juvenile Court Outcomes - Main and InteractionModels, 2005 Juvenile Court Data, 2000 Census Data

a: Unstandardized coefficient, S.E. ()

* p < .10, ** p < .05, *** p < .01

| Full Models | | | Reduced Models | | | |
|----------------------|-----------------------|------------------------|------------------------|----------------|--------------|----------------|
| | Petition ^a | Detention ^a | Placement ^a | Petition | Detention | Placement |
| Variable | (1) | (2) | (3) | (4) | (5) | (6) |
| Independent | | | | | | |
| Referrals | | | | | | |
| Black | 18 (.15) ^b | .08 (.12) | 08 (.10) | 01 (.13) | 05 (.10) | 02 (.09) |
| Hispanic | .05 (.20) | .07 (.16) | .14 (.13) | 09 (.16) | .04 (.10) | .31 (.08)*** |
| Drugs | 81 (.48)* | .57 (.52) | 03 (.31) | -2.32 (.37)*** | .43 (.42) | .44 (.23)* |
| Community | | | | | | |
| Underclass Poverty | .25 (1.53) | -1.50 (1.36) | .39 (.99) | -1.12 (1.12) | 19 (.81) | 1.17 (.71)* |
| Racial Inequality | -4.57 (2.41)* | 1.43 (2.46) | -1.01 (1.50) | -4.22 (2.31)* | -1.40 (2.25) | -3.07 (1.43)** |
| Ethnic Inequality | 81 (2.01) | 1.36 (1.45) | .43 (1.31) | 49 (2.14) | 1.62 (1.38) | 22 (1.38) |
| Black Residents | 05 (.28) | .23 (.19) | .12 (.19) | .35 (.28) | .29 (.17)* | 02 (.19) |
| Controls | | | | | | |
| Referrals | | | | | | |
| Mid – Late Teen | 86 (.25)*** | .17 (.24) | .65 (.16)*** | | | |
| Male | .44 (.46) | .84 (.39)** | .03 (.30) | | | |
| Other Race | 55 (.18)*** | 1.19 (.47)** | .37 (.12)*** | | | |
| Person | .01 (.31) | 08 (.23) | 70 (.20)*** | | | |
| Property | .27 (.26) | .08 (.22) | 57 (.17)*** | | | |
| Community | | | | | | |
| Wealth | 2.23 (1.76) | -2.55 (1.92) | -1.06 (1.15) | | | |
| Youth | -2.51 (1.21)** | 57 (1.02) | 13 (.79) | | | |
| Northeast | -34.21 (8.10)*** | 23.41 (11.33)** | -1.90 (5.26) | | | |
| South | -11.51 (6.55)* | -1.93 (4.93) | -11.70 (4.25)*** | | | |
| West | -7.13 (9.15) | 91 (7.85) | -21.45 (5.97)*** | | | |
| Residential Mobility | 38 (.42) | .18 (.28) | .50 (.27)* | | | |
| Urbanism | .11 (.01) | .16 (.01) | .24 (.01)* | | | |
| R ² : | .56 | .29 | .48 | .33 | .12 | .24 |
| N: | 129 | 93 | 130 | 129 | 93 | 158 |

Table 6. OLS Regression Coefficients Predicting Juvenile Court Outcomes - 2009 Juvenile Court Data, 2000 Census Data

Note: Estimations of non-linear effects of underclass poverty and racial inequality failed to produce statistically significant relationships with all three dependent variables

a: Tests for racial referrals, drug offense, underclass poverty, and racial inequality interactions failed to produced statistically significant relationships

b: Unstandardized coefficient, S.E. ()

* p < .10, ** p < .05, *** p < .01

5.11 Summary

The present study was guided by Sampson and Laub's (1993) macro-level inequality perspective of social control and three hypotheses. The first hypothesis centered on the expectations that underclass poverty and racial/ethnic inequality would predict social control throughout juvenile justice proceedings. The second hypothesis predicted that counties characterized by larger proportions of Black, Hispanic, and drug referrals will result in greater social control in communities that evidence underclass poverty and racial/ethnic inequality. The third hypothesis stated that changes in the level of social control will be contingent upon changes in communities' level of underclass poverty and racial/ethnic inequality. Up to this point in the analyses, modest to minimal support has been found for the hypotheses.

Although macro-level variables were at times found to be determinants of social control at each of the four time frames, the effects were sporadic (H_1). In terms of whether social control increased in counties with larger Black, Hispanic, and drug referrals, as well underclass poverty and racial/ethnic inequality, the answer, for the most part, is no (H_2). There are four exceptions to this conclusion. A significant interaction was discovered involving the percent of Black referrals and racial inequality with an increased level of detention in 1985. In addition, for the same time frame, the percent of Black referrals interacted with detention to decrease the proportion referrals receiving out-of-home placement. In 1995, the percent of Black referrals jointly with the percent of drug referrals corresponded to an increase in petitions. The fourth interaction effect involved the percent of Hispanic referrals and the percent of drug referrals with a decrease in the percent of petitions. However, it is important to note that the lack of race effects on social control with data from the 1980s and 1990s could be due to Hispanic youth being labeled as White and/or Black youth. This limitation may distort, to some degree, the

relationship between the proportion of Black youth on social control, and the overall proportion of White youth that comprised the aggregated count of referrals within in each county. Furthermore, due to very few statistically significant effects involving underclass poverty and racial/ethnic inequality with the dependent variables (n=5) within each time frame, not much can be said concerning the stability and/or fluctuation in social control as posited in the third hypothesis. More specific, underclass poverty and racial/ethnic inequality do not appear to be predictors of social control of youth in juvenile justice proceedings. This conclusion, however, is based on results or non-results obtained from OLS regression conducted *within* each time frame. To better assess the stability/fluctuation premise as stated in the third hypothesis, changes in the effects over-time are examined.

5.12 Changes in Effects Over-Time

The third step in the analyses was to estimate OLS change models to understand how changes in the independent variables over time may influence changes in the dependent variables over time. Changes in the independent variables in referral data between 1985 and 1995 and U.S. census data between 1980 and 1990 were used to predict changes in the dependent variables from 1985 to 1995. Furthermore, changes in the independent variables in referral data between 1985 and 2005 and U.S. census data between 1980 and 2000 were used to predict changes in the dependent variables from 1985 to 2005. Last, the procedure was repeated to estimate the changes in the independent variables in referral data between 1985 and 2009 and U.S. census data between 1985 and 2009. Recall that Sampson and Laub (1993) discovered macro-level effects with social control using data for 1985. Thus, the earliest year (1985) compared to each of the three later time periods was

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anticipated to be most suitable to capture where the "most change" in terms of social control was anticipated to be evident.

Table 7 presents the OLS regression coefficients predicting change in juvenile court outcomes overtime. An examination of the results across each stage of petition (column 1), detention (column 2), placement without detention (column 3), and placement with detention (column 4), with change over time comparisons of change from 1985 to 2009 (Part A), change from 1985 to 2005 (Part B), and change from 1985 to 1995 (Part C) reveals, with a few exceptions, stability in the effects rather than fluctuation or change. The few exceptions involve decision- making at the earlier stages of petition and detention within the juvenile justice system. In communities with higher racial inequality from 1985 to 1995, 1985 to 2005, and 1985 to 2009, resulted in less social control as measured by the change in percent petitioned referrals between 1985 and 1995, 1985 and 2005, and 1985 and 2009 (column 1). Another exception reported in column 1 is that an increase in drug referrals from 1985 to 1995 corresponds to an increase in petitions from 1985 to 1995. The final exception is at detention where an inverse relationship exists between the percent Black referrals and decision making at this stage when the effects for 2005 are compare to 1985. In other words, an increase in the proportion of Black referrals from 1985 to 2005 results in a decrease in detention from 1985 to 2005. Thus, nonsupport is provided for the third hypothesis (H_3) .

Table 7 – Next Page

| | Petition | Detention | Placement | Placement |
|----------------------------------|-----------------|---------------|-------------|-------------|
| Variable | (1) | (2) | (3) | (4) |
| Part A: Change from 1985 to 2009 | | | | |
| Referrals | | | | |
| Black | 10 (.30) | 78 (.21) | 08 (.09) | 05 (.09) |
| Drugs | 73 (.74) | .04 (.52) | .31 (.22) | .30 (.22) |
| Detention | | | | .09 (.07) |
| Community | | | | |
| Underclass Poverty | 1.44 (2.79) | .54 (1.97) | .77 (.84) | .73 (.84) |
| Racial Inequality | -13.11 (6.15)** | -7.74 (4.34) | 57 (1.86) | .11 (1.91) |
| R ² : | .10 | .12 | .07 | .11 |
| Part B: Change from 1985 to 2005 | | | | |
| Referrals | | | | |
| Black | 16 (.32) | 55 (.21)*** | 13 (.15) | 07 (.16) |
| Drugs | .70 (1.06) | .43 (.67) | 19 (.48) | 23 (.48) |
| Detention | | | | .10 (.11) |
| Community | | | | |
| Underclass Poverty | 4.65 (2.94) | -1.50 (1.87) | 57 (1.33) | 42 (1.34) |
| Racial Inequality | -17.48 (6.80)** | -3.382 (4.32) | 3.37 (3.61) | 3.71 (3.10) |
| <u>R²:</u> | .15 | .22 | .06 | .08 |
| Part C: Change from 1985 to 1995 | | | | |
| Referrals | | | | |
| Black | .14 (.34) | 11 (.17) | 02 (.13) | 01 (.14) |
| Drugs | 2.10 (.84)** | .55 (.43) | .10 (.33) | .06 (.34) |
| Detention | | | | .07 (.11) |
| Community | | | | |
| Underclass Poverty | 2.88 (3.90) | 2.32 (1.99) | .70 (1.54) | .54 (1.57) |
| Racial Inequality | -7.90 (4.63)* | -2.79 (2.36) | 2.67 (1.83) | 2.86 (1.87) |
| <u>R²:</u> | .16 | .07 | .08 | .09 |

Table 7. OLS Regression Coefficients Predicting Change in Juvenile Court Outcomes Over Time (N = 48)

Note: Due to issues with missing data, only 48 counties had complete data across all variables and years to permit inclusion in each model a: Unstandardized coefficient, S.E. (); * p < .10, ** p < .05, *** p < .01

VI. COUNTY-LEVEL DATA AND INDIVIDUAL-LEVEL DATA

Recall that individual-level data was also obtained for all delinquent referrals in a Northeast state from January 2000 through December 2010. The decision to include these data in additional analyses was to provide a more in-depth examination of Sampson and Laub's (1993) perspective to explain the social control of youth and especially minority youth and those involved in drug offending in juvenile justice proceedings. The sections that follow detail the sample, variables and analysis procedures for data pertaining to the Northeast state.

6.1 Data and Sample

Individual-level data was also provided by the National Juvenile Court Archive (NJCDA) located at the National Center for Juvenile Justice (NCJJ). All delinquent referrals in a Northeast state from January 2000 through December 2010 (n = 302, 531) comprise the sample.

6.2 Case Variables

The operationalization and distributions of both individual and county-level variables are presented in Table 8. Race and ethnicity were coded to differentiate between *White*, *Black*, and *Hispanic* youth, with Whites constituting as the reference group. Fifty-two percent of the sample were White, 39% were Black, and 9% were Hispanic. *Drug* offenses (0 = no, 1 = yes) were captured by a dummy variable that represented different types of offenses. Drug offenses represented 18% of all offenses. To demonstrate that interactions between race/ethnicity and drug offenses provided enough statistical power to produce meaningful results, Table 8 also shows the number and proportion of all race/ethnicity and drug offenses subgroups. Fifty-three percent of all drug offenders were White, 38% were Black, and 9% were Hispanic. This proportion mirrors the overall racial and ethnic representation of all delinquent referrals.
| Variable | Value | Ν | % |
|---|-------------------|-----------------------|------------|
| <u>Independent</u> | | | |
| Individual-Level | | 150641 | 50 |
| Race/Ethnicity" | 0 - white | 158041 | 52 20 |
| | 1 – Black | 110249 | 39 |
| | 2 – Hispanic | 27041 | 9 |
| Drugs ^b | 0 - no | 247214 | 82 |
| 6 | 1 - yes | 55317 | 18 |
| | 2 | | |
| Race/Ethnicity/Drug Offender ^c | | | |
| White drug offender | 1 – White drug | 29205 | 53 |
| Black drug offender | 1 – Black drug | 20858 | 38 |
| Hispanic drug offender | 1 – Hispanic drug | 5254 | 9 |
| <u>Controls</u> | | | |
| Individual-Level | | 0.100.61 | 0.0 |
| Gender | 0 - male | 242861 | 80 |
| | I – female | 59670 | 20 |
| Δσε | vears | M – 15 21 | |
| 1150 | years | SD = 1.67 | |
| | | Range = 1 | 0 - 17 |
| | | 8 | |
| Crime Severity | 0 – misdemeanor | 190021 | 63 |
| | 1 – felony | 112510 | 37 |
| | | | |
| Prior Referrals | number | M = 0.95 | |
| | | SD = 1.58 | 10 |
| | | Range = 0 | - 10 |
| Charges | number | M – 3 32 | |
| Charges | | SD = 2.50 | |
| | | Range $= 1$ | - 10 |
| | | U | |
| Attorney | 0 - yes | 86894 | 29 |
| | 1 – no | 215637 | 71 |
| | | 204070 | C 0 |
| Property | 0 - no | 204079 | 68 |
| | 1 - yes | 98452 | 32 |
| | | | |
| Person ^b | 0 – no | 186870 | 62 |
| | 1 - yes | 115661 | 38 |
| | | | |
| Year | 0 - 2000 - 2005 | 153433 | 51 |
| | 1 - 2006 - 2010 | 149098 | 49 |
| <u>Independent</u> | | | |
| <u>Community-Level</u> | | M 0.00 | |
| Underclass poverty | | M = 0.00 SD = 2.45 | |
| | | 5D = 2.43 | |

Table 8. Description of Variables (N = 302,531)

Range = -4.62 - 12.34

Table 8. Continued

| Variable | Value | Ν | % |
|----------------------|---------------------------|--------------------|------------------|
| <u>Independent</u> | | | |
| Community-Level | | | |
| Racial inequality | | $\mathbf{M} = 0.0$ | 0 |
| | | SD = 1. | 00 |
| | | Range = | = - 1.06 - 2.94 |
| Ethnic inequality | | M = 0.0 | 0 |
| 1 5 | | SD = 1. | 00 |
| | | Range = | = - 1.40 - 2.13 |
| Percent Black | | M - 3.3 | 8 |
| Tereent Diack | | SD = 5 | 88 |
| | | Range = | = 0.05 - 43.20 |
| Dersont Hisponia | | M = 1.9 | 6 |
| recent mispanic | | M = 1.c SD = 2 | 25 |
| | | Range = | = 0.30 - 10.20 |
| Controls | | itange | 0.50 10.20 |
| Community-Level | | | |
| Wealth | | M = 0.0 | 0 |
| | | SD = 1. | 74 |
| | | Range = | = - 1.80 - 8.29 |
| Residential mobility | | M = 33 | .98 |
| | | SD = 4. | 21 |
| | | Range = | = 25.30 - 49.50 |
| Urbanism | | $M = 18^{\circ}$ | 3296 63 |
| | | SD = 26 | 55444.64 |
| | | Range = | = 4946 - 1517550 |
| Vouth | | M = 0.0 | 0 |
| Touti | | SD = 1 | 33 |
| | | Range = | = - 1.42 - 7.22 |
| <u>Dependent</u> | | | |
| Intake | 0 - release/diversion | 75936 | 25 |
| | 1 – referral | 226595 | 75 |
| Adjudication | 0 – no | 113231 | 50 |
| | 1 - yes | 113364 | 50 |
| | - | | |
| Judicial Disposition | 0 – community supervision | 61670 | 54 |
| | I – residential placement | 51694 | 46 |

a: Reference category is White

b: Reference category is Other offense (e.g. weapon possession, trespassing, disorderly conduct)

c: Reference category is all other offenders

Numerous individual-level control variables were also taken into consideration.

Demographic variables included gender and age. *Gender* was coded to differentiate between males (80%) and females (20%), while *age* was coded in years. The average referral was 15 years old. In addition, various legal factors were included as controls: *crime severity* (0 = misdemeanor, 1 = felony), number of *prior referrals*, number of *current charges*, and if the youth was represented by an *attorney* (0 = no, 1 = yes). *Property* (0 = no, 1 = yes) and *person* (0 = no, 1 = yes) offenses represented the other two offense type dummy variables, with Other offenses constituting the reference group. On average, a delinquent referral was a misdemeanor offense, 0.95 prior referrals, 3.32 current charges, did not have an attorney present throughout court hearings, and committed a property offense.

It is important to note that the number of prior referrals could only be constructed starting in 2000. This variable can be considered more of a conservative measure, considering that there may be referrals in the sample that were referred to the juvenile court prior to 2000. In addition, a variable was also constructed to control for referral *year* (0 = 2000-2005, 1 = 2006-2010). Fiftyone percent of all referrals occurred between 2000-2005. Ten dummy variables were also constructed to represented referral year, with 2000 representing the reference category. Regression analyses produced similar results with the inclusion of the 10 variables to represent referral year, or the single variable that represented referral year. To increase parsimony in each statistical model, only the regression equation with the single variable of referral year will be presented in each table.

6.3 Contextual Variables

The contextual variables of interest include the same constructed indices and individual measures that were included in the aggregated 30-year data described earlier (see Appendix 1).

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Data was collected from the 2000 U.S. census from each of the 67 counties in the Northeast state and include measures of *underclass poverty* ($\alpha = .91$), *racial inequality*, and *ethnic inequality*. For the underclass poverty index, results from a principle components analysis (PCA) revealed a one-factor solution that accounted for 48% of the variance, with an eigenvalue of 1.43. In addition, the proportion of *Black residents* within each county and proportion of *Hispanic residents* were included as county-level variables of interest. Various county-level variables were also included as controls and mirror the earlier macro-level measures described earlier in the report. Indicators of county-level *wealth*, *residential mobility*, *urbanism*, and density of *youth* were included in each regression analysis.

6.4 Dependent Variables

Decision-making was predicted at three processing junctures: intake, adjudication and judicial disposition. Each of the three court stages constitutes the dependent variable. The intake stage was coded to differentiate between youth who were released or diverted from the juvenile justice system (coded as 0) versus those who received an intake referral and were referred on for further court proceedings (coded as 1). Seventy-five percent of youth referred to the juvenile court received an intake referral. Adjudication was differentiated by youth who were not adjudicated guilty (coded as 0) compared to those who were adjudicated guilty (coded as 1). Fifty percent of youth who made it to the adjudications stage were subsequently adjudicated guilty. The final stage, judicial disposition, differentiated between youth who received community sanctions (coded as 0) versus those who were sentenced to residential or out-of-home placement (coded as 1). Fifty-four percent of youth at judicial disposition received community sanctions, compared to 46% of youth who were placed outside of the home.

6.5 Analytic Procedures

Since the data provided from the Northeast state comprises individual referrals compared to the other data that is aggregated counts of individual referrals in 172 counties, a different analytic procedure is needed to analyze over 300,000 referrals within 67 counties. Due to the nested nature of the data that includes juveniles residing within counties, a 2-level hierarchical linear (HL) structure and hierarchical linear modeling (HLM) was used to analyze the data. HLM is favorable over the traditional regression techniques utilized when analyzing the 30-year data of 172 counties because HLM is able to estimate the amount of variation of both the individual (level-1) and county (level-2) measures at the same time (Bryk & Raudenbush, 1992).

Since each of the dependent variables have binary outcomes, hierarchical generalized linear modeling (HGLM) were used to assess the effect of individual (Level-1) and community level (Level-2) data on each court outcome (Raudenbush & Bryk, 2002). HGLM models mirror the log odds coefficients produced in binary logistic regression equations (Armstrong & Rodriguez, 2005). Where significant log odds are reported, the coefficients were converted into odds ratios when discussing the results. Following prior research (e.g. Freiburger & Jordan, 2011; Rodriguez, 2010), grand mean centering was used on all level-1 predictors. Grand meancentering assesses the effect of unrestrained county-level characteristics (level-2) on court outcomes while controlling for all level-1 measures (Luke, 2004).

To answer the research questions, the analysis procedure will include several steps. Each of these steps was conducted for each of the dependent variables (intake, adjudication, and judicial disposition). First, an intercept-only, unconditional model was estimated to determine if the mean rate of each dependent variable varied across counties. The results of each model (not shown) were significant and confirmed the use of multi-level models. Second, the community-

level variables (Level-2) were included in the model to estimate the effect of county-level measures on each dependent variable.

Third, all individual-level measures (Level-1) were included in the model to assess the effect of offender and offense characteristics on the court outcome while controlling for community-level characteristics. Fourth, an interaction term between the race/ethnicity of the offender and drug crimes were predicted to see if being a Black or Hispanic drug offender received disadvantaged court outcomes compared to other types of offenders. Fifth, cross-level interactions between a youth's race/ethnicity, drug offenses, and community-level variables of interest were estimated to understand how youth of specifically racial and ethnic backgrounds (with and without drug offenses) are treated within counties characterized by underclass poverty, racial inequality, ethnic inequality, and the percent of Black and Hispanic residents within counties (e.g. Black x Underclass Poverty, Hispanic x Drugs x Ethnic Inequality).

Also important, Heckman's (1974, 1976) two-stage analytic procedure was employed at the stage of judicial disposition to create a hazard rate as an additional predictor at the sentencing stage. The addition of the hazard rate when predicting judicial disposition corrects for potential sample selection bias from the stage of adjudication to judicial disposition. However, including the hazard rate has the potential to introduce multicollinearity and bias parameter estimates. Multicollinearity diagnostics in the form of VIF and tolerance statistics confirmed the use of the hazard rate (VIF = 2.28, tolerance = .438) at judicial disposition. None of the other variables had a VIF larger than 3.71 or a tolerance less than .269 (property offenses). Although not shown, models were re-estimated without the hazard rate and the results parallel those reported with the inclusion of the hazard rate.

6.6 Results

Table 9 presents the HGLM results for decision making at intake. Column 1 represents the findings involving the community-level data with the dependent variable. Column 2 provides the results with both the community-level (Level-2) data and the individual-level (Level-1) data with intake decision-making. Column 3 and Column 4 details the models involving the Black/Drug and Hispanic/Drug interaction effects. The discuss will first focus on the results for the additive full model, followed by a discussion of the interaction models.

As can be seen in column 2 of Table 9, of the nine community-level variables, percent Black and percent Hispanic have positive statistically significant relationships with decisionmaking at intake. None of the community-level control variables significant predict the mean rate of intake. At the individual level, neither being a Black, Hispanic, or drug offender is a predictor of the dependent variable. As shown on column 2, older youth, those with more prior referrals, and were referred to the juvenile court between 2005-2010 have a lower odds of the more severe outcome at intake, while youth charged with a felony is positively related to the intake outcome compared to those charged with a misdemeanor offense. Tests for the presence of a joint relationship involving Blacks and Drugs and Hispanic and Drugs with intake outcomes, however, produced one statistically significant effect. Specifically, Black youth who are charged with a drug offense have greater odds of being referred on for further court proceedings at intake.

Table 9 – Next Page

| | (1) | (2) | (3) | (4) |
|-----------------------|-------------------------|---------------|---------------|---------------|
| Fixed Effects | | | | |
| Intercept | .05 (1.13) ^b | 1.11 (.98) | 1.10 (.98) | 1.11 (.98) |
| Community-Level | | | | |
| Underclass Poverty | .02 (.09) | 01 (.10) | 01 (.10) | 01 (.10) |
| Racial Inequality | .13 (.07) | .08 (.06) | .08 (.06) | .08 (.06) |
| Ethnic Inequality | 12 (.11) | 19 (.10) | 19 (.10) | 19 (.10) |
| Percent Black | .05 (.05) | .10 (.04)* | .10 (.04)* | .10 (.04)* |
| Percent Hispanic | .13 (0.5)** | .15 (.05)** | .15 (.05)** | .15 (.05)** |
| Controls | | | | |
| Wealth | 31 (.20) | 33 (.25) | 33 (.25) | 33 (.25) |
| Residential Mobility | .01 (.03) | 01 (.03) | 01 (.03) | 01 (.03) |
| Urbanism | .01 (.01) | .01 (.01) | .01 (.01) | .01 (.01) |
| Youth | 14 (.08) | 09 (.10) | 09 (.10) | 09 (.10) |
| Individual-Level | | | | |
| Black | | 04 (.03) | 06 (.03) | 04 (.03) |
| Hispanic | | 06 (.06) | 06 (.06) | 07 (.06) |
| Drugs ^a | | .06 (.07) | .01 (.08) | .05 (.07) |
| Black x Drugs | | | .15 (.05)** | |
| Hispanic x Drugs | | | | .08 (.05) |
| Controls | | | | |
| Gender | | 02 (.02) | 01 (.02) | 02 (.02) |
| Age | | 01 (.01)** | 01 (.01)** | 01 (.01)** |
| Crime Severity | | .11 (.02)** | .11 (.02)** | .11 (.02)** |
| Prior Referrals | | 06 (.02)** | 06 (.02)** | 06 (.02)** |
| Charges | | 01 (.01) | 01 (.01) | 01 (.01) |
| Attorney | | 09 (.11) | 09 (.11) | 09 (.11) |
| Property ^a | | .09 (.06) | .09 (.06) | .09 (.06) |
| Person ^a | | .08 (.07) | .09 (.07) | .08 (.07) |
| Year | | -3.48 (.25)** | -3.48 (.25)** | -3.48 (.25)** |

a: Reference category is Other offense b: regression coefficient, S.E. () * p < .05, ** p < .01

The HGLM results for adjudication decision making are detailed in Table 10. Although the percentage of Black residents was initially a statistically significant determinant of the dependent variable (column 1) when only accounting for community characteristics, the effect disappeared once the individual-level data was included in the model. In fact, not one of the central community-level variables is a significant predictor of the adjudication decision (column 2). Counties characterized by urbanism have lower odds of adjudicating youth, while counties with a large density of youth have greater odds of adjudicating juveniles (column 2). At the individual-level, being Hispanic has a positive statistically significant relationship with the chances of being adjudicated (odds ratio = 1.17). Being Black or a drug offender has no effect on the likelihood of adjudication (column 2). Males, youth charged with a felony, have a prior record, a greater number of charges, are represented by legal counsel, and were referred to the court between 2000-2004 have a greater odds of adjudication (column 2). A combination interaction effect does exist between being a Hispanic and a drug offender in that the odds ratio of being adjudicated for a Hispanic drug offender is 1.22 (column 4).

Table 11 presents the findings for decision-making at judicial disposition. Of the central community characteristics, only racial inequality is a statistically significant predictor of the dependent variable (column 2). Contrary to expectations, the effect is inverse rather than positive. Counties characterized by racial inequality negatively affected the mean rate of judicial disposition. In particular, counties with greater levels of racial inequality have a lower odds of sentencing youth to residential placement (odds ratio = 0.82). Counties characterized by a greater density of youth have lower odds of youth receiving residential placement (column 2). At the individual-level while controlling for community characteristics, the log odds of receiving out-of-home placement for Blacks and Hispanics are greater compared to Whites (column 2). Both

of these effects appear to be tempered by being charged with a drug offense (column 3, column 4) in that the odds ratios of residential placement for Black and Hispanic drug offenders are 1.22. In terms of the control variables, being female and an older youth have a significant inverse effect with the disposition outcome. Youth charged with a felony, have a greater number of prior referrals, a greater number of charges, and are represented by legal counsel have a greater log odds of receiving residential placement.

Table 10 and Table 11 – Next Page

| Table 10. HGLM Estimates or | n Adjudication | Decision-Making |
|-----------------------------|----------------|------------------------|
|-----------------------------|----------------|------------------------|

| | (1) | (2) | (3) | (4) |
|-----------------------|-------------------------|--------------|--------------|--------------|
| Fixed Effects | ÷. ; | ÷ | ÷. ÷ | |
| Intercept | .17 (1.04) ^b | .45 (1.51) | .44 (1.51) | .45 (1.51) |
| Community-Level | | | | |
| Underclass Poverty | 04 (.07) | 01 (.10) | 01 (.10) | 01 (.10) |
| Racial Inequality | 11 (.09) | 11 (.10) | 11 (.10) | 11 (.10) |
| Ethnic Inequality | .02 (.10) | .10 (.12) | .10 (.12) | .10 (.12) |
| Percent Black | .08 (.04)* | .06 (.04) | .06 (.04) | .06 (.04) |
| Percent Hispanic | 03 (.06) | 10 (.07) | 10 (.07) | 10 (.07) |
| Controls | | | | |
| Wealth | .30 (.14)* | .38 (.21) | .38 (.21) | .38 (.21) |
| Residential Mobility | .01 (.03) | .02 (.04) | .02 (.04) | .02 (.04) |
| Urbanism | 01 (.01)** | 01 (.01)** | 01 (.01)** | 01 (.01)** |
| Youth | .19 (.06)** | .18 (.09)* | .18 (.09)* | .18 (.09)* |
| Individual-Level | | | | |
| Black | | .08 (.04) | .06 (.05) | .08 (.04) * |
| Hispanic | | .16 (.03)** | .16 (.02)** | .12 (.02)** |
| Drugs ^a | | .20 (.14) | .14 (.12) | .18 (.14) |
| Black x Drugs | | | .13 (.12) | |
| Hispanic x Drugs | | | | .20 (.06)** |
| Controls | | | | |
| Gender | | 25 (.08)** | 25 (.08)** | 25 (.08)** |
| Age | | .01 (.01) | .01 (.01) | 01 (.01) |
| Crime Severity | | .28 (.06)** | .28 (.06)** | .27 (.06)** |
| Prior Referrals | | .23 (.03)** | .23 (.03)** | .23 (.03)** |
| Charges | | .05 (.01)** | .05 (.01)** | .05 (.01)** |
| Attorney | | 2.43 (.22)** | 2.42 (.22)** | 2.43 (.22)** |
| Property ^a | | .08 (.11) | .08 (.11) | .08 (.11) |
| Person ^a | | .05 (.15) | .06 (.16) | .05 (.15 |
| Year | | 48 (.10)** | 48 (.10)** | 48 (.10)** |

a: Reference category is Other offense

b: regression coefficient, S.E. ($\)$ * p < .05, ** p < .01

| | (1) | (2) | (3) | (4) |
|-----------------------|---------------------------|--------------|--------------|--------------|
| Fixed Effects | · · · | · · · | · · · | ÷ |
| Intercept | -1.87 (1.18) ^b | -2.09 (1.14) | -2.09 (1.15) | -2.08 (1.14) |
| Community-Level | | | | |
| Underclass Poverty | .17 (.09) | .16 (.10) | .15 (.10) | .16 (.10) |
| Racial Inequality | 15 (.09) | 20 (.09)* | 20 (.09)* | 20 (.09)* |
| Ethnic Inequality | 11 (.11) | 12 (.11) | 12 (.11) | 12 (.11) |
| Percent Black | .04 (.06) | .04 (.06) | .04 (.06) | .04 (.06) |
| Percent Hispanic | .12 (.13) | .10 (.13) | .10 (.13) | .10 (.13) |
| Controls | | | | |
| Wealth | 12 (.15) | 10 (.15) | 10 (.15) | 10 (.15) |
| Residential Mobility | .02 (.04) | .03 (.03) | .03 (.03) | .03 (.03) |
| Urbanism | .01 (.01)* | .01 (.01) | .01 (.01) | .01 (.01) |
| Youth | 22 (.09)** | 21 (.09)* | 21 (.09)* | 21 (.09)* |
| Individual-Level | | | | |
| Black | | .24 (.05)** | .21 (.05)** | .24 (.05)** |
| Hispanic | | .18 (.04)** | .18 (.04)** | .15 (.05)** |
| Drugs ^a | | .05 (.05) | 01 (.06) | .02 (.05) |
| Black x Drugs | | | .20 (.05)** | |
| Hispanic x Drugs | | | | .20 (.09)* |
| Controls | | | | |
| Gender | | 23 (.04)** | 22 (.04)** | 23 (.04)** |
| Age | | 04 (.02)* | 04 (.02)* | 04 (.02)* |
| Crime Severity | | .33 (.04)** | .32 (.04)** | .33 (.04)** |
| Prior Referrals | | .29 (.04)** | .29 (.04)** | .29 (.04)** |
| Charges | | .08 (.02)** | .08 (.02)** | .08 (.02)** |
| Attorney | | .85 (.16)** | .85 (.16)** | .85 (.16)** |
| Property ^a | | 10 (.08) | 10 (.08) | 10 (.08) |
| Person ^a | | 05 (.06) | 04 (.06) | 05 (.06) |
| Year | | .08 (.04) | .08 (.04) | .07 (.04) |
| Hazard Rate | | 32 (.17) | 32 (.17) | 32 (.17) |

Table 11. HGLM Estimates on Judicial Disposition Decision-Making

a: Reference category is Other offense

b: regression coefficient, S.E. () * $p < .05, \, \ast \ast \, p < .01$

6.7 Results Involving Cross-Level Interactions

Table 12 provides the results from estimating cross-level interactions between individuallevel (Level-1) and community-level (Level-2) measures with decision-making at intake, adjudication, and disposition. At intake, being a drug offender and processed in communities characterized by: underclass poverty, ethnic inequality or a larger percent of Hispanics increases the odds of receiving a severe outcome (column1). In other words, drug offenders who reside in counties with underclass poverty, ethnic inequality, and a large proportion of Hispanic residents have greater log odds of receiving an intake referral, respectively. Tests also produced a threeway interaction between Hispanic youth, drug offending, and ethnic inequality (column 1). That is, Hispanic drug offenders who reside in counties with greater ethnic inequality have greater log odds of being referred for further court proceedings at intake.

At adjudication, Black drug offenders who reside in communities evidencing a larger proportion of Black residents have lower logged odds of being adjudicated guilty (column 2). Further, Hispanic drug offenders who come from communities with a larger percentage of Hispanic residents results in a greater log odds (odds ratio = 1.03) of being adjudicated (column 2). In regards to judicial disposition, two inverse cross-level interactions between the individuallevel and community-level exist at this specific stage (column 3). Hispanic youth who reside in counties characterized by ethnic inequality have lower log odds of being sentenced to out-ofhome placement. Likewise, counties characterized by underclass poverty were less likely to sentence Black drug offenders to the more severe outcome at judicial disposition.

Table 12 – Next Page

| | Intake | Adjudication | Disposition |
|---------------------------------------|------------------------|--------------|-------------|
| | (1) | (2) | (3) |
| Cross-Level Interactions | | | |
| Black x Underclass Poverty | .01 (.01) ^a | 02 (.01) | .01 (.02) |
| Black x Racial Inequality | 03 (.05) | 04 (.07) | .06 (.08) |
| Black x Percent Black | .01 (.01) | .01 (.01) | .01 (.01) |
| Hispanic x Underclass Poverty | .01 (.04) | .01 (.01) | 01 (.03) |
| Hispanic x Ethnic Inequality | .11 (.10) | 02 (.04) | 12 (.05)* |
| Hispanic x Percent Hispanic | 01 (.02) | .01 (.01) | 03 (.02) |
| Drugs x Underclass Poverty | .02 (.01)* | .01 (.01) | 02 (.02) |
| Drugs x Racial Inequality | .06 (.04) | .10 (.08) | 05 (.07) |
| Drugs x Ethnic Inequality | .10 (.03)** | .01 (.04) | .09 (.06) |
| Drugs x Percent Black | .01 (.01) | .01 (.01) | .01 (.01) |
| Drugs x Percent Hispanic | .02 (.01)** | .01 (.01) | .01 (.01) |
| Black x Drugs x Underclass Poverty | .01 (.01) | 01 (.01) | 05 (.02)* |
| Black x Drugs x Racial Inequality | .10 (.05) | 12 (.12) | 06 (.12) |
| Black x Drugs x Percent Black | 01 (.01) | 01 (.01)* | 01 (.01) |
| Hispanic x Drugs x Underclass Poverty | .07 (.04) | .01 (.01) | 03 (.05) |
| Hispanic x Drugs x Ethnic Inequality | .32 (.11)** | .11 (.10) | 08 (.16) |
| Hispanic x Drugs x Percent Hispanic | .01 (.02) | .03 (.01)* | 04 (.06) |

Table 12. HGLM Estimates of Cross-Level Interactions on Intake, Adjudication, and Judicial Disposition Decision-Making

a: regression coefficient, S.E. ()

* p < .05, ** p < .01

6.8 Summary

Using various HGLM models to predict case outcomes in 67 counties in a northeast state, the results involving the additive or main models in many ways parallel those reported using OLS regression and the count-level data. That is, the macro-level variables of interest, and in particular, underclass poverty and racial/ethnic inequality, were most often not statistically significant determinants of social control. Estimations of cross-level interactions between the community-level variables (underclass poverty, racial/ethnic inequality, percent Black/Hispanic), and the individual-level variables (Black, Hispanic, drug offenses) yielded eight significant relationships with social control. These joint relationships, however, sometimes involved less social control rather than increased social control.

In communities characterized by underclass poverty, racial inequality or a large Hispanic population, drug offenders received severe intake outcomes at intake. Hispanic drug offenders who reside in communities with greater ethnic inequality were also found to receive greater social control at this stage. At adjudication, Hispanic drug offenders were more likely to be adjudicated in communities with a larger Hispanic population. Three other joint relationships between community characteristics and race/ethnicity and drug offending show evidence of less social control. Black drug offenders were less likely to be adjudicated in communities with a larger Black population. In communities characterized by underclass poverty, Black drug offenders were less likely to receive a disposition of out-of-home placement. Last, Hispanic youth who live in communities characterized by ethnic inequality were more likely to receive an outcome of community-based corrections than out-of-home placement at judicial disposition.

At the individual-level, Black drug offenders received more social control at intake than other offenders. Blacks, Hispanics, and in particular, Hispanic drug offenders were more likely

to be adjudicated once all controls were considered. At judicial disposition, Blacks and Hispanics are likely to receive out-home-placement and these effects are enhanced if both minority groups were charged with a drug offense. In regards to the individual-level control variables, legal factors (e.g. crime severity, prior record, charges, and attorney) at the stages of adjudication and disposition consistently resulted in greater social control for youth.

In summary, although modest, some support is offered for the expectation that macrolevel county characteristics predict juvenile court outcomes (H_1). Furthermore, these effects temper the treatment of drug offenders and to a much lesser degree, Blacks, Hispanics, and Black/Hispanic drug offenders. Similar to the results from using the aggregate count data, the percent racial/ethnic makeup of a community was at times predictive of social control. In addition, race/ethnicity alone and combination with drug offending influenced case outcomes depending on the stage examined. Last, the overall effects sometimes involved increased and decreased social control and the relationships vary by the stage in the proceedings. Thus, consideration of these findings leads to a conclusion of mixed support for the expectation that community characteristics condition the treatment of Blacks and Hispanics and in particular if these race/ethnic groups are involved in drug offending (H_2). Once again, it is important to note until data for the 2010 U.S. Census is released, this section of the analyses is unable to test the third hypothesis concerning the extent these relationships predict social control over time.

VII. DISCUSSION

Sampson and Laub's (1993) perspective contends that community characteristics, especially underclass poverty and racial inequality, influence the social control of youth in juvenile justice proceedings. Structural factors are believed to enhance class and race stereotypes of the poor and Blacks as either criminals or drug offenders, but can also be characterized as

sexual, aggressive, etc. In turn, these actual and/or perceived threats to middle class values result in the poor and Blacks being subjected to greater social control in communities evidencing impoverishment and racial inequality. An interpretation of Sampson and Laub's (1993) perspective is that the social control of youth, and especially minority youth, will fluctuate over time due to associations with and changes in the economic and racial/ethnic inequality of communities. The overall goal of this research was to examine the following research questions: (1) Do structural characteristics of communities explain the social control of youth in juvenile justice proceedings? (2) To what extent are these relationships similar or different for various racial/ethnic groups? (3) Do the effects predict decision-making over time?

From Sampson and Laub's (1993) theoretical perspective and our exploratory research questions, we derived three hypothesized relationships. The hypotheses anticipated that underclass poverty and racial/ethnic inequality would predict case outcomes within and across time, and these relationships would increase individually and in combination the social control of Blacks, Hispanics, and drug offenders. Last, fluctuation in social control was expected to occur as the structural characteristics of communities would change over time. Two different data sets, involving a number of years and various statistical techniques were used to test the validity of these anticipated relationships.

More specific, data was provided by the National Juvenile Court Archive (NJCDA) that represented county-level aggregated information for sixteen states involving 172 counties. Data was for the years 1985, 1995, 2005, and 2009. Census information was used for 1980, 1990 and 2000 to construct the contextual variables. Ordinary Least Squares (OLS) regression was used to predict the proportion of referrals petitioned, proportion detained, and the proportion receiving out-of-home placement. An additional step in the analyses was to estimate OLS regression

change models to understand how changes in the independent variables over time influenced changes in the dependent variables over time.

The second data set, also provided by National Juvenile Court Archive, represented individual-level data in the form of all delinquent referrals in a Northeast state from January 2000 through December 2010. Legal variables (e.g., crime severity, crime type, etc.), extralegal considerations (e.g., age, gender, etc.) and three decision-making stages (intake, adjudication, judicial disposition) were captured. The contextual variables of interest included the same constructed indices and individual measures that were included in the aggregated 30-year data. Data was collected from the 2000 U.S. census from each of the 67 counties in the Northeast state. Hierarchical linear modeling (HLM) was used to analyze the data for the purpose of estimating the amount of variation of both the individual (level-1) and county (level-2) measures at the same time (Bryk & Raudenbush, 1992). In addition to the estimation of main and interaction effects, cross-level interactions were also estimated. In short, minimal to modest support was found for Sampson and Laub's (1993) perspective and the hypotheses framing the research.

Macro-level variables were at times found to be determinants of social control at each of the four time frames and to a somewhat greater extent in explaining case outcomes in the 67counties in a Northeast state. But, the effects were sporadic and not always in the predicted direction. In fact, the macro-level variables of interest and in particular, underclass poverty and racial/ethnic inequality, most often were not statistically significant determinants of social control. While contrary to the results reported by Sampson and Laub (1993) and some other research (e.g., Bridges et al., 1995; Rodriguez, 2013, 2010), the failure to find community

characteristics to be predictive of social control is consistent with those reported elsewhere (e.g., Hayes-Smith & Hayes-Smith, 2009; Sutton, 2013).

Limited evidence was also found for anticipated relationships between community characteristics and disadvantaged treatment of minorities and drug offenders. These findings parallel those reported by prior research (e.g., Bridges & Crutchfield, 1988; Hayes-Smith & Hayes-Smith, 2009; Leiber et al., forthcoming; Wang & Mears, 2010). When community characteristics significantly impacted the treatment of Blacks, Hispanics, and/or drug offenders and decision-making, the effects at times resulted in less rather than more social control. Findings indicating leniency instead of harsh outcomes was also present involving direct or main effects of community characteristics with the treatment of youth. This inconsistency in the direction of the relationships involving minorities and case outcomes has been frequently discovered by prior research in the juvenile justice system. That is, minorities are disadvantaged at some stages in processing but not others or, depending on which stages are examined; minorities receive both more severe and more lenient outcomes (Bell & Lang, 1985; Dannefer & Schutt, 1982; Leiber, 1994; Rodriguez, 2007).

The interpretations offered in those studies suggested that decision-makers may compensate or correct racial inequities that they are aware were introduced at earlier stages. Underlying this thinking is the "loosely coupled" nature of juvenile justice system (Bishop et al., 2010). That is, the relative effects of legal, demographic, and contextual factors (such as community factors) on case processing may be a function of: (1) the goals at each stage, (2) the training and philosophies of court actors or decision-makers at each stage, and (3) the extent of discretion available to decision-makers at each stage in the proceedings. Different decisionmakers at each stage of court proceedings may either enhance the effects of structure on court

outcomes, or attenuate racial/ethnic effects from potential biases evident at earlier stages. In particular, Bishop and colleagues (2010) put forth an integrated theory that combined aspects of the focal concerns perspective (Steffensmeier et al., 1998) with the organizational coupling framework (Aldrich, 1979; Aldrich & Whetten, 1981) to explain inconsistencies in the outcomes of youth across numerous decision-making stages. As introduced above, each court actor has varying goals, concerns, and attitudes that are taken into consideration depending on the stage examined. For example, "loosely coupled" stages (i.e. intake, disposition) are considered to have greater levels of discretion (and the potential for race/ethnic effects) because multiple court personnel (e.g. police officers, social service workers) are providing their input to the decisionmaker (e.g. intake officers, judges). "Tightly coupled" stages (e.g. formal charging, adjudication) are more likely to base decisions on legal factors (e.g. crime severity, prior record) than extralegal characteristics (e.g. race/ethnicity, gender) and potentially result in fewer biased and stereotypically decisions.

An example in the present study corresponds to the relationship between legal factors and adjudication decision-making. Specifically, youth who were charged with a felony, had a larger number of prior referrals and current charges, and who were represented by legal counsel were subjected to greater social control at adjudication. Therefore, Bishop and colleagues (2010) perspective has the potential to account for the presence of harsh, lenient, and lack of consistent outcomes of youth based on the composition of the juvenile court's variety of personnel.

An examination of the results across the stages of petition, detention, and placement by time change comparisons showed, with a few exceptions, stability in the relationships rather than fluctuation or change. The few exceptions involve decision-making at the front-end of the system (i.e. petition and detention) comparing the 2005 and 2009 time periods relative to 1985. These

findings showed less social control. Keep in mind that very few statistically significant effects were found involving underclass poverty and racial/ethnic inequality with the case outcomes.

At the individual-level, Black drug offenders received more social control at intake than other offenders. Hispanics and Hispanic drug offenders were also found to be more likely to be adjudicated once all relevant controls were considered. At judicial disposition, Blacks and Hispanics had a greater likelihood of receiving the more severe outcome of out-home-placement. These effects were enhanced if a minority youth was charged with a drug offense. These findings highlight that race/ethnicity still matters in juvenile justice proceedings and is also consistent with prior research (Bishop, 2005; Bishop & Leiber, 2012; Pope & Leiber, 2005; Leiber, 2002; Steen et al., 2005). In addition, drug offenders and in particular, Black drug offenders and Hispanic drug offenders, are responded to differently than other types of offenders (Feld, 1999; Leiber & Fox, 2005; Miller, 1996; Mitchell, 2009; Mitchell & Caudy, 2013; Tittle & Curran, 1988; Sampson & Laub, 1993). The findings reported here indicate that underclass poverty and racial/ethnic inequality alone (or if at all) do not seem to account for these occurrences.

7.1 Implications for Policy

The present research was driven by a test of Sampson and Laub's (1993) macro-level theory of the social control of youth within the juvenile justice system that emphasizes the impact of community characteristics (e.g., underclass poverty, racial/ethnic inequality) on case outcomes. Although little support was provided for the perspective, race/ethnicity individually and at times, in combination with drug offending, were found to explain the treatment of youth even after taking into account important legal and extralegal factors. In short, both legal considerations and race/ethnicity were determinants of case proceedings. Therefore, policy

should be developed that is multi-prong in that efforts should continue to be made that address both the prevention of delinquent behavior and inequities in the handling of minority youth.

The finding that certain legal factors (e.g. crime severity, prior record, number of current charges) explained decision-making in the current study suggests that minority youth may be involved in the juvenile justice system, in part, because of their involvement in crime and/or the kinds of crime that they are charged with. Therefore, to reduce the number of minority youth coming into contact with the system, community-based resources and programs need to be established and/or continued to be funded that focus on delinquency prevention and recidivism. It is important to establish outreach efforts to both parents and youth to connect them with activities that already exist. Most important is that minority youth have access to and the opportunity to participate in these programs. For example, services should continue to be funded and implemented that attempt to improve the life chances of youth such as skill development, educational attainment, and positive relationships with family and peers and those that address poverty and the social institutions within impoverished neighborhoods that are conducive for delinquent behavior (Bishop & Leiber, 2012; Welsh & Farrington, 2012).

In 1989, the Disproportionate Minority Confinement Mandate (DMC) was passed as part of the reauthorization of the Juvenile Justice and Delinquency Prevention Act (JJCPA) of 1974. In 2002, the JJDP Act was modified, shifting the emphasis from "disproportionate minority confinement" to "disproportionate minority contact" requiring the examination of possible minority youth overrepresentation throughout all decision points in the juvenile justice system. This examination is continuously conducted throughout five interconnected phases of the DMC mandate: (1) identification of DMC, (2) assessment into possible causes, (3) intervention, (4) evaluation, and (5) monitoring. Throughout DMC's history and continuing today, the underlying

goals of the mandate are the prevention of delinquency and the equitable treatment of all youth within the juvenile justice system (Department of Justice, 2009). The present study falls broadly within the assessment component of the DMC mandate.

On the basis of the results from the present study, interventions could involve cultural sensitivity training of key personnel within the juvenile justice system and change in the system itself. Changes that have been implemented elsewhere include legislative reform, administrative changes, and structural and procedural changes that impact decision-making (Department of Justice, 2009; Leiber & Rodriguez, 2011). Efforts such as these should continue to be implemented as methods to address and possible reduce inequities in the treatment of youth in general and in particular, minority youth.

One of the most popular changes involving the DMC mandate has focused on changing detention procedures with an emphasis on alternatives to secure detention, which in the present research, at times, detention was found to disadvantage youth relative to non-detained youth (Leiber & Peck, forthcoming). The utilization of standardized screening instruments has been a prominent strategy stressed by the Annie E. Casey Foundation (including the Juvenile Detention Alternatives Initiative), the MacArthur Foundation, and the Office of Juvenile Justice & Delinquency Prevention to reduce the reliance on secure detention, and when it is employed, that there is greater consistency in the justification for its use (Mendel, 2009).

7.2 Directions for Future Research

As noted few studies have been conducted within juvenile justice proceedings to explain the social control of youth and in particular, the poor and minorities, using a macro-level perspective, including tests of Sampson and Laub's (1993) theory. Although the findings reported here and those by other studies have yielded little support for Sampson and Laub's

perspective, other studies have produced favorable results. The lack of research, the inconsistency in the findings, and the weaknesses of the current study pave the way for additional research in this area surrounding community characteristics, race/ethnicity, and social control.

More specific, in the present research, some of the statistical models were based on a relatively small sample of counties, especially those (i.e. the change models) that were examining changes in social control based on changes in community measures over time (n=49). In addition, the data that was obtained had to be approved and "released" from the data collectors within each county. To some degree, this produced a final sample that is different than the counties included in Sampson and Laub's (1993) initial examination, but also translates to a limited coverage of both states and counties. Therefore, the data in the current study are not representative of all counties in the United States, and may produce potentially biased results and limited generalizability.

Furthermore, the counties used in the present research may lack variability in terms of the indicators of underclass poverty and racial/ethnic inequality. That is, the counties were more similar in terms of community characteristics than different. The lack of variation across all counties included in the final sample could be attributed to the absence of results that underclass poverty and racial/ethnic inequality exerted on social control. In other words, the most disadvantaged counties across the U.S. may not have been captured in the final sample, and therefore may not provide a true test of Sampson and Laub's (1993) perspective. From this limitation, future research is needed that incorporates a larger number of counties that also show sufficient variability in the Census measures. This advancement would allow for greater faith in the findings reported here that involved the aggregated count data.

In addition, in Sampson and Laub (1993)'s initial test of their perspective, they were able to use both county and case level data. In other words, individual-level data was aggregated to the county-level. In the present study, with the exception of the analyses conducted with the northeast state, this was not possible. Thus, additional research is needed that is able to test Sampson and Laub's (1993) perspective with the inclusion of case level information within a larger sample of counties. At the individual-level, the inclusion of additional case level information would help to decrease the potential of omitted variable bias. While the omission of potentially important macro-level variables will be discussed in detail later in this section, there is also the issue that certain case level measures (e.g. family status, school status) were missing from the current study as well that have been shown in prior research to impact case outcomes (Bishop, 2005; Bishop & Leiber, 2012).

The present research was also limited by not being able to use information from the 2010 U.S. census when conducting analyses with both the aggregate count data and the county/case-level data. Future research should attempt to conduct additional analyses using 2010 Census information. Future research would also benefit from the utilization of the 1, 3, or 5 year data from the American Community Survey (ACS). It may be that ACS data can complement the measures included from the 1980, 1990, and 2000 census. Therefore, if census data is not obtainable based on the specific race/ethnic measures needed to perform statistical analyses, the ACS may be considered a useful alternative data collection method for community-level measures.

Future research may also want to consider the use of zip codes, census tracts, and other information that can be obtained from smaller units of analysis than counties. This modification can potentially identify possible pockets of disadvantage more so than county-level indicators.

The utilization of county-level measures may mask potential differences within counties that were not captured by county-level census data. Smaller units of analysis have the potential to unmask community and/or race/ethnic effects that were not found in the present study of counties. For example, even though Sampson and Laub (1993) argued that counties characterized by underclass poverty and racial inequality would subject youth to greater social control, meaningful differences in these county-level measures may be more evident across zip codes and/or census tracts. Rodriguez (2013) examined structural indicators of disadvantage across zip codes rather that counties because policy initiatives for at-risk youth are targeted based on zip codes, not counties. Therefore, support for Sampson and Laub's (1993) theoretical model may be found with more finite measures of disadvantage.

There may also be the need to include various additional indicators of disadvantage and threat in future examinations of Sampson and Laub's (1993) perspective. For example, the index of dissimilarity (D) is an important yet unmeasured variable in the current study that could attribute to future support for Sampson and Laub's (1993) theory. The index of dissimilarity is included in examinations of other threat perspectives because it measures the degree of distribution between two groups (e.g. Black versus White) across various geographical locations (Massey & Denton, 1993, Ousey, 1999; Peterson & Krivo, 1993). Therefore, the amount of racial and/or ethnic segregation may be a significant indicator and "tool" of social control. Future research should attempt to better measure structural indicators of threat above and beyond the measures in the current study, and Sampson and Laub's (1993) initial examination.

It is also important to note that a number of potentially important control measures at the community-level were not included in the current study and should be considered in future research. For example, the county crime rate, trends in gang membership/activity, drug markets,

measures to indicate the war on drugs, and additional indicators of concentrated disadvantage may provide potential insight into support or non-support for Sampson and Laub's (1993) perspective. Even if future examinations do not specifically test Sampson and Laub's (1993) theoretical model, the macro-level measures indicated above could establish whether there is a connection between community context and the social control of youth in general, and in particular, minorities.

In addition, the current study utilized traditionally regression techniques (OLS regression) with aggregated county-level data from 1985-2009, and hierarchical generalized linear modeling (HGLM) from individual and county-level data from a Northeast state from 2000-2010. One possible suggestion for future research is the utilization of a pooled time series cross-section analysis (TSCS) with the counties that comprised the aggregated level of analyses across the four time frames. Due to the limitation that some models only included 49 counties, TSCS has the ability to combine the cross-sectional data of the counties across the four time frames to produce a greater number of observations. While OLS regression was employed instead of TSCS in the current study, a task for future research would be to utilize this additional form of data analysis to assess if similar or different results are produced based on a different type of statistical analysis.

It is also possible that Sampson and Laub's (1993) inequality perspective needs theoretical refinement. For example, one avenue for theory development and future research may be to expand the notion of "threat" beyond the symbolic aspect to include multiple perceived threats, (e.g., political, cultural) that may be intertwined and possibly racialized. The consideration of multiple types of threats may place the perspective in a better position to account for why (in addition to Blacks) certain populations are targeted for increased social

control. Hispanics and the poor may be other groups that are perceived as threatening and in need of social control based on the decisions of court actors.

Paralleling this suggestion, temporal changes in social control based on perceptions of threat may also be affected in the composition of juvenile justice personnel. In other words, certain characteristics of juvenile court decision-makers may influence how various racial/ethnic groups are considered threatening across time. For example, in the adult criminal justice literature (Johnson, 2014; Steffensmeier & Hebert, 1999), research suggests that police officer characteristics (e.g. race, gender) may play an important role in racial disparities of arrest patterns (Engel, Calnon, & Bernard, 2002; Tillyer, Klahm, & Engel, 2012). Within the juvenile justice realm, only a handful of studies have examined how characteristics of juvenile court actors may influence race/ethnic differences in social control (Davis, Severy, Kraus, & Whitaker, 1993; Leiber & Brubaker, 2010). Future research should consider this additional line of inquiry to examine how threat at the organizational or "decision-maker" level may influence how youth of different racial/ethnic backgrounds are treated once referred to the juvenile court.

Concomitantly, linkage to mid-level (e.g., focal concerns perspective) and micro-level (e.g., attribution theory) explanations may improve our understanding of social control. Specifically, a theoretical perspective that explains how multiple historical, cultural, community, courts, and decision-making factors may interact to influence case outcomes. Recall that the macro-level variables, for the most part, failed to explain specifically the treatment of Blacks and Hispanics at the individual-level. A theoretical elaboration (as described above), may provide the bridge to the contextual mechanisms that interact with legal and extra-legal factors to justify the need to control and/or help perceived threatening populations (e.g., Bridges & Steen, 1998; Rodriguez, 2010, 2013; Steffensmeier et al., 1998; Stolzenberg, D'Alessio, & Eitle, 2004).

In the present study, the size of a counties' minority population was at times predictive of social control. The size of the minority population of a community is one central element of the minority group threat perspective as espoused by Blalock (1967). Many studies have discovered that increases in the minority population(s) of a community to explain increases in police expenditures (e.g., Chamlin, 1989), police force size (e.g., Stults & Baumer, 2007), prison sentences (e.g., Wang & Mears, 2010), and sentence lengths (e.g., Ulmer & Johnson, 2004). Within the juvenile justice system, the presence of minority populations has been predictive of pre-adjudication detention (e.g., Armstrong & Rodriguez, 2005) and severe case outcomes (e.g. Tittle & Curran, 1988). Other research, however, has reported no support for the premise that minority presence is related to increased punishment (e.g., Bridges et al., 1995; Britt, 2000; Hayes-Smith & Hayes-Smith, 2009; Kautt, 2002; Leiber et al., forthcoming). Still, given the inconsistent support for the power-threat perspective and the results reported here, future studies may want to consider exploring the extent the presence of minorities in a community as well as the influence of minorities as a political threat may have on the social control of youth.

A final suggestion for future research rests with the need to compliment the use of quantitative analyses with qualitative analyses in the study of race/ethnicity and the treatment of youth in juvenile justice proceedings. The findings reported in the current study revealed that Blacks and Hispanics were treated differently once relevant legal and extralegal considerations were taking into account. These findings often occurred irrespective of county-level characteristics. Observational studies of court decision-making, including police and school referrals, interviews with decision-makers, and content analyses of case files could provide additional insights into the contexts of when race/ethnicity influences case outcomes (Bridges & Steen, 1998; Graham & Lowery, 2004; Harris, 2007, 2009; Leiber, 2003).

7.3 Dissemination of Research

This research has significant implications for the social control of youth and in particular, minority youth. Several avenues will be pursued to disseminate the findings. In addition to the disseminating of the current findings in this Final Report to the National Institute of Justice, additional efforts will include conference presentations (e.g., American Society of Criminology, ASC; Academy of the Criminal Justice Sciences, ACJS) and journal publications (e.g., Criminology, Journal of Research in Crime and Delinquency, etc.). A third effort to disseminate the findings will be through additional reports that will be provided to the National Center for Juvenile Justice (NCJJ) and counties and states that permitted the use of the data.

| Variable | Individual Items |
|----------------------|---|
| <u>Independent</u> | |
| Underclass Poverty | % Female-headed households with children under 18 % Individuals in poverty % Household income < \$10,000 |
| Racial Inequality | Ratio of Black to White individuals in poverty % Black families in poverty |
| Ethnic Inequality | Ratio of Hispanic to White individuals in poverty % Hispanic families in poverty |
| Percent Black | % Black Residents |
| Percent Hispanic | % Hispanic Residents |
| <u>Controls</u> | |
| Wealth | Median household income % Households > \$200,000 |
| Residential Mobility | % Residents who moved in past 5 years |
| Urbanism | County population |
| Youth | Ratio of juveniles to adults % Youth ages 15-18 |

Appendix 1. County-Level Indices and Measures

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