

Appendix C

Charts Summarizing Facility Investigations of Inmate-on-Inmate and Staff-on-Inmate Sexual Assaults

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¹ The Bridgeport Pre-Parole Transfer Center had no reported incidents of sexual assaults in 2008 and 2009.

² The Hinds County Work Center had no reported incidents of sexual assaults in 2008 and 2009.

³ The Clallam County Jail had no reported incidents of sexual assaults in 2008 and 2009.

⁴ The Orleans Parish Prison (OPP) reported no incidents of sexual assault in 2008 and 2009 for the South White Street Jail. The OPP did provide information on investigations of sexual assault for the prison as a whole. This information appears in the Report. *See supra* pp. 70-71.

Federal Correctional Institution

Elkton, OH

Inmate-On-Inmate Assaults (Prisons)

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	03/01/2008	08/24/2009	03/04/2009		
2.	Date of complaint and complaint number	05/16/2008, Complaint numbers are not assigned if the charge is not sustained.	08/24/2009, Complaint numbers are not assigned if the charge is not sustained.	03/04/2009, Complaint numbers are not assigned if the charge is not sustained.		
3.	To whom complaint was made (Internal and/or External)	Internal	Internal	Internal		
4.	Date of investigation	05/16 to 06/12/2008	08/24 to 09/14/2009	03/09 to 04/07/2009		
5.	Nature of offense	Rape	Unwanted touching	Unwanted sexual advances		

Federal Correctional Institution

Elkton, OH

Inmate-On-Inmate Assaults (Prisons)

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault		
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male		
8.	Inmate no. and gender of perpetrator	[Redacted] – Male [Redacted] – Male [Redacted] – Male	[Redacted] – Male [Redacted] – Male [Redacted] – Male	[Redacted] – Male [Redacted] – Male		
9.	Was there an investigatory policy in place?	Yes	Yes	Yes		
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]		
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes		

Federal Correctional Institution

Elkton, OH

Inmate-On-Inmate Assaults (Prisons)

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
12.	Results of investigation	Charges were not sustained.	Charges were not sustained.	Charges were not sustained		
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]		
14.	What happened to complainant?	Complainant was transferred to another facility due to the seriousness of the allegations which were unfounded.	Complainant was released to the general population.	Complainant was reassigned to another housing unit.		
15.	What happened to perpetrator?	Perpetrators were released to the general population.	Perpetrator was found not guilty and was released to the general population.	Perpetrator was found not guilty and was released to the general population.		

Federal Correctional Institution

Elkton, OH

Inmate-On-Inmate Assaults (Prisons)

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No		
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A		
18.	Was complainant provided victim services?	Yes	Yes	Yes		
19.	If yes, state services that were provided.	Complainant received counseling services from institution staff psychologist.	Complainant received counseling services from institution staff psychologist.	Complainant received counseling services from institution staff psychologist.		

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	12/01/2008	12/01/2008			
2.	Date of complaint and complaint number	02/12/2009, OIA-2009-01373	12/29/2008, OIA-2009-01997			
3.	To whom complaint was made (Internal and/or External)	Internal	Internal			
4.	Date of investigation	03/12 to 04/16/2009	01/26 to 02/19/2009			
5.	Nature of offense	Unwanted touching	Unprofessional conduct of a sexual nature			
6.	Substance of allegations	Sexual assault	Sexual assault			

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male [Redacted] – Male [Redacted] – Male			
8.	Was there an investigatory policy in place?	Yes	Yes			
9.	Staff name and gender of perpetrator	[Redacted] – Female	[Redacted] – Female			
10.	Name and job title of investigator	[Redacted]	[Redacted]			
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes			

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
12.	Results of investigation	Charges were not sustained.	The local investigation revealed sufficient evidence to support the allegations of Unprofessional Conduct of a Sexual Nature, Preferential Treatment of Inmates, Breach of Security, Introduction of Contraband, and Soliciting or Accepting Anything of Value.			
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]			
14.	What happened to complainant?	Complainant was released to general population.	Complainant was released to general population.			

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
15.	What happened to perpetrator?	No action was taken since charges were not sustained.	Perpetrator resigned on [Redacted].			
16.	Was perpetrator referred to authorities for potential prosecution?	No	No			
17.	If yes, to whom and what was the outcome?	N/A	N/A			
18.	Was complainant provided victim services?	No	Yes			
19.	If yes, state services that were provided.	N/A	Complainant received services from psychology staff at FCI Elkton			
20.	Did perpetrator resign or was he/she terminated?	No	Perpetrator resigned on [Redacted]			

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No			
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A			
23.	Are there related disciplinary records concerning the perpetrator?	No	No			
24.	If yes, provide cross-references to relevant documents.	N/A	N/A			

**Federal Correctional Institution
Elkton, OH
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No. All BOP and local institution policies are reviewed on an annual basis. However, should a PREA incident dictate a change in policy or protocols, the Director at the national level and the warden at the local level would make the necessary changes as they deem appropriate. The Director would make the final decision if a change was implemented for all BOP facilities.	No			

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	03/25/2008	Complainant did not give a date.	11/16/2008	11/14/2008	09/09/2008
2.	Date of complaint	03/29/2008	10/07/2008	11/16/2008	11/16/2008	09/09/2008
3.	To whom complaint was made (Internal and/or External)	External	Internal	Internal	Internal	External
4.	Date of investigation	03/31/2008	10/07 to 10/14/2008	11/18/2008 to 01/20/2009	11/17/2008	09/11 to 09/22/2008
5.	Nature of offense	Unwanted sexual gestures	Unwanted touching	Assault and battery	Assault and battery	Unwanted touching
6.	Substance of allegations	Sexual assault	Sexual assault	Rape	Rape	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
8.	Inmate no. and gender of perpetrator	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
12.	Results of investigation	The results of the investigation were inconclusive. Complainant signed sworn statement that she did not feel threatened by perpetrator. Complainant was assigned to another cell/room.	Charges were not sustained.	Charges were sustained.	Charges were not sustained.	Charges were not sustained.
13.	Name and job title of person who approved closure of investigation	Warden Barbara Wheeler	Keith Dent, Assistant Chief, OIG	Keith Dent, Assistant Chief, OIG	Sgt. Horn, FCCW	Keith Dent, Assistant Chief, OIG
14.	What happened to complainant?	Complainant was moved to another cell/room.	No actions were taken on allegations.	Complainant was released to North Carolina authorities on [Redacted].	No action taken – third party allegation	Complainant remained in cell/room.

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
15.	What happened to perpetrator?	Perpetrator remained in her cell/room.	No actions were taken on unfounded allegations.	There was a disciplinary report filed – perpetrator received 10 days in isolation confinement.	No action taken – third party allegation	Perpetrator was placed in isolation confinement until investigation was completed.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	Yes	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	Fluvanna Commonwealth attorney declined to prosecute charges.	N/A	N/A
18.	Was complainant provided victim services?	No, based on her sworn statement.	N/A	Yes	N/A	N/A

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
19.	If yes, state services that were provided.	N/A	N/A	FCCW counseling staff provided counseling support services.	N/A	N/A

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	
1.	Date of incident	10/08/2009	05/28/2009	06/11/2009	07/25/2009	
2.	Date of complaint	10/08/2009	05/28/2009	06/11/2009	07/30/2009	
3.	To whom complaint was made (Internal and/or External)	Internal	Internal	Internal	Internal	
4.	Date of investigation	10/13 to 10/23/2009	05/28 to 06/01/2009	06/11 to 06/18/2009	07/25 to 07/30/2009	
5.	Nature of offense	Unwanted touching	Unwanted touching	Sexual gestures and masturbating	Sexual advances toward another inmate	
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	
7.	Inmate no. and gender of complainant	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	
8.	Inmate no. and gender of perpetrator	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	
12.	Results of investigation	Charge was not sustained.	Both inmates received disciplinary reports and were given 10 days each in isolation confinement.	Charges were not sustained.	Charges were sustained of making sexual advances to another inmate.	
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	
14.	What happened to complainant?	Complainant was moved to a separate housing unit.	Complainant received disciplinary report for aiding and abetting.	Complainant was moved to another cell/room.	Complainant remained in general housing unit.	
15.	What happened to perpetrator?	Perpetrator was moved to a separate housing unit.	Perpetrator received disciplinary report.	Perpetrator was moved to another cell/room.	Perpetrator received 15 days in disciplinary segregation and was moved to another cell/room.	

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Inmate-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	
18.	Was complainant provided victim services?	N/A	N/A	N/A	Yes	
19.	If yes, state services that were provided.	N/A	N/A	N/A	FCCW counseling staff provided counseling support services.	

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	01/06/2008	Summer 2008	11/06/2007	02/19/2009	05/11/2009
2.	Date of complaint	01/06/2008	01/02/2009	01/25/2008	07/01/2009	05/11/2009
3.	To whom complaint was made (Internal and/or External)	Internal	Internal	External	Internal	External
4.	Date of investigation	04/09 to 07/08/2008	01/02 to 01/09/2009	02/12 to 04/16/2008	07/01 to 10/29/2009	05/11 to 05/19/2009
5.	Nature of offense	Sexual battery, sexual misconduct	Sexual misconduct	Carnal knowledge	Unwanted sexual advances	Rape
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
9.	Staff name and gender of perpetrator	[Redacted] – Male	[Redacted] –Male	[Redacted] – Male	[Redacted] – Male	[Redacted] - Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Charges were not sustained.	Charges were unfounded and complainant received disciplinary report.	Carnal knowledge charge was inconclusive; fraternization with inmate was sustained.	Inconclusive	Unfounded. [Redacted] did not make the PREA telephone call. Another inmate made the call and received a disciplinary report for lying to staff as the offense did not happen.

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was placed in protective custody.	Complainant received a disciplinary report for lying to staff.	Complainant was released from FCCW on 12/12/2007.	Complainant was transferred to another facility.	Complainant was not involved in this incident.
15.	What happened to perpetrator?	Perpetrator was referred to Fluvanna County Commonwealth Attorney for prosecution.	No action was taken since the charges were unfounded.	Perpetrator resigned from FCCW on [Redacted]	Perpetrator remained an employee at FCCW.	Charges were not sustained against perpetrator.
16.	Was perpetrator referred to authorities for potential prosecution?	Yes	No	No. Complainant refused to cooperate in investigation and prosecution	No	No

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
17.	If yes, to whom and what was the outcome?	Fluvanna County Commonwealth Attorney declined prosecution.	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Complainant was returned to the mental health unit where she continued to receive services.	N/A	Yes	No	N/A
19.	If yes, state services that were provided.	N/A	N/A	Victim services for complainant were provided by probation officer.	N/A	N/A
20.	Did perpetrator resign or was he/she terminated?	Perpetrator was reprimanded by warden.	No	Perpetrator resigned from FCCW on [Redacted].	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	No	No	N/A

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	Yes	No	No	No	No

**Fluvanna Correctional Center for Women (FCCW)
Troy, VA
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
24.	If yes, provide cross-references to relevant documents.	FCCW documentation indicates that [Redacted] was investigated for similar allegations in 1999. The case number was [Redacted] and the allegations in that investigation were not sustained. Even though this did not take place during 2008 or 2009, this incident was worth noting.	N/A	N/A	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	11/2007 to 01/2008	04/24/2008	12/28/2008	Sometime in 2006	11/2007
2.	Date of complaint and complaint number	05/07/2008, I-06756-05-08	04/25/2008, I-05190-04-08	01/06/2009, I-17374-12-08	06/18/2008, I-07879-06-08	11/04/2008, I-15390-11-08
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted], [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	05/27/2008	04/25/2008	01/06/2008	06/18/2008	11/04/2008
5.	Nature of offense	Anal and oral sex by intimidation	Anal sex by intimidation	Anal sexual assault by force	Anal rape by two other offenders	Anal rape on three occasions

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
6.	Substance of allegations	Complainant was continually threatened by another inmate, and intimidated into having a sexual relationship.	Complainant was threatened with violence to comply with anal sex. Hair gel was used as lubricant. Perpetrator removed penis and masturbated semen into the cell toilet.	Perpetrator forced complainant to the floor in cell. He tied his hands with what complainant thought was a shoestring and proceeded to anally rape him.	While in the shower, two inmates began hitting complainant before raping him anally.	Complainant was threatened with either paying \$100 or having sex with perpetrator. The sex took place on three occasions and caused anal bleeding. Complainant did not report incidents out of fear and shame. Complainant was also told that perpetrator would protect him from other black inmates.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	Two unknown assailants were male.	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
12.	Results of investigation	Allegations by complainant were substantiated. Complainant was transferred to Transient Housing unit before receiving unit transfer by UCC.	Complainant was given medical evaluation and a rape kit was used to gather evidence. Complainant was placed in Transient Housing. Redness and possible tearing of the anus were present.	Medical examination of complainant revealed redness on wrist, upper arm, and anus. Disciplinary action was taken with perpetrator. Final status of complainant was unclear, as Section XIII of Offender Protection Investigations (OPI) was not completed by UCC.	There was no action taken. The investigator was unable to substantiate this complaint. Current status of complainant was maintained.	Complainant was placed in Transient Housing unit and referred to medical department staff for further services.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
14.	What happened to complainant?	Complainant was placed in Transient Housing unit as a short-term remedy. He was eventually transferred to another more permanent unit.	Complainant was placed in Transient Housing unit as a short-term remedy. As a long-term solution, he was transferred to another housing unit.	Complainant was placed in passive recreation yard as a short-term remedy. A long-term disposition is unknown. OPI final page was not completed, as it relates to UCC review and recommendation.	Complainant was referred for a psychological evaluation. The current status was maintained by UCC.	Complainant was placed in Transient Housing unit as short-term remedy and referred to medical department staff for follow-up services. Complainant was ultimately placed in another housing unit for safekeeping.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
15.	What happened to perpetrator?	Perpetrator was charged with disciplinary code 20.	Perpetrator was charged with 7.0 for sexual abuse offense and was coded as sexual predator in electronic records. He received a loss of privileges, reduction in line class, and loss of good time. Criminal charges were “No Billed,” or not prosecuted.	Perpetrator was placed in administrative segregation as Level 3-A offender for protection of other inmates. He was charged with Level 1 code 7.0: Sexual Abuse.	Information was not available.	He was maintained in current status due to charge being unsubstantiated.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	Information was not available.	Information was not available.
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination and was referred for a psychological evaluation. There was no Sexual Representative service offered to complainant.	Complainant received a rape kit/medical examination. A Sexual Representative was assigned and accepted by complainant.	Complainant received a medical examination. There was no Sexual Representative offered to complainant.	A medical examination was not conducted. A Sexual Representative was not assigned. Complainant was referred for psychological services, but it is not known if he took advantage of these services.	Complainant received a medical referral only. No Sexual Representative was offered.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
1.	Date of incident	08/20 to 08/21/2011	09/04/2009	09/03/2009	06/09/2009	10/30/2009
2.	Date of complaint and complaint number	08/23/09, I-13582-08-09	09/04/2009, I-14342-09-09	09/09/2009, I-14597-09-09	09/23/2009, I-15433-09-09	11/03/2009, I-17610-11-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	[Redacted]	Internal – [Redacted]
4.	Date of investigation	08/23/2009	09/04/2009	09/09/2009	09/23/2009	11/03/2009
5.	Nature of offense	Sexual assault was alleged after complainant and perpetrator engaged in a physical fight.	Anal sex penetration	Forced anal sex	Complainant stated that perpetrator rubbed penis against complainant while complainant was attempting to sleep.	Unwanted fondling of penis

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
6.	Substance of allegations	Sexual assault	Complainant physically assaulted alleged perpetrator resulting in perpetrator receiving 12 sutures. Complainant stated he was anally penetrated while sleeping. Alleged perpetrator claimed he was assaulted due to being wrongly accused of taking a photograph belonging to complainant.	Complaint stated that perpetrator thought that complainant was a homosexual and forced him to have anal sex.	Complainant stated that perpetrator rubbed penis against complainant while complainant was attempting to sleep. Complainant waited until UCC hearing to report event because he no longer wanted to room with perpetrator because of this incident.	Complainant claimed perpetrator fondled complainant's penis while complainant was sleeping.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Complainant admitted that the sex acts were consensual. The incident did not meet the definition of sexual assault under Texas Law.	Both complainant and perpetrator were given unspecified disciplinary action.	There was no finding of guilt due to delay in reporting assault, and there was no forensic evidence due to delay in reporting.	Both complainant and perpetrator were placed in separate housing units.	No determination was made due to lapse of time and lack of evidence.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was transferred to the Transient Housing unit pending the Offender Protection Investigation (OPI). Upon completion of the investigation there was no change in living status. Complainant was charged with Level 2 Code 21.0 offense for fighting.	Complainant was moved to another housing unit and received unspecified disciplinary action.	Complainant was placed in pre-detention housing during investigation. Final review and recommendation section of Offender Protection Investigation form was not completed or signed so final disposition of complaint was not determined.	Complainant was placed in separate housing unit.	Information was not available.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
15.	What happened to perpetrator?	Perpetrator was placed in pre-hearing detention. He was charged with Level 2 code 21.0 offense for fighting.	Perpetrator received unspecified disciplinary action.	Perpetrator was placed in pre-detention housing during investigation.	Perpetrator was placed in separate housing unit.	Perpetrator was placed in pre-hearing detention. He was downgraded to housing assignment by UCC.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident # 6	Incident #7	Incident #8	Incident #9	Incident #10
19.	If yes, state services that were provided.	Complainant received a medical examination and Offender Protection Investigation.	Complainant was placed in medical unit for observation due to suicidal remarks.	Complainant received a medical examination and Offender Protection Investigation.	Complainant received a medical examination and Offender Protection Investigation.	Complainant received a medical examination and Offender Protection Investigation.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
1.	Date of incident	11/03/2009	Some time in 2008	Ongoing, most recent events occurred three weeks prior to complaint date.	12/18/2009	07/07/2008
2.	Date of complaint and complaint number	11/03/2009, I-17616-11-09	11/05/2009, I-17745-11-09	12/15/2009, I-19849-12-09	12/21/2009, I-20190-12-09	05/01/2009, I-06811-05-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal - [Redacted]	Internal - [Redacted]	Internal - [Redacted]	Internal - [Redacted]
4.	Date of investigation	11/03/2009	11/05/2009	12/15/2009	12/21/2009	07/14/2008
5.	Nature of offense	Forced oral sex by three unknown perpetrators	Forced anal sex	Forced oral sex	Forced oral sex	Unwanted touching of breast and buttocks

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
6.	Substance of allegations	Complainant alleged he was returning from interview with staff when accosted by three offenders. Internal count sheets revealed complainant could not have been at location at the time of the incident.	Complainant could not recall details of alleged offense.	Complainant alleged he would be “beat up” by cellmate if he did not comply with sexual acts.	Complainant stated that an unknown assailant entered his cell and forced him to perform oral sex. He alleged that evidence was on his blanket and on a tissue he kept for DNA evidence in his cell.	Complainant alleged he was sexually assaulted but later changed his allegation to unwanted touching.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	Three unknown males	[Redacted] – Male	[Redacted] – Male	N/A	[Redacted] – Male [Redacted] – Male [Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated. Perpetrator was not identified.	Allegations by complainant were not substantiated. Two of the offenders could not have committed offenses, as they were assigned to a different housing unit than complainant. Perpetrator actions did not constitute a sexual assault under the Texas Penal code.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	N/A	N/A	Complainant was moved to a separate housing unit.	Complainant was placed in Transient Housing unit.	Complainant received no change in status.
15.	What happened to perpetrator?	N/A	There was no change in status for perpetrator.	There was no disciplinary action taken.	N/A	There was no disciplinary action taken.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
18.	Was complainant provided victim services?	Yes	Yes	Complainant was offered medical services but refused them.	Yes	Yes
19.	If yes, state services that were provided.	Complainant received medical examination and Offender Protection Investigation.	Complainant received medical examination and Offender Protection Investigation.	N/A	Complainant received medical examination and Offender Protection Investigation.	Complainant was referred and evaluated by Psychiatric Services on the date the allegation was made, May 1, 2009.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
1.	Date of incident	04/30/2009	05/10/2009	05/20/2009	06/10/2009	06/09/2009
2.	Date of complaint and complaint number	05/06/2009, I-07148-05-09	05/11/2009, I-07415-05-09	05/28/2009, I-08502-05-19	06/10/2009, I-09262-06-09	06/11/2009, I-09308-06-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	05/06/2009	05/11/2009	05/28/2009	06/10/2009	06/11/2009
5.	Nature of offense	Asking for sexual favors	Anal and oral sex without weapon, threat, or violence	Unwanted oral sex by perpetrator by intimidation	Anal rape	Forced oral sex under verbal threat

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
6.	Substance of allegations	Masturbating in front of complainant and asking for sexual favors.	Complainant alleged that, on three or four occasions, perpetrator had anal and oral sex with him that was unwanted but no violence, weapons or threats were used.	The complainant alleges that there was unwanted oral sex by perpetrator and coerced masturbation by intimidation by perpetrator. This incident was brought to attention of staff when complainant broke perpetrator's fan.	Complainant alleged he was raped. He said physical evidence remained, as perpetrator ejaculated in complainant's anal cavity. Complainant also alleged coerced oral sex acts.	Forced to perform oral sex under verbal threat.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Investigation was concluded as “unable to substantiate subject’s allegations.” The case was referred to the Office of the Inspector General and concluded with “Inactivated: Not presented for prosecution” under case #09-1686	During the OPI, the investigating officer was unable to substantiate complainant’s claims. However, the UCC recommended to the State Classification Committee that both inmates be marked “Not For Same Unit As” (one another), and also recommended a unit transfer for complainant. Complainant was transferred to another facility.	Complainant requested that these allegations not be pursued.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was moved to another housing unit.	Complainant was referred for psychological evaluation.	Complainant was to receive “appropriate disciplinary” action but there was no documentation provided. There was no change in status as a result of the Offender Protection Investigation.	Complainant was transferred to another facility.	Complainant was transferred to another housing unit.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
15.	What happened to perpetrator?	No action was taken on unfounded allegations.	No action was taken on unfounded allegations.	Alleged perpetrator remained in his current status. There was no action taken. He was paroled in February 2010.	The Office of the Inspector General, case #09-1830, presented evidence for prosecution. Records indicate the case is awaiting trial. On the unit level, the alleged perpetrator was found not guilty of any changes. Perpetrator has been transferred to another unit for chronic health care.	Perpetrator remained in his current status. However, he was transferred to another facility in September 2009. Office of Inspector General opened criminal case #09-1851. The criminal case has since been administratively closed
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
18.	Was complainant provided victim services?	No	Yes	Yes	Yes	No
19.	If yes, state services that were provided.	N/A	Complainant received a psychological evaluation.	Complainant was placed in psychological observation cell due to depression related to sexual assault.	Complainant received a forensic examination. A Sexual Representative was assigned and accepted.	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
1.	Date of incident	Some time in 2003 or 2004	07/08/2009	07/09/2009	07/01/2009	07/01/2009
2.	Date of complaint and complaint number	06/18/2009, I-09753-06-09	07/09/2009, I-11059-0709	07/13/2009, I-11276-07-09	07/09/2009, I-11386-07-09	07/27/2009, I-12087-07-09
3.	To whom complaint was made (Internal and/or External)	Internal – Complaint made to an undetermined staff person	Internal – [Redacted]	Internal – Grievance form	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	06/18/2009	07/09/2009	07/13/2009	07/09/2009	07/27/2009
5.	Nature of offense	Anal rape	Unwanted touching	Anal rape	Oral sex by intimidation	Anal Rape

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
6.	Substance of allegations	Complainant alleged that as a former gang member he was targeted and raped on two occasions by two separate perpetrators. This investigation began as a result of staff witnessing the complainant performing oral sex on an inmate who was identified by an alias, but not offender number.	Complainant alleged that perpetrator touched his penis through his underwear.	Complainant alleged he was ordered to disrobe in his cell, at which time he was anally penetrated by his cellmate. No ejaculation took place.	Complainant was on a bus being transferred to another unit and was handcuffed to an unknown inmate. Complainant was physically forced to touch the perpetrator's penis, and was then instructed to perform oral sex upon perpetrator if complainant wanted to avoid performing oral sex on a number of other passengers on the bus.	Complainant alleged that he was anally raped by three unknown offenders in recreation yard.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
8.	Inmate no. and gender of perpetrator	Information was not available since complainant was unable to name perpetrators.	[Redacted] – Male	[Redacted] – Male	The unidentified perpetrator’s number could not be determined.	According to the investigation, the perpetrators were “several offenders,” which the complainant was unable or unwilling to identify. Therefore, no TDCJ numbers of alleged perpetrators were obtainable.
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
12.	Results of investigation	<p>Allegations by complainant were not substantiated. According to the Safe Prisons Program Coordinator at the Bill Clements Unit where the allegations were made, it was reported to them by James Allred Unit investigators that they were unable to identify the offender named [Redacted], or anyone with the a/k/a [Redacted]</p>	<p>There was no record of interview with perpetrator.</p>	<p>Allegations by complainant were inconclusive. There was no indication the perpetrator was interviewed by administrative staff. However, the Office of Inspector General opened criminal case #09-2214 of the incident. The administrative investigation determined the incident to be “unsubstantiated” due to lack of evidence to support allegations.</p>	<p>Allegations by complainant not substantiated.</p>	<p>Allegations were not sustained since complainant only knew aliases of perpetrators and not their names or where they were housed.</p>

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	There was no information provided, as documentation revealed only that investigation is ongoing.	Information not available.	Complainant was already being moved to a new housing assignment due to physical altercation with perpetrator over a mattress, when he made the allegations.	Complainant was returned to his assigned cell.	Complainant remained in his housing unit.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
15.	What happened to perpetrator?	There was no information provide, as documentation revealed only that investigation was ongoing.	Information was not available.	“NFSPA” (Not For Same Pod As) was recommended by the Unit Classification Committee for both complainant and perpetrator in this incident.	The perpetrator was unable to be identified. Therefore, no action was taken against perpetrator. The Office of the Inspector General opened case #09-2261 on this incident. The case has since been administratively closed.	Perpetrators were not able to be identified so no action was taken. In an update to the investigation it was documented that the complainant reported he had not been sexually assaulted; that he was threatened by his ex-lover and told to claim sexual assault in order to be transferred off the unit. Office of Inspector General opened criminal case #09-2349. However, the case has been administratively closed.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	N/A	Yes	Yes	Yes	Yes
19.	If yes, state services that were provided.	N/A	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #26	Incident #27	Incident #28	Incident #29	Incident #30
1.	Date of incident	01/04/2008	10/2008 or 11/2008	07/09/2009	11/2007	02/01/2008
2.	Date of complaint and complaint number	01/04/2008, I-00123-01-08	01/15/2008, I-50611-01-08	01/16/2008, I-00635-01-08	01/28/2008, I-01176-01-08	07/27/2009, I-12087-07-09 ¹
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – Unit Classification Committee (UCC)	Internal – Through the I-60 form to the medical unit staff	Internal – [Redacted]	Internal – Complaint made to an undetermined staff person
4.	Date of investigation	01/04/2008	01/15/2009	01/16/2008	01/29/2008	02/207/2009
5.	Nature of offense	Rape, forced anal sex	Rape, forced anal sex	Forced anal sex by three unnamed assailants	Oral sex by intimidation	Unwanted touching of buttocks
6.	Substance of allegations	Complainant alleged that his cellmate raped him against his will.	Complainant alleged that on two occasions perpetrator committed forced anal rape, and, on another occasion, by second perpetrator.	Complainant alleged he was attacked by three “Mandingo Warriors” gang members.	Complainant was forced to have oral sex with unknown “Crips” members.	Complainant alleged that perpetrator grabbed his buttocks while in food line.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male [Redacted] – Male	Unknown males	Unknown males	[Redacted] – Male

¹ This complaint number matches Incident #25. The Panel does not have a case on file to match this description.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #26	Incident #27	Incident #28	Incident #29	Incident #30
6.	Substance of allegations	Complainant alleged that his cellmate raped him against his will.	Complainant alleged that on two occasions perpetrator committed forced anal rape, and, on another occasion, by second perpetrator.	Complainant alleged he was attacked by three “Mandingo Warriors” gang members.	Complainant was forced to have oral sex with unknown “Crips” members.	Complainant alleged that perpetrator grabbed his buttocks while in food line.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	Unknown males	Unknown males	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #26	Incident #27	Incident #28	Incident #29	Incident #30
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Perpetrator claimed the sex was consensual.	There was no forensics examination due to length of time between alleged incident and report. Sgt. McGrath, Safe Prisons Coordinator, was notified.	Allegations by complainant were inconclusive.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #26	Incident #27	Incident #28	Incident #29	Incident #30
14.	What happened to complainant?	Complainant was taken to medical department. He refused a Sexual Representative. He was then taken to United Regional Health Care System Hospital for forensic examination.	Information was not available.	Complainant was moved to another housing unit.	Complainant was at a different facility when he made this allegation; so he was not transferred to another housing unit.	Complainant was charged internally with assault for striking perpetrator.
15.	What happened to perpetrator?	Perpetrator was returned to his cell and received “appropriate disciplinary” action.	No action was taken.	Information was not available.	No action was taken.	Information was not available.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #26	Incident #27	Incident #28	Incident #29	Incident #30
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination, and he refused a Sexual Representative.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #31	Incident #32	Incident #33	Incident #34	Incident #35
1.	Date of incident	Sometime in 2007	10/14/2008	12/2007	11/16/2008	11/22/2008
2.	Date of complaint and complaint number	10/10/2008, I-13573-10-08 ²	10/27/2008, I-14507-10-08	11/10/2008, I-15193-11-08	11/19/2008, I-15627-11-08	11/26/2008, I-15978-11-08
3.	To whom complaint was made (Internal and/or External)	Internal – Complainant wrote a letter to James V. Allred staff.	Internal – Complainant announced to staff he would not move until he talked with an investigator.	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	10/10/2008	10/27 to 10/28/2008	11/10 to 11/12//2008	11/19 to 11/20/2008	11/26/2008
5.	Nature of offense	Alleged sexual assault	Oral sex acts	Anal sexual penetration	Touching buttocks	Unwanted kissing
6.	Substance of allegations	Complainant alleged that another inmate identified only as [Redacted] had grabbed his buttocks.	Sexual assault	Sexual assault	Sexual assault	Complainant alleged that, while he was asleep, his cellmate tried to kiss him.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

² This complaint number refers to a Staff-On-Inmate Assault case.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #31	Incident #32	Incident #33	Incident #34	Incident #35
6.	Substance of allegations	Complainant alleged that another inmate identified only as [Redacted] had grabbed his buttocks.	Sexual assault	Sexual assault	Sexual assault	Complainant alleged that, while he was asleep, his cellmate tried to kiss him.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	Unknown male	[Redacted] – Male	Unknown black male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)

		Incident #31	Incident #32	Incident #33	Incident #34	Incident #35
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated. Complainant refused to cooperate with the investigation after he reported allegation to authorities.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was placed in Transient Housing pending outcome of the investigation.	No action was taken on allegation.	Complainant was referred to medical unit for evaluation.	No action was taken since complainant recanted his allegation.	Complainant was moved to another housing unit.
15.	What happened to perpetrator?	No action was taken against perpetrator since his identity was never disclosed.	No action was taken on unfounded allegations.	No action was taken on unfounded allegations.	N/A	No action was taken on unfounded allegations.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #31	Incident #32	Incident #33	Incident #34	Incident #35
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	No	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination.	Complainant refused to leave his cell to see medical staff. Therefore, medical staff went to complainant's cell to perform a medical examination.	Complainant received a medical examination.	N/A	Complainant received a medical examination.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #36	Incident #37	Incident #38	Incident #39	Incident #40
1.	Date of incident	11/22/2008	12/03/2008	12/16/2008	12/30/2008	06/2008
2.	Date of complaint and complaint number	11/24/2008, I-16231-12-08	12/12/2008, I-16624-10-08	12/18/2008, I-17119-12-08	12/30/2008, I-17500-12-08	06/09/2008, I-07436-06-08
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	11/24 to 12/15/2008	12/12/2008	12/18 to 12/22/2008	12/31/2008	06/09/2008
5.	Nature of offense	Placing penis next to buttocks	Anal sex penetration	Sexual gestures	Sexual touching	Oral sex acts
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #36	Incident #37	Incident #38	Incident #39	Incident #40
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	Unknown males	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated. He recanted his allegations.	Allegations by complainant were not substantiated. Videotape viewed during alleged time of incident did not indicate any inappropriate actions.	Allegations by complainant were not substantiated.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #36	Incident #37	Incident #38	Incident #39	Incident #40
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was moved to another housing unit.	Complainant was moved to another housing unit.	Complainant was moved to another housing unit.	Complainant remained in general housing unit.	Complainant was moved to another housing unit.
15.	What happened to perpetrator?	Perpetrator was moved to another housing unit.	Perpetrator was moved to another housing unit.	Perpetrator was moved to another housing unit.	No perpetrator was identified.	Perpetrator remained in his cell.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	No	No	No	No	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #36	Incident #37	Incident #38	Incident #39	Incident #40
19.	If yes, state services that were provided.	N/A	N/A	N/A	N/A	Complainant received a medical examination.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #41	Incident #42	Incident #43	Incident #44	Incident #45
1.	Date of incident	06/2008	07/05/2008	Eight years prior – sometime in 2000	12/30/2008	06/2008
2.	Date of complaint and complaint number	07/01/2008, I-08572-07-08	07/05/2008, I-08867-07-08	07/15/2008, I-09314-12-08	12/30/2008, I-11178-08-08	08/28/2008, I-11458-08-08
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	07/01/2008	07/05/2008	07/15/2008	08/20/2008	08/28/2008
5.	Nature of offense	Anal sex	Forced anal sex	Sexual Assault	Forced oral sex	Anal penetration
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #41	Incident #42	Incident #43	Incident #44	Incident #45
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	Unknown males	Two unknown black males	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #41	Incident #42	Incident #43	Incident #44	Incident #45
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated since the complainant was vague about the details from approximately eight years before reporting the incident.	Allegations by complainant not substantiated. Upon investigation, complainant recanted his allegations.	Allegations by complainant were not substantiated. Complainant refused to cooperate with the investigation.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was moved to psychiatric unit.	Complainant remained in his housing unit.	No action was taken.	Complainant remained in general housing unit.	Complainant remained in his cell.
15.	What happened to perpetrator?	Perpetrator remained in his cell.	No perpetrator was identified.	No perpetrator was identified.	No perpetrator was identified.	No perpetrator was identified.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #41	Incident #42	Incident #43	Incident #44	Incident #45
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	No	No	No	No
19.	If yes, state services that were provided.	Complainant received psychological services.	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #46	Incident #47	Incident #48	Incident #49	Incident #50
1.	Date of incident	08/30/2008	09/15/2008	09/05/2008	07/2008	01/07/2008
2.	Date of complaint and complaint number	09/02/2008, I-11783-09-08	09/05/2008, I-12339-09-08	09/28/2008, I-12979-09-08	06/09/2008, I-07436-06-08	01/07/2009, I-00330-01-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	09/02/2008	09/15/2008	09/28/2008	06/08/2008	01/07/2008
5.	Nature of offense	Forced anal sex	Threats and intimidation	Forced oral sex	Forced oral sex	Sexual intimidation
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #46	Incident #47	Incident #48	Incident #49	Incident #50
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	Unknown black males
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Complainant recanted his allegations during the investigation.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #46	Incident #47	Incident #48	Incident #49	Incident #50
14.	What happened to complainant?	Complainant was moved to Transient Housing unit.	Complainant received a medical examination. He was offered a Sexual Representative, which was declined.	No action was taken.	Complainant was moved to Transient Housing Unit.	Complainant remained in his protective custody unit.
15.	What happened to perpetrator?	Perpetrator remained in his cell.	No action was taken against the perpetrator since the allegations were not sustained.	No action was taken against perpetrator since allegations were recanted.	No action was taken against perpetrator.	No perpetrator was identified.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #46	Incident #47	Incident #48	Incident #49	Incident #50
18.	Was complainant provided victim services?	No	Yes	No	Yes	No
19.	If yes, state services that were provided.	N/A	Complainant received medical services.	N/A	Complainant received medical services.	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #51	Incident #52	Incident #53	Incident #54	Incident #55
1.	Date of incident	01/12/2009	02/09/2009	12/2008	02/17/2009	Sometime in 2003
2.	Date of complaint and complaint number	01/12/2009, I-00566-01-09	02/09/2009, I-02018-02-09	02/13/2009, I-2018-02-09	02/17/2009, I-02532-02-09	02/24/2009, I-02930-02-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	01/12/2009	02/09/2009	02/13/2009	02/17/2009	03/02/2009
5.	Nature of offense	Forced oral sex	Forced anal sex	Rape	Unwanted touching	Forced anal sex
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #51	Incident #52	Incident #53	Incident #54	Incident #55
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	Unknown male	Unknown male	[Redacted] – Male	Unknown male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated due to vagueness of information.	Allegations by complainant were not substantiated due to vagueness of information.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #51	Incident #52	Incident #53	Incident #54	Incident #55
14.	What happened to complainant?	Complainant remained in his cell.	Complainant received a medical examination.	No action was taken.	Complainant was moved to Transient Housing unit.	Complainant remained in his housing unit.
15.	What happened to perpetrator?	Perpetrator was currently assigned in another housing unit.	No perpetrator was identified.	No perpetrator was identified.	No perpetrator was identified.	No perpetrator was identified.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #51	Incident #52	Incident #53	Incident #54	Incident #55
19.	If yes, state services that were provided.	Complainant received a medical examination.	Complainant received medical services.	Complainant received a medical examination and was referred to the James V. Allred mental health services department.	Complainant received medical services.	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #56	Incident #57	Incident #58	Incident #59	Incident #60
1.	Date of incident	05/31/2003	04/02/2009	03/22/2009	03/20/2009	Some time in 2007
2.	Date of complaint and complaint number	03/11/2009, I-03791/03/09	05/01/2009, I-06790-05-09	04/07/2009, I-04441-03-09	03/22/2009, I-04443-03-09	03/21/2008, I-03556-03-08
3.	To whom complaint was made (Internal and/or External)	Internal – Complainant sent letter to staff.	Internal – Report was made to [Redacted].	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	03/11/2009	05/01/2009	04/07/2009	03/22/2009	03/21 to 03/28/2008
5.	Nature of offense	Forced anal sex	Forced anal sex	Forced anal and oral sex	Forced sexual acts	Forced anal and oral sex
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #56	Incident #57	Incident #58	Incident #59	Incident #60
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male [Redacted] – Male [Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #56	Incident #57	Incident #58	Incident #59	Incident #60
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated. However, upon medical examination and x-rays, it was determined complainant had fractured ribs and soreness to jaw area.	Allegations by complainant were not substantiated. However, complainant received rape kit examination. He also received medical and psychological services.	Allegations by complainant were not substantiated. Investigator Mars, OIG, determined that a forensics examination would not be helpful due to passage of time.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant received a medical examination.	Complainant received a medical examination.	Complainant was moved to another housing unit. He was offered but refused a Sexual Representative.	Complainant was moved to protective custody.	Complainant was living at another facility at the conclusion of the investigation.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #56	Incident #57	Incident #58	Incident #59	Incident #60
15.	What happened to perpetrator?	Perpetrator was interviewed and remained in his housing unit.	No action was taken against perpetrator.	No action was taken against perpetrator because no evidence linked perpetrator to cause of injuries.	No action was taken against perpetrator.	No action was taken against perpetrator because of lack of evidence.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination and was placed in Transient Housing unit.	Complainant received medical services and was placed in Transient Housing unit.	Complainant received a medical examination and was moved to another housing unit.	Complainant received medical and psychological services.	Complainant received a medical examination.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #61	Incident #62³	Incident #63	Incident #64	Incident #65
1.	Date of incident	04/24/2008	01/04/2008	03/27/2009	03/24/2008	Between 06/2007 and 07/2007
2.	Date of complaint and complaint number	04/25/2009, I-05190-03-09 ⁴	01/04/2008, I-00123-01-08	03/27/2009, I-04722-03-09	03/27/2008, I-03805-03-09	04/08/2008, I-04404-03-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – Unit Classification Committee	Internal – [Redacted]
4.	Date of investigation	04/25/2008	01/04/2008	03/27/2009	03/27/2009	04/08 to 04/10/2008
5.	Nature of offense	Rape, forced anal sex	Forced anal sex	Forced anal sex	Unwanted touching	Forced oral sex
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

³ This incident is a repeat of incident #26

⁴ This complaint number is mislabeled and should be I-05190-04-08, which makes this incident a repeat of incident #2.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #61	Incident #62⁷	Incident #63	Incident #64	Incident #65
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated. Complainant refused to attend the UCC hearing.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #61	Incident #62⁷	Incident #63	Incident #64	Incident #65
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant received a medical examination. Complainant was uncooperative in the investigation.	Complainant received a medical examination at the United Regional Health Care system facility.	Complainant received a medical evaluation and was moved to Transient Housing unit.	Complainant was moved to another housing unit.	Complainant remained in Transient Housing unit, as he refused to return to the general population.
15.	What happened to perpetrator?	Perpetrator was interviewed and remained in his housing unit.	No action was taken against perpetrator.	Perpetrator refused to cooperate with investigation.	No action was taken against perpetrator.	No action was taken against perpetrator.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #61	Incident #62⁷	Incident #63	Incident #64	Incident #65
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination and was placed in Transient Housing unit.	Complainant received medical examination. He was offered a Sexual Representative, which he refused.	Complainant received a medical examination and was moved to another housing unit.	Complainant received medical and psychological services.	Complainant received a medical examination and was placed in Transient Housing unit.

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #66	Incident #67			
1.	Date of incident	03/28/2009	03/10 to 03/17/2008			
2.	Date of complaint and complaint number	03/29/2009, I-04811-03-09	03/18/2008, I-03425-03-08			
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]			
4.	Date of investigation	03/29/2009	03/18/2008			
5.	Nature of offense	Forced anal sex	Unwanted kissing			
6.	Substance of allegations	Sexual assault	Sexual assault			
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male			

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #66	Incident #67			
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male			
9.	Was there an investigatory policy in place?	Yes	Yes			
10.	Name and job title of investigator	[Redacted]	[Redacted]			
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes			
12.	Results of investigation	Allegations by complainant were not substantiated.	Allegations by complainant were not substantiated.			
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]			

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #66	Incident #67			
14.	What happened to complainant?	Complainant received a medical examination. Complainant was uncooperative with the investigation.	Complainant received a medical examination and was moved to another housing unit.			
15.	What happened to perpetrator?	Perpetrator was interviewed and remained in his housing unit.	No action was taken.			
16.	Was perpetrator referred to authorities for potential prosecution?	No	No			
17.	If yes, to whom and what was the outcome?	N/A	N/A			
18.	Was complainant provided victim services?	Yes	Yes			

**James V. Allred Unit
Texas Department of Criminal Justice
Inmate-On-Inmate Assaults (Prisons)**

		Incident #66	Incident #67			
18.	Was complainant provided victim services?	Yes	Yes			
19.	If yes, state services that were provided.	Complainant received a medical examination and was placed in the Transient Housing unit.	Complainant received a medical examination. ⁵			

⁵ In addition to these sixty-seven incidents, the Panel has four more cases on file, none of which were substantiated.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	04/14/2008	04/14/2008	05/04/2008	09/15/2009	06/15/2009
2.	Date of complaint and complaint number	04/14/2008, I-04699-04-08	04/08/2008, I-04700-04-08	05/05/2008, I-05626-05-08	09/22/2009, I-15380-09-09	07/09/2009, I-11043-07-09

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
3.	To whom complaint was made (Internal or External)?	Internal – James V. Allred Unit office staff discovered inappropriate letters from officer. [Redacted] received complaint.	Internal – James V. Allred Unit office staff discovered inappropriate letters from officer [Redacted] received complaint.	Internal – James V. Allred Unit Officer [Redacted] discovered inappropriate notes from officer.	Internal – [Redacted]	Internal – [Redacted]
4.	Dates of investigation	04/14/2008	04/08 to 04/14/2008	05/05/20008	09/29/2009	07/09/2009

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
5.	Nature of offense	Establishing relationship with offender	Establishing relationship with offender	Establishing relationship with offender	Forced anal penetration of complainant by staff	Fondling of complainant's genitals by staff

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
6.	Substance of allegations	Officer [Redacted] of James V. Allred Unit staff was writing letters to inmate and smuggling contraband to him in a coke can.	Officer [Redacted] of James V. Allred Unit staff was writing to and receiving letters from an inmate.	Officer [Redacted] was found to have established inappropriate relationships with two inmates.	Complainant claimed that [Redacted] inserted broken broom handle into anus of complainant.	Complainant claimed that Officer [Redacted] fondled his genitals and made comment that he wanted to “put my lips around your cock.” The complainant refused the advances at which time the officer threatened complainant that he would have him killed.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male [Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Male	[Redacted] – Male
10.	Name and job title of investigator	[Redacted], [Redacted]	[Redacted], [Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	The charge was substantiated.	The charge was substantiated.	The charge was substantiated.	Complainant admitted he made a false allegation.	Complainant refused to cooperate with investigation. Officer [Redacted] denied allegation.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
14.	What happened to complainant?	Offender was disciplined although he was not the complainant.	Offender was disciplined (Level 2: Code 30.2, for Establishing an Inappropriate Relationship with Staff, although he was not the complainant.	Both inmates were given “appropriate disciplinary” action. No details were provided.	Complainant will be charged with “appropriate disciplinary” action. No details were provided.	Complainant was referred to Psychology Department.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
15.	What happened to perpetrator?	Perpetrator was charged with Establishing Relationship with Offender and introducing contraband in the Unit. She was escorted from the James V. Allred Unit after she confessed to her offenses.	Perpetrator was charged with Establishing Relationship with Offender. She was escorted from the James V. Allred Unit.	Perpetrator confessed to her offense and resigned during the investigation.	No action was taken as charge was false by complainant admission.	Perpetrator was placed back in housing unit.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
16.	Was perpetrator referred to authorities for potential prosecution?	It is unclear if her charges were criminal or they violated only employment policies.	It is unclear if her charges were criminal or they violated only employment policies.	No	No	No
17.	If yes, to whom and what was outcome?	Information was not available.	Information was not available.	N/A	N/A	N/A
18.	Was complainant provided victim services?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
19.	If yes, state services that were provided.	N/A	N/A	N/A	N/A	N/A
20.	Did perpetrator resign or was he/she terminated?	Information was not available.	Information was not available.	Perpetrator resigned during the investigation.	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	No	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	No	Yes	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	Employee Offense and Pre-hearing Investigation Report dated 09/14/2007	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/investigation?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
1.	Date of incident	07/06/2009	10/16/2009	05/01/2009	02/01/2009	02/16/2009
2.	Date of complaint and complaint number	07/08/2009, I-11030-07-09	10/16/2009, I-17087-10-09	05/04/2009, I-06944-05-09	03/19/2009, I-04276-03-09	02/19/2009, I-02637-02-09
3.	To whom complaint was made (Internal and/or External)	Internal – Complaint made to an undetermined staff person	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – Grievance form
4.	Date of investigation	07/08/2009	10/16/2009	05/11/2009	03/19/2009	02/19/2009
5.	Nature of offense	Unwanted touching and sexual comments	Unwanted touching and sexual comments	Unwanted digital penetration in the anus	Unwanted digital penetration in the anus	Unwanted touching

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
6.	Substance of allegations	Complainant alleged officer grabbed him on the buttocks and stated that complainant had “a nice ass.”	Complainant alleged officer grabbed him on the buttocks and stated that complainant’s ass was as “soft as a mother’s love.”	During a five-person staff cell search, which required inmate restraint, complainant alleged that officer inserted his finger in complainant’s anus. Complainant stated that the officer repeatedly stated to him: “how did you like that finger, punk”?	During a five-person staff effort to gain compliance from inmate, chemicals were used to gain that compliance. Complainant alleged that he backed out of his cell on his knees at which time an unknown officer inserted a finger in his anus.	Complainant alleged that two staff fondled him with a “food tray slot tool” when complainant was outside of holding cell in main hallway.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
9.	Staff name and gender of perpetrator	Officer [Redacted] – Male	Officer [Redacted] – Male	Officer [Redacted] - Male	Unknown male officer	Sgt. [Redacted] Officer [Redacted]
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Officer [Redacted] denied the allegation. However, Officer [Redacted], who was reportedly present during the incident, was not referenced as having been interviewed.	Officer [Redacted] denied the allegation. Complainant wanted no further action to be taken.	All five officers, including alleged perpetrator, stated that the event did not occur.	All five officers denied allegation and video tape revealed accusation to be false, as no such incident was recorded.	Allegations by complainant were not sustained, as complainant was inconsistent with details during several interviews. Criterion for sexual assault was not met.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was referred for psychological evaluation.	No action was taken.	Complainant was placed in observation cell with constant video monitoring.	Information was not available.	No action was taken.
15.	What happened to perpetrator?	No action was taken.	Information was not available.	Information was not available.	Perpetrator was not identified.	Information was not available.
16.	Was perpetrator referred to authorities for potential prosecution?	No	Information was not available.	Information was not available.	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	No
19.	If yes, state services that were provided.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	N/A
20.	Did perpetrator resign or was he/she terminated?	No	No	No	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	There were no recorded complainants.	There were no recorded complainants.	There were no recorded complainants.	There were no recorded complainants.	There were no recorded complainants.
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
23.	Are there related disciplinary records concerning the perpetrator?	No	No	No	No	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
1.	Date of incident	Some time in 2007	10/05 and 10/13/2008	10/20/2008	10/28/2008	November 2008
2.	Date of complaint and complaint number	10/10/2008, I-13573-10-08	10/18/2008, I-13814-10-08	10/23/2008, I-14421-10-08	10/29/2008, I-14628-10-08	12/16/2009, I-19930-12-09
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – Grievance Report to UCC	Internal – [Redacted]	[Redacted]
4.	Date of investigation	10/10/2008	10/18/2008	10/25/2008	10/29/2008	12/16/2009
5.	Nature of offense	Unwanted touching	Forced oral sex	Unwanted touching and fondling during pat down search	Slapping complainant on buttocks and head	Unwanted touching

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
6.	Substance of allegations	Complainant alleged that officer pressed his genitals against complainant's back while both were wearing clothes. Complainant also alleged officer slapped him on the buttocks after a strip search.	Complainant alleged that officer coerced him into oral sex and ejaculated into complainant's mouth. Complainant preserved DNA evidence by not swallowing semen and spitting it into tissue that was placed in plastic bag. This incident was reported by a third party inmate who became aware of it.	Complainant alleged that officer fondled him during pat down search.	Complainant alleged that two officers entered his cell and placed him in restraints. The officers ordered him to pull his boxers down and slapped him on the buttocks and head. They also threatened to stick the food slot bar in his buttocks.	Complainant alleged that officer rubbed his penis against complainant's leg while both were clothed.
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
9.	Staff name and gender of perpetrator	Officer [Redacted] – Male	Officer [Redacted] – Male	Officer [Redacted] – Male	Officers [Redacted] and [Redacted]	Officer [Redacted] – Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Allegations by complainant were not sustained.	This appears to be an ongoing OIG investigation. There was no evidence in the file about DNA testing or on the status of the investigation.	Allegations by complainant were not sustained.	Witnesses stated that these two officers were not the ones who interacted with the complainant. It was alleged that the complainant was upset over having his radio confiscated.	There was no determination to this investigation, as perpetrator has been deployed to Iraq.

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	Information was not available.
14.	What happened to complainant?	Complainant was transferred to Transient Housing unit.	Information was not available.	Complainant was returned to his housing unit.	Complainant was returned to his housing unit.	Complainant was placed in pre-hearing detention.
15.	What happened to perpetrator?	No action taken	Perpetrator resigned for personal reasons on 05/12/2010 according to personnel records. This resignation occurred 19 months after this alleged incident.	No action taken	No action taken	Perpetrator was deployed to Iraq and was not available for questioning.
16.	Was perpetrator referred to authorities for potential prosecution?	No	Yes	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
17.	If yes, to whom and what was the outcome?	N/A	Office of General Counsel for Texas Department of Criminal Justice (TDCJ). The outcome was not documented.	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	No
19.	If yes, state services that were provided.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	Complainant received a medical examination.	N/A
20.	Did perpetrator resign or was he/she terminated?	No	Documents indicate he is no longer employed by TDCJ.	No	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	There were no recorded complaints.	There were no recorded complaints.	Yes	There were no recorded complaints.	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	See I-19930-12-09, Incident # 15 on this page.	N/A	See I-14421-10-08 , Incident # 13 on this page.
23.	Are there related disciplinary records concerning the perpetrator?	There were no recorded complaints.	There were no recorded complaints.	There were no recorded complaints.	There were no recorded complaints.	There were no recorded complaints.
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
1.	Date of incident	04/15/2009	11/03/2006	06/05/2008	07/05 and 07/06/2008	08/17/2008
2.	Date of complaint and complaint number	04/16/2009, I-04776-04-08	04/17/2008, I-04831-04-08	06/05/2008, I-07226-06-08	07/07/2008, I-08867-07-08	08/22/2008, I-11273-08-08
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	[Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	04/16/2008	04/17/2008	06/05/2008	07/07/2008	08/22/2008
5.	Nature of offense	Forced oral sodomy	Unwanted touching of penis through clothing	Touching buttocks with a bean tool	Forced anal rape	Unwanted touching of penis and lewd comments

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
6.	Substance of allegations	Complainant alleged that officer entered cell, threatened rape, pulled down complainant's pants, and began oral sex for 6-8 seconds.	Complainant alleged that officer reached through the food slot to fondle his penis. He then pulled the complainant's arm through the slot and made noises of sexual pleasure as if complainant was touching officer in a sexual manner.	It was believed complainant was angry at officer for disciplining complainant for offering to trade shower for extra recreation; this action is soliciting an officer and is cause for disciplinary action.	Complainant alleged that on two occasions staff and inmates entered his cell and anally raped him. Staff witnesses stated that no one entered the complainant's cell at the alleged dates and times.	Complainant alleged that officer asked him to "put your fingers in your butt and I will give you two packs of cigarettes." Complainant also stated that officer told him that he wanted to "jack off on him." Later, while taking complainant to shower, complainant alleged that officer grabbed his penis.
7.	Inmate no. and gender of complainant	[Redacted]- Male	[Redacted]- Male	[Redacted]- Male	[Redacted]- Male	[Redacted]- Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
9.	Staff name and gender of perpetrator	[Redacted]– Male	[Redacted]– Male	[Redacted]– Male	Complainant was unable to identify perpetrator.	[Redacted]– Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
12.	Results of investigation	Allegations by complainant were not substantiated as complainant had no corroborating evidence and [Redacted] had written complainant up that day for disciplinary violation. A staff witness stated that [Redacted] never entered the complainant's cell at the time of the alleged incident.	No determination or finding was documented.	Complainant stated that he made up the allegation because he was angry for being "written up." Complainant refused to provide written statement.	There was no determination that was documented. OIG investigation was to continue.	Allegations by complainant were not substantiated. No potential witnesses would provide written statements. Complainant wanted to talk about getting charged by the officer and not the alleged offense.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
14.	What happened to complainant?	No action taken	No action taken	Charged with Level 2, code 24 offense.	Complainant was returned to his housing unit.	Complainant was returned to his housing unit.
15.	What happened to perpetrator?	No action was taken on this allegation. Personnel records indicate officer resigned in June 2009 over unrelated disciplinary matter.	No action taken	No action taken	N/A	No action taken
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
18.	Was complainant provided victim services?	Yes	No, because of the 18-month delay in reporting the incident.	Complainant was offered services but he declined.	Complainant was offered services but he declined.	Yes
19.	If yes, state services that were provided.	Complainant received a medical examination.	N/A	N/A	N/A	Complainant received a medical examination.
20.	Did perpetrator resign or was he/she terminated?	Perpetrator was not terminated or did not resign related to this incident. However, he resigned in June 2009 over a separate and unrelated policy violation.	No	No	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	No	No	No	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
1.	Date of incident	06/10/2008	07/13/2008	12/09/2008	Mid-June 2008	Before 07/13/2008
2.	Date of complaint and complaint number	06/10/2008, I-07488-06-08	07/13/2008, I-09259-0708	12/09/2008, I -16530-12-08	06/25/2008, I-08233-06-08	07/13/2008, I-09227-07-08
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – Information was not available.	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	06/10/2008	07/13/2008	07/10/2008	06/25/2008	07/14/2008
5.	Nature of offense	Romantic letters from staff to inmate	Romantic letters from staff to inmate	Romantic letters from staff to inmate	Romantic letters from staff to inmate	Romantic letters from staff to inmate and picture of female officer in swimsuit found on inmate

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
6.	Substance of allegations	Officer employed at James V. Allred Unit was sending letters of a romantic nature to offender. Post Office (PO) box with return address was traced to the officer in question.	Officer wrote two notes to inmate of a romantic nature.	Letters were discovered from female officer to male inmate of romantic nature. A bracelet referenced in the letters was discovered on inmate.	Letters were discovered from female officer to male inmate of romantic nature. Officer confessed to kissing inmate. Return address was traced to officer's PO box and handwriting matched.	Letters were discovered from female officer to male inmate of romantic nature. Officer had also shown inmate a picture of her in a swimsuit.
7.	Inmate no. and gender of complainant	[Redacted]– Male	[Redacted]– Male	[Redacted]– Male	[Redacted]– Male	[Redacted]– Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female	[Redacted] – Female

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Officer was found to be responsible for letters.	Officer confessed to allegations and resigned.	Officer confessed to allegations and resigned.	Officer confessed to allegations and resigned.	Officer confessed to allegations and resigned
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	Information was not available.	Information was not available.	[Redacted]
14.	What happened to complainant?	Charged with Level 2, 30.2 discipline.	Charged with Level 2, 30.2 discipline	Reference to future, unspecified disciplinary action only.	Reference to future, unspecified disciplinary action only.	Placed in transient status and charged with Level 2, 30.2 discipline

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
15.	What happened to perpetrator?	Perpetrator was escorted from the James V. Allred Unit.	Perpetrator resigned and was escorted from the James V. Allred Unit.	Perpetrator resigned in lieu of receiving disciplinary action.	There was no record other than reference to appropriate disciplinary action in the future.	Perpetrator resigned in lieu of receiving disciplinary action.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	No	No	No	No	No
19.	If yes, state services that were provided.	N/A	N/A	N/A	N/A	N/A
20.	Did perpetrator resign or was he/she terminated?	Charged with 42c	Resigned	Resigned	Information was not available.	Resigned

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	There was no record of previous complaints. Perpetrator was employed for only 29 days.	There was no record of previous complaints. Perpetrator was employed for only five months.	There was no record of previous complaints.	There was no record of previous complaints.	There was no record of previous complaints.
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	No	No	No	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	N/A	N/A

**James V. Allred Unit
Texas Department of Criminal Justice
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22	Incident #23	Incident #24	Incident #25
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**Elmira Correctional Facility
Elmira, NY
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	06/04/2008	08/24/2008	09/02/2008	Various dates	
2.	Date of complaint and complaint number	06/06/2008, IG-08_206 SCU	10/21/2008, Log # 08-002	09/06/2008, IG-08_313 SCU	01/07 – 01/08/2009, Log # 09-001	
3.	To whom complaint was made (Internal and/or External)	Internal	Internal	External	Internal	
4.	Date of investigation	06/27/2008 to 02/03/2009	10/21/2008	09/23/2008	01/13/2009	
5.	Nature of offense	Rape	Forced oral sex	Forced oral sex	Forced oral sex	
6.	Substance of allegations	Sexual assault	Sexual assault	Sexual assault	Sexual assault	
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	

**Elmira Correctional Facility
Elmira, NY
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
8.	Inmate no. and gender of perpetrator	[Redacted] – Male [Redacted] – Male [Redacted] – Male	[Redacted] – Male	[Redacted] – Male [Redacted] – Male	[Redacted] – Male	
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	
12.	Results of investigation	Charge was not substantiated.	Charge was not substantiated.	Charge was not substantiated.	Charge was not substantiated. Inmate was not author of letter.	
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	

**Elmira Correctional Facility
Elmira, NY
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
14.	What happened to complainant?	Complainant was transferred; separation orders were issued.	Complainant was transferred to separate him from other inmates.	Complainant was moved to isolation cell in infirmary; separation orders were issued.	N/A	
15.	What happened to perpetrator?	One of the three perpetrators was disciplined for assault on inmate and sex offense. He received 24 months in special housing unit (SHU).	N/A	N/A	N/A	
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	

**Elmira Correctional Facility
Elmira, NY
Inmate-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
18.	Was complainant provided victim services?	Yes	Yes	Yes	No	
19.	If yes, state services that were provided.	Complainant received mental health services from staff in Office of Mental Health.	Complainant received mental health services from staff in Office of Mental Health.	Complainant was housed in residential crisis treatment program under supervision of Office of Mental Health.	N/A	

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	01/25/2008	03/17/2008	03/31/2008	04/18/2008	05/12/2008
2.	Date of complaint and complaint number	01/31/2008, Log # 08-002	03/19/2008, IG-08_0097 SCU	04/04/2004, Log # 08-004	04/18/2008, Log # 08-006	05/14/2008, IG-08_0154 SCU
3.	To whom complaint was made (Internal and/or External)	Internal and external	Internal	Internal and external	Internal	Internal
4.	Date of investigation	01/25 to 02/12/2008	03/24/2008 to 03/25/2010	04/04/2008	04/18 to 04/24/2008	05/15 to 09/05/2008
5.	Nature of offense	Unwanted touching while being frisked	Unwanted touching	Grabbing crotch area and making sexual gestures	Grabbing crotch area and making sexual gestures	Sexual comments
6.	Substance of allegations	Sexual assault/pat and frisk	Sexual assault	Sexual assault	Sexual assault	Verbal abuse
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Charge was not substantiated.	Charge was found to be substantiated and referred for prosecution.	Officer was in training session that day and not in SHU.	Charge was not substantiated.	Charge was not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
14.	What happened to complainant?	No action was taken.	No action was taken.	No action was taken.	No action was taken.	Complainant remained in SHU for pre-existing disciplinary sanctions.
15.	What happened to perpetrator?	N/A	Perpetrator was referred for prosecution.	N/A	N/A	N/A Charges were not substantiated.
16.	Was perpetrator referred to authorities for potential prosecution?	No	Yes	Yes	No	No
17.	If yes, to whom and what was the outcome?	N/A	Perpetrator was referred to prosecutor, went to trial, and was found not guilty.	N/A	N/A	N/A
18.	Was complainant provided victim services?	No	There was no documented referral.	Yes	Yes	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
19.	If yes, state services that were provided.	N/A	N/A	Complainant was receiving ongoing mental health services.	Complainant was seen for service by mental health staff on 04/24/2008.	N/A
20.	Did perpetrator resign or was he/she terminated?	N/A	The perpetrator was terminated.	No	N/A	N/A
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	This officer had been the subject of other search, pat, and frisk complaints.	Yes. There were two other cases.	No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	[Redacted] – Male (Question 29a); Grievances EL35-634-09 from [Redacted], EL35997-09 from [Redacted], EL36029-09 from [Redacted], EL36-43509 from [Redacted], and EL36-64110 from [Redacted] (Question 33)	[Redacted] – Male [Redacted] – Male [Redacted] (Question 31)	N/A	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	[Redacted] was terminated from employment. (Question 31)	No	No	No
24.	If yes, provide cross-references to relevant documents.	N/A	Yes. Please refer to above comments regarding [Redacted].	N/A	N/A	N/A

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
25	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	<p>No. According to the information provided, the State of New York in general, and ECF in particular, review their policies on an annual basis. However, should a PREA incident, or any incident for that matter, require a change in policy or protocols, the Commissioner at the Central Office level and the Superintendent at the local level would make the necessary changes as they deem appropriate. The Commissioner would make the final decision if a change was implemented for all New York facilities.</p>	140			
		No		No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
1.	Date of incident	05/21/2008	10/07/2009	01/31/2009	05/16/2009	Sometime in 2006
2.	Date of complaint and complaint number	05/21/2008, Log # 08-011	12/07/2009, IG-09_0411 SCU	02/05/2009, Log # 09-0003	06/03/2009, IG-09_0193 SCU	02/05/2008, IG-08_0040 SCU
3.	To whom complaint was made (Internal and/or External)	Internal	External	Internal	External	Internal
4.	Date of investigation	05/21/2008	12/16/2009 to 06/07/2010	02/06/ to 02/20/2009	06/04 to 09/28/2009	02/06 to 09/15/2008
5.	Nature of offense	Sexual gestures	Rape	Unwanted touching	Unwanted touching and threats	Allegations of photographs of strip searches
6.	Substance of allegations	Sexual harassment	During pat and frisk, use of force	Sexual assault	Sexual assault	Sexual harassment

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
7.	Inmate no. and gender of complainant	[Redacted] – Male (Name and identification number do not match)	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	Correctional officer – Male	Two correctional officers – Male	Correctional officer – Male	Correctional officer – Male	Correctional officer – Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
12.	Results of investigation	Charge was not substantiated.	Charge was not substantiated.	Charge was not substantiated. There were no witnesses to alleged complaint.	Charge was not substantiated.	Charge was not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	No victim was identified.	Complainant was transferred on 11/02/2009 for misbehavior associated with same incident.	No action was taken.	Complainant was moved to another housing unit.	Information was not available.
15.	What happened to perpetrator?	N/A	N/A	N/A	N/A	No perpetrator was identified.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	N/A	No	No	Information was not available.	Information was not available.
19.	If yes, state services that were provided.	N/A	N/A	N/A	Information was not available.	Information was not available.
20.	Did perpetrator resign or was he/she terminated?	No	N/A	No	Information was not available.	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	Yes. This officer had been the subject of other pat and frisk complaints.	Information was not available.	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	[Redacted] – Male (Question 29a); Grievances EL35-634-09 from [Redacted], EL35997-09 from [Redacted], EL36029-09 from [Redacted], EL36-43509 from [Redacted], and EL36-64110 from [Redacted] (Question 33)	Information was not available.	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	No	No	Information was not available.	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	Information was not available.	N/A

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
25	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	<p>No. According to the information provided, the State of New York in general, and ECF in particular, review their policies on an annual basis. However, should a PREA incident, or any incident for that matter, require a change in policy or protocols, the Commissioner at the Central Office level and the Superintendent at the local level would make the necessary changes as they deem appropriate. The Commissioner would make the final decision if a change was implemented for all New York facilities.</p>	146			
		No		No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
1.	Date of incident	10/08/2008	12/29/2008	02/14/2008	06/16/2008	N/A
2.	Date of complaint and complaint number	10/22/2008, IG-08_357 SCU	01/02/2009, IG-09_003 SCU	02/20/2008, IG-08_0056 SCU	06/16/2008, IG-08_0193 SCU	06/11/2008, IG-08_198 SCU
3.	To whom complaint was made (Internal and/or External)	Internal and External	External	External	External	Internal
4.	Date of investigation	11/06/2008 to 04/01/2009	01/06 to 04/16/2009	02/20 to 03/15/2008	06/23/2008 to 03/25/2010	06/23/2008 to 03/25/2010
5.	Nature of offense	Unwanted touching	Improper touching	Unwanted touching	Unwanted touching	Improper relations with other inmates
6.	Substance of allegations	Sexual misconduct	Sexual assault	Sexual assault	Sexual assault	Sexual assault
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	Two correctional officers – Male Store clerk – Female	Three correctional officers – Male	[Redacted] – Male	[Redacted] – Male	Laundry worker – Male State shop staff – Female
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Charge was not substantiated.	Charge was not substantiated.	Charge was substantiated.	Charge was substantiated.	Charge was not substantiated.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
14.	What happened to complainant?	Complainant was seen by mental health staff.	Complainant was seen by mental health staff; was moved to another housing location - conditionally.	Complainant was released on 05/27/2008.	Complainant was moved to another housing location on 06/17/2008.	No victim was identified. Complainant was removed from laundry assignment.
15.	What happened to perpetrator?	N/A	N/A	Perpetrator was terminated.	Perpetrator was terminated.	Perpetrators were terminated.
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	Yes	Yes	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	Case was referred to prosecutor and perpetrator was found not guilty.	Case was referred to prosecutor and perpetrator was found not guilty.	N/A
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	Yes

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
19.	If yes, state services that were provided.	Complainant was on active mental health caseload and receiving mental health services.	Complainant was on active mental health caseload and receiving mental health services.	Complainant was referred to and received mental health services on 02/20/2008.	Complainant was on active mental health unit staff caseload.	Complainant was on active mental health unit staff caseload
20.	Did perpetrator resign or was he/she terminated?	No	No	Perpetrator was terminated.	Perpetrator was terminated.	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	Yes	Yes	No
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	[Redacted] – Male [Redacted] [Redacted] – Male See question 31.	[Redacted] – Male [Redacted] [Redacted] – Male See question 31.	N/A

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
23.	Are there related disciplinary records concerning the perpetrator?	No	No	[Redacted] was terminated. See question 31.	Yes.	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	There was an incident in 2008. See question 31.	There was an incident in 2008. See question 31.	N/A

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #11	Incident #12	Incident #13	Incident #14	Incident #15
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	152	<p>No. According to the information provided, the State of New York in general, and ECF in particular, review their policies on an annual basis. However, should a PREA incident, or any incident for that matter, require a change in policy or protocols, the Commissioner at the Central Office level and the Superintendent at the local level would make the necessary changes as they deem appropriate. The Commissioner would make the final decision if a change was implemented for all New York facilities.</p>	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
1.	Date of incident	05/13 and 05/20/2008	N/A	N/A	10/14/2009	11/15/2009
2.	Date of complaint and complaint number	05/26/2008, IG-08_228 SCU	04/02/2009, IG-09_116 SCU	05/13/2009, IG-09_177 SCU	11/06/2009, IG-09_0378 SCU	11/10/2009, IG-09_0379 SCU
3.	To whom complaint was made (Internal and/or External)	Internal and External	Internal	Internal	External	External
4.	Date of investigation	07/24 to 12/15/2008	04/02 to 09/03/2009	05/14 to 07/22/2009	11/09 to 12/17/2009	11/10/2009 to 01/07/2010
5.	Nature of offense	Verbal abuse	Improper relationship	Improper relations with an inmate	Rape	Sodomy
6.	Substance of allegations	Verbal assault	Improper telephone contact	Providing cell phone number and spending time with inmate	Sexual assault	Sexual assault

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
9.	Staff name and gender of perpetrator	Correctional officer – Male	Correctional officer – Female	Social worker – Female	Correctional officer – Male Medial staff – Male Mental health staff – Male	Correctional officer – Male
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	Yes
12.	Results of investigation	Charge was not substantiated.	Charge was not substantiated.	Charge was substantiated.	Charge was not substantiated.	Charge was not substantiated.

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	No action taken	No action taken	Complainant was released on 04/03/2009.	No action taken	Complainant was examined by medical staff and denied any allegation of sexual abuse.
15.	What happened to perpetrator?	N/A	N/A	Perpetrator resigned her position.	N/A	N/A
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	No
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	N/A

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
18.	Was complainant provided victim services?	Yes	No	No	Yes	Yes
19.	If yes, state services that were provided.	Complainant was on active mental health unit caseload and receiving mental health services.	N/A	N/A	Complainant was on active mental health unit staff caseload.	Complainant was seen by mental health staff and housed in observation from 11/06 to 11/13/2009.
20.	Did perpetrator resign or was he/she terminated?	No	N/A	Perpetrator resigned.	No	No
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #16	Incident #17	Incident #18	Incident #19	Incident #20
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A	N/A	N/A
23.	Are there related disciplinary records concerning the perpetrator?	No	No	No	No	No
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A	N/A	N/A
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No	No	No	No

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22			
1.	Date of incident	N/A	11/23/2009			
2.	Date of complaint and complaint number	03/19/2009, IG-09_0383 SCU	12/07/2009, IG-09_0401 SCU			
3.	To whom complaint was made (Internal and/or External)	External	External			
4.	Date of investigation	11/16/2009 to 06/01/2010	12/09/2009 to 06/07/2010			
5.	Nature of offense	Unwanted touching	Unwanted touching			
6.	Substance of allegations	Sexual assault	Sexual assault during pat and frisk			
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male			

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22			
8.	Was there an investigatory policy in place?	Yes	Yes			
9.	Staff name and gender of perpetrator	4 correctional officers – Male	Correctional officer – Male			
10.	Name and job title of investigator	[Redacted]	[Redacted]			
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes			
12.	Results of investigation	Charge was not substantiated.	Charge was not substantiated.			
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]			

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22			
14.	What happened to complainant?	Complainant was transferred on 04/23/2009.	No action taken			
15.	What happened to perpetrator?	N/A	N/A			
16.	Was perpetrator referred to authorities for potential prosecution?	No	No			
17.	If yes, to whom and what was the outcome?	N/A	N/A			
18.	Was complainant provided victim services?	Yes	Yes			
19.	If yes, state services that were provided.	Complainant was on active mental health unit caseload and receiving mental health services.	Complainant was on active mental health unit caseload and receiving mental health services.			

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22			
20.	Did perpetrator resign or was he/she terminated?	No	No			
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	Yes, there were other complaints regarding pat and frisk searches.			
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	Grievances EL36504-09 from [Redacted], EL36546-09 from [Redacted] and EL36549-09 from [Redacted] See question 31.			
23.	Are there related disciplinary records concerning the perpetrator?	No	No			

**Elmira Correctional Facility
Elmira, NY
Staff-On-Inmate Assaults (Prisons)**

		Incident #21	Incident #22			
24.	If yes, provide cross-references to relevant documents.	N/A	N/A			
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	No	No			

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	01/14/2008	03/08/2008	11/03/2009	07/23/2008	There were two separate incidents involving the same complainant: 01/24/2009 and 04/11/2009.
2.	Date of complaint and complaint number	01/27/2008, 2008-005732	03/08/2008, 2008-1229	11/03/2009, 2009-45814	07/23/2008, 2008-2932	01/24/2009, 2009-005177; 04/11/2009, 2009-022267
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Incident was witnessed by [Redacted]	Internal – [Redacted] for incident on 01/24/2009; Internal – Information was not available as to whom complaint was made for

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
						incident on 04/11/2009.
4.	Date of investigation	01/27/2008	03/08/2008	11/03/2009	07/23/2008	01/24/2009 for complaint on 01/24/2009; 04/11/2009 for complaint on 04/11/2009
5.	Nature of offense	Forcible sodomy	Sexual misconduct	Sexual assault and battery	Sexual battery	Alleged offense for 01/24/2009 was first degree rape/forcible sodomy; Alleged offense for 04/11/2009 was first degree rape/forcible sodomy.
6.	Substance of allegations	Complainant, a 16 year old male, was in the medical unit when he alleged he was threatened by the perpetrator, a 17	Complainant alleged perpetrator "grabbed his ass."	Complainant alleged that on three occasions the perpetrator passed her three times while she was using the	[Redacted] witnessed perpetrator grab another inmate on the buttocks while both were working	01/24/2009 allegation: complainant was brought to [Redacted] desk to discuss the

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
		<p>year old juvenile who was also his cellmate. Complainant alleged perpetrator threatened to kill him if he did not perform oral sodomy. Perpetrator then grabbed complainant by the throat who feared for his life and complied.</p>		<p>telephone, and “rubbed against her buttocks.” The third time the perpetrator whispered something inaudible to her. Complainant advised him to keep his hands off of her. A witness stated perpetrator rubbed against her with his hand. The witness then advised perpetrator to stop and then advised the booking officers of the incident.</p>	<p>in the kitchen.</p>	<p>depression he was exhibiting. Complainant advised [Redacted] that a cellmate had raped him by threatening him with a sharp pencil held to his neck. There was no evidence of the perpetrator being assigned to a cell with complainant.</p> <p>04/11/2009 allegation: complainant stated that as he finished using the toilet at 12:30 am, the perpetrator who also was his cellmate got out of his bunk and held a plastic dining</p>

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
						<p>utensil – a “spork” – to his neck and threatened to kill him if he screamed and did not comply with his sexual advances. Complainant claimed he was forced to commit oral sex and was anally raped with his attacker ejaculating both in his mouth and anus. Complainant also stated that he was attacked again at 2:30 am on the same morning in a similar fashion.</p>
7.	Inmate no. and gender of	[Redacted] – Male	[Redacted] – Male	[Redacted] – Female	[Redacted] – Male	[Redacted] – Male

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	complainant					
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted], [Redacted]	[Redacted]	[Redacted]	[Redacted]	01/24/2009 incident was investigated by [Redacted], [Redacted] 04/11/2009 incident was investigated by [Redacted]
11.	Did the facility follow its own policy? If not, categorize how so?	Information was not available.	Information was not available.	Yes	Yes	Yes, since 01/24/2009 allegation was apparently determined to be

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
						fabricated. This is unclear since record of 04/11/2009 allegation ends after initial investigatory interview of complainant and his referral to medical unit for a Sexual Assault Nurse Examiner.
12.	Results of investigation	Information was not available.	Information was not available.	Charge against perpetrator was referred to the Tulsa County District Attorney for prosecution.	Perpetrator was transferred to a different living unit and was removed from kitchen assignment.	Incident on 01/24/2009 appears to have been determined to be false. For 04/11/2009 incident, evidence of anal intercourse was

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
						evident.
13.	Name and job title of person who approved closure of investigation	Information was not available.	[Redacted]	[Redacted]	[Redacted]	Information was not available.
14.	What happened to complainant?	Information was not available.	Information was not available.	Information was not available.	Information was not available.	Information was not available.
15.	What happened to perpetrator?	Perpetrator was arrested and charged with forcible sodomy.	Perpetrator was placed in segregation.	Perpetrator was arrested and charged with sexual assault and battery.	Perpetrator was transferred to a different living unit and was removed from kitchen assignment.	Information was not available.
16.	Was perpetrator referred to authorities for potential prosecution?	Information was not available.	Information was not available.	Yes	No. Complainant signed a "Decline to File" paper.	Information was not available.
17.	If yes, to whom and what was the	Information was not available.	Information was not available.	Tulsa County District Attorney declined to	N/A	Information was not available.

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	outcome?			prosecute the case.		
18.	Was complainant provided victim services?	Information was not available.	Information was not available.	Information was not available.	No	No services were provided to the complainant for 01/24/2009 incident; Yes, services were provided to the complainant for 04/11/2009 incident.
19.	If yes, state services that were provided.	Information was not available.	Information was not available.	Information was not available.	N/A	For 04/11/2009 incident, complainant received a medical examination at which time a rape kit was used to collect evidence.

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
1.	Date of incident	12/21/2009				
2.	Date of complaint and complaint number	12/28/2009, 2010-00092				
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]				
4.	Date of investigation	12/28/2010				
5.	Nature of offense	Attempted rape by use of an instrument				
6.	Substance of allegations	Complainant snuck into another cell occupied by approximately 8 other inmates who were gambling. Those inmates who were not authorized to be in the cell were				

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
		<p>instructed by Detention Officer [Redacted] to return to their cells immediately or face a 72- hour lockdown penalty; or they would have to stay until lockdown ended later that night. Complainant was ordered by inmates to fight another inmate. After the fight, complainant was stripped of his clothes and was held down by inmates while 4 of them placed inanimate objects (deodorant bottle, pencil) in his anus.</p>				

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
7.	Inmate no. and gender of complainant	No inmate number was observed in the files – Male				
8.	Inmate no. and gender of perpetrator	[Redacted] – all were male				
9.	Was there an investigatory policy in place?	Yes				
10.	Name and job title of investigator	[Redacted]				
11.	Did the facility follow its own policy? If not, categorize how so?	Yes				
12.	Results of investigation	Complainant denied the charge of penetration by use of an instrument.				
13.	Name and job title of person who	Information was not				

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
	approved closure of investigation	available.				
14.	What happened to complainant?	Information was not available.				
15.	What happened to perpetrator?	No action was taken against perpetrators.				
16.	Was perpetrator referred to authorities for potential prosecution?	Yes				
17.	If yes, to whom and what was the outcome?	Criminal case was referred for prosecution. Tulsa County District Attorney declined to pursue charges on 02/05/2010.				
18.	Was complainant provided victim	Information was not				

**David L. Moss Criminal Justice Center
Tulsa, Oklahoma
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
	services?	available.				
19.	If yes, state services that were provided.	N/A				

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	08/11/2008	11/28/2009			
2.	Date of complaint and complaint number	08/11/2008, 2008-052833	11/28/2009, 2009-46362			
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]			
4.	Date of investigation	08/11/2008	11/28/2011			
5.	Nature of offense	Lewd molestation	Sexual assault and battery			
6.	Substance of allegations	Complainant, a 15-year old juvenile female, was taking a shower when a male nurse lifted a paper window screen to observe her unclothed as she	Complainant alleged that a black, bald male detention officer searched him in the classification area. After the search was completed, the			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
		dried herself after a shower.	unknown detention officer backed complainant against the wall, reached inside complainant's pants, and grabbed his penis. It was further alleged that the detention officer then placed his head in the complainant's crotch area at which time the complainant pushed him away saying "what are you doing?" The complainant said the detention officer threatened him with isolation. The detention officer then placed complainant in a chair before sending			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
			him back to his living unit.			
7.	Inmate no. and gender of complainant	[Redacted] – Female	[Redacted] – Male			
8.	Was there an investigatory policy in place?	Yes, Chapter 12-01 regarding criminal investigations	Yes, Chapter 12-01 regarding criminal investigations			
9.	Staff name and gender of perpetrator	[Redacted] – Male	[Redacted] – Male			
10.	Name and job title of investigator	[Redacted]	[Redacted]			
11.	Did the facility follow its own policy? If not,	Yes	Yes			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	categorize how so?					
12.	Results of investigation	Perpetrator was referred for prosecution to the Tulsa County District Attorney.	It was determined that [Redacted] and complainant had consensual arrangement wherein cigarettes were provided to the complainant. Perpetrator was referred for prosecution to the Tulsa County District Attorney.			
13.	Name and job title of person who approved closure of investigation	Supervisor signature was indecipherable. There is a box for investigatory report approval but not a	The name of [Redacted] was listed. However, there was no signature of case			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
		box for case closure.	closure.			
14.	What happened to complainant?	Information was not available.	Information was not available.			
15.	What happened to perpetrator?	Information was not available.	Perpetrator was convicted at court hearing. Details of the hearing sentence were not documented.			
16.	Was perpetrator referred to authorities for potential prosecution?	Yes	Yes			
17.	If yes, to whom and what was the outcome?	The Tulsa County District Attorney declined to prosecute the charge.	The Tulsa County District Attorney prosecuted the case and won a conviction.			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
18.	Was complainant provided victim services?	Information was not available.	Information was not available.			
19.	If yes, state services that were provided.	Information was not available.	Information was not available.			
20.	Did perpetrator resign or was he/she terminated?	Information was not available.	Information was not available.			
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	Information was not available.	Information was not available.			
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	Information was not available.	Information was not available.			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
23.	Are there related disciplinary records concerning the perpetrator?	Information did not indicate any previous disciplinary incidents. Perpetrator was a medical service contract employee who worked as a service provider at the facility. All contract health service workers are required to undergo criminal record checks according to the contract between Tulsa County, OK and Correctional Health Care of Oklahoma.	There are disciplinary records related to counseling and suspension for poor job performance on several occasions. However, there was no information or reference related to previous sexual misconduct.			
24.	If yes, provide cross-references to relevant	Information was not available.	Information was not available.			

**Davis L. Moss Criminal Justice Center
Criminal Justice Center
Tulsa, Oklahoma
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	documents.					
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	Information was not available.	Information was not available.			

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	05/08/2008	05/22/2008	08/04/2008	09/02/2008	03/04/2009
2.	Date of complaint and complaint number	05/08/2008, P08-005756A	06/12/2008, P08-006421A	08/06/2008, P08-010189A	09/02/2008, P08-11525A	03/15/2009, P09-3112A
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	Internal – [Redacted]
4.	Date of investigation	05/08/2008	06/16/2008	08/06/2008	09/02/2008	03/15/2009
5.	Nature of offense	Rape	Rape and robbery	Rape	Rape	Rape
6.	Substance of allegations	Complainant alleged that his cellmate raped him against his will.	Complainant alleged that he was raped and robbed against his will.	Complainant alleged that his cellmate raped him against his will.	Complainant alleged he was not raped by anyone in the facility but was raped by a family member “a long	Complainant alleged that he was raped against his will.

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
					time ago.”	
7.	Inmate no. and gender of complainant	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male	[Redacted] – Male
8.	Inmate no. and gender of perpetrator	[Redacted] – Male	[Redacted] – Male [Redacted] – Male [Redacted] – Male [Redacted] – Male [Redacted] – Male [Redacted] – Male	[Redacted] – Male	N/A	[Redacted] – Male
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	Yes
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
11.	Did the facility follow its own policy? If not,	Yes	Yes	Yes	Yes	Yes

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	categorize how so?					
12.	Results of investigation	Allegation by complainant proved to be inconclusive.	Allegations by complainant were found to not be supported by any evidence or corroborating statements.	Allegation by complainant was rescinded as he admitted he made up the allegation to be transferred to another unit.	Allegation by complainant was rescinded as he admitted he made up the allegation to be transferred to another unit.	Allegation by complainant was rescinded; he indicated he wanted to be placed in protective custody and that he would not cooperate with an investigation.
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
14.	What happened to complainant?	Complainant was evaluated by facility medical and psychiatric staff. He was transported to the Rape Treatment Center for a forensic examination.	Complainant was evaluated by facility medical and psychiatric staff. Since he was at a different facility at the time of his allegation, no further	No action was taken.	Complainant was assigned to another cell and placed on suicide watch pending a psychological evaluation.	Complainant was evaluated by facility medical and psychiatric staff. He was transported to the Rape Treatment Center for a forensic examination.

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
			action was taken.			
15.	What happened to perpetrator?	Perpetrator remained in the psychiatric unit but was moved to another cell.	No action was taken.	No action was taken.	No action was taken.	No action was taken.
16.	Was perpetrator referred to authorities for potential prosecution?	Yes	No	No	No	No
17.	If yes, to whom and what was the outcome?	After reviewing all facts of the case, Assistant State's Attorney [Redacted] declined to file charges.	N/A	N/A	N/A	N/A
18.	Was complainant provided victim services?	Yes	Yes	No	Yes	Yes
19.	If yes, state services	Complainant received a medical	Complainant was housed in a	N/A	Complainant received a	Complainant was placed in protective

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	that were provided.	examination, and services from the Rape Treatment Center.	psychiatric unit and continued to receive psychiatric services.		psychological evaluation and psychiatric services.	custody.

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
1.	Date of incident	03/31/2009	09/09/2009	10/30/2009	06/13/2008, 06/14/2008	
2.	Date of complaint and complaint number	04/02/2009, 146-IA-PTDC-09	09/09/2009, P09-01163A	10/31/2009, F09-35603	06/15/2008, P08- 7609A/7614A/7622 A	
3.	To whom complaint was made (Internal and/or External)	External – [Redacted]	External – [Redacted]	Internal – [Redacted]	Internal – [Redacted]	
4.	Date of investigation	04/22/2009 to 07/14/2009	09/09/2009 to 12/11/2009	10/31/2009 to 11/25/2009	06/15/2008 to 06/20/2008	
5.	Nature of offense	Sexual assault	Sexual assault	Heavy bleeding from vaginal area	Rape	
6.	Substance of allegations	Complainant alleged that while she was in a holding cell, she was sexually assaulted by digital penetration against	Complainant alleged that he was sexually assaulted against his will.	Complainant was bleeding heavily from the vaginal area. She was escorted to the medical clinic.	Complainant alleged he was raped on two separate occasions by two inmates in another cell.	

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
		her will.				
7.	Inmate no. and gender of complainant	[Redacted] – Female	[Redacted] – Male	[Redacted] – Female	[Redacted] – Male	
8.	Inmate no. and gender of perpetrator	Inmate number was not available – Female	Unknown males	N/A	No specific inmates were named by the complainant in the allegation – Two males	
9.	Was there an investigatory policy in place?	Yes	Yes	Yes	Yes	
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]	[Redacted]	
11.	Did the facility follow its own policy? If not, categorize how so?	Yes	Yes	Yes	Yes	
12.	Results of investigation	Allegation by complainant was	Allegation by complainant was	Complainant rendered a sworn	Allegation by complainant was	

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
		<p>found not supported by any evidence, including videotape of the holding cell area during the specific times of the alleged incident, or by any other corroborating statements.</p>	<p>rescinded as he admitted he made up the allegation to be transferred to another unit.</p>	<p>statement indicating that after her release from custody, she learned that the bleeding she experienced from her vaginal area while she was incarcerated was the result of a miscarriage. Complainant stated that “corrections staff acted appropriately in escorting me to the clinic and there was nothing corrections could have done differently.” She further stated that she was not involved in use of force, nor did she “experience any staff misconduct</p>	<p>rescinded during the investigation as he admitted he lied about the allegation.</p>	

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
				while incarcerated in Miami-Dade Corrections and Rehabilitation.”		
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]	[Redacted]	
14.	What happened to complainant?	Complainant was released from the facility and went on her own to the Rape Treatment Center at Jackson Memorial Hospital.	Complainant was evaluated by facility medical and psychiatric staff. He was also taken to the Rape Treatment Center at Jackson Memorial Hospital where results of any sexual assault were negative.	Complainant was released from the facility.	Complainant was evaluated by facility medical and psychiatric staff. He was also placed on suicide precautions status.	
15.	What happened to perpetrator?	No action was taken.	No action was taken.	No action was taken.	No action was taken.	

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No	No	
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A	N/A	
18.	Was complainant provided victim services?	Yes	Yes	Yes	Yes	
19.	If yes, state services that were provided.	Complainant requested to be seen by the facility Corrections Health Services. She was examined for a “whitish vaginal discharge and some kind of odor” and placed in the general psychological population.	Complainant was housed in a psychiatric unit and continued to receive psychiatric services.	Complainant was escorted to the medical clinic for evaluation and returned to her cell. Shortly after she returned to her cell, she was released from the facility.	Complainant received medical and psychiatric services. He was also placed on suicide precautions status.	

**Miami-Dade Pre-Trial Detention Center
Miami, Florida
Inmate-On-Inmate Assaults (Jails)**

		Incident #6	Incident #7	Incident #8	Incident #9	Incident #10
		However, during the examination and while at the facility, there is no documentation indicating complainant alleged sexual assault of any kind.				

**Miami-Dade Pre-Trial Center
Miami, Florida
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
1.	Date of incident	07/17/2009	09/28/2009	11/2008 or 12/2008		
2.	Date of complaint and complaint number	08/11/2009, 348-IA-FSB-09	09/27/2009, P09-12576A/B	03/18/2009, 98-IA-PTDC-09		
3.	To whom complaint was made (Internal and/or External)	Internal – [Redacted]	Internal – [Redacted]	External – [Redacted]		
4.	Date of investigation	08/11/2009 to 02/11/2010	09/28/2009 to 02/03/2010	03/18/2009 to 04/29/2009		
5.	Nature of offense	Departmental misconduct/staff sexual misconduct toward an inmate	Departmental misconduct/ introduction of contraband; Department misconduct/ improper procedures	Departmental misconduct/ inappropriate relationship		

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		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
6.	Substance of allegations	Complainant alleged that [Redacted] flirted with him, made romantic comments, and touched complainant's biceps.	A correctional officer was charged with allowing the use of her cell phone by an inmate, having an improper relationship with an inmate, and falsifying her account of the charges.	Complainant alleged that a male staff member had an inappropriate relationship with a female inmate sometime in November or December 2008 while inmate was in PTDC.		
7.	Inmate no. and gender of complainant	[Redacted]– Male	[Redacted]– Male	[Redacted]– Male		
8.	Was there an investigatory policy in place?	Yes	Yes	Yes		
9.	Staff name and gender of perpetrator	[Redacted]– Male	[Redacted]– Female	[Redacted]– Male		

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Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
10.	Name and job title of investigator	[Redacted]	[Redacted]	[Redacted]		
11.	Did the facility follow its own policy? If not, categorize how so?	No. The chain of command structure in reporting this allegation by the complainant was not properly followed.	Yes	Yes		
12.	Results of investigation	The Alternate Disposition Panel found that allegations by the complainant were not sustained. However, internal memoranda by Miami-Dade Pre-Trial Detention Center staff indicate that despite that the allegations were not sustained, the	Based on the facts of the investigation by the Office of Internal Affairs and the Miami-Dade Corrections and Rehabilitation Department, all charges of misconduct by Officer Jennifer Martinez were substantiated.	Based on the facts of the investigation, based on statements by complainant that he never witnessed the male staff officer and female inmate together, based on the complainant's admission that he relied on hearsay information to make his allegation which was followed up by		

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Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
		incident “was not properly handled by any of the staff who was directly or indirectly informed of the allegations. The failure of staff to immediately report such allegations to their chain of command or to the shift supervisor or commander at the PTDC placed the department in a liable situation”		investigators and found to be unsubstantiated, there was no information available to corroborate the allegation of sexual misconduct.		
13.	Name and job title of person who approved closure of investigation	[Redacted]	[Redacted]	[Redacted]		

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		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
14.	What happened to complainant?	Complainant was transferred to another facility.	Complainant was transferred to another facility.	Complainant was referred for a medical examination and psychological evaluation.		
15.	What happened to perpetrator?	No action was taken.	Perpetrator was terminated.	No action was taken.		
16.	Was perpetrator referred to authorities for potential prosecution?	No	No	No		
17.	If yes, to whom and what was the outcome?	N/A	N/A	N/A		
18.	Was complainant provided victim services?	No	No	Yes		
19.	If yes, state services that were provided.	N/A	N/A	Complainant was referred for a		

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		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
				medical examination and psychological evaluation.		
20.	Did perpetrator resign or was he/she terminated?	No	Perpetrator was terminated.	No		
21.	Were there other sexual abuse complaints against perpetrator in 2008-2009?	No	No	No		
22.	If yes, provide cross-references to relevant complaint, investigatory, and disciplinary documents.	N/A	N/A	N/A		
23.	Are there related disciplinary records concerning	No	No	No		

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		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
	the perpetrator?					
24.	If yes, provide cross-references to relevant documents.	N/A	N/A	N/A		
25.	Were there any changes in policies, protocols, or staffing as a result of the complaint/ investigation?	It appears greater emphasis, through training and closer supervision, has been placed on strictly adhering to policies and procedures regarding reporting of all allegations.	A review of standard operating procedures used for PTDC's second floor headcount procedures was conducted to ensure that any new or transient inmate without a blue tab is properly accounted for, and procedures ensure that all inmates have proper paperwork due to their transient or pre-classification	No		

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		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
			status.			

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Miami, Florida
Staff-On-Inmate Assaults (Jails)**

		Incident #1	Incident #2	Incident #3	Incident #4	Incident #5
			status.			