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Evaluation of the Honest Opportunity Probation with Enforcement Demonstration Field Experiment (HOPE DFE)

Appendices

Volume I

Volume II

Volume III

April 2017

Prepared for

National Institute of Justice

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Evaluation of the Honest Opportunity Probation with Enforcement Demonstration Field Experiment (HOPE DFE)

Volume I

Appendix A. Process Site Visit Instrument
Appendix B. HOPE Probationer Interview Guide
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April 2017

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National Institute of Justice
810 7th Street NW
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Appendix A: Process Instruments

EXHIBIT A: WARNING HEARING CHECKLIST EXAMPLE

HOPE Warning Hearing	Date	Probationer(s) (#)				
Site # X						
Judge Alm's Script		Judge X's Script & Hearing				
Theme	Sub-Theme (ST)	Does the ST appear in Judge X's script? Yes or No	Is the ST mentioned in Judge X's hearing? Yes or No		Tone of Script	Tone of Hearing
Positive Attitude/Reinforcement	<i>Portrayal of Confidence</i>				1= cold, forceful; 7 = very warm, compassionate	
	<i>Support</i>					
	<i>Hopefulness</i>					
	<i>Empathy</i>					
	<i>Incentives</i>					
Clear description of HOPE	<i>Concern</i>					
	<i>Reasons for Eligibility</i>					
	<i>Expectations/Guidelines</i>					
	<i>Fairness</i>					
Individual Accountability	<i>Responsibility/Accountability</i>					
	<i>Decision Making/Choices</i>					
Consequences	<i>Clarity of Ramifications</i>					
	<i>Swiftness</i>					
	<i>Certainty</i>					

Theme: General themes found within Judge Alm's script (made up of sub-themes)

Sub-Theme (ST): Specific themes found within Judge Alm's script

Tone: Style of speaking while reading script and throughout the hearing (compassion, warmth, use of personal examples, opportunities for questions)

Additional Themes: Themes or statements that are not found in Judge X's script, but are found in Judge X's script and/or hearing

EXHIBIT B: QUALITATIVE BASELINE INTERVIEW SCHEDULE – HOPE TEAM

Note: The formatting for this and the following instruments has been condensed for space in this report

QUALITATIVE BASELINE INTERVIEW SCHEDULE – HOPE TEAM

Name: _____

Date: _____

Position/HOPE Role: _____

Site: _____

Interviewer: _____

The purpose of our interview today is to gain a better understanding of the start-up and initial operation of the HOPE program that your site is running as part of the HOPE DFE funded by BJA/NIJ.

This is our initial interview with you to gather information about how your site is implementing HOPE and to learn from you the challenges and successes that you have experienced in implementing and conducting the HOPE program here. As the HOPE DFE moves forward, we will ask to conduct follow-up interviews with you and other stakeholders, and then a final interview as the HOPE DFE comes near an end.

Please note that your participation in this interview is voluntary. You do not have to answer any question that you do not want to answer, nor reveal any information you do not wish to.

Before we proceed, do you have any questions?

Do we have your permission to proceed?

We thank you in advance for allowing us to ask you these questions. Your input will be most valuable and will contribute greatly to our understanding of the impacts of the HOPE model.

INTERVIEWER NOTE: FOR ALL QUESTIONS, IF:

**DON'T KNOW - ENTER 8;
REFUSE TO ANSWER - ENTER 9.**

HT-BL-1

To what extent have the following stakeholders been involved in the development of the HOPE program?

HT-BL-1-A HOPE JUDGE(S)

1	2	3	4	5
Not At All		Moderate Extent		Great Extent

Probe for how the JUDGE has been involved in the development of HOPE, what has the JUDGE done in the implementation process?

HT-BL-1-B PROSECUTOR

1	2	3	4	5
Not At All		Moderate Extent		Great Extent

Probe for how the PROSECUTOR has been involved in the development of HOPE, what has the PROSECUTOR done in the implementation process?

HT-BL-1-C DEFENSE COUNSEL

1	2	3	4	5
Not At All		Moderate Extent		Great Extent

Probe for how the DEFENSE COUNSEL has been involved in the development of HOPE, what has the DEFENSE COUNSEL done in the implementation process?

HT-BL-1-D HOPE PROBATION OFFICERS

1	2	3	4	5
Not At All		Moderate Extent		Great Extent

Probe for how the HOPE PROBATION OFFICERS have been involved in the development of HOPE, what have the HOPE PO's done in the implementation process?

HT-BL-1-E PROBATION MANAGEMENT

1 2 3 4 5
Not At All Moderate Extent Great Extent

Probe for how PROBATION MANAGEMENT have been involved in the development of HOPE, what has PROBATION MANAGEMENT done in the implementation process?

HT-BL-1-F JAIL ADMINISTRATOR

1 2 3 4 5
Not At All Moderate Extent Great Extent

Probe for how the JAIL ADMINISTRATOR has been involved in the development of HOPE, what has the JAIL ADMINISTRATOR done in the implementation process?

HT-BL-1-G SHERIFF/OTHER LAW ENFORCEMENT

1 2 3 4 5
Not At All Moderate Extent Great Extent

Probe for how the SHERIFF/LE has been involved in the development of HOPE, what has the SHERIFF/LE done in the implementation process?

HT-BL-1-H HOPE PROGRAM COORDINATOR

1 2 3 4 5
Not At All Moderate Extent Great Extent

Probe for how the HOPE PC has been involved in the development of HOPE, what has the HOPE PC done in the implementation process?

HT-BL-1-I OTHER(S) – [List]

1 2 3 4 5
Not At All Moderate Extent Great Extent

Probe for how OTHER has been involved in the development of HOPE, what has OTHER done in the implementation process?

HT-BL-2

How often do you communicate with the following stakeholders in connection with HOPE?

HT-BL-2-A HOPE JUDGE(S)

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-BL-2-B PROSECUTOR

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-BL-2-C DEFENSE COUNSEL

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-BL-2-D HOPE PROBATION OFFICERS

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-BL-2-E PROBATION MANAGEMENT

1 Every Day	2 Once or Twice a	3 Once or Twice a	4 Less than Once a	5 Never
-------------------	-------------------------	-------------------------	--------------------------	------------

	Week	Month	Month	
HT-BL-2-F	JAIL ADMINISTRATOR			
1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-BL-2-G	SHERIFF/OTHER LAW ENFORCEMENT			
1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-BL-2-H	HOPE PROGRAM COORDINATOR			
1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-BL-2-I	OTHER(S) – [List]			
1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-BL-3

What sort of training did you receive on the HOPE program?

Probe for training received on CBT, MI and any other treatment elements.
 Probe for whether the training was helpful, were any key training needs missed.

HT-BL-4

What have been the most critical implementation barriers you have encountered so far in getting HOPE up and running?

Probe for sticking points – funding, inter-agency cooperation, identifying appropriate candidates, staffing, stakeholder attitudes towards HOPE, probationer resistance, etc.

HT-BL-5

What are the key things that have facilitated the implementation of the HOPE program; i.e. what has been most helpful in getting HOPE started?

HT-BL-6

What do you personally think about the HOPE model/approach? Do you think the HOPE model should be more widely implemented in your state? Why or why not?

HT-BL-7

What is HOPE at your site? In other words, what are the key elements of HOPE as it operates at your site? What does it mean to be in HOPE?

Probe for key elements: supervision levels (number of contacts), drug testing frequency, sanctioning (swiftness, certainty, severity), interaction with the judge, etc.

HT-BL-8

What is traditional probation (PAU) at your site? In other words, what are the key elements of PAU at your site?

Probe for key elements: supervision levels (number of contacts), drug testing frequency, sanctioning (swiftness, certainty, severity), interaction with the judge, etc.

This instrument has been condensed for space

EXHIBIT C: QUALITATIVE INTERMEDIATE INTERVIEW SCHEDULE – HOPE TEAM

QUALITATIVE INTERMEDIATE INTERVIEW SCHEDULE – HOPE TEAM

Name: _____

Date: _____

Position/HOPE Role: _____

Site: _____

Interviewer: _____

Our interview today is a follow-up to the initial interview that we had conducted with you to gain a better understanding of the operation of the HOPE program that your site is running as part of the HOPE DFE funded by BJA/NIJ. Some of the questions we ask today will be similar to questions we had asked in the previous interview, as we want to track some of these issues over time. There are also new questions

As the HOPE DFE continues to move forward, we will ask to conduct additional follow-up interviews with you, and then a final interview as the HOPE DFE comes near an end.

Please note that your participation in this interview is voluntary. You do not have to answer any question that you do not want to answer, nor reveal any information you do not wish to.

Before we proceed, do you have any questions?

Do we have your permission to proceed?

We thank you in advance allowing us to ask you these questions. Your ongoing input is most valuable and will contribute greatly to our understanding of the impacts of the HOPE model.

INTERVIEWER NOTE: FOR ALL QUESTIONS, IF:

**DON'T KNOW - ENTER 8;
REFUSE TO ANSWER - ENTER 9.**

HT-IM1-1

How important are the following stakeholders to the ongoing implementation and operation of HOPE?

HT-IM1-1-A HOPE JUDGE(S)

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-B PROSECUTOR

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-C DEFENSE COUNSEL

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-D HOPE PROBATION OFFICERS

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-E PROBATION MANAGEMENT

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-F JAIL ADMINISTRATOR

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-G SHERIFF/OTHER LAW ENFORCEMENT

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-H HOPE PROGRAM COORDINATOR

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-1-I OTHER(S) – [List]

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: Is this stakeholder's level of involvement adequate? What could they be doing differently?

HT-IM1-2

How often do you communicate with the following stakeholders in connection with HOPE?

HT-IM1-2-A HOPE JUDGE(S)

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-IM1-2-B PROSECUTOR

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-IM1-2-C DEFENSE COUNSEL

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-IM1-2-D HOPE PROBATION OFFICERS

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-IM1-2-E PROBATION MANAGEMENT

1 Every Day	2 Once or Twice a	3 Once or Twice a	4 Less than Once a	5 Never
-------------------	-------------------------	-------------------------	--------------------------	------------

Week

Month

Month

HT-IM1-2-F JAIL ADMINISTRATOR

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-IM1-2-G SHERIFF/OTHER LAW ENFORCEMENT

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-IM1-2-H HOPE PROGRAM COORDINATOR

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-IM1-2-I OTHER(S) – [List]

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-IM1-3

Has any particular member(s) of the HOPE team emerged as an especially strong leader within the HOPE program?

Probe: If so, in what ways has the emergence of a strong internal supporter of HOPE facilitated or otherwise impacted the HOPE program?

HT-IM1-4

How satisfied are you with the group process - the way that the HOPE Team has operated?

Probe – Could the HOPE Team be run better? How? Conflicts within the Team?

HT-IM1-5

How effective do you think sanctions are in changing the behavior of HOPE probationers?

1 2 3 4 5
Not At All Moderately Effective Very Effective

Note: Code “9” for Don’t Know or No Response

Probe: What sanctions are used at your site? Which sanctions are most effective and why? For jail sanctions, probe for dosage (days). Any issues with employing these sanctions

HT-IM1-6

How well do you think HOPE participants understand the HOPE sanctioning goals and process?

1 2 3 4 5
Not At All Moderately Well Very Well

Note: Code “9” for Don’t Know or No Response

Probe for what they think the HOPE probationers don’t understand about the sanctioning process

HT-IM1-7

Has your site developed a sanctioning grid for HOPE Violators? If so, who was involved in the development of this grid? Has this grid changed over time? [GET A COPY]

Probe: How closely does the Judge follow this grid?

If there is no grid, how does the Judge determine sanctions? Is the Judge consistent?

HT-IM1-8

What do you personally think about the HOPE program?

HT-IM1-9

What have been the most significant problems in the ongoing operation and implementation of HOPE over the past year?

Probe: How has the HOPE team responded to these problems?

HT-IM1-10

What are the key things that have facilitated the ongoing implementation and operation of the HOPE program over the past year?

HT-IM1-11

To what extent has your participation in the HOPE project been a burden to you?

1	2	3	4	5
Not At All		Moderate Extent		Great Extent

Probe: What has been the greatest burden imposed by HOPE? What have you done in response to this?

HT-IM1-12

To what extent do you think the HOPE project has been a burden on:

HT-IM1-11a: The Court

1 2 3 4 5
Not At All Moderate Extent Great Extent

HT-IM1-11b: Probation

1 2 3 4 5
Not At All Moderate Extent Great Extent

HT-IM1-11c: The Jail

1 2 3 4 5
Not At All Moderate Extent Great Extent

HT-IM1-13

Has your agency been planning for the continuation and sustainability of the HOPE program after the current BJA grant and DFE end? This would include activities such as exploring continuation funding, retaining staff, developing policies and procedures to facilitate the continuation of HOPE, etc.

If so, probe for details of sustainability planning.

If not, why is the site not planning for sustainability.

HT-IM1-14

For repeat interviewees: What sort of training have you received on the HOPE program in the past year?

For new interviewees: What sort of training did you receive on the HOPE program?

Probe for whether the training was helpful, were any key training needs missed.

HT-IM1-15

Have there been any changes over the past year or so in how PAU operates at your site? If so, please discuss.

EXHIBIT D: QUALITATIVE FINAL INTERVIEW SCHEDULE – HOPE TEAM

QUALITATIVE FINAL INTERVIEW SCHEDULE – HOPE TEAM

Name: _____

Date: _____

Position/HOPE Role: _____

Site: _____

Interviewer: _____

Our interview today is the final in the series of interviews that we have conducted with you to gain a better understanding of the operation of the HOPE program that your site is running as part of the HOPE DFE funded by BJA/NIJ. This interview will provide a summary opportunity to record your insight into how HOPE has unfolded at your site over the past several years.

Please note that your participation in this interview is voluntary. You do not have to answer any question that you do not want to answer, nor reveal any information you do not wish to.

Before we proceed, do you have any questions?

Do we have your permission to proceed?

Once again, we thank you for having taken the time to participate in these interviews over the course of the HOPE DFE at your site. Your input has been most valuable and will contribute greatly to our understanding of the impacts of the HOPE model.

INTERVIEWER NOTE: FOR ALL QUESTIONS, IF:

**DON'T KNOW - ENTER 8;
REFUSE TO ANSWER - ENTER 9.**

HT-FIN-1

What are the key components of HOPE that you think have made the biggest positive difference for HOPE participants? In other words, what worked best about HOPE?

HT-FIN-2

From your perspective, are there any elements of the HOPE program that simply did not work; if so, what?

Probe: Do you think any probationer was harmed more than helped by HOPE? Why?

HT-FIN-3

What if anything would you have changed about how the HOPE program was implemented? What recommendations do you have for improving the HOPE program?

Probe: Were there any critical factors that limited the number of probationers you were able to enroll in HOPE? If so, please discuss.

Probe: How does the administrative structure and relationship of probation and the court at your site impact the operation of HOPE?

HT-FIN-4

Looking back now at the entire history of HOPE at your site, who would you say has been the real leader (or leaders) of HOPE? Has the leadership changed over time, and if so how?

Probe: If there has been a strong leader(s), how important has this been for HOPE?

Probe: If there has not been a strong leader, has this harmed HOPE, and if so, how?

HT-FIN-5

Now that HOPE has been in operation for several years, what do you personally think of it?

HT-FIN-6

What have been the most significant organizational or systems level changes at your site as a result of HOPE – what impact has HOPE had here?

Probe: Has HOPE influenced your agency’s approach to other types of offender services? If so, how?

HT-FIN-7

Has your agency made plans for the continuation and sustainability of the HOPE program after the current BJA grant and DFE end? This would include activities such as exploring continuation funding, retaining staff, developing policies and procedures to facilitate the continuation of HOPE, etc.

If so, probe for details of sustainability planning.

If not, why is the site not planning for sustainability.

HT-FIN-8

What factors do you think would be important to expanding the HOPE program throughout your state?

Probe: If another site wanted to implement HOPE and asked for your advice, what would you tell them?

HT-FIN-9

How often do you communicate with the following stakeholders in connection with HOPE?

HT-FIN-9-A HOPE JUDGE(S)

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-FIN-9-B PROSECUTOR

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-C DEFENSE COUNSEL

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-D HOPE PROBATION OFFICERS

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-E PROBATION MANAGEMENT

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-F JAIL ADMINISTRATOR

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-G SHERIFF/OTHER LAW ENFORCEMENT

1 Every Day	2 Once or Twice a Week	3 Once or Twice a Month	4 Less than Once a Month	5 Never
-------------------	---------------------------------	----------------------------------	-----------------------------------	------------

HT-FIN-9-H HOPE PROGRAM COORDINATOR

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-FIN-9-I OTHER(S) – [List]

1	2	3	4	5
Every Day	Once or Twice a Week	Once or Twice a Month	Less than Once a Month	Never

HT-FIN-10

Overall, how important have the following stakeholders to operation of HOPE over the past several years?

HT-FIN-10-A HOPE JUDGE(S)

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-B PROSECUTOR

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-C DEFENSE COUNSEL

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-D HOPE PROBATION OFFICERS

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-E PROBATION MANAGEMENT

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-F JAIL ADMINISTRATOR

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-G SHERIFF/OTHER LAW ENFORCEMENT

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-H HOPE PROGRAM COORDINATOR

1 2 3 4 5
Not At All Somewhat Important Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-10-I OTHER(S) – [List]

1	2	3	4	5
Not At All		Somewhat Important		Very Important

Probe: What was the most important thing that this stakeholder has contributed?

HT-FIN-11

Finally, are there any other comments you would like to offer about the HOPE program through your experience with it?

This instrument has been condensed for space

Appendix B: HOPE Probationer Interview Schedule

HOPE PROBATIONER INTERVIEW SCHEDULE

Interviews – HOPE Probationers

PI1

What are the expectations and requirements of the HOPE program?

PROBES:

Did you learn about these at the beginning from the Judge?

If not, then how did you learn about them? From other probationers?

PI2

Have you received sanctions for any violations while in HOPE? If so, what were the violations and sanctions?

SKIP: If they did not have any violations, then skip to PI4, otherwise move to PI3.

PI3

Did you think about the possible consequences of your actions prior to committing the violation?

PROBES:

Did you know/understand what the sanction would be?

Did you care or worry about the possible sanction you might receive?

Did you think about better alternatives to the behavior that received a sanction?

Did these sanctions have any impact on your later behavior? Why or why not?

If Yes to impact, which sanctions had the most impact on your later behavior?

If No to impact, what other types of sanctions might have changed your later behavior?

PI4

Since you have not committed any violations while in HOPE, did the possibility of being sanctioned keep you from doing so?

PROBES

Any other reasons?

Did your PO ever “cut you a break” and not report some violation they were aware of?

PI5

For you, what was the most helpful part of the HOPE program – what did HOPE do the best?

PROBE: Do you think HOPE will help you to stay out of trouble in the future?

PI6

What was the most difficult part of participating in HOPE?

PROBES:

How did you deal with that?

How could the HOPE program be improved?

PI7

Do you feel that the HOPE program is fair?

PROBE:

If not, why not?

Appendix C: ACASI Survey Instrument

Intake

- 1) IS THIS THE INITIAL OR SIX MONTH INTERVIEW?

Initial

Six month

- 2) ENTER RESPONDENT'S STUDY ID NUMBER.

- 3) [IF Q1=INITIAL] ENTER NAME ON PROBATIONER INFORMATION CARD AND CONFIRM WITH POTENTIAL RESPONDENT

Last name

Lname

Fname

Mname

- 4) [IF Q1=INITIAL]
ENTER RESPONDENT'S STATE IDENTIFICATION NUMBER

- 5) [IF Q1=INITIAL]
ENTER RESPONDENT'S DATE OF BIRTH AS MM/DD/YYYY.
XX/XX/XXXX

- 6) [IF Q1=INITIAL]
ENTER RESPONDENT'S GENDER
Male
Female

- 7) INDIVIDUAL CONSENTS TO THE ACASI STUDY?
Yes
No

- 8) [IF Q8=YES; IF Q7=NO→Q53]
ASK RESPONDENT TO CONFIRM ADDITIONAL INFORMATION FROM THE PROBATIONERS INFORMATION CARD IN THE SECTION BELOW, AND THEN PROCEED TO THE TUTORIAL.

ENTER 1 TO CONTINUE

- 9) [IF Q1=SIX MONTH, THEN →Q15]
CURRENTLY ON PROBATION
Yes
No
- 10) [IF Q1=INITIAL]
RANDOMIZED TO TACASI?
Yes
No
- 11) [IFQ11=YES; IF NO→Q15]
INDIVIDUAL CONSENTS TO THE TELEPHONE INTERVIEWS?
Yes
No
- 12) [IF Q12=YES]
TACASI CALL DAYS

- 13) [IFQ12=YES]
RECHARGEABLE CARD ID #

- 14) CURRENTLY IN JAIL (SHORT-TERM INCARCERATION)?
Yes
No
- 15) CURRENTLY IN PRISON (LONG-TERM INCARCERATION)?
Yes
No
- 16) [IF Q1=SIX MONTH]
RANDOMIZED TO ORAL SWAB?
Yes
No
- 17) [IF Q17=YES]
RESPONDENT CONSENTS TO ORAL SWAB TESTING?
Yes
No
- 18) [IF Q18=YES; IF NO→Q50]

ORAL SWAB NOTES

19) [IF Q18=YES; THEN→Q50] INTAKE NOTES

20) [IF Q1=INITIAL Q21-Q51]

SSN

XXX-XX-XXXX

21) IF CURRENTLY IN JAIL/PRISON AND HE/SHE DOES NOT HAVE A HOME ADDRESS ENTER NA.

What is your physical street address?

street1

street 2

apartnum

city

state

zip

22) Is this also your mailing address?

Yes

No

23) [IF Q23=YES]

What is your home phone number?

(XXX)XXX-XXXX

24) [IF Q23=NO]

What is your mailing address?

street1

street 2

apartnum

city

state

zip

25) [IF Q23=NO]

What is your home phone number?

(XXX)XXX-XXXX

26) What is your cell phone number?

(XXX)XXX-XXXX

27) [IF Q27 ≠ DK or REF] Is it ok for us to text you on your cell phone?

Yes

No

IF RESPONDENT ASKS WHAT WE WILL TEXT THEM: We will be texting you reminders for appointments you have with us to do surveys. We will not be texting you reminders about any appointments related to court, drug tests, or meetings with your probation officer.

28) What is your e-mail address?

29) Do you have a work address?

Yes

No

30) [IF Q30=YES; IF NO→Q36]

What is the name of the company you work for?

31) [IF Q30=YES]

What is your work address?

street1

street 2

apartnum

city

state

zip

32) [IFQ30=YES]

What is your work phone number?

(XXX)XXX-XXXX

33) [IFQ30=YES]

What is your work fax number?

ENTER RESPONDENT'S FAX NUMBER

(XXX)XXX-XXXX

34) [IFQ30=YES]

What is your work e-mail address?

35) We would like to get contact information for someone close to you who could help us contact you for the follow-up. Will you provide contact information for someone close to you?

Yes
No

36) [IF Q36=YES; IF NO→Q50]
What is the person's first name?

37) [IFQ36=YES]
What is the person's last name?

38) [IF Q36=YES]
What is the person's gender?
Male
Female

39) [IF Q36=YES]
What is the person's relationship to you?

40) [IFQ36=YES]
What is the person's mailing address?

street1 street 2 apartnum city state zip

41) [IFQ36=YES]
What is the person's home phone number?

(XXX)XXX-XXXX

42) [IFQ36=YES]
What is the person's cell phone number?

(XXX)XXX-XXXX

43) [IFQ36=YES] Is it ok to text this person?

Yes
No

44) [IF Q36=YES]
What is their personal email address?

45) [IFQ36=YES]

Do they currently have a job?

Yes

No

46) [IF Q46=YES; IF NO→Q50]

What is their work phone number?

(XXX)XXX-XXXX

47) [IFQ46=YES]

What is their fax number?

(XXX)XXX-XXXX

48) [IFQ46=YES]

What is their work email address?

49) INTAKE NOTES

50) PLEASE REVIEW THE FIRST TWO ACASI TUTORIAL QUESTIONS WITH THE RESPONDENT.

Enter 1 to exit this case

51) Thank them for their time.

Enter 1 to continue

Tutorial

Are you ready to begin? (Yes, No)

TUTACASI1

Before you begin, I will help you go through a short practice session to learn how to use the computer.

Let me quickly point out the keys you will use. The computerized practice session that follows will go through what each key does in greater detail.

POINT TO THE ROW OF FUNCTION KEYS First, these are the function keys.

POINT TO F3 If you don't know the answer to a question, press F3.

POINT TO F4 If you don't want to answer a question, press F4.

POINT TO THE ROW OF NUMBER KEYS These are the number keys.

POINT TO THE ENTER KEY The Enter key is here,

POINT TO THE SPACE BAR the space bar is here,

POINT TO THE UP ARROW KEY The UP ARROW key is here,

POINT TO THE BACKSPACE KEY and the Backspace key is here.

POINT TO THE BOTTOM OF THE SCREEN The answers that you enter will show up here at the bottom of the screen.

POINT TO ON/OFF SWITCH This button up here turns the machine on and off. Please do not press it! It will turn the machine off, and we'll lose the interview.

PRESS ENTER TO CONTINUE.

TUTACASI2

Please put on your headphones.

You can adjust the volume here POINT TO VOLUME ADJUSTMENT BUTTON ON COMPUTER or here DEMONSTRATE VOLUME ADJUSTMENT ON THE HEADPHONE CORD.

When you are ready, let me know, and I'll press the ""1"" key to continue. The next screen will allow you to adjust the volume on your headphones.

ONCE RESPONDENT HAS HEADPHONES ON, PRESS ""1"" TO CONTINUE.

TUTHEDPH.wav

We have set up this screen to play while you adjust the volume in your headphones. When you have adjusted the volume to a level that is comfortable to you, press the large ENTER key on the right side of the keyboard to continue with the practice session. The ENTER key is the one with the ARROW symbol on it.

TUTINTRO1.wav

Welcome to the RTI self-interviewing system. We developed this system so that you can control the interview yourself and enter your answers in complete privacy.

First, you will learn how to use the computer and complete some practice questions.

Press the large ENTER key on the right side of the keyboard to move to the next screen. The ENTER key is the one with the ARROW symbol on it.

TUTINTRO2.wav

In this system you can read the questions on the computer screen and hear them read through the headphones. If you would like to just see the questions on the screen and not listen to them, you can press the F7 key to turn off the voice. If you want to hear the questions read aloud, you can press the F7 key again to turn the sound back on. You can lower the sound by adjusting the control on the cord of the headphones.

Press the large ENTER key on the right side of the keyboard to move to the next screen. The ENTER key is the one with the ARROW symbol on it.

TUTGOTDO.wav

You answer questions by putting in numbers that correspond to your answer. The numbers are located in the second row of keys.

To answer a question, you first press the correct number and then press ENTER to send the answer to the computer. Practice this now.

Do you have a dog?

Yesno.wav

Enter 1 for yes

Enter 2 for no

TUTEYECOL.wav

The last question was a Yes-No question. Sometimes questions will have more answers to choose from, and you will select an answer from a list.

What color are your eyes? Put in the number that best fits you and press the ENTER key.

Enter 1 for green

Enter 2 for brown

Enter 3 for blue

Enter 4 for hazel

Enter 5 for gray

Enter 6 for other color eyes

TUT1to9b.wav

Sometimes questions will ask you to enter a number between 1 and 9 to show how strongly you agree or disagree with a statement. Try answering the question below.

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with the following statement.

My favorite month is January.

TUTALLAPP.wav

Some questions will let you choose more than one answer. For these questions, you will use the space bar to separate the answers you type in. Try doing this on the question below. Put in more than one answer, using the space bar between your answers.

What kinds of music do you listen to?

When you have finished, press the ENTER key to go to the next question.

Enter 1 for pop music
Enter 2 for R & B/soul music
Enter 3 for rock and roll music
Enter 4 for jazz music
Enter 5 for hip hop music
Enter 6 for classical music
Enter 7 for world music
Enter 8 for country and western music
Enter 9 for other type of musical sound

TUTNUMBE.wav

Other questions will ask you to type in a number rather than choosing a category.

In the past 30 days, on how many days did you eat breakfast?

TUTSTOPLI.wav

If the list is long, and we come to your answer before the end, you can interrupt the voice and put your answer in as soon as you decide what it is.

Try doing this on the question below. Put in your answer while the list is being read. Remember to press ENTER.

When do you want to interrupt this list?
Enter 1 for Interrupt at first response item
Enter 2 for Interrupt at second response item
Enter 3 for Interrupt at third response item
Enter 4 for Interrupt at fourth response item
Enter 5 for I did not interrupt until the last item

TUTDOAGAI.wav

You can also hear a question read more than once. To do this, you press the F10 key. Try this now.

It is up to you to tell the computer when you want to hear a question again.

You can hear it as many times as you like.

How many times did you listen to this screen?

Enter 1 for I listened to this screen one time

Enter 2 for I listened to this screen two times

Enter 3 for I listened to this screen three times

Enter 4 for I listened to this screen four or more times

TUTBACKU.wav

If you want to change or review an answer to a previous question, you can back up using the up arrow key. Each time you press the up arrow key the computer will go back one question.

Press ENTER to continue.

TUTRANGE.wav

For some questions, the computer can only accept certain answers. For example, in the question below, the only answers the computer will accept are 1 for YES and 2 for NO.

If you try to enter some other number as your answer, a small box labeled Input Invalid will appear on the screen. To correct your answer, you must press the ENTER key to make the box disappear and then use the Backspace key to remove your old answer. You can then answer the question again.

Try this with the question below. Type a 3 as your answer. Press the ENTER key to remove the Input Invalid box. Use the Backspace key to remove your answer and type in a valid answer.

Do you have a cat?

Enter 1 for yes

Enter 2 for no

TUTANYQU.wav

If you have any questions, ask your interviewer now. Otherwise, press ENTER to begin.

Introduction

You have agreed to participate in a research study that is examining the effects of the conditions of probation on probationer outcomes. Please remember that what you tell us in this survey is confidential.

Only the people working on the study will be able to see your answers. No one else, including, for example, your probation officer <IF IN JAIL OR PRISON: or facility staff), will be able to find out what you say in this survey. Your name will never be connected to your responses. You can skip any questions you do not want to answer. This survey should take about 30 minutes to complete. If you become upset for any reason, you can ask to stop the interview.

Press 1 and Continue to begin. (Continue)

The following questions ask about you:

- 1) What is your date of birth?

Month _____

Day _____

Year _____

- 2) Are you of Hispanic origin? (Yes, No)

- 3) What is your race? (SELECT ALL THAT APPLY) If you want to select more than one answer, remember to press the Space Bar between each one.

White

Black or African American

American Indian or Alaska Native

Asian or East Indian

Native Hawaiian or other Pacific Islander

Other

- 4) In what country were you born?

United States

Mexico

Other

- a. (If Mexico or other) About how long have you lived in the United States?

One year or less

At least one year but less than five years

At least five years but less than ten years

More than ten years

- 5) What is the **main** language spoken in your home?

English

Spanish

An Asian language

Other

- 6) What is the highest grade or level of school you have completed?

None

Less than high school

High school diploma/GED

Vocational or trade school or some college but no certificate or degree

Graduated from college

- 7) Are you currently in school? (Yes, No)
- 8) Have you ever served in the Armed Forces, including the Guard or the Reserves? (Yes, No)
- a. (IF 8 = YES) What type of discharge did you receive?
- You are still in the Armed Forces
 - Honorable Discharge
 - General Discharge
 - Medical Discharge
 - Some other type of discharge

The following questions ask about your living situation:

- 9) **During the last six months (180 days)**, about how many days did you spend incarcerated in jail or prison?
_____ days
- 10) How many different places (not including jail or prison) have you lived during the last six months? _____
different places
- 11) Where are you currently living? (IF IN PRISON: Right before your incarceration, where did you live?)
- In a house or apartment that you own or rent by yourself or with someone
 - In a room or space you rent in someone else's house or apartment
 - Staying with family or friends but not paying rent
 - You move around from place to place
 - On the street or homeless
 - Other
- a. (IF R ANSWERED OTHER) What type of other living situation best describes (IF IN PRISON: described) where you live (IF IN PRISON, lived)?
- Residential treatment facility
 - Transitional housing or a halfway house
 - Group home
 - Hotel or motel or in a rooming house
 - Homeless shelter
 - Abandoned building or vacant unit
 - Other

The following questions ask about your recent work experiences.

- 12) Are you currently working (IF R IS IN PRISON: at a job other than within this facility)? (Yes, No)

IF 12 IS NO ASK 12A AND 12B:

- a. Have you worked any job (IF R IS IN PRISON: other than a job within a facility) in the past six months? (Yes, No)

- b. What is the **main** reason you are not working? (Select one)
- You are incarcerated
 - You are looking for work but cannot find work
 - You are ill or disabled and unable to work
 - You are retired
 - You do not want to work
 - You are taking care of home or family
 - You are going to school
 - You cannot get transportation
 - Some other reason

IF 12 OR 12A IS YES ASK 12C – 12I:

Other than any job you have had while incarcerated:

- c. On average, how many hours a week do (IF Q12 IS NO: did) you usually work at your current (IF Q12 IS NO: most recent) job?
- Part-time or less than 40 hours a week
 - Full-time or 40 hours a week
 - More than 40 hours a week
- d. In the last six months, how many different jobs have you worked? _____ jobs
- e. In the last six months, what is the longest you have worked at one job?
- Less than one month
 - One month
 - Two months
 - Three months
 - Four months
 - Five months
 - Six months
- f. How much do (IF Q12 IS NO: did) you earn from your current (IF Q12 IS NO: most recent) job?
- Less than \$10 an hour
 - Between \$10 and \$20 an hour
 - More than \$20 an hour
- g. Does your current (IF Q12 IS NO: Did your most recent) job provide formal pay where you receive a check and a pay stub or direct deposit? (Yes, No, Don't Know)
- h. Does your current (IF Q12 IS NO: Did your most recent) job provide health insurance? (Yes, No, Don't Know)
- i. Does your current (IF Q12 IS NO: Did your most recent) job provide paid leave, such as sick leave or vacation leave? (Yes, No, Don't Know)

The following questions ask about your relationships with family.

- 13) Are you currently married? (Yes, No)
- a. (IF R IS MARRIED) In the last six months have you lived with this person? (Yes, No)

- b. (IF R IS NOT MARRIED) Are you in a steady intimate relationship? (Yes, No)
- c. (IF R IS IN A STEADY INTIMATE RELATIONSHIP) In the last six months, have you lived with that person? (Yes, No)

14) Do you have any living children? (Yes, No)

- a. (IF R HAS CHILDREN) How many living children do you have? _____ Children

15) Are there people in your life who you consider to be family? Family means blood or legal relatives, people you have a child in common with, steady intimate relationships, or guardians you lived with. By “family” we do not mean members of a gang that you may belong to. (Yes, No)

(IF R ANSWERS YES) These next statements describe how you may **currently** feel about your relationships with your family. *On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements.*

- 16) I feel close to my family. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 17) I want my family to be involved in my life. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 18) I consider myself a source of support for my family. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 19) I fight a lot with my family members. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 20) I often feel like I disappoint my family. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 21) I am criticized a lot by my family. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 22) I have someone in my family to talk to about myself or my problems. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 23) I have someone in my family who understands my problems. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 24) I have someone in my family to love me and make me feel wanted. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 25) I have someone in my family who would provide help or advice on finding a place to live. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 26) I have someone in my family who would provide help or advice on finding a job. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 27) I have someone in my family who would provide support for dealing with a substance abuse problem. (1–9, with 1=Strongly disagree and 9=Strongly agree)

- 28) I have someone in my family who would provide transportation to work or other appointments if needed. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 29) I have someone in my family who would provide me with financial support. (1–9, with 1=Strongly disagree and 9=Strongly agree)

The following questions ask about your family's experience with the criminal justice system and drugs or alcohol.

- 30) Other than yourself, has anyone in your family ever been convicted of a crime? (Yes, No, Don't Know)
- 31) Other than yourself, has anyone in your family ever been in a correctional facility, such as a jail, prison, or juvenile correctional facility? (Yes, No, Don't Know)
- 32) Is anyone in your family currently in a correctional facility, such as a jail, prison, or juvenile correctional facility? (Yes, No, Don't Know)
- 33) Other than any problems you may have had, has anyone in your family ever had problems with drugs or alcohol? (Yes, No, Don't Know)

The following questions ask about your close friends.

- 34) How many of your close friends are currently employed? (All of them, Most of them, Some of them, None of them)
- 35) How many of your close friends can you hang out with and know that you won't get in trouble? (All of them, Most of them, Some of them, None of them)
- 36) How many of your close friends have been in a correctional facility at some point in their lives? (All of them, Most of them, Some of them, None of them)
- 37) How many of your close friends are currently in a correctional facility? (All of them, Most of them, Some of them, None of them)
- 38) How many of your close friends have been convicted of a crime? (All of them, Most of them, Some of them, None of them)
- 39) How many of your close friends have problems with drug and alcohol? (All of them, Most of them, Some of them, None of them)
- 40) How many of your close friends are frequently high on drugs or drunk? (All of them, Most of them, Some of them, None of them)
- 41) How many of your close friends are married? (All of them, Most of them, Some of them, None of them)

These next statements describe how you may feel about your relationships with your friends during the last 6 months. *On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements.*

- 42) I have a friend who would provide help or advice on finding a place to live. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 43) I have a friend who would provide help or advice on finding a job. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 44) I have a friend who would provide support for dealing with a substance abuse problem. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 45) I have a friend who would provide transportation to work or other appointments if needed. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 46) I have a friend who would provide me with financial support. (1–9, with 1=Strongly disagree and 9=Strongly agree)

The following questions ask about your needs for and experiences with services, program and treatment.

- 47) I need more education. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 48) I need job training. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 49) I need drug or alcohol treatment. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 50) I need mental health treatment or mental health care. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 51) During the last six months have you participated in any education programs? (Yes, No)
- 52) During the last six months have you received any job training, employment services or assistance with finding a job? (Yes, No)
- 53) During the last six months have you received training on how to change your attitudes related to criminal behavior? (Yes, No)
- 54) During the last six months have you received any educational services, such as GED or adult basic education classes? (Yes, No)
- 55) During the last six months have you received any drug or alcohol treatment? (Yes, No)
- a. (IF YES) Please indicate the drug or alcohol treatment you have received over the past 6 months. (select all that you have attended) If you want to select more than one answer, remember to press the Space Bar between each one.

Alcoholics Anonymous (AA) or Narcotics Anonymous (NA)
 Drug education classes or programs
 Group counseling for drugs or alcohol
 Individual counseling for drugs or alcohol
 Residential treatment for drugs or alcohol
 Detoxification

- 56) During the last six months, have you visited an emergency department due to an alcohol or drug problem? (Yes, No)
- 57) During the last six months, have you been hospitalized due to an alcohol or drug problem? (Yes, No)
- 58) During the last six months have you **received** mental health treatment or health care for emotional problems? (Yes, No)
- (IF YES) During the last six months have you received any **inpatient** treatment for mental or emotional problems? (Yes, No)
 - (IF YES) During the last six months, have you visited an emergency department or been hospitalized for mental or emotional problems? (Yes, No)

The following questions ask about the past 30 days.

- 59) During the past 30 days, have you accomplished less than you would like to have accomplished as a result of any emotional problems, such as feeling depressed or anxious? (Yes, No)
- 60) During the past 30 days, did you not do work or other regular activities as carefully as usual as a result of any emotional problems, such as feeling depressed or anxious? (Yes, No)
- 61) How much time during the past 30 days have you felt calm and peaceful? (All of the time, Most of the time, A good bit of the time, Some of the time, A little of the time, None of the time)
- 62) How much time during the past 30 days did you have a lot of energy? (All of the time, Most of the time, A good bit of the time, Some of the time, A little of the time, None of the time)
- 63) How much time during the past 30 days have you felt down? (All of the time, Most of the time, A good bit of the time, Some of the time, A little of the time, None of the time)
- 64) During the past 30 days, how much of the time has your physical health or emotional problems interfered with your social activities like visiting with friends, relatives, etc.? (All of the time, Most of the time, A good bit of the time, Some of the time, A little of the time, None of the time)

Please answer each of the following questions about your experiences with the criminal justice system.

- 65) How old were you the first time you were arrested? ____ Years
- 66) About how many times in your life have you been arrested? ____ Times
- 67) How many times in your life have you been convicted of a crime? ____ Times
- 68) How many times in your life have you been locked up in a juvenile detention facility, a juvenile training school, or in any other kind of juvenile correctional facility because of committing a crime? ____ Times
- 69) Not including any time you may have spent in a juvenile facility, how many times in your life have you been sent to jail or prison? ____ Times

Please answer each of the following questions about your supervision conditions.

- 70) Is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: Was) regular face-to-face contact with a probation officer a condition of your supervision? (Yes, No, Don't Know)
- 71) Is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: Was) attending a drug or alcohol treatment program a condition of your supervision? (Yes, No, Don't Know)
- 72) Is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: Was) taking drug tests a condition of your supervision? (Yes, No, Don't Know)
- a. (IF TAKING DRUG TESTS IS/WAS A CONDITION) During the last six months, how many times have you had a drug test? _____ Times
 - i. (IF R HAS HAD AT LEAST ONE DRUG TEST) During the last six months, have you tested positive for drug use at least once? (Yes, No, Don't Know)
 - ii. (IF R HAS TESTED POSITIVE AT LEAST ONCE) How many times have you tested positive for drug use in the last 6 months? _____ Times or Don't Know
 - iii. (IF R HAS TESTED POSITIVE AT LEAST ONCE) What happened as a result of your positive drug test? (SELECT ALL THAT APPLY) If you want to select more than one answer, remember to press the Space Bar between each one.
 - Nothing
 - You received a verbal reprimand or warning from a probation officer
 - You received stricter or additional supervision conditions
 - You received an increase in the frequency of required drug or alcohol treatment
 - You received an increase in drug treatment requirements
 - You received an increase in the frequency of required AA/NA meetings
 - You received time in jail
 - You received a formal violation
 - You received something else not mentioned
- 73) Is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: Was) keeping a job, going to school, or attending job training a condition of your supervision? (Yes, No, Don't Know)
- 74) Is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: Was) community service a condition of your supervision? (Yes, No, Don't Know)

Please answer each of the following questions about your contact with your probation officer.

- 75) Have you met with your probation officer at least once since beginning this probation term (IF NO LONGER ON PROBATION: Did you meet with your probation officer at least once during your most recent probation term)? (Yes, No)
- a. (IF YES) About how many times have you met with your PO? ____ times

IF Q75 IS YES: ASK THESE QUESTIONS; OTHERWISE SKIP TO QUESTION 94

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements about your probation officer.

- 76) My probation officer is (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: was) knowledgeable about my case. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 77) My probation officer knows (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: knew) me by name. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 78) My probation officer helps (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: helped) me to succeed. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 79) My probation officer gives (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: gave) me a chance to tell my side of my story. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 80) My probation officer treats (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: treated) me fairly. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 81) My probation officer treats (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: treated) me with respect. (1–9, with 1=Strongly disagree and 9=Strongly agree)

During the last six months ... <FOR PROGRAMMERS: KEEP THIS PROMPT AT TOP OF SCREEN FOR Q82 – Q93>

- 82) ...have you been placed on electronic monitoring or house arrest? (Yes, No, Don't Know)
- 83) ...have you been required to do community service? (Yes, No, Don't Know)
- 84) ...has your probation officer **increased** the frequency of required drug tests? (Yes, No, Don't Know)
- 85) ...has your probation officer **decreased** the frequency of required drug tests? (Yes, No, Don't Know)
- 86) ...have you been required to spend time in jail? (Yes, No, Don't Know)
- 87) ...has your probation officer **increased** the frequency of required drug or alcohol programming or treatment including AA or NA meetings? (Yes, No, Don't Know)
- 88) ...has your probation officer **decreased** the frequency of required drug or alcohol programming or treatment including AA or NA meetings? (Yes, No, Don't Know)
- 89) ...have you been reprimanded or warned by your probation officer? (Yes, No, Don't Know)
- 90) ...have you been praised by your probation officer? (Yes, No, Don't Know)
- 91) ...have you been reprimanded or warned by a judge? (Yes, No, Don't Know)
- 92) ...have you been praised by a judge? (Yes, No, Don't Know)
- 93) ...have you received a formal violation? (Yes, No, Don't Know)

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements about your alcohol or drug use.

- 94) You need help in dealing with your drug use. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 95) It is urgent that you find help immediately for your drug use. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 96) You are tired of the problems caused by drugs. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 97) You can quit using drugs without any help. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 98) You are concerned about legal problems. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 99) You want to be in a drug treatment program. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 100) You have family members who want you to be in treatment. (1–9, with 1=Strongly disagree and 9=Strongly agree)

IF R IS CURRENTLY IN PRISON ASK Q 101 – Q 105; ELSE GO TO Q 106

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements about your life.

- 101) I am tired of the problems caused by the crimes I committed. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 102) I want to get my life straightened out. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 103) I think I will need help in staying away from criminal activity. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 104) I will give up friends and hangouts that get me into trouble after I am released. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 105) I think I will be able to stop committing crimes when I am released from incarceration. (1–9, with 1=Strongly disagree and 9=Strongly agree)

IF R IS CURRENTLY IN PRISON SKIP Q106-109.

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements about your life.

- 106) I am working to get my life straightened out. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 107) I am willing to accept help in dealing with staying straight. (1–9, with 1=Strongly disagree and 9=Strongly agree)
- 108) I am trying to give up friends and hangouts that get me into trouble. (1–9, with 1=Strongly disagree and 9=Strongly agree)

109) I am trying to stop committing crimes. (1–9, with 1=Strongly disagree and 9=Strongly agree)

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements.

110) Laws are made to be broken. (1–9, with 1=Strongly disagree and 9=Strongly agree)

111) It's okay to do anything you want as long as you don't hurt anyone. (1–9, with 1=Strongly disagree and 9=Strongly agree)

112) To make money, there are no right and wrong ways, only easy and hard ways. (1–9, with 1=Strongly disagree and 9=Strongly agree)

113) Fighting with friends and family is nobody else's business. (1–9, with 1=Strongly disagree and 9=Strongly agree)

114) These days a person has to live pretty much for today and let tomorrow take care of itself. (1–9, with 1=Strongly disagree and 9=Strongly agree)

On a scale of 1 to 9 where 1 means you strongly disagree and 9 means you strongly agree, how strongly do you agree or disagree with each of the following statements about your life.

115) I have little control over the things that happen to me. (1–9, with 1=Strongly disagree and 9=Strongly agree)

116) What happens to me in the future mostly depends on me. (1–9, with 1=Strongly disagree and 9=Strongly agree)

117) There is little I can do to change many of the important things in my life. (1–9, with 1=Strongly disagree and 9=Strongly agree)

118) My life has gone out of control. (1–9, with 1=Strongly disagree and 9=Strongly agree)

IF THE INDIVIDUAL IS CURRENTLY ON PROBATION ASK Q 119 -128; ELSE GO TO Q 129

*The following questions ask you what you think would happen to you if you did not comply with the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or failed to attend required treatment** while on probation. Please indicate how strongly you agree or disagree with these statements, where 1 means you strongly disagree and 9 means that you strongly agree.*

119) My **probation officer** would find out if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

120) My **probation officer** would arrest me or have me arrested if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

*The next questions ask about what you think a **judge** would do if you did not comply with one of the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or failed to attend required treatment** while on*

probation. Please indicate how strongly you agree or disagree with these statements, where 1 means you strongly disagree and 9 means that you strongly agree.

121) The **judge** would **do nothing** if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

122) The **judge** would **give me less than a week in jail** if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

123) The **judge** would **give me a week or more in jail** if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

124) The **judge** would **revoke my probation** if I did not comply with the conditions of my probation. (1–9, with 1=Strongly disagree and 9=Strongly agree)

*The next questions ask about what you think a **judge** would do if you **repeatedly** did not comply with one or more of the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or failed to attend required treatment** while on probation. . Please indicate how strongly you agree or disagree with these statements, where 1 means you strongly disagree, and 9 means that you strongly agree.*

125) The **judge** would **do nothing** if I did not comply with the conditions of my probation **multiple times**. (1–9, with 1=Strongly disagree and 9=Strongly agree)

126) The **judge** would **give me less than a week in jail** if I did not comply with the conditions of my probation **multiple times**. (1–9, with 1=Strongly disagree and 9=Strongly agree)

127) The **judge** would **give me a week or more in jail** if I did not comply with the conditions of my probation **multiple times**. (1–9, with 1=Strongly disagree and 9=Strongly agree)

128) The **judge** would **revoke my probation and send me to prison** if I did not comply with the conditions of my probation **multiple times**. (1–9, with 1=Strongly disagree and 9=Strongly agree)

The following questions ask about your experiences with violations of probation.

129) Overall, do (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: did) you think you understand (IF THE INDIVIDUAL IS NO LONGER ON PROBATION: understood) what behaviors will result in a violation of probation? (Yes, No)

130) During the past 6 months, did the judge find you in violation of probation? (Yes, No)

a. (IF YES FOR Q130) Did the violation of probation that you received come as a surprise to you? (Yes, No)

b. (IF YES FOR Q130) Do you think the punishment you received for violating probation was unfair? (Yes, No)

Please indicate whether you agree or disagree with each of the following statements about the law.

131) Sometimes a person like me has to break the law to get ahead in life. (Disagree, Agree, Unsure)

- 132) Most successful people broke the law to get ahead in life. (Disagree, Agree, Unsure)
- 133) You should always obey the law, even if it keeps you from getting ahead in life. (Disagree, Agree, Unsure)
- 134) It's okay to break the law as long as you don't get caught. (Disagree, Agree, Unsure)
- 135) Most people would commit crimes if they knew they wouldn't get caught. (Disagree, Agree, Unsure)
- 136) There is never a good reason to break the law. (Disagree, Agree, Unsure)
- 137) A hungry man has the right to steal. (Disagree, Agree, Unsure)
- 138) It's okay to get around the law as long as you don't actually break it. (Disagree, Agree, Unsure)
- 139) You should only obey those laws that are reasonable. (Disagree, Agree, Unsure)
- 140) You're crazy to work for a living if there's an easier way, even if it means breaking the law. (Disagree, Agree, Unsure)

Please indicate whether you agree or disagree with each of the following statements about people who break the law.

- 141) People who have broken the law have the same sorts of ideas about life as me. (Disagree, Agree, Unsure)
- 142) I prefer to be with people who obey the law rather than people who break the law. (Disagree, Agree, Unsure)
- 143) I'm more like a professional criminal than the people who break the law now and then. (Disagree, Agree, Unsure)
- 144) People who have been in trouble with the law are more like me than people who don't have trouble with the law. (Disagree, Agree, Unsure)
- 145) I have very little in common with people who never break the law. (Disagree, Agree, Unsure)
- 146) No one who breaks the law can be my friend. (Disagree, Agree, Unsure)

Thank you participating in this survey! Please let the study coordinator know that you are finished.

Appendix D: Example Baseline Consent Form



Multisite Probation Study

Initial Interview

Consent to Participate in Research

You are being asked to participate in a research study. Before you decide if you want to take part in this study, you need to read this Informed Consent form so that you understand what the study is about and what you will be asked to do. This form also tells you who can be in the study, the risks and benefits of the study, how we will protect your information, and who you can call if you have questions. Please ask the interviewer to explain anything you don't understand before you make your decision.

About the Study

The Multisite Probation Study is a research study being led by RTI International and Pennsylvania State University and paid for by the National Institute of Justice. We are hoping that this study will help us to understand what effects the conditions of probation have on probation outcomes for people who have been placed on probation. This study is being carried out in four counties across the United States. You are one of about 1600 eligible probationers who are being invited to participate in this research study.

Participation in the Study

Your decision to participate in this study is voluntary. If you agree to participate, we will ask you to complete an interview. This interview will take about 30 minutes. To start, the interviewer will ask you some questions about your background and education and enter your answers into the computer. Next the interviewer will show you how to use the computer and you can complete the rest of the survey on your own in privacy while the interviewer waits on the other side of or outside the room. You will be able to read the questions on the computer screen and listen to them through headphones and then enter your answers directly into the computer using a keyboard. In this interview, you will be asked questions about your probation terms and supervision, treatment services you may have received, your attitudes about the criminal justice system, relationships with your family and friends, your community involvement, your housing, your job, and your education, as well as background information about you. The information you share with us will be linked (using your study identification number) to responses you give us later as well as with data we will receive from the probation office, courts, and other agencies about you, including information on your compliance with conditions of probation and any consequences you receive for noncompliance.

Voluntary Participation

The choice of whether to participate in this study is completely up to you. No one will be upset or angry if you decide not to participate. Your decision to participate or not participate will not affect your treatment or supervision in any manner. If you decide to participate in the study, you can refuse to answer any of the questions by skipping to the next question in the computer interview.

Benefits

You will not receive any direct benefits for participating in this study. However, by participating, you are helping us learn more about what types of probation supervision work best.

Payment for Participation

You will receive \$20 cash for your time to participate in the interview.

Possible Risks or Discomforts

There are two risks involved in study participation. One risk is that some of the questions ask for your opinions and it is possible that these questions may make you feel uncomfortable. There are no right or wrong answers and you can skip any questions you do not want to answer. If you become upset for any reason, you can ask to stop the interview. Please tell the interviewer if you want to stop or take a break at any time during the interview. The other risk is that someone might find out what you tell us during the

interview. In order to avoid that, we will do the interview in a private setting where no one can overhear or see your answers, and we will use an ID number rather than your name to identify your interview in the computer.

Confidentiality

We will keep what you tell us in the interview confidential. We will replace your name with an identification number. We will treat everything you say as private and confidential and we will not share any information you provide with anyone within the probation office or anyone who is not working on the evaluation study. Information you provide to us will be transmitted from the interview computer to a secure computer system at RTI in North Carolina, with access limited only to the study team, who have all signed confidentiality agreements. Once the information is transmitted to North Carolina, it will be deleted from the computer where you entered the information.

Future Contacts

We will contact you again for another interview in about six months. At that time, you will have another chance to read a consent form like this and decide if you want to do the interview. You will receive another payment for participating in this future interview if you are not being held in a jail or a prison at the time of that interview. It is possible that we may also contact you later to find out how you are doing, and you can choose at that time whether you want to participate.

Further Questions

You may keep a copy of this form. If you have any questions about the project, you may write to the Multisite Probation Study at RTI International, P.O. Box 12194, Research Triangle Park, NC 27709-2194. If you have questions about your rights as a research study participant, you can call RTI's Office of Research Protection at 1-866-214-2043 (a toll-free number), or you can write to them at the above address.

Do you have any questions that might help you decide if you do or do not want to continue to participate in the study?

If you would like to participate in the study, please tell the interviewer now.

Willing to participate.

I certify that the nature and purpose, the potential benefits, and possible risks associated with participating in this research have been explained to the participant.

Person Who Obtained Consent: _____

Respondent Study ID Number: _____

Date: ____/____/____

Initial Interview—Probation Office/Community [20C3]

This resource was prepared by the author(s) using Federal funds provided by the U.S. Department of Justice. Opinions or points of view expressed are those of the author(s) and do not necessarily reflect the official position or policies of the U.S. Department of Justice.

Appendix E: T-ACASI Instrument

T-ACASI Mini Interview Instrument: DRAFT

Instructions are in italics.

Introductory text to precede every domain

Thank you for calling today. Please enter the 7-digit identification number printed on your card. [ONCE VERIFIED] Thank you. You may use the back of this card to help you answer the questions. Please remember that what you tell us in this telephone survey is confidential. Only the people working on the study will be able to see your answers. No one else, including, for example, your probation officer, will be able to find out what you say in this survey. Your name will never be connected to your responses. This survey should take about 5 minutes to complete.

Closing instructions to use at the end of each question set

Thank you for calling today. We care about your opinions and you will be credited for this call. Press 1 if you would like survey contact information. Thank you, good bye.

*IF 1: If you experienced any problems with the survey or have other survey related questions, please call _____. If you need to hear the number again, press the * key. Otherwise, thank you, good bye.*

*IF *: The contact number is _____.*

Current Housing and Employment

The following questions are about your current housing and employment situation. Please follow the instructions for responding after each question.

1. Where are you currently living? (*Press 1 if you live in a house or apartment, that you own or rent, by yourself or with someone; press 2 if you live in a room or space you rent in someone else's house or apartment; press 3 if you are staying with family or friends but not paying rent; press 4 if you move around from place to place; press 5 if you live on the street or are homeless; or press 6 if you are living in some other place; press the * key to repeat the question; press the # key to skip to the next question*)
2. How long have you lived in this location? (*Press 1 if you've lived in this location less than 1 month; press 2 if you've lived in this location more than 1 month; press the * key to repeat the question; press the # key to skip to the next question*)
 - A. *If 1: How many different places (not including jail or prison) have you lived in the past month? (Press a number between 1 and 9 to indicate how many places you have lived in the past month; if you have lived in more than 9 different places please enter 9; press the * key to repeat the question; press the # key to skip to the next question)*
3. Are you currently working? (*Please press 1 for Yes; press 2 for No; press 0 to repeat the question*)
 - A. *If 2: What is the main reason you are not working? (Press 1 if you are looking for work but cannot find work; press 2 if you are ill or disabled and unable to work; press 3 if you are retired; press 4 if you do not want to work; press 5 if you are not working for some other reason; press the * key to repeat the question; press the # key to skip to the next question)*
 - B. *If 1: On average, how many hours a week do you usually work? (Press 1 if you work part-time or less than 40 hours a week; press 2 if you work full-time or 40 hours per week; press 3 if you work more than 40 hours a week; ; press the * key to repeat the question; press the # key to skip to the next question)*
 - C. *If 1: How much do you earn from your current job? (Press 1 if you make less than \$10 an hour; press 2 if you make between \$10 and \$20 an hour; press 3 if you make more than \$20 per hour; press the * key to repeat the question; press the # key to skip to the next question)*
 - D. *If 1: Does your current job provide formal pay, where you receive a check and a pay stub or direct deposit? (Press 1 for Yes; press 2 for No; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question)*

- E. *If 1: Does your current job provide health insurance? (Press 1 for Yes; press 2 for No; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question)*
 - F. *If 1: Does your current job provide paid leave, such as sick leave or vacation leave? (Press 1 for Yes; press 2 for No; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question)*
4. How many jobs have you worked in the past month? *(Enter a number between 0 and 9; if you have worked more than 9 jobs, please enter 9; press the * key to repeat the question; press the # key to skip to the next question)*
- A. *If 1 to 9: Have you been fired or quit a job in the past month? (Press 1 for Yes; press 2 for No; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question)*

Family Support

The following questions are about support you receive from your family. Please follow the instructions for responding after each question.

1. Are there people in your life who you consider to be family? Family means blood or legal relatives, people you have a child in common with, steady intimate relationships, or guardians you lived with. By “family” we do not mean members of a gang that you may belong to. (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)
 - A. *If 1: The next few statements describe how you may **currently** feel about your relationships with your family. After each statement, please press a number between 1 and 9 where 1 means you strongly disagree with the statement, 5 means that you neither disagree nor agree with the statement, and 9 means you strongly agree with the statement. The first statement is:*
 - i. I feel close to my family. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
 - ii. I want my family to be involved in my life. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press 0 to repeat the statement.)
 - iii. I consider myself a source of support for my family. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
 - iv. I fight a lot with my family members. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
 - v. I often feel like I disappoint my family. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
 - vi. I am criticized a lot by my family. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
 - vii. I have someone in my family to talk to about myself or my problems. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

- viii. I have someone in my family who understands my problems. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- ix. I have someone in my family to love me and make me feel wanted. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- x. I have someone in my family who would provide help or advice on finding a place to live. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- xi. I have someone in my family who would provide help or advice on finding a job. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- xii. I have someone in my family who would provide support for dealing with a substance abuse problem. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- xiii. I have someone in my family who would provide transportation to work or other appointments if needed. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)
- xiv. I have someone in my family who would provide me with financial support. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)

Attitudes toward Supervision Officer

The following questions are about your experiences with your probation officer; by "probation officer" we also mean community corrections officer. Please follow the instructions for responding after each question.

1. Have you met with your *probation officer* in the past month? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)

If 2:

- a. Have you met with your *probation officer* in the past three months? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)

If 2:

- i. Have you met with your *probation officer* in the past six months? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)

Now please indicate whether you agree or disagree with these statements about your probation officer. After each statement, please press a number between 1 and 9 where 1 means you strongly disagree with the statement, 5 means you neither disagree nor agree, and 9 means you strongly agree with the statement. The first statement is:

2. My probation officer is knowledgeable about my case. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
3. My probation officer knows me by name. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
4. My probation officer helps me to succeed. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
5. My probation officer gives me a chance to tell my side of the story. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
6. My probation officer treats me fairly. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

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7. My probation officer treats me with respect. (*Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.*)

Deterrence Related to Compliance with Conditions

The following statements ask you what you think would happen to you if you did not comply with the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or failed to attend required treatment** while on probation. Please indicate how strongly you disagree or agree with these statements, where 1 means you strongly disagree, 5 means you neither agree nor disagree, and 9 means that you strongly agree.

1. My **probation officer** would find out if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
2. My **probation officer** would arrest me or have me arrested if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

The next 4 questions ask about what you think a **judge** would do if you did not comply with one of the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or failed to attend required treatment** while on probation. Please indicate how strongly you disagree or agree with these statements, where 1 means you strongly disagree, 5 means you neither agree nor disagree, and 9 means that you strongly agree.

3. The **judge** would **do nothing** if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
4. The **judge** would **give me less than a week in jail** if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
5. The **judge** would **give me a week or more in jail** if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
6. The **judge** would **revoke my probation** if I did not comply with the conditions of my probation. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

The next 4 questions ask about what you think a judge would do if you **repeatedly** did not comply with one or more of the conditions of your probation, including if you **admitted to using illegal drugs, tested positive for illegal drugs, skipped drug tests, missed appointments with your probation officer, or**

failed to attend required treatment. Please indicate how strongly you disagree or agree with these statements, where 1 means you strongly disagree, 5 means you neither agree nor disagree, and 9 means that you strongly agree.

7. The **judge would do nothing** if I did not comply with the conditions of my probation **multiple times**. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
8. The **judge would give me less than a week in jail** if I did not comply with the conditions of my probation **multiple times**. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
9. The **judge would give me a week or more in jail** if I did not comply with the conditions of my probation **multiple times**. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
10. The **judge would revoke my probation and send me to prison** if I did not comply with the conditions of my probation **multiple times**. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

CSS-M Subscale: Tolerance for Law Violations (CSS2)

Please indicate whether you agree or disagree with the following statements about the law. After each statement, please press 1 if you disagree with the statement; press 2 if you agree with the statement; or, press 3 if you are unsure. The first statement is:

1. Sometimes a person like me has to break the law to get ahead in life. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; or press the * key to repeat the question; press the # key to skip to the next question.*)
2. Most successful people broke the law to get ahead in life. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
3. You should always obey the law, even if it keeps you from getting ahead in life. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
4. It's okay to break the law as long as you don't get caught. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
5. Most people would commit crimes if they knew they wouldn't get caught. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
6. There is never a good reason to break the law. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
7. A hungry man has the right to steal. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
8. It's okay to get around the law as long as you don't actually break it. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
9. You should obey those laws that are reasonable. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
10. You're crazy to work for a living if there's an easier way, even if it means breaking the law. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)

December 6, 2012

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CSS-M Subscale: Identification with Criminal Others (CSS3)

Please indicate whether you agree or disagree with several statements about people who break the law. After each statement, please press 1 if you disagree with the statement; press 2 if you agree with the statement; or, press 3 if you are unsure. The first statement is:

1. People who have broken the law have the same sorts of ideas about life as me. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
2. I prefer to be with people who obey the law rather than people who break the law. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
3. I'm more like a professional criminal than the people who break the law now and then. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
4. People who have been in trouble with the law are more like me than people who don't have trouble with the law. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
5. I have very little in common with people who never break the law. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)
6. No one who breaks the law can be my friend. (*Press 1 if you disagree with the statement; press 2 if you agree with the statement; press 3 if you are unsure; press the * key to repeat the question; press the # key to skip to the next question.*)

Attitudes: Fairness and Legal Cynicism

The following questions are about your experiences with probation or community corrections violations. For each question, please press 1 for Yes or 2 for No.

1. Overall, do you think you understand what behaviors will result in a violation of probation? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)
2. During the past 30 days, did the judge find you in violation of probation? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)
 - a. If 1: Did the violation of probation that you received in the past 30 days come as a surprise to you? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)
 - b. If 1: Do you think the punishment you received for violating probation in the past 30 days was fair? (Press 1 for Yes; press 2 for No; press the * key to repeat the question; press the # key to skip to the next question)

Now please indicate whether you agree or disagree with the following statements. After each statement, please press a number between 1 and 9 where 1 means you strongly disagree with the statement, 5 means you neither disagree nor agree, and 9 means you strongly agree with the statement. The first statement is:

3. Laws are made to be broken. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
4. It's okay to do anything you want as long as you don't hurt anyone. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
5. To make money, there are no right and wrong ways, only easy and hard ways. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
6. Fighting with friends and family is nobody else's business. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
7. These days a person has to live pretty much for today and let tomorrow take care of itself. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

Attitudes: Self-Efficacy and Readiness for Change

Please indicate whether you agree or disagree with the following statements. After each statement, please press a number between 1 and 9 where 1 means you strongly disagree with the statement, 5 means you neither disagree nor agree, and 9 means you strongly agree with the statement. The first statement is:

1. I have little control over the things that happen to me. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
2. What happens to me in the future mostly depends on me. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
3. There is little I can do to change many of the important things in my life. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
4. My life has gone out of control. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
5. I am working to get my life straightened out. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
6. I am willing to accept help in dealing with staying straight. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
7. I am trying to give up friends and hangouts that get me into trouble. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
8. I am trying to stop committing crimes. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)

Substance Abuse Treatment Motivation

Please indicate whether you agree or disagree with the following statements. After each statement, please press a number between 1 and 9 where 1 means you strongly disagree with the statement, 5 means you neither disagree nor agree, and 9 means you strongly agree with the statement. The first statement is:

1. You need help in dealing with your drug use. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
2. It is urgent that you find help immediately for your drug use. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
3. You are tired of the problems caused by drugs. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
4. You can quit using drugs without any help. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
5. You are concerned about legal problems. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
6. You want to be in a drug treatment program. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
7. You want to get your life straightened out. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)
8. You have family members who want you to be in treatment. (Press a number between 1, meaning you strongly disagree, and 9, meaning you strongly agree; press the * key to repeat the question; press the # key to skip to the next question.)